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| 1 | Scaling patterns inform ontogenetic transitions away from cleaning in <i>Thalassoma</i> wrasses |
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| 4 | Vikram B. Baliga ^{1*} , Rita S. Mehta ¹ |
| 5 6 | ¹ Department of Ecology and Evolutionary Biology, Long Marine Laboratory, University of California Santa Cruz, Santa Cruz, CA 95060, USA |
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| 16 | *Correspondence: |
| 17 | Vikram B. Baliga |
| 18 | Department of Ecology and Evolutionary Biology |
| 19 | University of California Santa Cruz |
| 20 | Santa Cruz, CA 95060 |
| 21 | Email: vbaliga@ucsc.edu |
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22 **Summary:** In fishes, cleaning is a mutualistic behavior wherein a species will remove and 23 consume ectoparasites from other organisms. More than two thirds of cleaner fishes display this 24 behavior predominately as juveniles and discontinue cleaning as adults; such species are here referred to as "facultative cleaners". Whether allometric changes in morphological traits coincide 25 with ontogenetic shifts away from cleaning is unknown. We tested the hypothesis that transitions 26 away from cleaning are associated with scaling patterns in the feeding apparatus of facultative 27 cleaners, and then compared such patterns to those exhibited by non-cleaner congeners. We 28 measured functional traits related to feeding, such as vertical gape distance, premaxillary 29 30 protrusion distance, and maxillary kinematic transmission coefficient (KT) in each ontogenetic series of 11 Thalassoma wrasses (Labridae). As these fishes predominately capture prey via 31 biting, we modeled bite force using MandibLever (v3.3) to create an ontogenetic trajectory of 32 bite force for each species. Our results indicate that cleaner fishes in *Thalassoma*, as juveniles, 33 possess jaws with low mobility that exhibit weaker bite forces. Additionally, there was 34 35 remarkable consistency in the range of body lengths over which we observed significant differences between facultative cleaners and non-cleaners in bite force, vertical gape distance, 36 37 and premaxillary protrusion distance. Through ontogeny, facultative cleaner fishes exhibit positive allometry for a number of functionally important feeding traits, which possibly obviates 38 the need to continue cleaning. 39

Key Words: cleaning, scaling, functional morphology, feeding, bite force, maxillary KT,
wrasses

42 Introduction

The consequences of size on the structure and function of organismal systems are pervasive 43 (McMahon, 1984; Schmidt-Nielson, 1984). Therefore, it is not surprising that a central focus of 44 45 functional morphology studies is to understand how the scaling of the musculoskeletal system influences the scaling of functional traits across ontogeny. Previous studies have argued that 46 47 ontogenetic shifts in ecology often drive adaptive changes in the scaling of musculoskeletal systems resulting in differential performance (McMahon, 1984; Richard and Wainwright, 1995; 48 49 Deban and O'Reilly, 2005; Herrell and Gibb, 2006; Pfaller et al., 2011). These studies, in turn, support the idea that allometric changes in morphology often co-occur with changes in feeding 50 strategies, locomotor behavior, or habitat use. Fewer studies, however, have compared the 51 52 ontogenetic trajectories of functional traits across closely-related species to better understand the 53 extent to which such patterns of scaling may be specifically adaptive during a particular life history stage (but see Mitteroecker et al., 2004; Herrel and O'Reilly, 2006; Frédérich and Sheets, 54 55 2009; Wilson and Sánchez-Villagra, 2010).

57 Cleaning behavior provides us with the opportunity to examine both the patterns of scaling of the 58 musculoskeletal system and shifts in feeding ecology within a clade of tropical reef fishes. In 59 fishes, cleaning is a mutualistic behavior wherein an individual consumes ectoparasites 60 (generally juvenile gnathiid or cymothoid isopods) off other organisms. Over 120 species of teleost fishes, from diverse lineages including wrasses, cichlids, surfperches, and gobies, exhibit 61 62 this behavior (Coté, 2000; Froese and Pauly, 2014). The evolution of cleaning in fishes is 63 especially fascinating as it represents one of the few examples of mutualistic behavior between 64 vertebrate species (Bronstein, 1994; Poulin and Grutter, 1996). This behavior relies not only on the ability of client species to recognize cleaners but also requires that cleaners possess 65 morphological, functional, and behavioral traits that are necessary to find and remove 66 67 ectoparasites.

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Over two thirds of fishes that clean do so predominately as juveniles (Froese and Pauly, 2014), exhibiting ontogenetic transitions away from cleaning behavior. While these species are referred to as "facultative (juvenile) cleaners" in the literature (Coté, 2000), for simplicity we here refer to these species as "facultative" cleaners.

74 In some cases, cleaners may also consume the mucus coating of their clients. This behavior has 75 been observed in several species including Labroides cleaner wrasses, as well as Elacatinus cleaner gobies (Gorlick, 1980; Grutter, 1997; Bshary and Grutter, 2002; Grutter and Bshary, 76 77 2004; Soares et al., 2009; Soares et al., 2010). Notably, in both of these clades, cleaners are described as "obligate", indicating that they obtain, on average, 85% of dietary items through 78 79 cleaning (Coté, 2000). Here, mucivory adds an important dimension to the dynamics exhibited between cleaners and their clients. Clients appear to prefer that cleaners remove ectoparasites, 80 yet in many cases, cleaners prefer consuming mucus (Grutter and Bshary, 2003). Mucivory is 81 typically characterized as "cheating" from the client's point of view, often resulting in the abrupt 82 termination of a cleaning bout (Johnstone and Bshary, 2002; Bshary and Grutter, 2005; Bshary 83 and Grutter, 2006). While adaptations suited for mucivory may play a substantial role in shaping 84 the morphology of these obligate cleaners, this feeding behavior has not been observed in any 85 facultative cleaners. Thus, an analysis of the morphology of facultative cleaners may yield 86 crucial insights about traits that are conducive to ectoparasite removal, without the complication 87 88 of accounting for morphological innovations related to mucivory.

The prevalence of cleaning behavior is greatest in the mostly coral-reef-associated clade 90 91 Labridae (wrasses & parrotfishes) (Coté, 2000; Froese and Pauly, 2014). The majority of cleaner 92 wrasses, including several members of the genus *Thalassoma*, are facultative cleaners, shifting towards dietary patterns that are very similar to those of non-cleaner congeners as adults 93 94 (Bellwood et al., 2006; Froese and Pauly, 2014). The approximate sizes at which these facultative cleaners stop cleaning are reported in the literature, and observations of cleaning in 95 96 these species are almost exclusively restricted to individuals that display juvenile coloration patterns (Darcy et al, 1974; Losey et al., 1994; Wicksten, 1998). Rarely do facultative cleaners 97 continue to exhibit this behavior into adulthood. A few species, such as Thalassoma duperrey, 98 clean as adults, although cleaning in the adult form of this species appears to involve the removal 99 100 of parasitic barnacles from turtles (Losey et al., 1994). Therefore, individuals of Thalassoma cleaner species that have shifted to adult colorations (regardless of sex) are far less likely to 101 engage in ectoparasitic isopod removal. It is unknown why facultative cleaner species exhibit 102

such shifts, or whether these species exhibit strong ontogenetic changes in morphology thataccompany the change in feeding ecology.

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Most members of the *Thalassoma* clade are predators of a wide variety of benthic invertebrates, 106 107 including gastropods, bivalves, urchins, and crustaceans (Table S1, see supplementary materials). As many of these prey items are usually attached to a substrate, Thalassoma wrasses 108 109 are generally expected to employ a biting mechanism to acquire prey. Biting may be especially 110 important to prey capture in facultative cleaners in this clade, as many of these species have been described to "pick" ectoparasites off the bodies of their clients (Hobson, 1969; Hobson, 1971; 111 Wicksten, 1998). Facultative cleaners within the *Thalassoma* clade do not constitute a 112 monophyletic group, suggesting that cleaning behavior appears to have a dynamic evolutionary 113 history. While we acknowledge that all taxa in our study exhibit changes in diet as they attain 114 larger body sizes, what unites the facultative cleaner species is their engagement in cleaning 115 behavior as juveniles. The evolution of facultative cleaning in this clade provided us with the 116 opportunity to explore the extent to which scaling patterns in functional traits are linked to 117 118 ontogenetic shifts away from cleaning behavior.

In this study, we focused on characterizing the ontogeny of functional traits in several members of the monophyletic group comprised of two genera, *Thalassoma* and *Gomphosus*. We aimed to test the hypothesis that transitions away from cleaning are associated with informative scaling patterns in the feeding apparatus. Our aims were to: 1) identify whether facultative cleaners exhibit different scaling patterns in traits related to feeding when compared to non-cleaners, and 2) to determine whether scaling patterns in feeding traits correspond with shifts away from cleaning.

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130 Allometry of traits

131 Disparate patterns of scaling emerged when we examined the ontogeny of vertical gape distance,

132 maxillary KT, jaw protrusion distance, and bite force for *Thalassoma* wrasses (Fig. 1; see also

Fig. S1 in supplementary materials for species-specific patterns). The majority of *Thalassoma* wrasses exhibited some form of allometry in these functional traits. *Gomphosus varius* showed the most consistent pattern of allometry across all four traits, exhibiting negative allometry in each case. Generally, for each trait, no more than three species exhibited isometry.

All wrasses exhibited strong relationships between log_{10} vertical gape distance and log_{10} body length ($R^2 = 0.94$ -0.99, all *p*-values < 0.0001; Table S2 in supplementary material). The SMA regression slopes ranged from 0.51 to 1.58. While non-cleaner taxa exhibited either isometry or negative allometry for vertical gape distance, facultative cleaners almost universally exhibited positive allometry (Fig. 1A). *Thalassoma duperrey* was the only facultative cleaner to exhibit isometry for this trait.

The relationships between \log_{10} premaxillary protrusion distance and \log_{10} body length (Fig. 1B) were strong and varied substantially ($R^2 = 0.60-0.98$, all p-values < 0.0001; Table S3 in supplementary material). The SMA regression slopes ranged from 0.62 to 2.46. Compared to an isometric slope of 1.0, seven species exhibited positive allometry; only three species (two of which were non-cleaners) exhibited isometric trends.

The relationship between log_{10} bite force and log_{10} body length (Fig. 1C) was strong across all species ($\mathbb{R}^2 = 0.92$ -0.99, all *p*-values < 0.0001; Table S4 in supplementary material). The SMA regression slopes ranged from 1.16 to 2.96. All but one of the facultative cleaner species (*T*. *bifasciatum*) showed positive allometry for this trait, while only one non-cleaner (*T. rueppellii*) shared this trend.

Wrasses in this study universally showed strong negative allometry for maxillary KT (Fig. 1D). The SMA regressions yielded slopes that ranged from -0.38 to -1.08 ($R^2 = 0.90$ -0.98, all *p*-values < 0.0001; see Table S5 in supplementary material). All *t*-tests designed to test the hypothesis that slope was different from 0 (as predicted by isometry) indicated strong, significant deviations from isometry (all *p*-values < 0.0001).

158 Allometric differences between facultative cleaners and non-cleaners

159 Through a series of Šidák-corrected two-sample *t*-tests, we found significant differences in mean

slopes between facultative cleaners and non-cleaners in only two traits: log_{10} vertical gape

distance and log_{10} bite force (Table 1). Here, we observed that facultative cleaners exhibited

steeper slopes for both log_{10} vertical gape distance ($t_9 = 4.11$, p = 0.021; Table 1) and log_{10} bite force ($t_9 = 2.66$, p = 0.046; Table 1).

164 *Results of the Wilcox procedure*

We employed the Wilcox procedure to each trait analysis to compare the regression line of each 165 166 non-cleaner with those of facultative cleaners, allowing us to identify the regions where the data in each pairwise comparison begin to overlap. For \log_{10} vertical gape distance, the regression line 167 168 of each facultative cleaner intersected those of 1 to 4 non-cleaner species (Table 2). In 18 cases 169 (out of 30 total comparisons), the Wilcox procedure identified regions of overlap beginning at 170 \log_{10} body lengths of 1.81 +/- 0.0081 (mean +/- s.d.), indicating that overlap of data did not occur until species attained a body length of 63.98 +/- 12.03 mm. Thus, these results indicate that 171 172 juvenile facultative cleaners smaller than 63.98 +/- 12.03 mm exhibited significantly lower vertical gape distances compared to non-cleaners (Fig. 1A). 173

174 Our analysis of \log_{10} premaxillary protrusion distance indicated that the regression line of each facultative cleaner intersected those of 1 to 3 non-cleaner species (Table 2). In 9 cases, the 175 Wilcox procedure identified regions of overlap beginning at log_{10} body lengths of 1.79 +/- 0.101 176 (mean +/- s.d.), revealing that overlap in regression lines did not occur until pairs of species 177 178 attained body lengths of 62.12 +/- 14.52 mm (Fig. 1B). The nature of regression line overlap for 179 this trait presents a heterogeneous pattern; here, two non-cleaner species, T. amblycephalum and T. quinquevittatum, exhibited smaller premaxillary protrusion distances as juveniles than did 180 181 some of the facultative cleaner species (Fig. 1B).

For log₁₀ bite force, the regression line of each facultative cleaner intersected those of 1 to 4 noncleaner species (Table 2). In 14 total cases, the Wilcox procedure revealed regions of overlap
beginning at log₁₀ body lengths of 1.78 +/- 0.0092 (mean +/- s.d.). This indicated that overlap in
bite force did not occur until both species reached a body length of 59.50 +/- 12.71 mm (Fig.
1C).

187 The Wilcox procedure identified 3 cases of significant overlap in regression lines for maxillary

188 KT (Table 2). The regression line of each facultative cleaner intersected those of 1 to 5 non-

189 cleaner species. The regions of overlap began at log_{10} body lengths of 2.05 +/- 0.13 (s.d.),

indicating that overlap did not occur until species reached body lengths of 112.05 +/- 32.65 mm
(Fig. 1D).

192 For all of the above traits, with the exception of maxillary KT, the log_{10} body lengths at which data overlap began (identified by the Wilcox procedure) were similar. To understand whether 193 194 facultative cleaners and non-cleaners converged at critical body sizes that correspond to juvenile or adult color phases, we conducted a *post-hoc* analysis. We used a two-sample *t*-test of means to 195 196 compare the body lengths of the largest specimens with juvenile color patterns in facultative cleaners with those in non-cleaners. We found no significant difference in these body lengths 197 198 between facultative cleaner and non-cleaner species ($t_9 = -0.78$, p = 0.46). Our recorded range of body lengths for specimens with juvenile coloration patterns and those with adult coloration 199 200 patterns is shown in Table S6 (see supplementary materials). The body lengths of the largest juvenile-colored specimen in each facultative cleaner species was 64.28 +/- 3.12 mm (mean +/-201 202 s.d.), while the body length of the largest juvenile-colored non-cleaner specimen was 66.14 +/-203 4.78 mm (mean +/- s.d.).

204 Investigating trends in bite force

Our multiple regression analysis captured a large amount of the variation in bite force for each 205 species (Adjusted $R^2 = 0.93-0.99$, all *p*-values < 0.00001). In general, the log₁₀ mass of the A2 or 206 A3 muscle had the largest CAR score, revealing that these variables contribute the greatest to 207 208 bite force in both facultative cleaners and non-cleaners (Table 3). In two cases (corresponding to 209 T. hardwicke, a non-cleaner, and T. pavo, a facultative cleaner), the fiber length of the A3 had the highest CAR score. We thus found that ontogenetic changes to muscle sizes generally 210 contributed more strongly to the ontogenetic patterns in bite force than did changes in the 211 mechanical advantage associated with either muscle. Using MANOVA, we found no significant 212 213 differences between the CAR scores of all six variables according to the category (facultative cleaner vs. non-cleaner) to which species belonged (Wilk's Lambda_{6.4} = 0.54, p = 0.74). 214

The allometric patterns of each of the six traits we used in the multiple regression analyses are depicted in Fig. 2. The ontogenetic patterns of residual mechanical advantage for the A2 and the A3 muscles varied widely across species (Fig. 2A, B). Slopes varied from -0.12 to 0.16 for the former and from -0.22 to 0.25 for the latter (Tables S6 and S7, see supplementary materials). Over ontogeny, both facultative cleaners and non-cleaners generally exhibited negative allometry or isometry for $\log_{10} A2$ mass (Fig. 2C). Only two species, *T. lutescens* and *G. varius*, showed positive allometry. We found similar results when analyzing the ontogeny of $\log_{10} A3$ mass (Fig. 2D). A single outlier, *T. lutescens*, exhibited the only positively allometric relationship, with a slope value of 4.10. Further SMA regression information for these traits is available in Tables S8 and S9 (see supplementary materials).

Most species (n=7) exhibited negative allometry for $\log_{10} A2$ fiber length (Fig. 2E), with two 225 226 species, T. rueppellii and T. amblycephalum characterized by extremely shallow slopes (0.46 and 227 0.66, respectively). An additional three species showed isometry for this trait, while only T. duperrey and T. pavo exhibited positive allometry. We observed similar patterns for $\log_{10} A3$ 228 229 fiber length. Again, T. rueppellii and T. amblycephalum showed markedly shallower slopes than most species (0.51 and 0.59, respectively), and T. duperrey and T. pavo again exhibited positive 230 231 allometry. Further SMA regression information for these traits is available in Tables S10 and 232 S11 (see supplementary materials).

A series of Šidák-corrected two-sample *t*-tests found no differences between slope means
between facultative cleaners and non-cleaners for any of these bite-force-related traits (Table 1).

235 Discussion

236 Our data reveal that as juveniles, facultative cleaner fishes tended to exhibit lower bite forces, 237 lower maxillary KTs, and smaller vertical gape distances compared to juvenile non-cleaner 238 congeners. In a number of cases, facultative cleaners exhibited smaller premaxillary protrusion 239 distances as juveniles, but this was not consistent across all species. There was remarkable 240 consistency in the range of body lengths over which we observed significant differences between 241 facultative cleaners and non-cleaners in bite force, vertical gape distance, and premaxillary 242 protrusion distance. Facultative cleaner species generally appeared to exhibit lower trait values 243 until they attained, on average, a body length of roughly 62 mm. This body length coincides closely with the approximate body lengths at which facultative cleaners in our dataset switched 244 from juvenile to adult coloration (roughly 64 mm). As body length increased beyond this 245 246 threshold, the disparity in functional traits between facultative cleaners and their non-cleaner relatives no longer achieved statistical significance. Thus, the body lengths at which we first 247

found overlap between facultative and non-cleaner species in feeding traits correspond with the body lengths over which these species shift from juvenile to adult coloration patterns. Only in the case of maxillary KT did the point at which facultative cleaners and non-cleaners exhibit substantial overlap occur well after the phase transition for each pair of species.

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Collectively, these regression analyses enable us to summarize the chronology of the ontogeny of feeding traits in facultative cleaners and non-cleaners. As *Thalassoma* wrasses increase in body size, disparities in bite force are the first to disappear, driven primarily by the scaling of A2 or A3 muscle masses. Increases in bite force are followed by increases in premaxillary protrusion and vertical gape distance. Facultative cleaners do not show convergence in maxillary KT with non-cleaner congeners until well into adulthood.

259 The ontogeny of maxillary KT in wrasses

260 Maxillary KT is often used to assess the functional implications of diverse shapes observed in 261 the anterior-jaws four-bar linkage system in wrasses (Westneat, 1995; Hulsey and Wainwright, 262 2002; Wainwright et al., 2004). Such studies often generate species-mean values of maxillary KT 263 to assess functional diversity across species, yet few have explored intraspecific diversity in this 264 trait (but see Westneat, 1994). The large range of maxillary KTs exhibited by individuals in our 265 dataset is intriguing. Thalassoma hardwicke, a non-cleaner, exhibited the largest range of 266 maxillary KT for a species, with a range of 0.64 (from 1.45 to 0.81). Surprisingly, the range of maxillary KTs exhibited over the ontogeny of this species alone overlapped species-mean 267 268 maxillary KT values reported for 66 species of wrasses and parrotfishes on the Great Barrier Reef (Wainwright et al., 2004). Our data support the notion that ontogenetic trajectories have the 269 270 potential to increase functional disparity in an already diverse system. Though T. hardwicke exhibited the largest range in maxillary KT over ontogeny, the median range for species in our 271 dataset was 0.51. Thus, Thalassoma wrasses exhibited substantial change in maxillary KT over 272 ontogeny. Our findings reveal the importance of considering the ontogenetic trajectories of traits 273 274 when quantifying inter- and intra-specific patterns of diversity.

275 The morphological basis for cleaning in Thalassoma

276 Behavioral descriptions of juvenile fishes continuously "picking" ectoparasites from the bodies 277 of their respective client organisms have contributed towards our superficial understanding of 278 cleaning behavior (Darcy et al., 1974; Losey et al., 1994). Previously, researchers have described 279 280 281 282 283 284 The Journal of Experimental Biology - ACCEPTED AUTHOR MANUSCRIPT 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299

the mouth movements of cleaner fishes as precisely and repetitively "picking" ectoparasites off clients (Hobson, 1969; Hobson, 1971; Darcy et al., 1974; Wicksten, 1998). The functional morphology of this behavior, however, has not been systematically studied, and details of how prey capture occurs are lacking. The term "picking" has also been used to describe a form of biting prey capture in some cichlids (Liem, 1979), and embiotodicids and labrids (Horn and Ferry-Graham, 2006), whereby small, sessile prey are dislodged from a substrate. Here, precise and repeated movements of the upper jaws allow protruding teeth to be used as a prehensile tool (Liem, 1979). In Cyprinodontiformes, "picking" is also used to describe precisely-controlled and coordinated "forceps-like" movements of the upper and lower jaws (Ferry-Graham et al., 2008; Hernandez et al., 2009). In contrast to other forms of biting, cyprinodontiform picking involves the acquisition of individual prey items (small invertebrate prey) from the substrate or water column, while other items are left behind (Hernandez et al., 2008). The fine-tuned precise movements underlying the picking behavior in cyprinidontiform taxa are associated with a morphological novelty in the premaxillomandibular ligament connecting the upper and lower jaws (Hernandez et al., 2008). Whether picking in cleaner fishes is similar to the picking behavior observed in other taxa is unknown and can only be determined with future kinematic studies. We hypothesize that cleaner fishes employ a similar feeding strategy using precise, coordinated movements of the jaws; such would allow the cleaner to remove targeted items from a client's body, leaving little room for error in haphazardly biting into the client itself. In the present study, we find that a combination of traits (a small vertical gape, small bite force, low maxillary KT, and, in some cases, a low premaxillary protrusion distance) underlie the "picking" 300 seen in facultative Thalassoma cleaner fishes. 301 302 A low maxillary KT in cleaners (compared to that of juvenile non-cleaners) indicates an alteration of the four-bar linkage system that reduces the overall displacement of the structures involved. While oral jaws with higher values of maxillary KT tend to be characterized as

306 capture events, wherein the jaws need to rapidly expand (Westneat, 1994; Hulsey and

"velocity-modified" (Westneat, 1994), this categorization may better apply to suction-based prey

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307 Wainwright, 2002; Wainwright et al., 2004). In the context of biting behaviors, it may be better to characterize systems with higher values of KT as "displacement-modified", as the actions of 308 309 jaw opening and closing involve greater overall displacement. If the "picking" behavior of cleaners occurs via biting attached prey in a repetitive, cyclical manner, an overall reduction in 310 311 displacement (i.e. lower maxillary KT) could allow for a higher frequency of the bite cycle. Here, a small vertical gape and low premaxillary protrusion would similarly reduce the overall 312 313 displacement incurred during a single bite cycle of opening and closing. Furthermore, as noted by Hulsey and Wainwright (2002), lower values of KT transmit more force to the maxilla as the 314 315 lower jaw closes. This may further aid species that consume prey attached to a substrate by maximizing force transmission to the upper jaw when the oral jaws make contact to bite into or 316 pull off the prey. Corroborated by the observed reduction in vertical gape, and in some cases, 317 premaxillary protrusion distance, our results indicated that juvenile Thalassoma facultative 318 cleaner fishes may rely on low-displacement, rapid bite cycles to consume ectoparasites attached 319 320 to their client species.

322 Significant differences in bite force between facultative cleaners and non-cleaners in the juvenile condition add to the functional disparities between these two groups. Facultative cleaners are 323 limited in bite force as juveniles, which appears to present little problem to cleaning, given that 324 325 the ectoparasites consumed have thus far been reported to be small (typically <1.5 mm diameter), 326 thin-shelled juvenile gnathiid or cymothoid isopods (Losey, 1972; Losey, 1974; Darcy et al., 1974; Davies, 1981; Grutter, 1996). Through ontogeny, however, the strong positively-allometric 327 328 trends in bite force observed in these species enable them to exhibit bite forces similar to those of adult non-cleaner congeners, potentially allowing facultative cleaner species to exploit new prey 329 330 or expand their prey breadth. Though this allometry seems to be largely driven by ontogenetic changes to the masses of the A2 and A3 subdivisions, this pattern of development was not 331 332 unique to facultative cleaners. We were unable to find a clear, consistent pattern to indicate the mechanism via which facultative cleaner species undergo stronger allometry in bite force than do 333 334 their non-cleaner congeners. It thus appears that facultative cleaner fishes experience sharp allometric trends in bite force through a diversity of ontogenetic patterns. 335

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336 Why clean?

337 Juvenile facultative cleaners ostensibly appear to be at a functional disadvantage to sympatric, juvenile non-cleaner congeners. We suggest that these reduced suites of mechanical features, low 338 339 maxillary KT, small gape, and low bite force, in Thalassoma wrasses may have evolved as a result of competitive displacement. Thalassoma wrasses co-occur, often in great abundances, in many tropical regions including but not limited to the Indian Ocean, the Indo-Pacific, and the Caribbean (Randall et al., 1997; Geange, 2010; Geange et al., 2013; Froese and Pauly, 2014). In fact, field surveys conducted in the Indo-Pacific and Caribbean found that reef crests were typically numerically dominated by members of this clade (Bellwood et al. 2002). Since each facultative cleaner wrasse in our study overlaps in habitat with other Thalassoma (in addition to other wrasses), juveniles of each of these species compete for prey directly with saturated assemblages of adult conspecifics and congeners. Geange et al. (2013) show that even among juvenile non-cleaner taxa in this clade, intense asymmetric competition between congeners can result within areas of high densities. Our results suggest that cleaning behavior may present a mechanism by which such competition is reduced by providing juvenile facultative cleaner species an alternate source of prey. Through ontogeny, these facultative cleaner fishes exhibit an allometric increase in vertical gape distance, bite force, and a heavier reliance on the forceful movement of the jaws, which together possibly obviate the need to continue cleaning.

Methods

Specimen acquisition

We collected an ontogenetic series for 11 wrasse species, all members of the Thalassoma clade (Fig. 3). We borrowed specimens from museums (see Table S6 for accession numbers, see 357 supplementary materials), but for two species, Gomphosus varius and Thalassoma bifasciatum, 358 359 we purchased additional live specimens from fish wholesalers to assemble their complete 360 ontogenetic series. We euthanized live specimens via an overdose of MS-222 (IACUC protocol 1006) and fixed them in 10% buffered formalin for 10-14 days before transferring them to 70% 361 362 ethanol for short-term storage.

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364 Each ontogenetic series included a size range of individuals that encompassed small juvenile sizes (~40 mm standard length) through the adult common length (or maximum length) reported 365 366 for the species on FishBase (Froese and Pauly, 2014). We recorded whether each specimen

exhibited a juvenile or an adult color pattern (regardless of sexual dimorphism in color) by
referencing specimens with guidebooks (Burgess et al., 1991; Randall et al., 1997; Myers, 1999).
We performed an extensive literature search to gather dietary information for each species and to
determine which species are known to clean as juveniles. We found that across the species, the
adult diet is generally similar and includes a variety of benthic crustaceans. Only in the case of *T*. *amblycephalum* did we observe a distinct feeding strategy: zooplanktivory. Information for all
species is available in Table S1 (see supplementary material).

374 Using body length to determine the effects of size

To assess how morphological and functional traits change with increasing body size, we adopted a metric of size that was applicable to all species. Because of the extreme elongation of the jaws in *G. varius*, a trait which itself changes over ontogeny (Myers, 1999), standard length was not a universally applicable metric of size. Instead, we computed 'body length' by subtracting head length (defined here as the linear distance from the tip of the snout to the posterior edge of the operculum) from standard length. We took measurements to the nearest 0.01 mm using digital calipers.

382 Bite force estimation

383 We dissected each section of the adductor mandibulae from one side of each specimen. In 384 wrasses, the adductor mandibulae complex originates broadly from the lateral surface of the 385 suspensorium and inserts via tendons on the maxilla and articular bones (Winterbottom, 1974). This muscle complex is responsible for generating the force that powers the closing of the jaws 386 387 during biting. Jaw closing in this muscle complex is accomplished via three subdivisions: A1, 388 A2, and A3 (Fig. 4A). The A1 subdivision, which inserts on the maxilla, functions as part of the upper jaw protrusion mechanism. Following Westneat (2003), we did not include the A1 in our 389 390 bite force model to focus on the major jaw closers: A2 and A3. Although we removed each of 391 the three subdivisions, we only weighed the A2 and A3 subdivisions to the nearest 0.001 g using 392 a Secura 213-1S precision balance (Sartorius Stedim Biotech GmbH, Germany). 393

Following muscle dissections, we cleared and double-stained specimens for bone and cartilagefollowing Dingerkus and Uhler (1977). We then took 12 linear measurements of the skull for use

with the application MandibLever 3.3 (Westneat, 2003); see Fig. 4B for measurement
definitions. We collected all linear measurements using an ocular micrometer attached to a Leica
M80 light microscope (Leica Microsystems GmbH, Wetzlar, Germany). MandibLever 3.3
creates a model of the lower jaw of fishes and uses musculoskeletal data to predict force
transmission across the system. We used MandibLever 3.3 to estimate the total bite force (in
newtons) for each specimen in this study, thus enabling us to compare the ontogenetic transitions
in bite force across species.

403 Kinematic transmission coefficient (KT) and morphological traits

In wrasses, a four-bar linkage system in the anterior jaws guides the rotation of the maxilla and 404 the protrusion of the premaxilla as the mandible is depressed (Westneat, 1990). The kinematic 405 406 transmission coefficient (KT) for this linkage system relates the amount of maxillary rotation 407 produced by a given amount of lower jaw rotation. This ratio is analogous to the inverse of the 408 mechanical advantage of simple lever systems. We characterized the linkage mechanics of the 409 anterior-jaw four-bar linkage system (Fig. 4C-D) following methods used by Wainwright et al. 410 (2004). As shown in Figs. 4C-D, the fixed link (f) is defined as the distance between the quadrate-articular joint and the proximal base of the nasal bone. The coupler link (c) is the 411 412 distance from the proximal base of the nasal to the distal end of the nasal. The output link (o) is 413 the distance from the distal end of the nasal to the confluence between the distal end of the alveolar arm of the premaxilla, the distal arm of the maxilla and the coronoid process of the 414 415 mandible. The input link (i) is thus the distance between the latter point and the quadratearticular joint. Depression of the mandible and rotation of the maxilla protrude the premaxilla 416 (Fig. 4D). 417

We calculated the maxillary KT as the ratio between the degrees of maxillary rotation and the degrees of lower jaw rotation for each specimen (Westneat, 1990). Because of the nature of this ratio, maxillary KT is dimensionless. To maintain consistency across all specimens, we measured all starting angles with the jaws closed. We then rotated the lower jaw into a fully depressed position to quantify the changes in the angles associated with the input and output to the four-bar system.

In addition to maxillary KT, we measured jaw protrusion distance and vertical gape distance.
Jaw protrusion distance is the excursion distance of the most anterior canine tooth on the

426 premaxilla as the upper jaw travels rostrally when the lower jaw is depressed. Vertical gape

427 distance is the distance between each of the most anterior canine teeth on the upper and lower

428 jaws when the mouth was fully open. For each of these measurements, we rotated the lower jaw

429 into a fully depressed position without forcing it beyond natural extension. Measurements were

430 recorded to the nearest 0.01 mm using the program ImageJ 1.47 (Rasband, 2014).

431 *Allometry of traits*

We performed all statistical analyses in R 3.0.0 (R Development Core Team, 2013). To

433 determine the scaling pattern of traits for each species, we performed a Standardized Major Axis 434 (SMA) regression between the log_{10} trait value (except in the case of maxillary KT, for which we used raw values) and log₁₀ body length using the *smatr* package (Warton et al., 2012) in R. We 435 436 then compared these regression slopes with null predictions based on isometric scaling (for ratios 437 = 0.0; linear distances = 1.0; areas and forces = 2.0). Here, we used modified *t*-tests to determine 438 whether the observed slopes deviated significantly from the null predictions, which are based on 439 Euclidean geometry (Hill, 1950; Schmidt-Nielson, 1984; Emerson and Bramble, 1993; Pfaller et 440 al., 2011). We considered deviations from isometry to be significant if the predicted slopes fell outside the 95% confidence intervals of the slopes we observed. We interpreted positive or 441 negative deviations from isometry in these regression slopes as positive or negative allometry, 442 443 respectively.

Lastly, we analyzed the ontogeny of the mechanical advantage of each muscle subdivisionspecific lever system. To avoid the bias of such ratios in analysis (Packard and Boardman, 1988), we computed the residuals from a linear regression of each log₁₀ in-lever (dependent variable) against the log₁₀ out-lever (covariate) in an ANalysis of COVAriance (ANCOVA), using species as the independent variable. We then used each set of residuals in subsequent analyses. These are hereafter referred to as the residual mechanical advantage of the A2 and the A3, respectively.

451 *Testing for phylogenetic signal*

For each trait, we used the SMA regression slope between the log_{10} trait value (except in the case of maxillary KT, for which we used raw values) and log_{10} body length (outlined above). We then tested for phylogenetic signal in the slopes, treating the slope of each regression as its respective

455 species' trait value. We estimated Pagel's lambda (Pagel, 1999) and Blomberg's K (Blomberg et al., 2003) using the package *phytools* (Revell, 2012) in R, and we tested the hypothesis that the 456 457 phylogenetic signal was greater than 0. The phylogenetic tree we used, which contained all species in this study, was pruned from Kazancioglu et al. (2009), who used a supermatrix 458 459 approach to propose relationships between 252 labrid species. For all traits, we found the level of phylogenetic signal to be extremely low, and we were unable to reject the null hypothesis that 460 461 phylogenetic signal equals 0 (Table S13, see supplementary materials). This implies that the ontogenetic trajectories in the traits we analyzed have been under such strong selection, that the 462 463 trends in slopes do not follow a Brownian motion model of evolution. While we cannot rule out that the lack of phylogenetic signal may be due to limited power, we were unable to detect 464 phylogenetic signal in any of the traits we analyzed. Therefore, all subsequent analyses were 465 performed using traditional parametric statistical methods. 466

467 *Comparisons between facultative cleaners and non-cleaners*

468 To evaluate the relationship between each trait and body length across groups of species, we first 469 tested for homogeneity of slopes by building separate General Linear Models (GLMs), specific 470 to each trait. In each case, the dependent variable was the \log_{10} trait value (except in the case of maxillary KT, for which we used raw values), species identity was the independent variable, and 471 472 \log_{10} body length was used as a covariate. We tested the hypothesis that interactions between the independent variable (species) and the covariates (\log_{10} body length) were significantly different 473 474 from 0. In every case, we found highly significant interactions, indicating that in each analysis, the species-specific slopes were not homogeneous. As this violates a key assumption of 475 ANCOVA, we refrained from comparing least squares means across species. 476

To test the hypothesis that facultative cleaners exhibit more extreme allometry in functional traits compared to non-cleaners, we performed a series of trait-specific two-sample t-tests. For each trait, we assessed the equality of the mean of the SMA regression slopes for the facultative cleaners against that of the non-cleaners. Here, the null hypothesis was that the mean SMA regression slope would not differ between the two groups. We applied a Šidák correction to reduce the Type I error probability across multiple comparisons (Šidák, 1967).

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486 between facultative cleaners and non-cleaners across the range of body lengths. Given the heterogeneity of slopes, we employed the Wilcox procedure (Wilcox, 1987) to determine regions 487 of the x-axis (log₁₀ body length in all cases) in which trait values for non-cleaners were 488 489 significantly different from those of facultative cleaners. This allowed us to distinguish at what body lengths the differences in trait magnitudes seen between facultative and non-cleaner species 490 491 were no longer significantly different, given the error structures of the regressions. We decided 492 to adopt this approach rather than use *t*-tests to compare regression intercepts because such 493 intercepts represent trait values at a body size of zero, and thus do not constitute trait values that are biologically realistic. The Wilcox procedure is a modification of the Johnson-Neyman 494 495 method (Johnson and Neyman, 1936) that is adjusted for multiple comparisons. For each trait, we compared the regression line of every non-cleaner species (n=6) with that of each facultative 496 497 cleaner species (n=5), for a total of 30 comparisons per trait. The Wilcox procedure allowed us to 498 identify the regions where the data in each pairwise comparison begin to overlap, taking into account the spread of data around each regression line. In several cases, regression lines crossed 499 500 at values of x that represented biologically-impossible body lengths for either or both of the species involved. We therefore restricted values of x to those that were covered within our 501 502 dataset. 503 504 Investigating trends in bite force

505 To understand the factors that determine the ontogeny of bite force in each species, we ran 506 separate, species-specific multiple regression analyses. In each analysis, we used the \log_{10} bite 507 force as calculated by MandibLever 3.3 as the dependent variable. For the independent variables, 508 we used: the residual mechanical advantage values of the A2 and A3, the \log_{10} fiber lengths of the A2 and A3, and the log_{10} masses of the A2 and A3. We selected these variables because 509 510 MandibLever 3.3 computes muscle cross-sectional area as muscle mass divided by muscle fiber length times muscle density (Westneat, 2003), and assumes a constant muscle density for all 511 specimens (McMahon, 1984). Our measurement of muscle fiber length follows that of Westneat 512 (2003). Both mass and fiber length were included as predictors because force generated by a 513 muscle is proportional to the muscle's cross-sectional area (Powell et al., 1984). 514

To identify the morphological correlates of cleaning, we made comparisons of trait magnitudes

515

We then used the R package *relaimpo* (Grömping, 2006) to calculate the R² decomposition of 516 each model according to Zuber and Strimmer (2010). This method computes Correlation-517 518 Adjusted coRrelation (CAR) scores, which are the correlations between the response and the 519 Mahalanobis-decorrelated predictors. Comparing these scores allowed us to assess the relative 520 importance of each predictor, and enabled us to identify which variable most strongly predicted each species' ontogenetic bite force trajectory. We then used Multiple Analysis Of VAriance 521 522 (MANOVA) to assess whether facultative cleaners exhibited significant differences in the CAR scores of all six variables when compared to non-cleaners. 523 524

525

526 **List of Symbols and Abbreviations**

527 Museums

| 528 | CAS Calif | ornia Academy of Sciences |
|-----|-----------|--|
| 529 | LACM | Los Angeles County Museum of Natural History |
| 530 | USNM | Smithsonian National Museum of Natural History |
| 531 | VB Vikra | am Baliga's personal collection |

532 **Mechanics**

- 533 KΤ kinematic transmission coefficient
- fixed link, in anterior-jaw four-bar linkage system 534 f
- coupler link, in anterior-jaw four-bar linkage system 535 с
- i input link, in anterior-jaw four-bar linkage system 536
- 537 output link, in anterior-jaw four-bar linkage system 0

538 **Statistics**

s.d. standard deviation 539

| 540 | R ² coefficient of determination |
|-----|---|
| 541 | SMA standardized major axis regression |
| 542 | ANCOVA analysis of covariance |
| 543 | MANOVA multiple analysis of variance |
| 544 | <i>t</i> t-value; subscript denotes degrees of freedom |
| 545 | Allometry (in Appendix only) |
| 546 | I isometry |
| 547 | - negative allometry |
| 548 | + positive allometry |
| 549 | |
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559

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| 567 | manuscript. |
| 568 | |
| 569 | Author Competing Interests |
| 570 | The authors have no competing interests to declare. |
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801 Figure Captions

Fig. 1. Scaling of functional traits in all 11 species. The following traits are shown plotted
against log₁₀ Body Length: (A) log₁₀ Vertical Gape Distance, (B) log₁₀ Premaxillary Protrusion
Distance, (C) log₁₀ Total Bite Force (as estimated by MandibLever 3.3), and (D) Maxillary KT.
Solid, orange lines indicate regressions for facultative cleaner fishes; blue dashed lines indicate
regressions for non-cleaner fishes. Vertical grey lines indicate mean and standard deviation of
critical x-values indicated by the Wilcox procedure for all comparisons.

Fig. 2. Scaling of muscle sizes and mechanical advantage in all 11 species. The following
traits are shown plotted against log₁₀ Body Length: (A) Residual Mechanical Advantage of the
A2 Muscle, (B) Residual Mechanical Advantage of the A3 Muscle, (C) log₁₀ A2 Mass, (D) log₁₀
A3 Mass, (E) log₁₀ A2 Fiber Length, and (F) log₁₀ A3 Fiber Length. Solid, orange lines indicate
regressions for facultative cleaner fishes; blue dashed lines indicate regressions for non-cleaner
fishes.

Fig. 3. Relationships between the 11 wrasses analyzed in this study. Highlighted species are
reported to be facultative cleaner fishes as juveniles (Table S1, see supplementary materials).
The phylogeny is taken from Kazancioglu et al. 2009, who used a supermatrix approach for 252
species of labrids. The tree is pruned to include only the species in this study. The illustration for
each species depicts juvenile female morphology and coloration pattern.

819 Fig. 4. Cranial morphology of Thalassoma lucasanum. (A) Three subdivisions of the adductor 820 mandibulae complex. The A1 has been detached from its origin on the preopercle and moved in 821 order to show the position of the A3, which is medial to the A1. (B) Morphometric measurements used in MandibLever 3.3. All 12 measurement definitions and line colors follow 822 823 Westneat (2003): 1, 2, and 3 are the inlever lengths of A2, A3, and jaw opening, respectively; 4 is the outlever length; 5 is the length of the A2; 6 is the length of the A3; 7 is the A3 tendon 824 825 length; 8 and 9 are distances from each muscle subdivision's origin to the jaw joint; 10 is the distance between the A2 and the A3 insertion points; 11 is the distance from the tip of the jaw to 826 the A2 subdivision insertion; 12 is the length between the tip of opening inlever to the tip of jaw. 827 (C-D) Four-bar linkage system in closed and open positions, respectively. *Abbreviations:* art, 828

articular; dt, dentary; mx, maxilla; pmx, premaxilla; pop, preopercle; f, fixed link; c, coupler
link; o, output link, i, input link. All scale bars are 5 mm.

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832 **Table Captions**

833Table 1: Comparison of the mean slopes between facultative cleaners and non-cleaners in

feeding traits. The trait listed in each row indicates the dependent variable used in speciesspecific SMA regressions against log₁₀ Body Length. The regression slopes were then pooled
according to dietary category. *P*-values are adjusted according to a Šidák correction. Bold rows
indicate significant differences in mean slopes between facultative cleaners and non-cleaners.

838 Table 2: Determining regression line overlap between facultative cleaners and non-

cleaners. The cells listed under each trait display the log₁₀ body length at which the regression
lines of the listed facultative cleaner and non-cleaner species began to overlap. Cells without
values (indicated by the dashes) indicated cases where regression lines crossed at values that
represented biologically-impossible body lengths for either or both of the species involved.

Table 3: Multiple regression analyses reveal the traits that contribute the most to bite

force. ^a Correlation-Adjusted coRrelation (CAR) scores, following Zuber and Strimmer (2010).
Bold values indicate the trait with the largest CAR score. ^b Calculated from a linear regression of
each log₁₀ in-lever (dependent variable) against the log₁₀ out-lever (covariate) in an analysis of
covariance (ANCOVA), using species as the independent variable.

| Trait | Mean Difference | <i>t</i> -value | d.f. | <i>p</i> -value |
|--|-----------------|-----------------|------|-----------------|
| log10 Vertical Gape Distance | 0.54 | 4.11 | 9 | 0.010 |
| log ₁₀ Premaxillary Protrusion Distance | 0.43 | 1.39 | 9 | 0.59 |
| log ₁₀ Bite Force | 0.68 | 2.66 | 9 | 0.046 |
| Maxillary KT | 0.16 | 1.34 | 9 | 0.62 |
| Residual Mechanical Advantage of A2 Muscle | 0.26 | 1.10 | 9 | 0.94 |
| Residual Mechanical Advantage of A3 Muscle | 0.42 | 2.05 | 9 | 0.44 |
| log ₁₀ A2 Mass | 0.38 | 1.10 | 9 | 0.88 |
| log ₁₀ A3 Mass | 0.63 | 2.03 | 9 | 0.36 |
| log ₁₀ A2 Fiber Length | 0.29 | 2.57 | 9 | 0.17 |
| log ₁₀ A3 Fiber Length | 0.22 | 1.91 | 9 | 0.43 |

Table 1: Comparison of the mean slopes between facultative cleaners and non-cleaners in feeding traits

Table 2: Determining regression line overlap between facultative cleaners and non-cleaners

| Facultative Cleaner | Non-Cleaner | log ₁₀ Bite Force | Maxillary KT | log ₁₀ Vertical Gape Distance | log ₁₀ Premaxillary Protrusion Distance |
|---------------------|--------------------|---------------------------------|--------------|--|---|
| T. bifasciatum | G. varius | | | 1.88 | |
| | T. amblycephalum | | | 1.64 | 1.91 |
| | T. hardwicke | 1.82 | 2.25 | 1.81 | |
| | T. hebraicum | | | | |
| | T. quinquevittatum | | | 1.83 | |
| | T. rueppellii | | | 1.84 | |
| T. duperrey | G. varius | | | | 1.80 |
| | T. amblycephalum | | 2.14 | 1.65 | |
| | T. hardwicke | 1.76 | 2.11 | 1.88 | 1.86 |
| | T. hebraicum | | | | |
| | T. quinquevittatum | | | | |
| | T. rueppellii | | | | 1.72 |

| T. lucasanum | G. varius | 1.61 | 2.13 | | |
|--------------|--------------------|------|------|------|------|
| | T. amblycephalum | | 1.85 | 1.85 | |
| | T. hardwicke | 1.76 | 1.88 | | |
| | T. hebraicum | 1.90 | 1.95 | | |
| | T. quinquevittatum | 1.88 | | | |
| | T. rueppellii | 1.87 | 1.92 | | 1.96 |
| T. lutescens | G. varius | | | 1.89 | 1.75 |
| | T. amblycephalum | 1.81 | | 1.68 | |
| | T. hardwicke | 1.71 | 2.19 | 1.84 | 1.77 |
| | T. hebraicum | 1.82 | | | 1.65 |
| | T. quinquevittatum | 1.79 | | 1.86 | |
| | T. rueppellii | 1.67 | | 1.87 | 1.71 |
| T. pavo | G. varius | 1.62 | | 1.85 | |
| | T. amblycephalum | | 1.99 | 1.69 | |
| | T. hardwicke | 1.83 | 1.99 | 1.81 | |
| | T. hebraicum | | 2.14 | | |
| | T. quinquevittatum | | | 1.81 | |
| | T. rueppellii | | 2.10 | 1.82 | |

| | CAR Scores ^a | | | | | | | | | |
|-----------------------------|-------------------------|---------------------|-------------------|-------------------|----------------|---------------|----------------|------------|----------|-----------------|
| | Residual | Residual | log ₁₀ | log ₁₀ | $\log_{10} A2$ | $log_{10} A3$ | Adjusted | df | F-Ratio | <i>p</i> -value |
| | MA of A2 | MA of A3 | A2 | A3 | Fiber | Fiber | \mathbb{R}^2 | (residual) | | |
| | Muscle ^b | Muscle ^b | Mass | Mass | Length | Length | | | | |
| Gomphosus varius | 0.04 | 0.09 | 0.26 | 0.24 | 0.18 | 0.19 | 0.99 | 10 | 374.68 | < 0.00001 |
| Thalassoma amblycephalum | 0.07 | 0.10 | 0.27 | 0.25 | 0.15 | 0.16 | 0.99 | 10 | 373.10 | <0.00001 |
| T. bifasciatum | 0.10 | 0.00 | 0.29 | 0.26 | 0.15 | 0.18 | 0.99 | 12 | 270.29 | < 0.00001 |
| T. duperrey | 0.15 | 0.06 | 0.26 | 0.19 | 0.20 | 0.14 | 0.98 | 9 | 96.87 | < 0.00001 |
| T. hardwicke | 0.00 | 0.14 | 0.19 | 0.22 | 0.17 | 0.28 | 0.93 | 17 | 48.14 | < 0.00001 |
| T. hebraicum | 0.09 | 0.01 | 0.25 | 0.26 | 0.22 | 0.17 | 0.99 | 13 | 2008.12 | < 0.00001 |
| T. lucasanum | 0.06 | 0.11 | 0.16 | 0.28 | 0.13 | 0.25 | 0.97 | 11 | 72.56 | < 0.00001 |
| T. lutescens | 0.10 | 0.07 | 0.22 | 0.20 | 0.19 | 0.21 | 0.99 | 11 | 175.30 | < 0.00001 |
| T. pavo | 0.00 | 0.01 | 0.23 | 0.24 | 0.25 | 0.28 | 0.99 | 13 | 531.04 | < 0.00001 |
| T. quinquevittatum | 0.04 | 0.05 | 0.23 | 0.33 | 0.19 | 0.16 | 0.99 | 8 | 335.26 | < 0.00001 |
| T. rueppellii | 0.19 | 0.06 | 0.27 | 0.26 | 0.13 | 0.09 | 0.99 | 11 | 10664.11 | < 0.00001 |

 Table 3: Multiple regression analyses reveal the traits that contribute the most to bite force











