- 1 Opsin expression, physiological characterization and identification
- of photoreceptor cells in the dorsal rim area and main retina of the
- 3 desert locust, Schistocerca gregaria
- 7 Fabian Schmeling<sup>1</sup>, Motohiro Wakakuwa<sup>2</sup>, Jennifer Tegtmeier<sup>1</sup>, Michiyo Kinoshita<sup>2</sup>, Tobias
- 8 Bockhorst<sup>1</sup>, Kentaro Arikawa<sup>2</sup>, Uwe Homberg<sup>1\*</sup>
- <sup>1</sup>Fachbereich Biologie, Tierphysiologie, Philipps-Universität Marburg, 35032 Marburg,
- 12 Germany

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- <sup>2</sup>Laboratory of Neuroethology, Sokendai (The Graduate University for Advanced Studies),
- 15 Shonan Village, Hayama, Kanagawa 240-0193, Japan
- 20 Running Title: Retina of the desert locust

- <sup>\*</sup>Correspondence to: Uwe Homberg, Fachbereich Biologie, Tierphysiologie, Philipps-
- 31 Universität Marburg, D-35032 Marburg, Germany, Tel. +49-6421-2823402, Fax +49-6421-
- 32 2828941, E-mail: homberg@biologie.uni-marburg.de

#### SUMMARY

For compass orientation many insects rely on the pattern of sky polarization but some species also exploit the sky chromatic contrast. Desert locusts, Schistocerca gregaria, detect polarized light through a specialized dorsal rim area (DRA) in their compound eye. To better understand retinal mechanisms underlying visual navigation, we compared opsin expression, spectral and polarization sensitivities and response-stimulus intensity functions in the DRA and main retina of the locust. In addition to previously characterized opsins of long-wavelength-absorbing (Lo1) and blue-absorbing visual pigments (Lo2), we identified an opsin of a UV-absorbing visual pigment (LoUV). DRA photoreceptors exclusively expressed Lo2, had peak spectral sensitivities at 441 nm and showed high polarization sensitivity (PS 1.3-31.7). In contrast, ommatidia in the main eye coexpressed Lo1 and Lo2 in five photoreceptors, expressed Lo1 in two proximal photoreceptors, and Lo2 or LoUV in one distal photoreceptor. Correspondingly, we found broadband blue- and green-peaking spectral sensitivities in the main eye and one narrowly tuned UV peaking receptor. Polarization sensitivity in the main retina was low (PS 1.3-3.8). V-log / functions in the DRA were steeper than in the main retina supporting a role in polarization vision. Desert locusts occur as two morphs, a day-active gregarious and a night-active solitarious form. In solitarious locusts sensitivities in the main retina were generally shifted to longer wavelengths, particularly in ventral eye regions, supporting a nocturnal life style at low light levels. The data support the role of the DRA in polarization vision and suggest trichromatic colour vision in the desert locust.

Key words: compound eye, dorsal rim area, opsin expression, spectral sensitivity, polarization sensitivity, phase change

#### INTRODUCTION

In addition to the position of the sun, many insects rely on the pattern of polarized light (POL) in the blue sky for spatial orientation (Horváth and Varjú, 2004; Wehner and Labhart, 2006; Homberg and el Jundi, 2014). Polarotactic orientation has been demonstrated in field experiments in the desert ant (Wehner and Müller, 2006), the honeybee (von Frisch, 1949), several species of dung beetles (Dacke et al., 2003; Dacke et al., 2011), the monarch butterfly (Reppert et al., 2004), and the fruitfly (Weir and Dickinson, 2012) and, in laboratory experiments, in the house fly (von Philipsborn and Labhart, 1990), the field cricket (Brunner and Labhart, 1987), and the desert locust (Mappes and Homberg, 2004). In all of these

insects, POL detection is mediated by a small dorsal rim area (DRA) in the compound eye (Labhart and Meyer, 1999).

Ommatidia and photoreceptor cells in the DRA are highly specialized. DRA ommatidia contain homochromatic photoreceptors with high polarization sensitivity (PS) based on precisely aligned microvilli. In each ommatidium microvilli are oriented in two blocks orthogonal to each other (Labhart and Meyer, 1999). The DRA of the desert locust, *Schistocerca gregaria*, has about 400 ommatidia (Homberg and Paech, 2002). Each DRA ommatidium contains 8 photoreceptor cells (R1-R8). The microvilli of R7 are oriented orthogonally to those of R1, 2, 5, 6 and 8, whereas the microvilli of R3 and R4 are small and less well oriented (Homberg and Paech, 2002).

Polarization vision pathways in the brain of the desert locust, *Schistocerca gregaria*, have been studied particularly well (Homberg et al., 2011). Polarized light signals from the DRA are combined with chromatic contrast information from the sky in the anterior optic tubercle of the brain (Pfeiffer and Homberg, 2007), and signals from both eyes are integrated in the central complex serving a likely role as an internal sky compass in the brain (Heinze and Homberg, 2007; Heinze et al., 2009).

S. gregaria occurs widely in North Africa and the Middle East and exhibits two different morphological phases with strongly differing appearance and behaviour (Uvarov, 1966; Simpson et al., 1999). Swarm building locusts of the gregarious phase perform long-distance migrations during the day, whereas the solitarious phase also migrates but is largely nocturnal (Waloff, 1963; Roffey, 1963; Roffey and Magor, 2003). While there is evidence for sky compass orientation in gregarious animals (Kennedy, 1951), the control of navigation in solitarious locusts is unknown.

In contrast to central processing of polarized light, the physiology of DRA photoreceptors in *S. gregaria* is poorly understood. Eggers and Gewecke (1993) reported blue-sensitive receptors with high PS values and UV receptors with low PS values in the DRA, but did not identify the photoreceptor cell types morphologically. Although two opsins, a long wavelength opsin and a middle wavelength opsin, have been identified in *S. gregaria* (Towner et al., 1997) no data exist on their distribution in the eye. We therefore reinvestigated the number and sequences of mRNAs encoding visual pigment opsins and their presence in the DRA of the locust. In addition, we studied spectral sensitivities, response-stimulus intensity (*V*-log *I*) functions and polarization sensitivity of DRA photoreceptors and identified the recorded cell type through dye injections. All data were compared with those from non-DRA regions, i.e. the main region of the eye. To uncover possible differences related to lifestyle, we compared data from gregarious and solitarious locusts.

### **RESULTS**

# Cloning of UV opsin cDNA

We cloned a cDNA encoding an opsin of a UV absorbing visual pigment from poly-A RNA extracted from the compound eye. Phylogenetic analysis of the sequence we identified using the neighbour-joining method revealed that the cDNA sequence clusters in the UV wavelength absorbing clade of insect opsins (Fig. 1). The desert locust, therefore, has at least three opsin genes, encoding a long wavelength (Lo1) and a blue absorbing type (Lo2), which were identified previously (Towner et al., 1997), and a UV absorbing type (LoUV), respectively.

# Distribution of opsin mRNAs in the retina

We localized the LoUV, Lo1 and Lo2 mRNA in the retina by double-targeted *in situ* hybridization. We labeled transverse sections of distal and proximal tiers of the retina with probes specific to mRNAs encoding for the three opsins in all combinations (Fig. 2A-E). At the distal tier of the retina (Fig. 2A-C), R7 photoreceptors were labeled by either the LoUV (black arrowheads in Fig. 2A-C) or the Lo2 probe (white arrowheads). Labeling by the Lo2 probe in R7, which expressed solely Lo2, was stronger than that of the larger R2, 3, 5, 6 and 8 photoreceptors (white arrowheads in Fig. 2A). Interestingly, all other photoreceptor cells (R2, 3, 5, 6 and 8) in the distal tier were labeled by both the Lo1 and Lo2 probes in overlapping manner (Fig. 2A,B). As a result, the appearance of double-labeled photoreceptors was dark red (Fig. 2C). R7 occurred at two different positions within the ommatidia (Fig. 2A-C) but its position was not related to mRNA expression in R7. In the proximal tier (Fig. 2D,E), two additional photoreceptors, R1 and R4 (proximal photoreceptor cells) appeared, whereas R7 disappeared. R1 and R4 were labeled by the Lo1 probe exclusively (Fig. 1D and E, arrowheads). As well as in the distal tier, R2, 3, 5, 6 and 8 were labeled by both the Lo1 and Lo2 probe.

Longitudinal sections showed that the DRA (Fig. 2F-I, surrounded by broken line) was only labeled by the Lo2 probe throughout the entire length of ommatidia. We did not find any labeled cells in the DRA with the LoUV and the Lo1 probes. The labeling pattern in the rest of the eye was rather constant, suggesting that there is no clear regionalization. R7 photoreceptors labeled by the LoUV probe were identified only in the distal retina (Fig. 2F, inset arrows), whereas Lo1 and Lo2 probe labeled throughout the entire length of the ommatidia. The fluorescence image of double labeling with Lo1 and Lo2 probes showed that green opsin (Lo2) was expressed throughout the main retina: the green-induced red fluorescence is due to the dye FastRed (see methods) (Fig. 2I).

Figure 2J summarizes the expression of opsin m-RNAs on transverse sections. The DRA consisted of only one type of ommatidium expressing the blue opsin mRNA in all photoreceptors. In the main eye, two types of ommatidia were distinguished in accordance with R7 either expressing the UV (type I: solid circle) or blue opsin mRNA (type II: broken circle), respectively (Fig. 2A-C). In both types of ommatidia, receptors R2, 3, and 5-8 coexpressed blue (Lo1) and green (Lo2) opsin mRNAs, whereas the proximal receptors (R1, 4) contained only green (Lo2) opsin mRNA. The appearance of labeled photoreceptors at different depths of the ommatidia indicates that R7 is a distal photoreceptor and R1 and 4 are proximal photoreceptors. Type I and type II ommatidia were distributed randomly over the main retina in a ratio of 1.8: 1.

# Electroretinographic (ERG) recordings

In ERG recordings from 28 locusts (13 gregarious and 15 solitarious animals) we investigated the spectral sensitivity of distinct eye regions (Fig. 3). Recordings from the DRA and the dorsal (DA) and ventral (VA) halves of the main retina in gregarious locusts supported the *in situ* hybridization results. The spectral sensitivity of the DRA showed a peak at 430 nm with a shoulder in the UV range at about 350 nm. At longer wavelengths beyond 430 nm, sensitivity decreased strongly, indicating the absence of long wavelength receptors. In the DA, the blue sensitivity peak remained the same, but the sensitivity bandwidth extended into longer wavelengths, indicating the presence of long wavelength-absorbing visual photopigments in the dorsal half of the main retina. Finally, in the VA peak sensitivities occurred broadly at around 350, 450 and 510 nm, indicating the presence of UV, blue and long wavelength absorbing visual pigments.

The spectral sensitivity of the DRA did not differ between the two locust phases, but phase dependent differences occurred in the DA and VA. In the DA of solitarious animals the peak sensitivity was slightly shifted to longer wavelengths (470 nm) suggesting a higher contribution of long wavelength receptors. In the VA of solitarious locusts the peak sensitivity was even further shifted into the green range (510-530 nm) and decreased strongly in the UV, suggesting low contribution of UV receptors.

# Single cell recordings

In intracellular recordings we investigated the spectral sensitivity, response-stimulus intensity functions, and polarization sensitivity of photoreceptors in the DRA (Fig. 4) and compared these data with recordings from the main eye (nonDRA receptors). Dye injection into the recorded cell allowed us to identify the photoreceptor within the DRA ommatidium (Fig. 4G).

Spectral sensitivity of single photoreceptors

Spectral sensitivity tested in recordings from over 100 gregarious and 24 solitarious locusts, revealed three types of spectral receptors, peaking in the UV, blue or green region of the spectrum. Whereas only blue peaking cells were found in the DRAs of both phases, all three spectral types occurred in the main eye. In the main eye of gregarious locusts, peak sensitivities were found most frequently in the blue wavelength range around 410 - 450 nm and in the green spectrum at 530 nm. In solitarious locusts, likewise, peaks occurred in the long wavelength range around 530 nm but peaks in the blue spectrum were often shifted to longer wavelengths around 470 nm (Fig. 5).

Spectral recordings from the main retina were sorted into groups of 310-390 nm, 410-490 nm and 510-630 nm peak sensitivity. Averaged relative spectral sensitivities were calculated for those groups (Fig. 6). To estimate  $\lambda_{max}$ -values and relative contributions of green and blue opsins in nonDRA photoreceptors, we fitted visual pigment absorption spectra to the absorbance spectra using the Govardovskii et al. (2000) template (Fig. 6). The spectral sensitivities of the DRA blue receptors were best fit by absorption spectra of a visual pigment with  $\lambda_{max}$  = 442 nm (Fig. 6A, gregarious locusts), resp. 437 nm (Fig. 6A', solitarious locusts). Template fitting of the green peaking receptors yielded a  $\lambda_{max}$  of 516 nm (Fig. 6B, gregarious locusts), resp. 511 nm (Fig. 6B', solitarious locusts), however with relatively low R<sup>2</sup> values. Poor matching with the template was especially obvious in the short wavelength range. Based on the in situ hybridization data suggesting coexpression of blue and green opsins in the main eye we, therefore, fitted a mixture of blue and green templates based on the fitting results in Fig. 6A,B, resp. 6A',B' to the green peaking spectra (Fig. 6C,C'). Best fits with improved R<sup>2</sup> values were obtained by a relative contribution of 16:84 (rel. amplitude  $\lambda_{max}$ blue: λ<sub>max</sub> green) for gregarious and 23:77 (blue:green) for solitarious locusts. Blue peaking receptors in the main eye region (Fig. 6D,D') were best fitted by a ratio of 90:10 (blue:green) for gregarious, and 46:54 (blue:green) for solitarious locusts. The UV receptor was best fitted by an absorption spectrum with  $\lambda_{max}$ = 339 nm (Fig. 6F). Because the confidence intervals of λ<sub>max</sub> values for green and blue visual pigments showed considerable overlap between gregarious and solitarious locusts, suggesting that their opsins are identical, we pooled the data of DRA blue and nonDRA green-peaking receptors from both phases and obtained best fits with peak absorbances at 441 nm ( $R^2 = 0.96$ ) for the blue and 514 nm ( $R^2 = 0.83$ ) for the green opsin (Fig. 6F).

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215 V-log / functions

Mean *V*-log *I* curves were determined for DRA receptors and green- and blue peaking receptors of the main eye of both locust phases. In both phases, the *V*-log *I* curves of DRA receptors were shifted to higher light intensities (high *K*) and were steeper than the nonDRA curves (Fig. 7). To analyze possible differences in the relative sensitivities of DRA and

nonDRA receptors, we compared two parameters of the *V*-log *I* functions: light intensity at half-maximal receptor excitation and the exponential slope (*K* and *n* in the Naka-Rushton equation). Blue peaking recordings from the DRA and blue- and green peaking recordings from the main retina (nonDRA) were grouped and compared to each other. Significant differences were found for *K* and *n* between the DRAs and main retinae, whereas the two locust phases did not differ from each other (Fig. 8).

K values did not differ statistically between DRA and nonDRA blue peaking receptors in gregarious and solitarious locusts, but in both phases they were significantly lower in nonDRA green peaking receptors than in DRA blue receptors. Values of *n* were significantly higher in both locust phases in DRA receptors compared to nonDRA receptors. No differences were found between blue peaking and green peaking cells in the main retina.

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- Polarization sensitivity
- 233 Polarization sensitivity was tested in 26 recordings from gregarious and 43 recordings from
- 234 solitarious locusts. During stepwise rotation of the polarizer, the amplitude of receptor
- responses was modulated sinusoidally as illustrated in the circular graphs in Fig. 9B,C,E.
- The strength of this modulation was calculated as PS value. In gregarious locusts, PS values
- in the DRA ranged from 2.4 to 22.4 (n = 16), confirming the role of DRA photoreceptors as
- 238 detectors of polarized light (Fig. 9D). Dye injection showed that all 8 receptors in DRA
- 239 ommatidia had high PS values, despite differences in size and orientation of their
- 240 rhabdomeres (Fig. 10). In contrast, PS values of nonDRA blue- and green peaking receptors
- were well below 3 (nonDRA blue peaking receptors: PS = 1.9 2.3, n = 10; nonDRA green
- 242 peaking receptors: PS = 2 2.2, n = 2; Fig. 9A).

In DRA photoreceptors of solitarious locusts, a higher number of PS values below 3 was found in addition to high values above 20 (DRA: range 1.3 - 31.7, n = 9; Fig. 9D). The distribution of PS values in nonDRA photoreceptors was similar to that in gregarious locusts (nonDRA blue peaking receptors: PS = 1.3 - 3.8, n = 35; nonDRA green peaking receptors: PS = 1.4 - 2.3, n = 6; Fig. 9A). No test for polarization sensitivity was achieved for UV cells.

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### **Cell identity**

- 250 DRA photoreceptors of gregarious locusts were identified through Neurobiotin injection. Cell
- 251 numbering in DRA ommatidia of locusts is based on the position of crystalline cone threads
- 252 (Homberg and Paech, 2002). Although these structures cannot be observed under confocal
- 253 laser microscopy, another important landmark, photoreceptor R7, could be identified based
- on its position opposing the other photoreceptors in DRA ommatidia (Homberg and Paech,
- 255 2002). Data from right eyes were mirrored to fit to left eye conditions before cell numbering.

For further assurance that physiological responses originated from the actually stained cell, POL responses were compared with microvilli orientation, taking into account the position of the locust in the experimental setup. If *E*-vector orientation at maximum receptor response was identical with microvilli orientation of the labeled receptor cell, correct assignment of physiological and anatomical data was assumed. Further indicators for distinguishing receptors were position of the ommatidium in the DRA, size and orientation of cell body and rhabdomere and position of the stained cell within the ommatidium.

In the DRA of gregarious locusts, 6 of the 8 photoreceptor cells could be identified by single cell staining (R1 = 1, R2 = 6, R3 = 4, R6 = 2, R7 = 14, R8 = 2; Fig. 10). Staining of R4 (n = 1) and R5 (n = 1) included secondary fainter staining from other photoreceptor cells (for explanation see Fig. 10).

### **DISCUSSION**

We have characterized the functional organization of the DRA and the main retina in the desert locust through opsin gene expression, ERG and intracellular recordings. The data show that the DRA of the eye exclusively contains blue receptors with high polarization sensitivity. In the main retina of gregarious animals two types of ommatidia exist. Both types contain two proximal green receptors and 5 receptors that coexpress blue and green opsins. The eighth receptor is a distal photoreceptor and expresses either blue or UV opsin. The two types of ommatidia are randomly distributed throughout the main retina. ERG and intracellular recordings revealed spectral sensitivities supporting the opsin gene expression data. Solitarious locusts differed from gregarious animals by a lower contribution of UV sensitivity in the ventral eye and an increase in green sensitivity throughout the eye.

# Opsin expression and ommatidial organization

We identified a novel opsin of a UV-absorbing visual pigment (LoUV) in the retina of the desert locust, in addition to previously characterized (Towner et al., 1997) long wavelength (Lo1) and blue-absorbing types (Lo2). Desert locusts, therefore, have three distinct visual pigments like many other insect species (Briscoe and Chittka, 2001). We have predicted the possible absorbance spectra of these visual pigments based on the physiologically-determined spectral sensitivities of photoreceptors. It thus appears that LoUV is a visual pigment (P) with an absorption peak at 339 nm (P339), Lo1 is a P514 and Lo2 is a P441. The distribution of opsin gene expression is strikingly different in the DRA and main retina. All photoreceptors of DRA ommatidia exclusively express the blue-sensitive Lo2, underscoring homochromacy throughout this polarization-sensitive eye region.

In contrast to the DRA, opsin gene expression in the main eye is not identical in all ommatidia. Ommatidial structure in the main eye is similar to that of the migratory locust Locusta migratoria (Wilson et al., 1978) with 5 photoreceptors (R2, 3, 5, 6, 8) contributing microvilli throughout the length of the rhabdom, a slightly smaller distal photoreceptor R7, and two proximal photoreceptors R1 and R4. This organization is reflected by opsin gene expression. In the main eye, receptors R2, 3, 5, 6, and 8 coexpress Lo2 and Lo1 favoring a role in colour-blind motion detection, intensity coding, and vision at low light levels. In contrast, the distal photoreceptor R7 expressing Lo2 or LoUV together with the two proximal photoreceptors R1 and R4 expressing Lo1 may constitute a trichromatic colour vision system operating at high light intensities. Behavioural studies supporting this hypothesis, however, are still missing. The two types of ommatidia differing in spectral types of R7 were stochastically distributed throughout the main eye without showing a dorsal-ventral gradient. Randomly distributed heterogeneous ommatidia were also found in the main retina of honeybees (Wakakuwa et al., 2005), the Japanese yellow swallowtail and monarch butterflies (Arikawa, 2003; Sauman et al., 2005). However, in some other Lepidoptera (e.g., Awata et al., 2010; Sison-Mangus et al., 2006), Diptera (e.g., Hu et al., 2011) and the twospotted cricket (Henze et al., 2012), a dorsal-ventral gradient or regionalization was found with higher expression of long-wavelength opsins in ventral eye regions.

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### Spectral sensitivity

The distribution of three opsins in the locust retina is matched by spectral sensitivity profiles of photoreceptors as determined in ERG and intracellular recordings. In addition to narrowly tuned blue receptors in the DRA, we found in the main retina a UV peaking cell, broadly sensitive blue peaking photoreceptors with shoulders of variable amplitude in the green, and photoreceptors with peak sensitivities in the green with a shoulder in the blue range (Fig. 6). Visual pigment template fits suggest that both the blue and green peaking photoreceptors contain a mixture of Lo1 and Lo2 opsins albeit at different ratios. Narrowly tuned blue peaking receptors were not encountered in the main retina suggesting that recordings from the Lo2 (blue opsin) expressing photoreceptor R7 were not successful. Likewise, no narrowly tuned green receptors were found in the main retina, suggesting that recordings from the green-opsin expressing proximal photoreceptors were not successful. Broadband blue and green receptors have also been found in the compound eye of the migratory locust Locusta migratoria (Bennett et al., 1967; Vishnevskaya et al., 1986). Bennett et al. (1967) found considerable variation in the relative amplitude of green and blue peaks among different recorded cells and concluded that these locust receptors most likely contain more than one opsin at different concentrations. This conclusion has long been obscured by the "one cellone pigment" dogma that had developed in later years (Stavenga and Arikawa, 2008). The

present study provides the first molecular as well as physiological evidence to support the conclusion of Bennett et al. (1967) after almost half a century.

A trichromatic set of UV, blue and green receptors is the most common state in insects (Briscoe and Chittka, 2001), whereas receptors containing more than one opsin have been reported only recently (Kitamoto et al., 1998; Arikawa et al., 2003; Mazzoni et al., 2008; Awata et al., 2010; Hu et al., 2011; Ogawa et al., 2012). Whereas in most cases two opsins of similar absorption spectra are coexpressed, combinations of UV and blue opsins have been reported in the mosquito *Aedes* and the butterfly *Parnassius* (Awata et al., 2010; Hu et al., 2011). The coexpression of blue and green opsins in five out of eight photoreceptors per ommatidium (which results in broad spectral sensitivity curves) may be an adaptation to low light conditions. Blue light around 460 nm, matching the ERG spectral peak sensitivity in the dorsal eye, is dominant in sky light at dusk (Lythgoe, 1979).

In many insect species ventral eye regions are more sensitive to longer wavelengths (Awata et al., 2010). ERG recordings indicate that this is also the case for *S. gregaria*, especially in solitarious animals. In contrast, a dorsal-ventral gradient was not found in the pattern of opsin gene expression, but because *in situ* hybridization may not precisely reflect quantitative gene expression levels, it is conceivable that a dorsal-ventral gradient of Lo1/Lo2 ratio in R2, 3, 5, 6, and 8 does exist. High green sensitivity in ventral eye regions is likely linked to the detection of vegetation that reflects more green than UV (Schwind, 1983) or to the detection of the horizon (Stange, 1981). Behavioral experiments on ants, likewise, imply a functional regionalization of the eye in the DRA, DA and VA related to orientation tasks (Wehner, 1982; Fent, 1985).

Explanations for the high UV sensitivity in the VA of gregarious animals (Fig. 3) remain speculative. The amount of expressed UV opsin as well as increased UV receptor length and cross-section might account for the high UV sensitivity, but none of these possible factors have been examined.

#### V-log I curves

Higher K values imply that DRA receptors require more photons to be equally excited than nonDRA receptors which therefore makes them less sensitive. The reason for this condition might be the short rhabdom of DRA compared to nonDRA ommatidia (Wilson et al., 1978; Homberg and Paech, 2002). Two considerations, however, suggest that DRA receptors might actually be more sensitive. (1) Owing to larger acceptance angles, DRA receptors are likely to collect more photons than nonDRA receptors with small visual fields (Zufall et al., 1989; Labhart et al., 1984). Thus, DRA receptors may need more photons from a point light source to be excited than nonDRA receptors but under a wide field stimulus, like the sky, they would actually collect more photons. Anatomical data suggest that this is the case in the

locust (Homberg and Paech, 2002; Wilson et al., 1978). (2) DRA receptors have microvilli with parallel orientation, being more sensitive to *E*-vectors in parallel to the microvilli than to orthogonal *E*-vectors. *V*-log *I* curves were recorded while stimulating with unpolarized light. Because non parallel *E*-vector orientations are less effectively detected by DRA receptors they would appear to be less sensitive to unpolarized light than nonDRA receptors under otherwise similar conditions.

The exponential slope of the *V*-log *I* curve, *n*, determines the sensitivity of a photoreceptor to differences in light intensity. The steeper slope (larger *n*) in DRA vs. nonDRA receptors indicates a smaller total response range in DRA receptors than in nonDRA receptors. This may contribute to the narrow intensity-dependent response range found in polarization-sensitive interneurons in crickets (Labhart, 1988) and locusts (Kinoshita et al., 2007), which above a certain light level signal *E*-vector orientation independent of light intensity.

Differences in the slope of *V*-log *I* curves have also been found between light and dark adapted photoreceptors in *L. migratoria* and other insects (Matić and Laughlin, 1981). *L. migratoria* receptor response curves were steeper in the light adapted state with *n* up to 1 than in the dark adapted state with *n* up to 0.6, which corresponds to values in the desert locust (Fig. 7).

#### Polarization sensitivity

High PS values (> 4) were only found in the DRA supporting ultrastructural (Homberg and Paech, 2002) and behavioral evidence (Mappes and Homberg, 2004) for the role of the DRA in celestial *E*-vector detection. Apparently all DRA photoreceptors contribute to high polarization sensitivity including receptors R3 and R4 which, based upon irregularities in microvillar alignment, were assumed to have low polarization sensitivity (Homberg and Paech, 2002). PS values below 3 measured in some recordings might, instead, result from damaged receptor cells during the experimental procedure. The expression of Lo2 (blue) opsin and peak sensitivity in the blue (441 nm) are consistent with data from Eggers and Gewecke (1993) but the presence of UV receptors in the DRA as reported by the same authors could not be confirmed.

The spectral sensitivity of polarization-sensitive photoreceptors differs considerably among different insect species. POL sensitivity in the green spectrum has been linked to greenish light conditions under tree canopies (Hegedüs et al., 2006). UV and blue POL sensitivity is more likely an adaptation to conditions under the free sky. Several authors have discussed that POL vision in the blue instead of the UV might be advantageous for insects active under crepuscular conditions (Labhart et al., 1984; Zufall et al., 1989; Horváth and Varjú, 2004). The sky polarization pattern is most stable in the UV and also reliable under

cloudy conditions (Barta and Horváth, 2004). However, overall sky radiance is weaker in the UV than at longer wavelengths (Lythgoe, 1979; Johnsen et al., 2006), favoring blue receptors for animals that navigate at low light levels. POL vision of solitarious desert locusts follows this logic, and the phase change to the day active gregarious phase might not have altered the spectral sensitivity of the DRA.

# Phase dependent differences in spectral sensitivity

Various differences in the visual system of solitarious and gregarious locusts have been reported, including differences in eye size, number of ommatidia, and sensitivity to motion stimuli (Matheson et al., 2004; Ott and Rogers, 2010; Rogers et al., 2010; Gaten et al., 2012), while polarization-sensitive interneurons were not noticeably affected by locust phase (el Jundi and Homberg, 2012). Here we show differences in photoreceptor spectral sensitivities, particularly in the ventral eye as revealed by ERG recordings and in peak wavelength of blue peaking receptors found in intracellular recordings. Judged from the *in situ* hybridization data, the high UV sensitivity in the ventral eye of gregarious animals, measured by ERGs, cannot be explained by changing receptor occurrences but may result from differences in rhabdomere lengths and cross-sections in different eye regions which were not studied here. In addition, differences in the relative concentrations of Lo1/Lo2 opsins may underlie not only the sensitivity differences in the blue-green range between dorsal and ventral eye regions but also the differences in the sensitivities between the phases.

Provided that the same opsin genes are expressed in solitarious and gregarious animals, the visual pigment template fits indicate that especially in blue peaking receptors, the contributions of Lo1 and Lo2 opsins are strikingly different between the two phases, resulting in a considerable shift of absorption curves to longer wavelengths in solitarious animals (Fig. 6D,D'). An alternative explanation for these differences may be circadian rather than phase dependent changes, because gregarious locusts were tested during the day and solitarious locusts in their activity phase during the night. Diurnal and circadian changes in photoreceptor sensitivity and microvillar membrane turnover have been detected in various insect species, including locusts (e.g., Horridge et al., 1981; Fleissner, 1982). Light induced movement of visual pigment and circadian changes in visual pigment levels have been demonstrated in mosquito photoreceptors (Hu et al., 2012). Differing secondary green sensitivity in the locust might, therefore, not be phase dependent, but daytime dependent. If so, however, circadian effects would have to be restricted to blue and green peaking receptors of the main eye, because we did not detect corresponding differences in the DRA.

# **Animals**

Adult male and female locusts (*Schistocerca gregaria*) were obtained from breeding colonies at the University of Marburg. Gregarious animals were kept crowded at 12:12 h light:dark cycle, 28°C room temperature and 50% relative humidity. Solitarious animals were reared individually in small boxes at 12:12 h light:dark cycle, at 26.5°C and 60% relative humidity following the conditions established by Roessingh et al. (1993). In particular, no visual, olfactory and mechanical contact occurred between individuals. Animals used for experiments had been reared in solitarious condition for at least three generations. Typical morphological characteristics such as body coloration and size (Simpson et al., 1999; el Jundi and Homberg, 2012) served as indicators for the solitarious phase of the animals. Only locusts at least one week after imaginal moult were used for experiments.

# Molecular cloning

Poly-A RNA was extracted from the eyes of gregarious animals using QuickPrep micro mRNA purification kit (GE Healthcare, Uppsala, Sweden). To amplify fragments of cDNAs encoding opsins of the UV class, we carried out RT-PCR using degenerate primers designed based on consensus sequences of short wavelength (UV and blue) absorbing opsins of insects identified so far. The full-length cDNAs were obtained by 5'- and 3'-RACE method. Both PCR and RACE products were purified, cloned using TOPO TA cloning kit (Invitrogen, Carlsbad, CA) and sequenced using ABI3130xl and BigDye terminator v1.1 (Applied Biosystems, Warrington, UK). The obtained sequences were aligned with others and processed for phylogenetic analysis by the neighbour-joining (NJ) protocols in MEGA 5.2.2 software with a bootstrap of 1,000 replicates and Poisson model for amino acid substitution.

# In situ hybridization

We performed double-targeted *in situ* hybridization on paraffin sections as described previously (Awata et al., 2010). Digoxigenin and biotin labeled RNA probes were synthesized from linearized plasmids carrying the partial sequences of coding regions (Lo1: 1003 bp, Lo2: 948 bp, LoUV: 929 bp) of the mRNAs encoding the Lo1, Lo2, LoUV opsins by *in vitro* transcription.

The compound eyes of gregarious *S. gregaria* were fixed in 4% paraformaldehyde in 0.1 M sodium phosphate buffer (pH 7.2) and embedded in paraffin. The paraffin-embedded eyes were sectioned at 6 µm thickness with a rotary microtome. The sections were first deparaffinized and treated with hybridization solution at 45°C containing 0.5 µg/ml of the mixture of two cRNA probes, one labeled with digoxigenin and another labeled with biotin, which were hybridized to different opsin mRNA. After the hybridization process, the

digoxigenin-labeled probes were first detected using anti-digoxigenin antibody conjugated to alkaline phosphatase and then visualized using 4-nitroblue-tetrazolium chloride and 5-bromo-4-chloro-3-indolyl phosphate. The sections were briefly washed and treated with 100 mM glycine solution (pH 2.2) to remove the unbound anti-digoxigenin antibody. The hybridized biotin-labeled probes were detected using streptavidin-alkaline phosphatase conjugate and visualized using Fast Red (Roche, Mannheim, Germany). The numbering of photoreceptor cells in ommatidia of the ordinary eye region corresponds to that used for *Locusta migratoria* (Wilson et al., 1978).

#### **Electrophysiology and visual stimulation**

Animals were immobilized by cutting off their legs and wings. They were mounted with wax to a metal holder and placed in the center of an electrophysiological recording setup. Experiments on gregarious animals were performed during the day and experiments on solitarious animals, during the night. Photoreceptors were studied through electroretinogram (ERG) and intracellular recordings. For differential ERG recordings, a silver wire electrode (diameter 75 µm, Teflon coated; Science Products, Hofheim, Germany) was inserted into each eye. A third silver wire in the head capsule served as reference electrode. Responses were amplified 100x by an AC pre-amplifier (P55, Grass-Telefactor, West Warwick, USA). Light stimuli were presented to one eye through the end of a light guide. To detect regional differences in spectral sensitivity, parts of the eye were covered with black paint (Decormatt Acryl, Marabu, Bietigheim-Bissingen, Germany) leaving out either the dorsal rim area (DRA), the dorsal area (DA), or the ventral area (VA) of the main retina.

For intracellular recordings, glass microelectrodes were drawn from borosilicate capillaries (inner diameter, 0.75 mm; outer diameter, 1.5 mm; Hilgenberg, Malsfeld, Germany) using a Flaming/Brown horizontal puller (P-97, Sutter, Novata, Canada). Electrode tips were filled with 4% Neurobiotin (Vector Laboratories, Burlingame, UK) in 1 M KCl and backed up with 3 M KCl. Electrodes had resistances of 30-100 M $\Omega$  and were inserted into the region of interest through a small hole cut into the cornea. A silver wire inserted into the locust's head served as the indifferent electrode. Signals were amplified 10x (BA-01X, NPI, Tamm, Germany), digitized at a sampling rate of 2 kHz (Digidata 1322A, Axon Instruments, Union City, Canada), and stored on a PC using Spike2 (Cambridge Electronic Design, Cambridge, UK) or pClamp10 software (Molecular Devices, Sunnyvale, USA).

Monochromatic light stimuli were provided by a 75W xenon arc lamp (L.O.T.-Oriel, Darmstadt, Germany). Light passed a monochromator (Omni-λ150, LOT-Oriel, Darmstadt, Germany; bandwidth 10 nm), a neutral density wedge, adjusted to each wavelength to guarantee equal photon flux, and a set of filter wheels (Lambda 10-3, Sutter, Novato, Canada) containing neutral density filters (quartz glass; 2 x 50%, 10%, 1%, 0.1% and 0.01%)

transmission; L.O.T.-Oriel). Light was finally directed via a light guide (quartz glass; Schott, Mainz, Germany) positioned close to the locust's eye (angular extent at the eye 3°). A linear polarization filter (HNP'B, Polaroid, Cambridge, UK) could be moved in front of the light guide. Light guide and polarizer were fixed to a perimeter arm and could thus be moved around the locust with its head in the center. Monochromator, wedge, filter wheels, and polarizer were controlled via custom-programmed PC software.

Continuous flashes of white light were presented to the animal (duration 200 ms, pause 1 s), while advancing the electrode through the tissue. Penetration of a photoreceptor cell was indicated by a characteristic drop in baseline voltage followed by graded depolarizations in response to the light flashes. After penetrating a cell the light guide was moved to the centre of the receptor's visual field, indicated by maximum response amplitude. To test for spectral response a series of 17 monochromatic light flashes of equal quantal flux were given, starting from 310 nm to 630 nm in 20 nm steps. In some cases this procedure was repeated in the opposite direction from 630 nm to 310 nm. Light intensity was adjusted individually for each spectral test series to elicit response amplitudes within the estimated dynamic range of the intensity response curve. Light flash duration was 500 ms. Pauses between the flashes were 7 s or 10 s in intracellular recordings and 10 s or 30 s in extracellular ERG recordings.

For testing polarization response the polarizer was moved in front of the light guide and was rotated in 10° steps through 180° or 360°. While the polarizer was stationary a light flash of the most sensitive wavelength was presented (duration 500 ms, pause 10 s or 15 s). As in the spectral test, light intensity was chosen to elicit response amplitudes within the dynamic range of the intensity response curve. Before starting the stimulus series, two flashes of polarized light (0°) were given to eliminate adaptation effects. All experiments were performed in a darkened room.

Intensity response curves (*V*-log *I*) were obtained by presenting flashes of the most effective stimulus wavelength for the penetrated cell in 14 steps of increasing intensities over 4.6 log units. Maximum light intensity in the UV (310-350 nm) was 2.8 x 10<sup>13</sup> photons cm<sup>-2</sup> s<sup>-1</sup>, in the blue range (410-450 nm) 3.9 x 10<sup>13</sup>, and in the green range (510-530 nm) 2.8 x 10<sup>13</sup> photons cm<sup>-2</sup> s<sup>-1</sup>. By using the *V*-log *I* curve, we converted the spectral and polarization responses into spectral and polarization sensitivities. For the procedure, see Arikawa et al. (2003).

In later experiments, the spectral test was shortened to reduce recording time. Instead of 17 light flashes with different wavelengths, only 3 light flashes were presented to the locust which fit the mean maximum sensitivities of the receptor types found so far (350, 430 and 530 nm). The wavelength at which the highest response amplitude occurred was considered to represent the receptor's maximum sensitivity. Further intensity response curves and tests for polarization sensitivity were performed with this wavelength. For histological evaluation

Neurobiotin was, finally, injected iontophoretically into the receptor cell with constant depolarized current (0.5-2 nA for 1-3 min).

### Data analysis

Only recordings with a maximum response amplitude of at least 25 mV where used for data evaluation (except for a single UV cell in Fig. 6). A 20 mV limit was set for single spectral recordings with the aim to gain information on peak sensitivity. Other criteria were a stable baseline during recordings and the absence of ERG artifacts (see Fig. 4A-C). Recording files were transferred to Spike2 software for measuring voltage amplitudes. To calculate relative sensitivities of the responses, Naka-Rushton functions

$$V/V_{max} = I^n/(I^n + K^n)$$

with I the stimulus intensity, V the response amplitude at a certain stimulus,  $V_{max}$  the maximum response amplitude, K the stimulus intensity causing 50% of  $V_{max}$ , and n the exponential slope were fitted to data from the V-log I curves using Origin6 software (Microcal Software, Northhampton, USA).

Polarization-sensitivity (PS) of photoreceptors is defined as

$$PS = S_{max} / S_{min}$$

where  $S_{max}$  and  $S_{min}$  are the relative sensitivities to *E*-vectors exciting the receptor maximally and minimally, respectively (Labhart, 1980).

Data were tested for normal distribution using the Shapiro-Wilk test and for homogeneity of variance with the Levene test using SPSS software (version 19). Multiple comparisons of normally distributed data were done with one-way ANOVA (combined with Scheffé post hoc analysis). Non parametric data were tested with Kruskall-Wallis tests.

Visual pigment absorption templates (Govardovskii et al., 2000) were fitted to the absorbance spectra from the intracellularly recorded photoreceptors using MATLAB's curve fitting toolbox (MathWorks, Natick, MA). In all fits, the amplitude of the  $\beta$ -band of visual pigment was kept at a fixed relative value of 0.26, and linear relationships between  $\lambda_{max}$  and both the bandwidth and amplitude of the  $\beta$ -band were assumed (Govardovskii et al., 2000).

#### Histology

Retinae and optic lobes with Neurobiotin injected cells were dissected and fixed over night in Neurobiotin fixative (4% paraformaldehyde, 0.25% glutaraldehyde, 2% saturated picric acid, in 0.1 M phosphate buffer) at 4°C. Following rinses in 0.1 M phosphate buffered saline (PBS,

pH 7.4) 4 x 15 min the lobes were preincubated with 5% normal goat serum (NGS) in PBS for 3 hours at room temperature, and subsequently for 2-3 days at 4°C in streptavidin-Cy3 conjugate (1:1000) and a primary antibody against *Drosophila* synapsin (1:30, see Klagges et al., 1996) in 0.1 M PBS/0.3% Triton X-100/1% NGS. After rinsing in 0.1 M PBS + 0.3% Triton X-100 4 x 15 min, the preparations were incubated in secondary antibody (0.8% goat anti mouse-Cy5, 0.1% streptavidin-Cy3 and 1% NGS in 0.1 M PBS + 0.3% Triton X-100) at 4°C for two days. Retinae/optic lobes were subsequently dehydrated through an ascending ethanol series, transferred to propylene oxide and embedded in soft Spurr's resin (Spurr, 1969). Cross-sections of the ommatidium with the stained photoreceptor cell were cut at 10 µm with a rotary microtome (Leitz, Wetzlar, Germany).

Sections were embedded in Permount (Fisher Scientific, Pittsburgh, USA) and were scanned with a confocal laser scanning microscope (TCS SP5, Leica Microsystems, Wetzlar, Germany), using a 10x objective (HC PL APO 10x/0.4 lmm Corr CS; Leica, Bensheim, Germany) for overviews and a 63x objective (HCX PL APO 63x/1.32 OIL PH 3CS; Leica) for details (scanning intervals 1-2 µm). Fluorescence of stained cells was detected with a He/Ne laser (excitation wavelength 543 nm for Cy3) or an Ar/Kr laser (excitation wavelength 647 nm for Cy5). Images were processed in CLSM imaging software (LAS AF v. 2.2.1 build 4842, Leica, Mannheim, Germany) and graphic software (CorelDRAW X3, Corel). From the time of incubation with the fluorophores, the preparations were kept in the dark as much as possible.

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#### **AUTHOR CONTRIBUTION**

F.S. performed and analyzed the electrophysiological experiments and provided the first draft of the paper. T.B. performed the template fits. F.S. and J.T. performed the histological and confocal analysis for receptor identification. M.W. identified the opsin gene sequences and performed the *in situ* hybridization experiments. U.H., M.K., and K.A. designed the experiments, provided input to the interpretation of the data and contributed to the final version of the manuscript.

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851	Figure legends
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853	Fig. 1. Phylogenetic relationship of insect opsins of the UV, blue (B) and long
854	wavelength (LW) type inferred by the neighbour joining method. Squid rhodopsin was
855	used as the outgroup. Numbers at the branches indicate bootstrap values based on 1,000
856	replicate analyses. Values larger than 70% are shown. Accession numbers are as follows:
857	Drosophila melanogaster Rh1, K02315; Drosophila melanogaster Rh2, M12896; Drosophila
858	melanogaster Rh3, M17718; Drosophila melanogaster Rh4, P08255; Drosophila
859	melanogaster Rh5, U67905; Drosophila melanogaster Rh6, Z86118; Schistocerca gregaria
860	LoUV, AB902953; Schistocerca gregaria Lo1, X80071; Schistocerca gregaria Lo2, X80072;
861	Dianemobius nigrofasciatus UVOP, AB458852; Dianemobius nigrofasciatus BLOP,
862	AB291232; Dianemobius nigrofasciatus LW, FJ232921; Gryllus bimaculatus OpsinSW,
863	HM363623; Gryllus bimaculatus OpsinMW, HM363622; Gryllus bimaculatus OpsinLWa,
864	HM363620; Gryllus bimaculatus OpsinLWb, HM363621; Apis mellifera AmUVop, AF004169;
865	Apis mellifera AmBLop, AF004168; Apis mellifera AmLop1, BK005514; Apis mellifera
866	AmLop2, BK005515; Papilio xuthus PxUV, AB028218; Papilio xuthus PxB, AB028217;
867	Papilio xuthus PxL1, AB007423; Papilio xuthus PxL2, AB007424; Papilio xuthus PxL3,
868	AB007425.
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870	Fig. 2. Localization of opsin mRNAs by double label in situ hybridization. Transverse
871	sections (A-E) and longitudinal sections (F-I) were labeled by probes specific to the LoUV
872	(black color) and the Lo2 (red color) in A, D and F, the LoUV (black color) and the Lo1 (red
873	color) in B, E and G, and Lo2 (black color) and Lo1 (red color) in C, H and I. H and I show
874	the same section photographed under regular transmission light (H) and green epi-
875	illumination (I). The distal transverse sections reveal two types of ommatidia indicated by
876	solid circles (type I) and broken circles (type II) in A-C. Numbers in A and D indicate position
877	of each photoreceptor. The distal photoreceptor R7 expresses either LoUV (black

arrowheads in A-C) or Lo2 (white arrowheads in A-C). The proximal photoreceptors, R1 and R4 (arrowheads in D,E) express only Lo1. The region surrounded by broken line in transverse sections (F-I) is the DRA. Inset in F is enlarged image of distal tier (broken rectangle in F). The top and bottom of images in (F-I) are dorsal and ventral, respectively. (J) Diagram of *in situ* hybridization labeling patterns of ommatidia in the DRA and distal and proximal tiers of ommatidia in the main retina. In the DRA all photoreceptors express Lo2. In the main retina, the proximal photoreceptors R1 and R4 express Lo1, the distal photoreceptors R2, R3, R5, R6 and R8 coexpress Lo1 and Lo2, whereas R7 expresses either LoUV (type I ommatidia) or Lo2 (type II ommatidia). Bars: 10 μm (A–E, shown in A), 200 μm (F–I, shown in F).

Fig. 3. ERG-determined spectral sensitivity curves from distinct eye regions in gregarious and solitarious locusts. Averaged data  $\pm$  s.e.m. from ERG recordings in the DRA (n = 5 animals / 23 resp. 21 recordings), dorsal (DA; n = 5 animals / 20 resp. 13 recordings) and ventral (VA; n = 4 resp. 5 animals / 12 resp. 20 recordings) half of the main eye. In both phases the DRA is mainly sensitive in the blue spectrum, whereas green sensitivity increases towards ventral eye regions. In the solitarious phase this green shift is more dominant than in the gregarious phase. In addition, the VA of gregarious animals shows high sensitivity in the UV.

Fig. 4. Complete set of electrophysiological data from an R7 photoreceptor in the DRA. (A,D) Spectral sensitivity; (B,E) intensity response relationship; (C,F) polarization sensitivity. (A-C) show original recording traces and (D-F) the corresponding graphs. (A) Spectral testing was always the first step to determine receptor spectral type. (B) To calculate relative sensitivities the receptor response curve was measured with the wavelength causing the strongest receptor response (here 430 nm). (C) The same wavelength was used for POL testing. (G) Following tracer injection, the recorded photoreceptor was identified by fluorescence microscopy of ommatidial cross-sections. The white dotted line indicates the perimeter of the rhabdom (R). Scale bar: 5 μm.

**Fig. 5. Spectral sensitivity peaks in the main retina of gregarious and solitarious locusts.** Data originate from single cell recordings. Peak sensitivities occur around 430 nm and 530 nm in gregarious locusts. In solitarious animals peaks in the green are, likewise around 530 nm, but in the blue are shifted towards longer wavelengths around 470 nm, indicating a difference in spectral sensitivity between the gregarious and solitarious phase.

Fig. 6. Spectral sensitivity curves from different receptor types, based on intracellular recordings from gregarious and solitarious locusts. Data are sorted into DRA receptors (A, A'), nonDRA green (B, B', C, C'), and nonDRA blue (D, D') peaking receptors of gregarious (A-D) and solitarious (A'-D') locusts. Open circles mark relative absorbances, averaged across n receptors and normalized to the value at the dominant peak wavelength (error bars: s.d.). Solid black lines show fits of visual pigment-absorbance templates (see text). The goodness of fits is indicated by  $R^2$  values. Solid (dashed) lines in green and blue illustrate the fits' sub-templates for the  $\alpha$ -  $(\beta$ -) bands of 'blue' and 'green' sensitive components, scaled to their relative contribution to the fit. NonDRA green receptors are better described by inclusion of a blue component (C,C') than by a green component alone (B,B'). (E) The relative sensitivity of a UV receptor could be measured only for one cell in a gregarious locust. (F) DRA blue and nonDRA green receptors do not differ between the two phases, as reflected by the 95% confidence intervals of their fitted dominant peak wavelengths. When pooling the data from gregarious and solitarious animals, peak absorbances of 441 nm (blue) and 514 nm (green) were obtained.

**Fig. 7. Mean relative** *V***-log** *I* **curves (± s.d.) from different receptor types of both locust phases.** Data from DRA receptors (blue squares), nonDRA blue receptors (light blue circles), and nonDRA green receptors (green triangles) are compared. In both phases, the *V*-log *I* curves from DRA receptors are shifted to higher light intensities (high K) and are steeper than the nonDRA curves.

**Fig. 8. Box plot diagrams comparing** *K* and *n* values from different receptor types and **eye regions in both locust phases.** Significant differences were found for *K* values between DRA blue and nonDRA green receptors and for *n* values between DRA and nonDRA receptors (Kruskall-Wallis tests for *K* and one-way ANOVA with following Scheffé post hoc analysis for *n*).

**Fig. 9. Polarization sensitivities of photoreceptors in the DRA and main eye of gregarious and solitarious locusts.** (A,D) Histograms of PS values. Data from gregarious locusts are shown in black, data from solitarious locusts in light gray. (B,C) Circular plots of POL responses of a nonDRA blue receptor (B) and a nonDRA green receptor (C). (E) Circular plot of POL responses of a blue receptor in the DRA.

Fig. 10. Confocal laser scanning images of stained single DRA receptor cells of gregarious locusts. Stained cells (magenta) from the right eye (R3, R4, R7) are shown as mirror images to correspond to data from the left eye. Numbers in the upper right of the

images indicate range of recorded PS values in this cell type. Only R4 could not be labeled
individually. The $\Phi_{\text{max}}$ orientation of this recording showed best fit to the microvilli orientation
of the R4 cell, hence this cell is considered to be recorded from. Additional staining in the
image of R5 belongs to an R7 from a neighbouring ommatidium. Scale bar: 5 μm.



















