J Exp Biol Advance Online Articles. First posted online on 21 August 2014 as doi:10.1242/jeb.103713 Access the most recent version at http://jeb.biologists.org/lookup/doi/10.1242/jeb.103713 1

1 Title: The relationship between cardiopulmonary size and aerobic performance in adult

- 2 deer mice at high altitude
- 3

4 Authors: Nicholas J. Shirkey, and Kimberly A. Hammond

5 Department of Biology, University of California, Riverside, Riverside, CA 92521,

6 **Corresponding author:** shirkey.nicholas@gmail.com, Phone (415) 246-0973

7

8

11

Short title: Plasticity & aerobic performance in mice

9 Key words: Deer mice, Peromyscus maniculatus sonoriensis, phenotypic plasticity, lung

10 volume, hypoxia, high altitude, aerobic performance

12 Summary

13 Deer mice (*Peromyscus maniculatus sonoriensis*) populations in the White Mountains of 14 Eastern California are found across a substantial range of partial pressures of oxygen (pO_2) . 15 Reduction in pO_2 at high altitude can have a negative impact on aerobic performance. We 16 studied plastic changes in organ mass and volume involved in aerobic respiration in response to 17 acclimation to high altitude, and how those changes are matched with aerobic performance 18 measured by $V \square O_2$ max. Adult deer mice born and raised at 340 m were acclimated at either 340 19 m or 3800 m for a period of nine weeks. Lung volume increased by 9% in mice acclimated to 20 high altitude. $V \square O_2$ max was also significantly higher under hypoxic conditions after high 21 altitude acclimation compared to controls. Body mass corrected residuals of $V \Box O_2$ max were 22 significantly correlated with an index of cardiopulmonary size (summed standardized residuals 23 of lung volume and heart mass) under both hypoxic and normoxic conditions. These data show 24 that phenotypic plasticity in lung volume and heart mass plays an important role in maintaining 25 aerobic performance under hypoxic conditions, and account for up to 55% of the variance in 26 aerobic performance.

27

28 Introduction

Aerobic performance is an emergent trait that is dependent on a cascade of oxygen
moving from the environment to the cells via a pathway that involves multiple organ systems
(Weibel et al., 1981; Bassett and Howley, 2000). These organs must work together to support the

32 aerobic metabolism of the whole animal, and even small changes in the environment can impact 33 the function of one or more of these systems resulting in a change in organismal performance. 34 Populations of organisms living in areas of harsh abiotic conditions need to be equipped to deal 35 with a variety of conditions. For example, animals living at high altitude must be able to survive 36 and be active in particularly harsh conditions. Several biotic and abiotic factors vary with 37 altitude including temperature, primary productivity, and UV exposure. However, perhaps the 38 most important difference is the reduced ambient partial pressures of oxygen (pO_2) at high altitude. 39

40 Organisms that live at high altitudes generally must adapt to the lower levels of oxygen 41 or face reduced aerobic performance as a result, either through evolutionary processes (genetic 42 changes across generations) or phenotypic plasticity (physiological changes during an 43 individual's lifespan) (Garland and Carter, 1994). Although some evolutionary changes have 44 been documented in species that inhabit high altitudes, such as hemoglobin polymorphisms in 45 deer mice (*Peromyscus maniculatus*) (Chappell and Synder, 1984; Storz et al., 2009; Storz et al., 46 2010a), phenotypic plasticity remains an important way to maintain aerobic performance in the 47 face of environmental heterogeneity.

48 Because deer mice are widely distributed, both geographically throughout North 49 America, and across a wide altitudinal range they have been a model system for the study of 50 mammalian high altitude physiology. One subspecies, P. maniculatus sonoriensis is found across 51 eastern California and has an altitudinal range that extends from below sea level in Death Valley, 52 CA to over 4000 m in the Sierra Nevada and White Mountains (Sawin, 1970). These mice 53 possess evolutionary adaptations to high altitude such as the aforementioned hemoglobin 54 polymorphisms. Phenotypic plasticity also plays a major role in acclimation to high altitude both 55 during development and adulthood. Wild caught mice at high altitude tended to have improved 56 thermogenic performance relative to low altitude controls even when seasonal effects are taken 57 into account (Hayes 1989). Likewise mice born at high altitude perform better during exercise 58 tests than low altitude born mice acclimated to high altitude (Chappell et al. 2007).

The change in whole-animal aerobic capacity resulting from acclimation to high altitude is accompanied by increased hematocrit, hemoglobin concentration, and lung mass compared to animals acclimated to low altitude (Hammond et al., 1999; Hammond et al., 2001). Changes in splenic function have also been noted in response to high altitude acclimation, as it is generally considered to be associated with storage of red blood cells (Baker and Remington, 1960; Boning
et al., 2011). For example in thirteen-lined ground squirrels (*Ictidomys tridecemlineatus*),
splenectomy results in a reduced hematocrit in response to low pO₂ (Mcglaghlin et al., 1972).
These changes occur in systems that directly impact the oxygen cascade and it has been assumed
they are at least partially responsible for maintenance of aerobic performance in high altitude
natives.

More recently work has been conducted to determine if the phenotypic differences between altitude levels are related to performance. These studies have shown that while there is a genetic basis to many of the differences in thermogenic performance of deer mice from different altitudes (Cheviron et al. 2012), plasticity plays an important role as well (Cheviron et al. 2013). Furthermore, these studies have demonstrated a link between plasticity in thermogenic performance and subordinate changes in transcriptional profiles (Cheviron et al. 2014).

75 In this study we set out to build on recent efforts to illuminate the importance of plastic 76 changes in the maintenance of organismal performance at high altitude. We set about to test the 77 hypothesis that phenotypic changes, such as changes in hematocrit or lung volume, support 78 aerobic performance in hypoxic conditions at high altitude. Our experimental design involved 79 using a low altitude born captive colony of deer mice. The mice in this colony were all derived 80 from a wild population of deer mice captured at high altitude in the White Mountains of eastern 81 California. Mice from this colony were either maintained at low altitude (LA; vivarium at 380 m-82 -no real acclimation; Control group) or moved to high altitude (HA; 3800 m--true acclimation) 83 for nine weeks and then tested at both altitudes to challenge that acclimation (Fig. 1). We 84 measured the mass of the spleen, heart, lung (lobar) volume, and aerobic performance ($V \Box O_2$ 85 max) of all individuals. The aerobic performance was measured both before the experiments 86 started and then at the end of the acclimation trials. We made three predictions in relation to our 87 hypothesis:

1. Mice acclimated to high altitude will show improved aerobic performance (V \[O_2 max]
 during exercise) under both hypoxic and normoxic conditions.

90 2. Mice acclimated to high altitude will have greater heart mass, lung volume, and hematocrit.

91 3. Mice with higher cardiopulmonary residuals and hematocrit levels will have higher V□O₂
92 max residuals.

94 **Results**

95 Body mass

Body mass was not significantly different between HA and LA groups prior to the start of the acclimation process (HA = 24.44 ± 0.78 g, LA = 23.97 ± 0.74 g, F_{1,17} = 0.18, P = 0.67), and at the time of sacrifice (Fig. 2A; Table 1) (HA = 23.52 ± 0.60 g, LA = 24.01 ± 0.76 g, F_{1,17} = 0.27, P = 0.61).

100

101 Hematocrit and spleen measurements

Hematocrit was similar between the HA (46.9 ± 1.55 %) and LA (47.04 ± 0.83 %) groups at the time of sacrifice (Fig. 2B; Table 1) ($F_{1,17}=0.007$, P=0.93).

104 Dry spleen mass was also not significantly different ($F_{1,17}=0.22$, P=0.65) between HA 105 (0.0076±0.0015 g) and LA (0.0069±0.0006 g) groups (Table 1). However, because past studies 106 have consistently shown that deer mice acclimated to high altitude have increased hematocrit 107 (8% higher) and hemoglobin concentrations (10% higher) compared to those at low altitude 108 (Hammond et al., 2001; Hammond et al., 2002; Tufts et al., 2013), we also examined the 109 relationship between spleen size and hematocrit in the HA mice. If red blood cells were 110 sequestered in the spleen during their short time at low altitude, it might be expected that animals 111 with a greater spleen mass would have lower values for hematocrit. To test this hypothesis we 112 regressed hematocrit on dry spleen mass in the HA animals, and found a highly significant negative correlation of r = -0.771 ($F_{1,8} = 11.71$, P = 0.0091). 113

115 Cardiopulmonary organs

116 Body mass was not a significant covariate for either wet heart mass ($F_{2.15}=3.69$, P=0.07) 117 or dry heart mass (F_{2,15}=1.36, P=0.26), and heart mass did not vary significantly with acclimation altitude in either wet ($F_{2,15}=2.64$, P=0.13), or dry measurements ($F_{2,15}=1.86$, P=0.19). The body 118 119 mass corrected mean dry heart mass was 8% higher in the HA group (0.0415±0.0015 g) 120 compared to the LA group $(0.0384\pm0.0016 \text{ g})$ (Fig. 2C) though this difference was not 121 significant ($T_{16} = 1.79$, P = 0.091). 122 Mice acclimated to high altitude had 8% larger body mass corrected lung volume than 123 low altitude controls (HA 0.91±0.019 ml, LA 0.84±0.017 ml; F_{2.15}=6.84, P=0.020). Likewise the

summed lobar volume of the lung was 9% greater in the HA group (HA 0.80±0.017 ml, LA

125 0.73 \pm 0.025 ml; F_{2,15}=4.55, P=0.050) (Fig. 2D). In both cases body mass was a significant 126 covariate.

127 Maximal oxygen consumption

128 All values of $V \Box O_2$ max were corrected for body mass using residuals of linear 129 regression. Initial aerobic performance under normoxic conditions did not differ significantly between the treatment groups (HA=4.59±0.13 ml \bullet min⁻¹, LA=4.42±0.20 ml \bullet min⁻¹; F_{2.15}=1.02, 130 131 P=0.383). A repeated measures ANOVA of post acclimation aerobic performance under 132 normoxic and hypoxic conditions revealed a significant effect of acclimation altitude on $V \square O_2$ max ($F_{1.16} = 8.86$, P = 0.0089) such that HA mice performed better than LA controls. To 133 determine if this was true in both hypoxic and normoxic conditions subsequent post-hoc tests 134 135 were performed. These tests showed that HA mice performed significantly better than LA mice 136 under hypoxia (z = 3.36, P = 0.0042), but not in normoxia (z = 1.58, P = 0.38) (Fig. 3). All mice did better in normoxia than in hypoxia regardless of their acclimation altitude ($F_{1,16} = 32.58$, P < 137 138 0.0001), which was supported by subsequent post hoc analysis. However, HA mice experienced 139 only a 9% reduction in $V \square O_2$ max under hypoxic conditions versus normoxia compared to the 140 16.5% loss in performance observed in low acclimated mice. Under the pO_2 from their respective 141 acclimation regimes, HA under hypoxia and LA under normoxia, mice showed no significant 142 differences in aerobic performance (z = -0.78, P = 0.86), suggesting that HA mice are able to 143 maintain the same level of performance as LA mice even when they are experiencing reduced 144 pO₂.

145

146 Regression of cardiopulmonary size on $V \square O_2$ max

147The complete regression model used included either residuals of $V \Box O_2$ max under148normoxic or hypoxic conditions from post-acclimation runs as the dependent variable and dry149heart mass residuals, lobar volume residuals, and hematocrit as the independent variable. We150used residuals of $V \Box O_2$ max, lobar volume, and heart mass to remove the effect of body mass.

151 Stepwise analysis showed that hematocrit was not a significant predictor of maximal 152 aerobic capacity in either the hypoxia or normoxia, and thus was removed from subsequent 153 analyses. Inclusion of both heart mass and lobar volume produced the best model fit in both the 154 hypoxia run ($F_{2,15}=9.29$, P=0.0018) and the normoxia run ($F_{2,15}=10.71$, P=0.0013) with R² of 155 0.570 and 0.588 respectively. For hypoxia $V \square O_2$ max both heart mass ($t_{15}=3.55$, P=0.0029) and 156 lobar volume ($t_{15}=2.58$, P=0.024) explained a significant proportion of the variance in $V \square O_2$ 157 max with squared semipartial correlation coefficients of $r^2_{Y(H,L)} = 0.361$ and $r^2_{Y(L,H)} = 0.181$ 158 respectively. Both heart mass ($t_{15}=4.06$, P=0.0010) and lobar volume ($t_{15}=2.02$, P=0.061) were 159 also important in explaining the variance in the normoxia run $V \square O_2$ max with corresponding 160 squared semipartial correlation coefficients of $r^2_{Y(H,L)} = 0.452$ and $r^2_{Y(L,H)} = 0.112$. Further 161 reduction of the model to just heart mass resulted in a reduction of the R², and trimming the 162 model to lobar volume alone produced a non-significant result.

163 Regression of $V \square O_2$ max residuals on the summed standardized cardiopulmonary size 164 gave similar results. The R² values for the regression of $V \square O_2$ max under normoxia and hypoxia 165 were 0.534 (Fig. 4A) and 0.555 (Fig. 4B) respectively. It was not possible to further partition the 166 variance for lung volume and heart mass. However the summed cardiopulmonary size was a 167 significant predictor of aerobic performance under both normoxia (F_{1,16} = 18.33, P = 0.00057) 168 and hypoxia (F_{1,16} = 19.95, P = 0.00039).

170 Discussion

In this study we show a concrete link between phenotypic changes resulting from hypoxic exposure and whole organismal performance. We do this in the context of a novel experimental design that allows for direct comparison between acclimated and control animals by use of repeated testing at all altitudes. The power of this study thus comes from the ability to match the size of organs directly related with the oxygen cascade with organismal performance. By doing so we are able to show that the relative sizes of the heart and lungs are important predictors of aerobic performance in individuals at high altitude.

178 Our measurements on lung volume are consistent with our previous findings of 179 significantly larger lung mass in high altitude acclimated deer mice (Hammond et al., 2001) and 180 the 8-9% change in lung volume we found is consistent with the 9% increase in lung volume 181 documented in guinea pigs (Cavia porcellus) developing at high altitude (Hsia et al., 2005). 182 However, unlike other studies of mammals measured under similar protocols (Burri and Weibel, 183 1971; Lechner and Banchero, 1981; Hsia et al., 2005; Ravikumar et al., 2009) at high altitude, 184 the mice used in this study were all well into adulthood, suggesting that deer mice retain the 185 capacity for substantial morphological changes even after development has ended. It will be 186 important to follow up this work with studies to document how those volume changes are

6

manifested at the alveolar and diffusive interfaces within the lungs, but a study of that magnitudewas not the aim of this project.

189 Although the 8% difference in heart mass we found between acclimation altitudes is not 190 statistically significant, small changes in the heart mass of HA animals has been noted previously 191 in guinea pigs exposed to hypoxia (Van Bui & Banchero, 1980). Changes in heart mass due to 192 hypoxia can be the result of right ventricular hypertrophy resulting from pulmonary hypertension 193 (Rabinovitch et al., 1981; Reinke et al., 2011), which is generally considered maladaptive (Storz 194 et al., 2010b), but could also potentially be the result of plasticity to improves cardiac output by 195 hypertrophy of the left ventricle. It is also possible that the slightly lower (~6 °C differential) 196 temperature at the high site was sufficient to induce a small degree of cold acclimation which can 197 also result in increased heart mass (Van Bui & Banchero, 1980; Hammond et al., 2001; Rezende 198 et al., 2009), but the temperature difference observed in this paper is much smaller than the one 199 induced in the aforementioned studies.

200 An unexpected result of this study was the lack of any difference in hematocrit between 201 acclimation groups when measured at UCR at the end of the study. This was unexpected because 202 in repeated published and unpublished work we have observed $\sim 10\%$ increase in hematocrit of 203 high altitude acclimated mice from this same colony (Hammond et al., 1999; Hammond et al., 204 2001; Hammond et al., 2002). Because blood draws on deer mice can have a significant impact 205 on aerobic performance up to two weeks after (Van Sant, 2012), we refrained from measuring 206 hematocrit on HA animals while they were still at high altitude and waited until after their final 207 normoxia run. Therefore, one possible explanation of the hematocrit results is that HA animals 208 were able to sequester excess red blood cells into the spleen during the 1-2 days they were at 209 UCR prior to hematocrit determination. The spleen acts as a reservoir for red blood cells in many 210 mammals (Baker and Remington, 1960; Boning et al., 2011). Thus it is possible that mice 211 acclimated to high altitude sequestered excess red blood cells in the spleen upon return to low 212 altitude resulting in lower hematocrit than 1-2 days earlier at high altitude. The strong negative 213 correlation between hematocrit and spleen mass strongly suggests that HA animals did exactly 214 this after their return to low altitude and potentially explain why HA values of hematocrit 215 approximated those of LA mice.

The reduction in aerobic performance between normoxic and hypoxic runs we observedin the HA group matches closely the difference reported between high and low altitude

218 acclimated mice in previous studies (e.g. Chappell et al., 2007). In fact, the aerobic performance 219 of HA mice under hypoxic conditions was not significantly different from that of LA mice under 220 normoxia. These results demonstrate once again the capacity of these animals to compensate 221 aerobic capacity in spite of a reduction of alveolar pO_2 of up to 37% based on changes in 222 barometric pressure and vapor pressure. Furthermore, the negligible difference in $V \square O_2$ max 223 observed between the HA group compared to the LA group at their respective acclimation pO_2 224 strongly suggests that the physiological changes resulting from acclimation to hypoxia are 225 responsible for this improvement in performance.

226 Because aerobic performance is based on a cascade of oxygen throughout the body and 227 is, therefore, dependent on multiple systems, all measured variables that could influence 228 performance were included in a correlation analyses. However, due to the limitations of this 229 study not all subordinate traits that are responsible for steps in the oxygen cascade are 230 represented, for example, lung capillary density, muscle capillary density, or mitochondrial 231 density. Thus it is important to acknowledge that it is impossible to determine the importance of 232 each of those subordinate traits to aerobic performance. In spite of this limitation the results of 233 this study still highlight the fact that the included measures are able to account for a significant 234 portion of the variance in aerobic performance.

The lack of significance of hematocrit in the final model is probably explained by the sequestration of red blood cells by the spleen in high altitude mice. Still, it cannot be said for certain if hematocrit would have been significant in the final model had it had been measured while the animals were still at high altitude. The high altitude acclimated mice were brought to low altitude to ensure that all animals were processed in a consistent manner, and it was not possible to get hematocrit measurements prior to the completion of all metabolic testing.

241 The importance of heart mass in explaining variance in aerobic performance was 242 expected, due to its connection with cardiac output. The delivery of oxygenated blood from the 243 lungs to the rest of the body is key step in the cascade of oxygen and is dependent on bulk flow 244 produced by contraction of the heart (stroke volume). Stroke volume is presumably greater in 245 mice with relatively larger heart mass, and therefore for a given heart rate cardiac output should 246 likewise be increased. At least in humans, that maximal cardiac output is likely the key limiting 247 factor in aerobic performance (Bassett and Howley, 2000), thus the fact that heart mass 248 explained up to 45% of the variance in our mice is unsurprising.

249 Perhaps more interesting is the fact that the significance of lobar volume as a predictor of 250 aerobic performance was dependent on inclusion of heart mass as a predictor. Particularly in the 251 case of the run in hypoxic conditions, the importance of the lung as a predictor of aerobic 252 performance seems evident. By first principles it is reasonable to assume that any increase in 253 lung volume may be accompanied by an increased surface for gas exchange, albeit not at the 254 same rate, and hence a higher diffusive capacity for oxygen. Previous work has supported this 255 observation that animals acclimated to high altitude develop increased surface area for diffusion 256 (Hsia et al., 2005), but have also stated that diffusive capacity is also dependent on the rate of 257 pulmonary blood flow (Yilmaz et al., 2008). Our results are consistent with the idea that large 258 lungs cannot compensate for hypoxic limitations on aerobic performance unless coupled to 259 enhanced cardiac output and hence a larger heart.

260 Furthermore, while classic work on the lungs suggests that diffusive capacity is in excess 261 at sea level (Hsia et al., 2007; Weibel et al., 1981; Weibel et al. 1992), the significant correlation 262 between lung volume and aerobic performance under hypoxia indicates that at low pO_2 the 263 diffusive capacity of the lungs may indeed become limiting, and may explain why many 264 different types of vertebrates, from fish to mammals, invest in the growth of gas exchange organs 265 in the presence of hypoxia (Burggren and Mwalukoma, 1983; Burri and Weibel, 1971; Lomholt 266 and Johansen, 1979). As the pO_2 gradient falls in the lung in response to hypoxia increasing the 267 surface area should allow for greater diffusion and thus allow animals in hypoxic conditions to 268 maintain aerobic performance. Thus, what has been sometimes characterized as an over-269 structuring of the lungs at sea level may be a form of evolutionary protection against hypoxia. If 270 the excess capacity from sea level animals is completely used during acclimation to high altitude, 271 then diffusive capacity of the lung should match aerobic performance under hypoxia. Tests such 272 as this should be incorporated into future work to see if the phenotypic changes in lung volume 273 are a part of ameliorating performance lost or not.

This study demonstrated a functional linkage between organ-level phenotypic changes and whole-animal aerobic performance. As with previous studies we found phenotypic changes (increased lung volume) in response to high altitude acclimation. We also showed that mice acclimated to high altitude have improved aerobic performance under hypoxic conditions compared to low acclimated mice. Lastly, we bridged the gap between organismal performance and subordinate traits, and showed that changes in organ size (lung) resulting from acclimation

298

280 are related to aerobic performance at the individual level. These results may have increasing 281 significance as climate change continues, and other organisms seek cooler habitats by moving to 282 higher elevations (Moritz et al., 2008). Past work has shown that low altitude natives do 283 demonstrate an acclimation response to hypoxia (Beaudry and McClelland, 2010; Templeman et 284 al., 2010). However, the question remains as to whether organisms that have evolved under the 285 high pO₂ conditions of low altitude will demonstrate an acclimation response to hypoxia that 286 matches the one exhibited by this population of deer mouse, which is originally native to high 287 altitude, or if low pO_2 at high altitudes becomes a barrier for further movement. Future work 288 might focus on an integrative approach that included measures from all steps in the oxygen 289 cascade in order to attempt to discover the importance of each step to aerobic performance. Such 290 work would make it possible to create a statistical model that accounts for changes at each step 291 and allows us to answer questions about the importance of plasticity during acclimation. 292 Additionally, it is important to compare the plastic responses of populations of *P. maniculatus* 293 from low altitude to that of high altitude populations to answer questions regarding the evolution 294 of plasticity. This could be expanded to test the plastic response of other species of *Peromyscus* 295 that inhabit ranges that are much more restricted that P. maniculatus. Such studies would provide 296 a better idea of how plastic response differ across population and species, and if our findings can 297 be broadly generalized to other organisms.

299 Materials and Methods

300 Animals

301 We used 11 male and 7 female adult deer mice (*P. maniculatus sonoriensis*) for this 302 study. Animals ranged from 382 days to 500 days in age and were captive bred at low altitude 303 (340m) in a colony that was originally caught in the White Mountains of eastern California in 304 1995. We prevented mice from producing more than one generation a year so this colony has 305 been reproducing for no more than 18 generations. Additionally most families produced 306 offspring for 2-3 years with a quiescent phase in the winter so, on average mice are no more than 307 10-20 generations removed from the wild. Captive bred mice were used because of the risk of 308 Hantavirus (which occurs in relatively high incidence in our study area) associated with trapping 309 wild mice. While the colony has been removed from the wild for a substantial amount of time, 310 and it is possible that the mice have been unintentionally selected for domestication, we have

done our best to maintain genetic variation. The same colony has been used extensively in the
past (Chappell et al., 2007; Rezende et al., 2009; Russell et al., 2008; Van Sant and Hammond,
2008). Mice were acclimated to one of two conditions; high acclimated (n=10) or low acclimated
(n=8), for a period of nine weeks. The high altitude study site was the Barcroft Laboratory at the
University of California's White Mountain Research Center (Barcroft; 3800 m elevation) and the
low altitude study site was the University of California at Riverside Campus (UCR; 340 m
elevation).

Animals were housed as individuals or pairs in plastic shoebox cages (27 cm x 21 cm x 319 14 cm) with aspen shavings for bedding. They were given ad libitum food and water, and 320 provided with approximately 1g of cotton for nesting. At Barcroft, cages were housed in a room 321 with an average ambient temperature of 16°C±2.27 SD, exposed to the natural photoperiod. 322 Ambient temperature was recorded every 30 min with a Stowaway XTI data-logger (Onset 323 Computer Corp, Bourne, MA, USA) placed in an empty cage filled with bedding. Low altitude 324 animals were housed in a vivarium at a near constant ambient temperature of about 22°C (range 325 21 – 23°C). The lights in the vivarium were set to 14 h:10 h light:dark (L:D) photoperiod to 326 approximate the natural photoperiod at Barcroft.

B Aerobic performance by maximal oxygen consumption

Maximal oxygen consumption ($V \square O_2$ max), as an estimate of aerobic performance, was measured on all mice on three separate occasions (Fig. 1). First, we measured aerobic performance on all mice at low altitude; Second aerobic performance was measured after the 9week acclimation period at the site of the acclimation (high or low altitude; see below for details). The third time aerobic performance was measured was at the 'challenge site'; this was at high altitude for the low altitude group or at low altitude for the high-altitude group.

At the beginning of the experiment, both groups of animals were housed in the UCR Vivarium. Prior to acclimation we measured the initial low altitude aerobic performance (pre acclimation run; ambient $pO_2 \sim 150$ mm Hg, T ~ 20°C) of all 18 mice. Within a day of completing those initial measurements, 10 mice (high altitude acclimation treatment, HA) were moved to 3800 m for nine weeks and 8 mice (low altitude acclimation treatment, LA) remained in the UCR Vivarium. At the end of nine weeks, the final low altitude aerobic performance (normoxia run; ambient $pO_2 \sim 150$ mm Hg, T ~ 20°C) was again measured in the LA mice and within 48 342 hours that low altitude group was transported to Barcroft (3800 m) for twenty-four hours. At 343 Barcroft we measured the final high altitude aerobic performance (hypoxia run; ambient 344 $pO_2 \sim 100 \text{mm Hg}$, T ~ 19°C) for both treatment groups. Subsequently all mice were transported back down to UCR (low altitude). The final low altitude aerobic performance (normoxia run; 345 346 ambient pO₂~150mm Hg, T ~ 20°C) was measured in the HA group within 24 hours of arrival back at UCR. This design was chosen as it tests mice first at their acclimation pO_2 and then at a "challenge" pO_2 to prevent any possible deacclimation from occurring prior to completion of runs. Though mice performed a second bout of $V \square O_2$ max within 48 hours of their first run there is no reason to believe that this was not sufficient time for recovery, as Belding's ground squirrels (*Spermophilus beldingi*) have demonstrated high repeatability in exercise $V \square O_2$ max after only two hours (Chappell et al., 1995).

Although this experimental design involved the transport of mice in potentially stressful conditions (being in a vehicle 6 hours between Riverside CA and the high altitude site near Bishop) it allowed us to ensure that all animals were exposed to the same ambient and atmospheric conditions during the aerobic performance measurements. We have had a great deal of experience with transporting mice up and down the mountain in an air-conditioned vehicle and have found that with apples for hydration and food and adequate time to rehydrate upon arrival to a new site, they handle this disruption relatively well.

Maximal oxygen consumption was measured by open flow respirometry during forced treadmill exercise. Air was supplied either by outlet (UCR) or using a positive pressure pump (Barcroft). Incurrent air was dried by DrieriteTM (Xenia, OH, USA) and scrubbed of carbon 363 dioxide by soda lime. Flow rate was regulated by Porter mass flow controllers (Hatfeild, PA, 364 USA) upstream of the treadmill. The treadmill's working section was enclosed by Plexiglas with dimensions of 6 cm x 7 cm x 13 cm. Flow rates of 2300 ml min⁻¹ and 1550 ml min⁻¹ standard 365 366 temperature and pressure (STP) were used at UCR and Barcroft correspondingly. Approximately 150 ml min⁻¹ of excurrent air was subsampled, then dried and scrubbed of CO₂ before being 367 368 routed through the oxygen sensor. Oxygen concentration was analyzed with an Ametek/Applied 369 Electrochemistry S-3A analyzers (Pittsburg, PA, USA) and then digitized by Sable Systems UI-2 370 (Las Vegas, NV, USA) A-D converters and recorded on a Macintosh computer running Warthog 371 Lab Helper software (www.warthog.ucr.edu).

382

383

384

391

372 Body mass was measured on animals prior to all runs. Mice were then placed on the 373 treadmill and allowed to adjust for a period of 2-4 minutes. During this time a reference reading 374 of unbreathed air was obtained. The treadmill was then started at a low speed (approximately 375 ~0.1m/s), and speed subsequently increased by increments of 0.1m/s every 30-45 seconds until 376 the mouse could either no longer maintain position on the \Box tread or $V \Box O_2$ did not increase with 377 increasing speed. At this time the treadmill was stopped, but $V \square O_2$ measurements continued for 378 several minutes during the animal's recovery period before a second reference reading was 379 recorded.

380 $V \square O_2$ was calculated from O_2 concentrations using the mode 1 equation in Warthog Lab 381 Analyst software (<u>www.warthog.ucr.edu</u>).

$$\dot{V}O_2 = \dot{V}\frac{(F_IO_2 - F_EO_2)}{(1 - F_EO_2)} \quad (1)$$

In equation (1) \dot{V} is flow rate (ml•min⁻¹STP corrected), and F_1O_2 and F_EO_2 are incurrent (reference) and excurrent fractional O₂ concentrations respectively (F_1O_2 was assumed to be 0.2095, and F_EO_2 never fell bellow 0.2080). Due to the size of the treadmill the "instantaneous" correction was applied to account for mixing (Bartholomew et al., 1981) and better resolve shortterm metabolic changes. $V \square O_2$ max was calculated as the highest one-minute average during the running bout or post exercise recovery period.

392 *Dissection and organ measurement*

393 All dissections took place at UCR to ensure consistent processing. After post-acclimation 394 metabolic measurements were completed, we euthanized mice by overdose of Euthasol (0.07 ml 395 IP; Vibrac Animal Health, Fort Worth, TX, USA). High altitude acclimated mice were sacrificed 396 within 48 hours of being returned to low altitude. We obtained blood samples by retro-orbital 397 puncture using heparinized microhematocrit tubes. Hematocrit was calculated from centrifuged 398 tubes as the proportion of packed cells over the total volume of blood in the tube. The heart was 399 subsequently removed from the body, cleaned of any connective tissue, fat, and blood contained 400 within and weighed separately (wet mass). The spleen was treated likewise and weighed for wet

401 mass. Organs were then placed in an oven at 70°C for at least 72 hours and dried to a constant
402 mass before being reweighed (dry mass).

The lungs were fixed by tracheal instillation of a 2.5% buffered gluteraldehyde solution at a constant airway pressure of 25 cm H₂O above the sternum for a period of 30 minutes. At the end of the 30 minutes the tubing leading to the trachea was tied off to maintain the pressure and the fixative in the lungs. The lungs and tubing were removed from the body and then transferred to a vial and submerged in the glutaraldehyde solution for a period of 24 hours at 4°C. The fixed lungs were washed twice in 0.1 M cacodylate buffer (pH 7.4) before being placed in vials with the buffer and stored at 4°C.

Lung volume was measured by immersion displacement directly after removal from the mouse using the method described by Sherle (1970), and again after being separated into lobes (lobar volume; right lung: 4 lobes, left lung: 1 lobe).

414 Statistical Analysis

415 We used a 2x2 factorial design with sex and altitude as the independent variables, and 416 five dependent variables; dry heart mass, lung volume, hematocrit, and $V \Box O_2$ max (under 417 normoxia and hypoxia). There were no differences between sex for any dependent variable, so 418 we combined males and females for the final analysis. Differences between acclimation groups 419 were determined by analysis of variance (ANOVA) and analysis of covariance (ANCOVA) with 420 body mass as a covariate. We used repeated measures ANOVA to analyze mass corrected $V \square O_2$ 421 max data with acclimation altitude as the between subjects factor, and ambient pO_2 during run as 422 the within subjects factor. A post-hoc Tukey HSD test was used for subsequent pairwise 423 comparisons. An alpha of 0.05 was used for statistical significance, however we report all values 424 that approached the threshold of significance. Treatment and error degrees of freedom are 425 enumerated as subscripts to the F values, and unless otherwise stated all F values come from the 426 aforementioned analyses. In all cases, means are reported with standard error of the means 427 (SEM) and are corrected for body mass by adding least square residuals to the grand mean when 428 appropriate. A list of means for all variables considered in this study can be found in Table 1.

In addition to ANOVA, we used a stepwise multiple regression analysis to explore the relationship between $V \square O_2$ max and measures that might have an impact on maximal metabolic output including; lung volume, dry heart mass, and hematocrit. For measures that are typically

432 dependent on body mass ($V \square O_2$ max, lobar volume, heart mass), we used mass residuals. The 433 mass at sacrifice was used for this regression in all cases, including for regression on $V \Box O_2$ max. 434 The body mass at sacrifice represented the fully hydrated state of the animals having been moved 435 between sites, and was measured within five days of both the normoxic and hypoxic runs. In all 436 cases except dry heart mass, body mass was a significant covariate, but the residuals of the dry 437 heart mass regression with body mass were still used as it is known that body mass does have an effect on heart mass in general. We checked for multicollinearity by correlation matrix in all variables prior to adding them into the model, but correlations between the predictors were relatively low. Model fit was evaluated by F values and R^2 , and individual regression coefficients were evaluated by t value and squared semipartial correlation coefficient.

As an alternative to multiple regression, residuals of dry heart mass and lobar volume were standardized as z-scores and added together to get a value of summed cardiopulmonary size. Residuals of $V \square O_2$ max (for both normoxia and hypoxia) were then regressed on cardiopulmonary size and presented in graphically.

7 Acknowledgments

The mice used in this research were covered by the UCR Animal Care Protocol # A20120013BE. We thank Sonia Diaz, Connie Hsia and Cathy Thaler for their assistance in
developing the lung fixation protocol used. Thanks to Matt Van Sant, Nyles Oune, and Jamie
Dolan for their assistance in the laboratory with VO₂ measurements. We thank all the staff of the
White Mountain Research Station and the UC Riverside vivarium staff for their assistance with
the animals. Lastly, thank you to Mark Chappell and Richard Cardullo for their comments in
early drafts of the manuscripts.

456 Funding

This project was made possible primarily with funds from the UCR Academic Senate to K.A.H,
and Sigma Xi GIAR [G20120315159668] to N.J.S.

459

455

460 Author Contributions

- 461 Both K.A.H. and N.J.S. were involved in the process of developing the project. N.J.S. was
- 462 primarily responsible for conducting the experiment and subsequent data analysis. N.J.S. also
- 463 was responsible for preparing the manuscript, with editing from K.A.H.
- 464

465 **List of Abbreviations**

- 466 HA High altitude acclimated mice
- 467 LA Low altitude acclimated mice
- 468 VO₂ max Maximal oxygen consumption
- 469 IP Intraperitoneal Injection
- 470 UCR University of California Riverside

- 471 **References**
- 472 Bartholomew, G. A., Vleck, D. and Vleck, C. M. (1981). Instantaneous Measurements of
 473 Oxygen Consumption During Pre-Flight Warm-Up and Post-Flight Cooling in Sphingid
 474 and Saturniid Moths. *Journal of Experimental Biology* 90, 17–32.
- Baker, C. H. and Remington, J. W. (1960). Role of the spleen in determining total body
 hematocrit. *American Journal Physiology* 198, 906–910.
- 477 Basset, D. and Howley, E. (2000). Limiting factors for maximum oxygen uptake and
 478 determinants of endurance performance.pdf. *Medicine and Science in Sports and*479 *Exercise* 70–84.
- Beaudry, J. L. and McClelland, G. B. (2010). Thermogenesis in CD-1 mice after combined
 chronic hypoxia and cold acclimation. *Comparative Biochemistry and Physiology Part B: Biochemistry and Molecular Biology* 157, 301–309.
- Böning, D., Maassen, N. and Pries, A. (2010). The Hematocrit Paradox How Does Blood
 Doping Really Work? *International Journal of Sports Medicine* 32, 242–246.
- Burggren, W. and Mwalukoma, A. (1983). Respiration during chronic hypoxia and hyperoxia
 in larval and adult bullfrogs (Rana catesbeiana). I. Morphological responses of lungs,
 skin and gills. *Journal of Experimental Biology* 105, 191–203.
- Burri, P. H. and Weibel, E. R. (1971). Morphometric estimation of pulmonary diffusion
 capacity: II. Effect of PO2 on the growing lung adaption of the growing rat lung to
 hypoxia and hyperoxia. *Respiration Physiology* 11, 247–264.
- 491 Chappell, M. A. and Snyder, L. R. (1984). Biochemical and physiological correlates of deer
 492 mouse alpha-chain hemoglobin polymorphisms. *Proceedings of the National Academy of*493 *Sciences* 81, 5484–5488.
- 494 Chappell, M. A., Hammond, K. A., Cardullo, R. A., Russell, G. A., Rezende, E. L. and
 495 Miller, C. (2007). Deer Mouse Aerobic Performance across Altitudes: Effects of
 496 Developmental History and Temperature Acclimation. *Physiological and Biochemical*497 Zoology 80, 652–662.
- Chappell, M. A., Hayes, J. P. and Snyder, L. R. G. (1988). Hemoglobin Polymorphisms in
 Deer Mice (Peromyscus maniculatus): Physiology of Beta-Globin Variants and AlphaGlobin Recombinants. *Evolution* 42, 681–688.

501	Chappell, M. A., Bachman, G. C. and Odell, J. P. (1995). Repeatability of Maximal Aerobic				
502	Performance in Belding's Ground Squirrels, Spermophilus beldingi. Functional Ecology				
503	9 , 498–504.				
504	Cheviron, Z. A., Bachman, G. C., Connaty, A. D., McClelland, G. B. and Storz, J. F. (2012).				
505	Regulatory changes contribute to the adaptive enhancement of thermogenic capacity in				
506	high-altitude deer mice. Proceedings of the National Academy of Sciences 109, 8635–				
507	8640.				
508	Cheviron, Z. A., Bachman, G. C. and Storz, J. F. (2013). Contributions of phenotypic				
509	plasticity to differences in thermogenic performance between highland and lowland deer				
510	mice. Journal of Experimental Biology 216, 1160-1166.				
511	Cheviron, Z. A., Connaty, A. D., McClelland, G. B. and Storz, J. F. (2014). Functional				
512	Genomics of Adaptation to Hypoxic Cold-Stress in High-Altitude Deer Mice:				
513	Transcriptomic Plasticity and Thermogenic Performance. Evolution 68, 48-62.				
514	Garland, T. and Carter, P. A. (1994). Evolutionary Physiology. Annual Review of Physiology				
515	56 , 579–621.				
516	Hammond, K, Chappell, M and Kristan, D (2002). Developmental plasticity in aerobic				
517	performance in deer mice (Peromyscus maniculatus). Comparative Biochemistry and				
518	Physiology - Part A: Molecular & Integrative Physiology 133, 213–224.				
519	Hammond, K. A., Roth, J., Janes, D. N. and Dohm, M. R. (1999). Morphological and				
520	Physiological Responses to Altitude in Deer Mice Peromyscus maniculatus.				
521	Physiological and Biochemical Zoology 72, 613–622.				
522	Hammond, K. A., Szewczak, J. and Król, E. (2001). Effects of Altitude and Temperature on				
523	Organ Phenotypic Plasticity Along an Altitudinal Gradient. Journal of Experimental				
524	<i>Biology</i> 204 , 1991–2000.				
525	Hayes, J. P. (1989). Field and Maximal Metabolic Rates of Deer Mice (Peromyscus				
526	maniculatus) at Low and High Altitudes. Physiological Zoology 62, 732–744.				
527	Hsia, C. C. W., Carbayo, J. J. P., Yan, X. and Bellotto, D. J. (2005). Enhanced alveolar				
528	growth and remodeling in Guinea pigs raised at high altitude. Respiratory Physiology &				
529	<i>Neurobiology</i> 147 , 105–115.				
530	Hsia, C. C. W., Johnson, R. L., McDonough, P., Dane, D. M., Hurst, M. D., Fehmel, J. L.,				
531	Wagner, H. E. and Wagner, P. D. (2007). Residence at 3,800-m altitude for 5 mo in				

533 Journal of Applied Physiology 102, 1448–1455. 534 Lechner, A. J. and Banchero, N. (1980). Lung morphometry in guinea pigs acclimated to 535 hypoxia during growth. Respiration Physiology 42, 155–169. 536 Lomholt, J. P. and Johansen, K. (1979). Hypoxia Acclimation in Carp: How It Affects O₂ 537 Uptake, Ventilation, and O₂ Extraction from Water. *Physiological Zoology* 52, 38–49. 538 McLaughlin, D. W. and Meints, R. H. (1972). A study of hibernator erythropoietic responses 539 to simulated high altitude. Comparative Biochemistry and Physiology Part A: Physiology 540 42, 655-666. 541 Moritz, C., Patton, J. L., Conroy, C. J., Parra, J. L., White, G. C. and Beissinger, S. R. 542 (2008). Impact of a Century of Climate Change on Small-Mammal Communities in 543 Yosemite National Park, USA. Science 322, 261–264. 544 Rabinovitch, M., Gamble, W. J., Miettinen, O. S. and Reid, L. (1981). Age and sex influence 545 on pulmonary hypertension of chronic hypoxia and on recovery. American Journal of 546 *Physiology Heart and Circulatory Physiology* **240**, H62–H72. 547 Reinke, C., Bevans-Fonti, S., Grigoryev, D. N., Drager, L. F., Myers, A. C., Wise, R. A., 548 Schwartz, A. R., Mitzner, W. and Polotsky, V. Y. (2011). Chronic intermittent hypoxia 549 induces lung growth in adult mice. American Journal of Physiology - Lung Cellular and 550 Molecular Physiology 300, L266 –L273. 551 Rezende, E. L., Chappell, M. A. and Hammond, K. A. (2004). Cold-acclimation in 552 Peromyscus: temporal effects and individual variation in maximum metabolism and 553 ventilatory traits. Journal of Experimental Biology 207, 295–305. 554 Rezende, E. L., Hammond, K. A. and Chappell, M. A. (2009). Cold acclimation in 555 Peromyscus: individual variation and sex effects in maximum and daily metabolism, 556 organ mass and body composition. Journal of Experimental Biology 212, 2795–2802. 557 Russell, G. A., Rezende, E. L. and Hammond, K. A. (2008). Development partly determines 558 the aerobic performance of adult deer mice, Peromyscus maniculatus. Journal of 559 Experimental Biology 211, 35–41.

growing dogs enhances lung diffusing capacity for oxygen that persists at least 2.5 years.

Sawin, C. F. (1970). Sea-level and high-altitude breeding colonies of Peromyscus maniculatus
 sonoriensis. *American Journal of Physiology* 218, 1263–1266.

562 Snyder, L. R. G., Haves, J. P. and Chappell, M. A. (1988). Alpha-Chain Hemoglobin 563 Polymorphisms are Correlated with Altitude in the Deer Mouse, Peromyscus 564 maniculatus. Evolution 42, 689–697. 565 Storz, J. F., Sabatino, S. J., Hoffmann, F. G., Gering, E. J., Moriyama, H., Ferrand, N., 566 Monteiro, B. and Nachman, M. W. (2007). The molecular basis of high-altitude 567 adaptation in deer mice. *PLoS Genetics* **3**, e45. 568 Storz, J. F., Runck, A. M., Sabatino, S. J., Kelly, J. K., Ferrand, N., Moriyama, H., Weber, 569 **R. E. and Fago**, **A.** (2009). Evolutionary and functional insights into the mechanism 570 underlying high-altitude adaptation of deer mouse hemoglobin. Proceedings of the 571 National Academy of Sciences. 106, 14450-14455. 572 Storz, J. F., Runck, A. M., Moriyama, H., Weber, R. E., Fago, A. (2010a) Genetic differences 573 in hemoglobin function between highland and lowland deer mice. Journal of 574 Experimental Biology, 213: 2565-2574. 575 Storz, J. F., Scott, G. R. and Cheviron, Z. A. (2010b). Phenotypic plasticity and genetic 576 adaptation to high-altitude hypoxia in vertebrates. Journal of Experimental Biology 213, 577 4125 - 4136. 578 Templeman, N. M., Beaudry, J. L., Le Moine, C. M. R. and McClelland, G. B. (2010). 579 Chronic hypoxia- and cold-induced changes in cardiac enzyme and gene expression in 580 CD-1 mice. Biochimica et Biophysica Acta (BBA) - General Subjects 1800, 1248–1255. 581 Tufts, D. M., Revsbech, I. G., Cheviron, Z. A., Weber, R. E., Fago, A. and Storz, J. F. 582 (2013). Phenotypic plasticity in blood-oxygen transport in highland and lowland deer 583 mice. Journal of Experimental Biology 216, 1167–1173. 584 Van Bui, M. and Banchero, N. (1980). Effects of chronic exposure to cold or hypoxia on 585 ventricular weights and ventricular myoglobin concentrations in guinea pigs during 586 growth. Pflugers Archiv 385, 155–160. 587 Van Sant, M. J. and Hammond, K. A. (2008). Contribution of Shivering and Nonshivering 588 Thermogenesis to Thermogenic Capacity for the Deer Mouse (Peromyscus maniculatus). 589 *Physiological and Biochemical Zoology* **81**, 605–611. 590 Van Sant, M. J. (2012). The Physiological Ecology of Mammals in Extreme Environments.

591

Ph.D. UC Riverside 92 – 120.

Weibel, E. R., Taylor, C. R., Gehr, P., Hoppeler, H., Mathieu, O. and Maloiy, G. M. O. (1981). Design of the mammalian respiratory system. IX. Functional and structural limits for oxygen flow. *Respiration Physiology* 44, 151–164. Weibel, E. R., Richard Taylor, C. and Hoppeler, H. (1992). Variations in function and design: Testing symmorphosis in the respiratory system. *Respiration Physiology* 87, 325–348. Yilmaz, C., Merrill Dane, D. and Hsia, C. C. W. (2007). Alveolar diffusion-perfusion interactions during high-altitude residence in guinea pigs. *Journal of Applied Physiology*

, 2179 –2185.

Fig. 1. Experimental design employed in this study. All mice (black, N=18) were run at low altitude (150 mmHg) initially, and then acclimated either to 3800 m (blue, n=10) or 340 m (red, n=8) for a period of 9 weeks. At the end of the acclimation period all mice were run at low (150 mmHg) and high (100 mmHg) altitude before being brought to UCR for final processing.

Fig. 2. Depicts the mean value of traits (A, body mass; B, hematocrit; C, heart mass; D, lobar volume) at time of sacrifice in deer mice from the two different acclimation altitudes. Asterisks indicate statistically significant differences between acclimation groups. Values are means ± 1 SEM. (N as in text).

Fig. 3. Depicts VO₂ max (ml/min) in both high acclimated (blue) and low acclimated (red) deer mice from the three VO₂ max measurements. Post hoc results are indicated. Letters that are different from each other indicate statistically significant differences within groups; asterisks indicate significant differences between groups. Values are least squares means of body mass ± 1 SEM. (*N* as in text).

Fig. 4. Depicts the regression of VO₂ max residuals from normoxic (A) and hypoxic (B) conditions regressed against the summed standardized residuals of dry heart mass and lobar volume. High acclimated (blue) and low acclimated (red) individuals are marked by color. Also shown are the line of best fit and the 95% confidence interval of the line.

Table 1	Low acclimated (n=8)		High acclimated (n=10)			
	Mean	±SEM	Mean	±SEM		
Body mass (g)		I				
Pre-acclimation	23.97	0.74	24.44	0.78		
Post acclimation	24.01	0.76	23.52	0.60		
Hematocrit (%)	47.04	0.83	46.90	1.55		
Dry spleen mass (g)	0.0069	0.0006	0.0076	0.0016		
Heart mass (g)						
Wet	0.177	0.005	0.190	0.006		
Dry	0.038	0.002	0.042	0.002		
Lung volume (ml)						
Whole	0.840*	0.019	0.909*	0.017		
Lobar	0.732*	0.025	0.797*	0.018		
$VO_2 \max (ml \bullet min^{-1})$						
Нурохіа	3.77*	0.19	4.38*	0.09		
Normoxia	4.52	0.14	4.81	0.10		
Values for heart mass, lung volume, and VO_2 max are mass corrected means.						
* Asterisks indicate significant differences between groups						







