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Developmental Stress has Sex-Specific Effects on Nestling Growth and Adult Metabolic
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            Rates but no Effect on Adult Body Size or Body Composition in Song Sparrows
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#### 29 Summary

30 Variation in the pre- and postnatal environments can have long-term effects on adult phenotype. 31 In humans and other animals, exposure to stressors can lead to long-term changes in physiology. 32 These changes may predispose individuals to disease, especially disorders involving energy 33 metabolism. In addition, by permanently altering metabolic rates and energy requirements, such 34 effects could have important fitness consequences. We determined the effects of early-life food 35 restriction and corticosterone (CORT) treatment on growth and adult body size, body 36 composition (assessed via quantitative magnetic resonance), and metabolic rates in a songbird, 37 the song sparrow (Melospiza melodia). Nestlings were hand-raised in captivity from 3 days of 38 age (d3). Treatments (ad libitum food, food restriction, or CORT-treatment) lasted from d7-d60. 39 Both experimental treatments had sex-specific effects on growth. In the nestling period, CORT-40 treated males weighed more than controls, whereas CORT-treated females weighed less than 41 controls. Food-restricted males weighed the same as controls, whereas food-restricted females 42 weighed less than controls. Both experimental treatments also had sex-specific effects on 43 standard metabolic rates (SMR). Females exposed to food restriction or CORT treatment during 44 development had higher SMRs in adulthood than control females, but neither stressor affected 45 SMR in males. There were no effects of either treatment on adult body size, body composition 46 (lean or fat mass), or peak metabolic rates. Therefore early-life stress may have sex-specific 47 programming effects on metabolic rates and energy expenditure in song sparrows. In addition, 48 both treatments affected nestling growth in a manner that exaggerated the typical sex difference 49 in nestling mass, which could provide male nestlings with a competitive advantage over their 50 sisters when developing in a poor quality environment.

Keywords: aerobic capacity, basal metabolic rate, bird, body composition, glucocorticoid,
metabolic scope, peak metabolic rate, plasticity, songbird, standard metabolic rate, stress

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#### 55 **1. Introduction**

56 Variation in the pre- and postnatal environments can lead to long-term variation in adult 57 phenotype, a process often referred to as developmental programming (McMillen and Robinson, 58 2005). In particular, exposure to stressors early in life, such as nutritional restriction, infection, or 59 elevated gluocorticoid levels, can alter development leading to permanent changes in physiology 60 (McMillen and Robinson, 2005; Rinaudo and Wang, 2011; Welberg and Seckl, 2001). In 61 humans, these early-life events alter fetal or infant growth and may predispose individuals to disease, especially those involving energy metabolism. For example, low birth weight in humans 62 63 is associated with increased risk of obesity, type II diabetes, and impaired lipid metabolism in adulthood (Barker et al., 1993; Rinaudo and Wang, 2011). Individuals exposed to famine in 64 65 utero have higher indices of obesity (Ravelli et al., 1999) and impaired glucose tolerance 66 (Ravelli et al., 1998), suggesting that nutritional restriction during development may be a 67 particularly important risk factor for disease in later life. In support of this, rats exposed to a low 68 protein diet in utero or during the early postnatal period exhibit altered postnatal growth and 69 long-term changes in glucose metabolism and insulin resistance (Zambrano et al., 2006). In 70 mammals, the specific physiological effects of a stressor often depend on what stage of 71 development exposure occurred (Painter et al., 2005).

72 In addition to changes in energy metabolism, studies in birds have shown important links 73 between variation in the early rearing environment and variation in metabolic rates. For example, 74 zebra finches (Taeniopygia guttata) raised in experimentally enlarged broods had higher 75 standard metabolic rates (SMRs) in adulthood compared to those raised in smaller broods 76 (Verhulst et al., 2006). In the same species, treatment with the glucocorticoid hormone 77 corticosterone (CORT) during the nestling period increased overnight variability in SMRs, 78 however this effect was seen only during the treatment period and not in adulthood (Spencer and 79 Verhulst, 2008). In both these studies, the effect of the stressor on metabolic rates was more 80 severe in females than males, suggesting that early-life stressors could have sex-specific 81 programming effects on energy expenditure. Variation in metabolic rates could in turn have 82 important fitness consequences. For example, individuals with higher metabolic rates have 83 higher energy requirements and may have to spend more time foraging for food or be less likely 84 to survive food shortages. High resting metabolic rates have also been linked to decreased 85 longevity (Manini, 2010; Speakman, 2005). In addition, basal metabolic rates (BMRs) are

positively correlated to reproduction, such that species with high BMRs often have higher
reproductive rates (Hennemann, 1983). Therefore, at the inter-specific level, variation in
metabolic rates may mediate important tradeoffs between reproduction and survival. However,
whether or not variation in metabolic rates is related to reproduction and survival within a
species is less clear.

91 The physiological mechanisms underlying the effects of early-life stressors on energy 92 metabolism and metabolic rates involve many processes (Rinaudo and Wang, 2011). The stressor 93 may directly alter the development of an organ resulting in permanent changes in organ 94 morphology or function. For example, pre and postnatal protein restriction in rats reduces the 95 growth of the pancreas, spleen, muscle, and liver (Desai et al., 1996). Changes in organ size 96 could be due to reductions in cell number or cell size. In rats, early-life protein restriction 97 decreases beta cell proliferation and the size of islets in the pancreas (Snoeck et al., 1990). A 98 variety of stressors may also increase fetal or neonatal glucocorticoid exposure, which also affect 99 offspring growth and development (Fernandez-Twinn and Ozanne, 2006; Welberg and Seckl, 100 2001). Food restriction can increase baseline and stress-induced glucocorticoid levels in birds 101 (Kempster et al., 2007; Kitaysky et al., 2001), amphibians (Crespi and Denver, 2005), and 102 mammals (Lesage et al., 2001). In turn, early-life glucocorticoid exposure has many of the same 103 detrimental effects as nutritional restriction, including growth retardation (Spencer et al., 2003), 104 impaired brain development (Buchanan et al., 2004), and altered energy metabolism (Harris and 105 Seckl, 2011; O'Regan et al., 2004). In addition, stressors during development can alter typical 106 patterns of somatic growth, which can also be detrimental. A stressor may initially retard growth 107 but be followed by a period of rapid growth acceleration once the stressor subsides (catch-up 108 growth) such that there are no long-term effects on body size. Although beneficial in the short-109 term, catch-up growth may negatively affect health and fitness (Hales and Ozanne, 2003; 110 Metcalfe and Monaghan, 2001). For example, catch-up growth results in long-term increases in 111 resting metabolic rates in zebra finches (Criscuolo et al., 2008) and decreases longevity in rats 112 (Jennings et al., 1999).

We examined the effects of early-life food restriction and treatment with exogenous CORT on i) nestling growth and adult ii) body size, iii) body composition, and iv) metabolic rates in song sparrows (*Melospiza melodia*). We used CORT treatment to determine whether glucocorticoids have similar effects as food restriction on growth and physiology. Since a variety 130

117 of stressors increase glucocorticoid levels, this allowed us to determine if a number of different 118 stressors might affect growth and metabolism via CORT in song sparrows. We monitored 119 nestling growth during and after the treatment period to determine if birds exhibited catch-up 120 growth and to evaluate the long-term effects of each treatment on adult body size. We also used 121 quantitative magnetic resonance (QMR) analysis to examine body composition, to determine if 122 developmental stress has long-term effects on lean and fat mass. Last, we investigated the effects 123 of food restriction and CORT treatment on metabolic rates, specifically standard metabolic rates 124 (SMR) and peak metabolic rates (PMR). Although past studies on birds have examined the 125 effects of variation in the early rearing environment on SMRs, no studies have examined PMR to 126 determine if early-life stress could affect the ability of an animal to perform intense exercise. 127 Because the ability to perform intense exercise might be necessary for birds to forage, escape 128 predators, and complete annual migrations, changes in PMR could have important fitness 129 consequences.

## 131 **2. Methods**

#### 132 2.1 Study Subjects and Rearing Conditions

133 Song sparrow nests were located near Newboro, Ontario, Canada (44°38'N, 76°20'W) 134 during May and June 2010. Nests were monitored to determine the day-of-hatch. All nests hatched between May 9<sup>th</sup> – June 7<sup>th</sup> 2010 and represented the first brood for the pair that year. 135 The territorial male associated with each nest was caught using mistnets and conspecific song 136 137 playback, and had morphological measurements collected (see below) prior to nests hatching, in 138 April and May 2010. Since extra-pair paternity is infrequent in this study population 139 (consistently below 10% of nestlings; Potvin and MacDougall-Shackleton, 2009; EAMS 140 unpublished data), the resident male was presumed to be the genetic father of nestlings hatching 141 on the territory. We did not catch the female associated with each territory (the presumed 142 mother) because we did not want to interfere with egg laying or incubation, which may increase 143 the chance of nest predation or desertion. A total of 47 nestlings from 15 broods were used for 144 this study. Of these, 43 were brought into captivity at 3-4 days post-hatch (d3-d4), and 4 were 145 brought in at ~d7 (mean=3.44 days, SEM=0.16; Table 1). 146 Nestlings were kept warm using heat lamps and electric heating pads until they

147 developed feathers (~d7), and were transported to The University of Western Ontario, London,

independently (~d25), at which point they were housed individually. Birds were kept on a long
day photoperiod (16L:8D) until August 16th, 2010, then switched to short days (10L:14D) for
the remainder of the experiment. Sex of nestlings was determined using polymerase chain
reaction (PCR) amplification of genes on the sex chromosomes (Griffiths et al., 1998).
Amplification and electrophoresis conditions are described elsewhere (Potvin and MacDougall-

Ontario, Canada and housed at the Advanced Facility for Avian Research for the remainder of

the experiment. Nestlings were housed in a cage with their siblings until they began eating

## 155 Shackleton, 2010).

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## 156 2.2 Experimental Treatments

157 Within each brood, nestlings were assigned to one of the three treatment groups (control, 158 food restriction, or CORT treatment). This was done using block randomization, such that if 159 there were three or more nestlings in a brood at least one nestling was assigned to each treatment. 160 This method of randomization was used instead of true randomization to ensure that we had 161 similar sample sizes for each treatment group. In addition, this procedure allowed us to ensure 162 that there were never more than two nestlings from a given brood in a treatment and therefore to 163 control for nest of origin as best as possible. In total, there were 16 control subjects (9 males, 7 164 females), 16 food-restricted subjects (8 males, 8 females) and 15 CORT-treated subjects (6 165 males, 9 females; Table 1). Food restriction and CORT treatment lasted from d7-d60 (see Fig 1 166 for timeline).

167 All nestlings received a standard hand-rearing diet administered via 1mL syringes. The 168 diet consisted of ground Mazuri Small Bird Maintenance diet (56A6), hard-boiled chicken eggs 169 (shells removed), wheat germ, water, and Prime avian vitamin supplement (Rolf C. Hagen Inc, 170 Montreal, QC). We followed a food restriction protocol that has been used for a variety of 171 songbird species (Nowicki et al., 2002; MacDonald et al., 2006). Briefly, for each brood, the 172 control and CORT-treated birds were first fed ad libitum. We calculated the average amount of 173 food eaten by nestlings in these two groups and then fed 65% of this amount to the food-174 restricted siblings. Nestlings were fed every 30 min during daylight hours until d18. At this time, 175 we added food dishes to the cages and slowly lengthened the feeding interval to encourage birds 176 to eat independently. Once feeding independently, birds were fed a 50:50 mix of ground Mazuri 177 Small Bird Maintenance Diet (catalogue number 56A6) and premium budgie seed (Rolf C. 178 Hagen Inc, Montreal, QC). In order to continue the food restriction stressor into the fledgling

period, we removed food cups for 3 h per day until d60 for this treatment group. The start of this
3 h period was randomized each day. This protocol has been used in European starlings and
affects adult body size, immune function, song production, and spatial learning (Buchanan et al.,
2003; Farrell et al., 2011).

183 For CORT treatment, CORT was dissolved in peanut oil and orally administered to birds. 184 This non-invasive technique results in a transient increase in CORT similar to that experienced in 185 response to an acute stressor and in nestling zebra finches affects nestling growth, brain 186 development, and song learning (Buchanan et al., 2004; Spencer et al., 2003). We used a dose of 187 0.87 µg/g body weight, which was determined during pilot studies (see below). CORT was fed to 188 nestlings twice per day, once in the morning and once in the evening. Control and food-restricted 189 birds were fed peanut oil alone. Once birds were eating independently, CORT was first injected 190 into wax worm larvae and then fed to birds once per day in the morning until d60 (Breuner et al., 191 1998). Control and food-restricted birds were fed wax worm larvae injected with oil only.

192 We conducted a pilot study to verify that orally administering CORT resulted in a 193 transient increase in CORT similar to that observed in song sparrows in response to restraint 194 stress (MacDougall-Shackleton et al., 2009; Newman et al., 2008). We injected CORT into wax 195 worm larvae (dose =  $1 \mu g/g$  body weight) and fed the worms to captive song sparrows. Blood 196 samples were collected 0, 10, or 30 min post-ingestion of the worm. CORT levels were low 0 197 min post-ingestion (n=4, 4.16  $\pm$  2.38), peaked 10 min post-ingestion (n=3, 173.13  $\pm$  51.40 198 ng/mL) and had begun to decrease after 30 min (n=4,  $61.58 \pm 9.35$  ng/mL). Because peak CORT 199 levels were slightly higher than CORT levels post-restraint in our population (MacDougall-200 Shackleton et al., 2009; Schmidt et al., 2012), we used a slightly lower dose of 0.87  $\mu$ g/g body 201 weight for our experiment. In studies using a similar manipulation in white-crowned sparrows, 202 CORT levels peaked 7 min post-ingestion of the worm, were still elevated 30 min post-ingestion, 203 and had returned to baseline after 60 min (Breuner et al., 1998). Therefore, this method of 204 administration results in a transient increase in CORT that is very similar to the increase 205 observed after exposure to an acute stressor.

To verify that the CORT treatment was effective during the experiment, we collected blood samples (~30  $\mu$ L) on d10 and d45, 10 min after administration of CORT or vehicle to determine plasma CORT levels. CORT was quantified in unextracted plasma using a radioimmunoassay (MP Biomedicals, 07-120103) that has been previously validated in song sparrows (Newman et al., 2008). Three separate assays were conducted and samples from all subjects were randomly assigned to an assay such that each treatment was equally represented in each assay. The lower limit of detectability ranged from 1.8 – 2.6 ng/mL. Inter-assay variation was 5.5% for a low control and 4.1% for a high control. Intra-assay variation was 9.4% for the low control and 3.9% for the high control.

## 215 2.3 Body Measurements

216 Body mass was measured using a spring scale to the nearest 0.1 g. We measured nestling 217 body mass daily as soon as the lights came on (5:30 AM) until d25. Thereafter, we measured 218 body mass every 5 days until d60. Adult body mass (~ 7 months) was measured the evening 219 prior to and the morning following SMR measurements and prior to PMR measurements. To 220 compare adult masses across treatments, we used masses recorded the morning after SMR 221 measurements when birds were in the post-absorptive state. We also measured the length of the 222 wing chord and tarsus to the nearest 0.1 mm using dial calipers on d25, d45, and during 223 adulthood prior to SMR measurements.

## 224 2.4 Body Composition Analysis

225 We determined lean and fat mass using quantitative magnetic resonance (QMR) analysis (Guglielmo et al., 2011) the morning following SMR determination when birds were still in the 226 227 post-absorptive state. The QMR unit (Echo-MRI-B, Echo Medical Systems, Houston, Texas) 228 was custom-designed for use with small birds and bats. The QMR was calibrated daily using 5 g 229 and 94 g canola oil standards. To use the QMR, awake birds were placed into plastic holding 230 tubes and inserted into the OMR analyzer and scanned using the "small bird" and "two 231 accumulation" settings of the Echo MRI software. Fat and lean mass measurements were 232 reported to the nearest 0.001 g. Fat and lean mass measurements were slightly adjusted to 233 improve accuracy using calibration equations developed from house sparrows and zebra finches 234 (fat mass: raw value x 0.94; lean mass: raw value x 1.021, Gerson and Guglielmo, 2011; 235 Guglielmo et al., 2011). Validation studies conducted previously show that the coefficients of 236 variation for fat and lean mass are 3% and 0.5%, respectively and relative accuracies are  $\pm 11\%$ 237 and  $\pm 1\%$ , respectively (Guglielmo et al., 2011).

238 2.5 Respirometry

239 Standard Metabolic Rates

	240	Metabolic rates were measured using open-circuit respirometry. We measured the SMR
	241	of birds between December 2010 and January 2011 when birds were ~7 months old (mean=214
	242	days, SEM=0.88), which was about 5 months after the end of the stress treatments. Beginning at
	243	20:00 h, body measurements were taken and birds were placed into one of 5 stainless-steel
	244	chambers. Chambers were placed in a temperature-controlled cabinet at 30°C, which is within
	245	the thermoneutral zone for other species of songbirds that are similar in size to song sparrows
	246	(Root et al., 1991). Four birds were individually placed into the chambers every night and the
CRIPT	247	remaining chamber was used for baseline measurements. Birds fasted in the chambers for 3 h
	248	and then $O_2$ consumption was measured in the remaining 9 h of the overnight period. Thus
	249	measurements were taken during the inactive period, in the post-absorptive state, and while birds
NUS	250	were housed on short-days and thus in non-breeding condition. However, the exact temperature
R MA	251	range of the thermoneutral zone for song sparrows is unknown so we refer to our measurements
OHTU	252	as standard metabolic rates (SMRs) instead of basal metabolic rates (BMRs). Incurrent air was
ID AU	253	scrubbed of $CO_2$ and water vapor using soda lime and Drierite, respectively. The five sealed
EPTE	254	chambers received a constant flow of 450 mL/min. Excurrent air was sub-sampled at 150
-ACC	255	mL/min and passed through a Drierite column to the CO <sub>2</sub> analyzer (catalogue number: CA-2A;
logy -	256	Sable Systems Las Vegas, NV) and the O <sub>2</sub> analyzer (Sable Systems FC-1B), with CO <sub>2</sub> and water
al Bio	257	scrubbing between the two gas analyzers. Gas analyzers were calibrated daily using a standard
iment	258	containing 20.9% $O_2$ and 2% $CO_2$ balanced with $N_2$ (Praxair, London, ON). Using a multiplexer
Exper	259	(Sable Systems), one chamber was measured at a time for 10 minutes before switching to the
The Journal of Experimental Biology – ACCEPTED AUTHOR MANUSCRIPT	260	next chamber. In total, each bird was measured 12 times throughout the night for 10 minutes at a
	261	time. All instruments were connected to an analog-to-digital converter (UI-2 model, Sable
	262	Systems), which was connected to a laptop computer. Data analysis was done using Warthog
	263	Systems Lab Analyst software (M.A. Chappel, University of California Riverside). SMR values
	264	reported were calculated as the minimum 10 min mean of O <sub>2</sub> consumption throughout the
	265	measurement period. We calculated VO <sub>2</sub> (based on calculations in Lighton, 2008; p 112,

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cage.

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271 The same flow system used to determine SMRs was used to determine the PMR of each 272 bird. After measuring SMR, birds were left undisturbed in their home cage for one full day. We 273 measured PMR the afternoon of the following day (39-42 h after the start of SMR measurement). 274 PMR was measured using an enclosed running wheel modified for use with flying birds (Pierce 275 et al., 2005; Price and Guglielmo, 2009). The wheel (width=16 cm; diameter=24 cm) was made 276 of acrylic plastic and was lined with rubber. Three ping-pong balls were placed in the wheel to 277 prevent birds from walking. Air flowed into the wheel at a rate of 4000 mL/min and was sub-278 sampled as described above for measurements of SMR. Food dishes were removed 3 h before 279 testing to insure birds were in the post-absorptive state. Beginning at 11:00, and no later than 280 14:00, birds were weighed and placed into the flight wheel. The flight wheel was covered and 281 birds were allowed to acclimate for 10 min. The wheel was then spun manually to initiate 282 exercise. The wheel was kept in constant motion so that birds were forced to hop and hover until 283 PMR was reached (always occurred within 15 min). This method provides a significant aerobic 284 challenge and has been used to estimate PMR in several previous studies of flying birds (Pierce 285 et al., 2005; Price and Guglielmo, 2009). In all cases, after PMR was reached O<sub>2</sub> consumption 286 decreased and then stabilized. The PMR of an individual was calculated as the maximum mean 287 of O<sub>2</sub> consumption over a 1 min period. Data are expressed as watts and we calculated the 288 metabolic scope of each individual (PMR/SMR), which provides an estimate of intensity of 289 exercise (Pierce et al., 2005).

#### 290 2.7 Data Analysis

Statistical analyses were conducted using SPSS version 19. For CORT levels, we conducted linear mixed models using restricted maximum likelihood (REML) models. Subject identity was added as a random factor with unstructured covariance. Age, treatment, and sex were included as fixed effects. Significant main effects of treatment were analyzed using least significant difference (LSD) pairwise comparisons.

We also used linear mixed models to analyze nestling growth data. We conducted two separate analyses to reflect the two different parts of the treatment period. The first analysis involved the mass of nestlings from d9-d18, that is, throughout the hand-rearing period. We expected the treatments to most strongly affect growth during this period since this is when the food restriction stressor was most severe and was also when CORT-treated birds were fed CORT

301 twice per day instead of once. The second analysis involved the mass of nestlings from d19-d60, 302 the period in which birds began feeding independently up to the end of the treatment period. For 303 both analyses, age was added as a repeated factor with first-order autoregressive covariance structure (West, 2009). Sex, treatment, and age were added as fixed effects. Significant sex x treatment interactions were further analyzed by conducting linear mixed models for each sex with treatment and age as fixed factors. Significant main effects of treatment were analyzed using LSD pairwise comparisons. Paternal body mass and hatch date were included as covariates and nest identity (the natal brood nestlings came from) was included as a random factor. For nest identity, each nest was assigned a nominal value so that all siblings shared the same value but had a different value than individuals from other nests. This variable was coded as a nominal variable and was selected as a random factor in all analyses. The mass of nestlings the day they were brought into captivity, and thus before the treatments begun, was also included as a covariate in order to control for chance variation in mass or condition. One initial model was conducted for each age period (d9-d18 and d19-d60) that included the fixed factors (treatment, sex, age), the random factor (nest identity) and the covariates (hatch date, paternal mass, initial nestling mass). If the covariates or random factor were not significant they were removed from the analysis in order to create the simplest model possible.

To compare the effects of the treatments on body size, we analyzed mass, tarsus, and wing length using a principal component analysis (PCA) at each age (d25, d45, adulthood), since these three measures were highly correlated. Data were log transformed before being entered into the PCA. At all three ages, the PCA revealed one component with an eigenvalue greater than 1 322 (Table 2). We interpreted this component as representing overall body size. The resulting PC 323 scores were then analyzed using two-way ANOVAs with treatment and sex as between subjects 324 factors. Significant main effects of treatment were compared using LSD pairwise comparisons. 325 Hatch date was included as a covariate and nest identity was included as a random factor. At 326 each age, the initial model included the fixed factors (treatment and sex), the random factor (nest 327 identity) and the covariate (hatch date). If the covariate or random factor were not significant 328 they were removed from the analysis.

Body composition (fat, lean mass, adult mass) and metabolic rates (SMR, PMR,
metabolic scope), were analyzed using two-way ANOVAs with sex and treatment as between
subjects factors. Significant sex x treatment interactions were further analyzed by conducting

ANOVAs for each sex with treatment as a fixed factor. Significant main effects of treatment were analyzed using LSD pairwise comparisons. Hatch date was added as a covariate and nest identity as a random factor for analyses of both metabolic rates and body composition, and body mass was included as a covariate for analyses of metabolic rates. The initial models included the fixed factors (treatment and sex), the random factor (nest identity) and the covariates (hatch date, body mass). If the covariates or random factor were not significant they were removed from the analysis.

Finally, total adult body mass and lean body mass of the hand-raised birds was directly compared to the mass of their fathers using simple linear regressions. All tests were two-tailed and were considered significant for  $p \le 0.05$ . Data are presented as mean ±SEM, adjusted for significant covariates where applicable.

#### 344 **3. Results**

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## 345 3.1. CORT levels

346 The exogenous CORT treatment was effective in significantly elevating plasma CORT 347 levels (main effect of treatment: F<sub>2,41.77</sub>=84.79, p<0.001). CORT levels 10 min post-348 administration of CORT or vehicle were higher in CORT-treated birds ( $d10=136.64 \pm 15.64$ ; d45349  $= 143.35 \pm 14.48$ ) than controls (d10=6.76  $\pm 1.70$ ; d45=18.88  $\pm 3.69$ ; p<0.001) or food-restricted 350 birds (d10=4.19  $\pm$  0.62; d45=28.24  $\pm$  4.45; p<0.001). Control and food-restricted birds did not 351 differ significantly in plasma CORT levels (p=0.71). Therefore, our method of oral CORT 352 administration was effective at increasing circulating CORT, and levels reached those typically 353 observed in wild song sparrows subjected to an acute stressor (MacDougall-Shackleton et al., 2009; Schmidt et al., 2012). We also detected a significant main effect of age ( $F_{1,42,11}=7.51$ , 354 355 p=0.01), as CORT levels were higher at d45 than d10. No significant main effect of sex was 356 detected ( $F_{1,41,79}=1.06$ , p=0.31), nor were any of the interaction terms significant (p>0.40 in all 357 cases).

### 358 3.2 Nestling Growth

To compare mass between nestlings at the start of the treatment period (d7), we conducted an ANOVA with treatment and sex as fixed factors. The main effect of treatment was not significant at d7 ( $F_{2,47}$ =0.60, p=0.56). Neither the main effect of sex ( $F_{1,47}$ =2.86, p=0.10) nor the treatment x sex interaction ( $F_{2,47}$ =1.67, p=0.20) were significant. 363 For the hand-rearing period (d9-d18), the treatment x sex ( $F_{2,40.07}$ =6.24, p=0.004) and the 364 age x sex ( $F_{9,182,601}=2.12$ , p=0.03) interactions were significant (Fig 2A and 2B). Neither the 365 treatment x sex x age nor the treatment x age interactions were significant (p>0.66 in both cases). The mass of nestlings prior to the treatment period was positively related to mass during the hand-rearing period ( $F_{1,39,94}=7.19$ , p=0.01, estimate of fixed effect=0.16, S.E.=0.06). To explore the treatment x sex interaction, we conducted linear mixed models for each sex with treatment and age as fixed factors. For males, the main effect of treatment was significant ( $F_{2,19,02}$ =3.98, p=0.04; Fig 2A). CORT-treated males weighed more than control (p=0.03) and food-restricted (p=0.02) males. Control and food-restricted males did not differ (p=0.80). The mass of males prior to the treatment period was positively related to mass during the hand-rearing period (F<sub>1.18.98</sub>=4.24, p=0.05, estimate of fixed effect=0.25, S.E.=0.12). For females, similar to males, the main effect of treatment was significant (F<sub>2.20.08</sub>=4.58, p=0.02; Fig 2B). However, control females weighed more than both food-restricted (p=0.01) and CORT-treated (p=0.02) females. Food-restricted and CORT-treated females did not differ (p=0.81). The mass of females prior to the treatment period was positively related to mass during the hand-rearing period ( $F_{1,19,94}=4.24$ , p=0.05, estimate of fixed effect=0.11, S.E.=0.05).

The second analysis examined the latter part of the treatment period (d19-d60), after food cups had been added to cages and birds began to feed independently. During this period, neither the treatment x age x sex interaction, nor any of the two-way interactions were significant (p>0.10 in all cases). There was a significant main effect of sex ( $F_{1,37.75}$ =47.31, p<0.001); males were larger than females (Fig 2A and 2B). The main effect of age was also significant ( $F_{13,266,257}$ =4.87, p<0.001). The main effect of treatment was not significant ( $F_{2,37,78}$ =0.86, 385 p=0.43). The mass of nestlings prior to the treatment period was positively related to the mass of 386 nestlings during the latter part of the treatment period ( $F_{1,35,94}$ =4.55, p=0.04, estimate of fixed 387 effect=0.10, S.E.=0.05). Hatch date was also positively related to mass during this period 388 (F<sub>1.35.92</sub>=4.67, p=0.04, estimate of fixed effect=0.05, S.E.=0.02). Finally, paternal body mass was 389 also a significant covariate (F<sub>1 35 92</sub>=4.04, p=0.05, estimate of fixed effect=0.26, S.E.=0.13); 390 heavier fathers had heavier offspring.

#### 391 3.3 Body Size

392 On d25, after 18 days of experimental manipulation, the main effect of treatment on body 393 size (PC scores) was not significant ( $F_{2,47}$ =1.23, p=0.30), nor was there a significant treatment x

sex interaction ( $F_{2,47}=0.22$ , p=0.80). However, the main effect of sex was significant 394 395  $(F_{1,47}=31.93, p<0.001)$ ; males were larger than females (Fig 3A). On d45, after about 5 weeks of 396 manipulation, the main effect of treatment on body size was significant ( $F_{2,45}=3.53$ , p=0.04). 397 CORT-treated birds were smaller than control (p=0.02) and food-restricted birds (p=0.002). 398 Control and food-restricted birds did not differ (p=0.37). Again, we observed a main effect of sex 399  $(F_{2.45}=21.64, p<0.001)$  such that males were larger than females (Fig 3B), but no treatment x sex interaction (F<sub>2.45</sub>=0.82, p=0.45). Last, in adulthood neither the main effect of treatment 400 (F<sub>2,27</sub>=0.81, p=0.46) nor sex (F<sub>1,27</sub>=3.16, p=0.09; Fig 3C) were significant. We observed no 401 402 significant treatment x sex interaction ( $F_{2,27}=0.37$ , p=0.69). Nest identity was significantly 403 related to adult body size ( $F_{14,27}=3.16$ , p=0.005). Thus, the effects of our treatments on body size 404 were limited to a period following rapid growth (d45) and were no longer apparent by adulthood.

## 405 3.4 Relationship to Paternal Mass

Despite the fact that the experimental treatments altered nestling growth, we observed no long-term effects on adult body size, suggesting that variation in final adult body size may primarily be due to heritable factors in song sparrows. To explore this possibility, we asked if the adult mass of study subjects was related to the mass of their fathers. Paternal body mass was positively and significantly related to offspring body mass ( $r^2=0.11$ , p=0.03; Fig 4A) and lean mass ( $r^2=0.23$ , p<0.001; Fig 4B).

## 412 3.5 Body Composition

413 For adult total body mass (Fig 5A), neither the main effect of treatment ( $F_{2,27}=1.45$ , 414 p=0.25) nor sex ( $F_{1,27}$ =0.70, p=0.41) was significant, nor was the treatment x sex interaction significant (F<sub>2,27</sub>=0.78, p=0.47). Nest identity was significantly related to adult total body mass 415 (F<sub>14.27</sub>=3.51, p=0.003). For adult lean body mass (Fig 5B), there was no significant main effect of 416 treatment ( $F_{2,27}$ =1.50, p=0.24). However, the main effect of sex was significant ( $F_{1,27}$ =5.36, 417 418 p=(0.03); males had a higher lean mass than females (Fig 5B). The treatment x sex interaction 419 was not significant ( $F_{2,27}=1.23$ , p=0.31). Nest identity was significantly related to adult lean mass 420 (F<sub>14.27</sub>=2.11, p=0.05). For adult fat mass (Fig 5C), the main effect of treatment was not 421 significant ( $F_{2,27}$ =1.20, p=0.32). The main effect of sex was significant ( $F_{1,27}$ =5.73, p=0.02); 422 females had a higher fat mass than males (Fig 5C). The treatment x sex interaction was not 423 significant (F<sub>2,27</sub>=1.06, p=0.36). Again, nest identity was significantly related to adult fat mass (F<sub>14.27</sub>=3.87, p=0.001). 424

#### 425 3.6 Metabolic Rates

426 For SMR (Fig 6A), body mass was a significant covariate ( $F_{1,26}=26.13$ , p<0.001) and nest 427 identity was a significant random factor (F<sub>14.26</sub>=2.19, p=0.02). The treatment x sex interaction 428 was significant (F<sub>2.26</sub>=4.36, p=0.02). To further analyze this interaction, we conducted ANOVAs 429 for each sex with treatment as a fixed factor. For males, the main effect of treatment was not 430 significant (F<sub>2.8</sub>=0.72, p=0.52). For females, the main effect of treatment was significant (F<sub>2,8</sub>=5.81, p=0.03). Control females had lower SMRs than food-restricted (p=0.009) and CORT-431 432 treated (p=0.04) females. The SMRs of food-restricted and CORT-treated females did not differ 433 (p=0.34). For PMRs (Fig 6B), the main effects of neither treatment (F<sub>2.26</sub>=0.92, p=0.41), nor sex  $(F_{1,26}=0.35, p=0.56)$  were significant. The treatment x sex interaction was also not significant 434 435  $(F_{2.26}=0.14, p=0.87)$ . Nest identity was significantly related to PMR  $(F_{14.27}=2.11, p=0.05)$ . For metabolic scope (Fig 6C), neither the main effect of treatment (F<sub>2,47</sub>=0.88, p=0.42), nor sex 436 437  $(F_{1,47}=1.26, p=0.27)$  were significant. The treatment x sex interaction was also not significant 438 (F<sub>2.47</sub>=0.05, p=0.96).

440 4. Discussion

#### 441 4.1 Food Restriction Affected Growth and Metabolic Rates without Increasing CORT

442 CORT levels did not differ between food-restricted and control subjects in our study. 443 Therefore, food restriction might affect growth and metabolic rates independently of CORT, for 444 example by directly altering organ morphology or cell number (Rinaudo and Wang, 2011). 445 However, we cannot rule out the possibility that food restriction affects development by altering 446 stress physiology. First, we only measured CORT levels at two ages (d10 and d45). It is possible 447 that food restriction affected CORT levels during a time in the treatment period when blood 448 samples were not collected. Second, we only measured baseline plasma CORT levels. In 449 European starlings (*Sturnus vulgaris*), exposure to an unpredictable food supply increased stress-450 induced CORT levels but not baseline (Buchanan et al., 2003). Last, there are many other factors 451 that can influence the exposure of tissues to CORT, such as the level of corticosteroid binding 452 globulins in the blood and the expression of corticosteroid receptors or enzymes that metabolize 453 CORT in tissues (Schmidt et al., 2008).

454 CORT levels were manipulated for a relatively long period of time in our study (53 days).
455 However, whereas other methods of hormone manipulation (e.g. silastic implants) constantly

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456 elevate hormone levels throughout the treatment period, our method of daily manipulation was 457 transient and CORT levels begun to decrease 30 min post-administration (determined during 458 pilot study, see Methods Section 2.2). In addition, in white-crowned sparrows CORT levels 459 returned to baseline 60 min post-administration using a similar technique (Breuner et al., 2008). 460 Therefore, total exposure to elevated CORT was limited to about 2 h per day in the hand-rearing 461 period and about 1 h per day in the latter part of the treatment period. Our method of 462 manipulation would thus be comparable to an individual living in an environment where they are 463 frequently exposed to acute stressors, such as temporary food shortages or frequent encounters 464 with predators. Frequent exposure to acute stressors may become chronically stressful to an 465 individual over time (Clinchy et al., 2004). Indeed, a common paradigm for experiments looking 466 at the physiological effects of chronic stress is to expose individuals to daily acute stressors over 467 several days (e.g. Rich and Romero, 2004).

#### 468 4.2 Developmental Stress had Sex-Specific Effects on Nestling Growth

469 There were profound sex differences in the effects of developmental stress on nestling 470 growth rates. First, CORT-treated males weighed more than food-restricted and control males 471 throughout the hand-rearing period. This finding is surprising because most studies have found 472 that exposure to elevated glucocorticoid levels during development retards growth (Seckl, 1994; 473 Spencer et al., 2003), although differences in the dose of CORT or method of administration 474 might explain some of the variation between studies. This weight advantage disappeared shortly 475 after nestlings begun feeding independently. Because CORT administration can increase begging 476 rates in nestling birds (Kitaysky et al., 2001b) and we fed both control and CORT-treated birds to 477 satiation, CORT-treated males may have begged more and been fed more throughout the hand-478 rearing stage of the experiment. Alternatively, instead of altering behavior and food intake, 479 CORT may have increased anabolic processes. For example, in European starlings (Sturnus 480 vulgaris), CORT treatment in ovo accelerates pectoral muscle development leading to enhanced 481 flight performance (Chin et al., 2009). Glucocorticoids can also increase fat deposition (Asensio 482 et al., 2004). If CORT accelerates growth in male nestlings and increases flight performance, it 483 might decrease the age at which nestlings can fledge. Consistent with this, CORT increases 484 locomotor activity (Breuner et al., 1998) and CORT levels increase prior to fledging or dispersal 485 in many species (e.g. Belthoff and Duffy, 1998; Kern et al., 2001). If nestlings are raised in a 486 poor quality environment, premature fledging may be beneficial since it would allow a young

487 bird to escape a stressful nest environment, for example if there was intense sibling competition 488 in the nest or an abundance of ectoparasites. Similarly, environmental stressors, including food 489 restriction and pond desiccation, accelerate metamorphosis in spadefoot toads (Scaphiopus 490 hammondii; Denver et al., 1998). In contrast to males, CORT-treated females weighed less than 491 controls throughout the hand-rearing period. Similarly, early-life glucocorticoid exposure retards 492 growth in zebra finches (Spencer et al., 2003; Spencer and Verhulst, 2007) and humans (Seckl, 493 1994). Thus, it appears that the effects of glucocorticoids on growth rates are sex- and age-494 dependent.

495 Second, there were also sex differences in the effect of food restriction on nestling 496 growth. Food-restricted males weighed the same as control males, however food-restricted 497 females weighed less than control females. This is in contrast to past studies in song sparrows 498 (Kempster et al., 2007) and zebra finches (Spencer et al., 2003) in which food restriction 499 decreased growth in both sexes. However, our results are consistent with a study of zebra finches 500 that also found that food restriction decreased growth in females but not males (Martins, 2004). 501 Thus, there may be sex differences in the amount of resources males and females allocate to 502 body growth when exposed to early-life stressors. Males may allocate more resources to body 503 growth at the expense of other systems (e.g. brain, immune system) in order to ensure survival to 504 the fledgling stage. We are currently conducting studies to look at the effects of food restriction 505 and CORT treatment on other physiological systems, which will hopefully shed light on the 506 different trade-offs and strategies used by males and females when developing in a poor quality 507 environment. Last, since larger nestlings may be fed more by parents and be more likely to 508 fledge (Price and Ydenberg, 1995), the sex-specific effects of food restriction and CORT 509 treatment on nestling growth could provide males with a competitive advantage over their female 510 siblings when raised in a stressful environment (Zanette et al., 2005).

### 511 4.3 Body Size in Song Sparrows may be a Canalized Trait

512 There were no effects of food restriction or CORT treatment on body size at d25, but by 513 d45 CORT-treated birds were smaller than food-restricted and control birds. This was true for 514 both females and males, despite the weight advantage that CORT-treated males exhibited during 515 the hand-rearing period. Our PCA for body size included three morphological measures (mass, 516 wing, tarsus). Therefore, we interpret these PCA scores as measures of overall body size, but all 517 three measures might not have been equally affected. CORT-treated birds may be structurally 518 smaller because glucocorticoids can decrease bone formation (Delany et al., 1994). In addition, 519 wing length is related to feather development, and CORT administration impairs feather growth 520 in European starlings (Romero et al., 2005). Despite the effect on body size during the treatment 521 period, there were no effects of either treatment on adult body size. Since our treatments lasted 522 until d60 this suggests that a young song sparrow may compensate for a bad rearing environment 523 by accelerating growth once a stressor subsides even very late during development, well after 524 full adult body size is normally attained. Adult body size may be a canalized trait in song 525 sparrows, showing a large amount of stability even in the face of early-life perturbations 526 (referred to as developmental homeostasis; Mitton and Grant, 1984). Therefore, variation in adult 527 body size in song sparrows may be largely determined by variation in genotype with less 528 influence from environmental factors. In support of this, both adult body mass and lean mass of 529 the experimental birds were significantly related to their father's body mass, and nest identity 530 (natal brood of origin) was significantly related to adult body size. Since we hand-reared 531 nestlings from d3, the relationship between their mass and their father's mass would be largely 532 due to a common genotype and not a common environment, although we cannot rule out the 533 possibility that the environment before d3 had strong carryover effects on offspring body size. 534 This is in contrast to past studies that have found long-term effects of early-life stress on adult 535 body size (Searcy et al., 2004). However, our results are consistent with findings from a wild 536 population of song sparrows where morphological measurements of offspring were strongly 537 related to their genetic parents, but not their foster parents (Smith and Dhondt, 1980; also see 538 review by Merila and Sheldon, 2001).

## 39 4.4 Developmental Stress did not Alter Body Composition

540 There were no long-term effects of food restriction or CORT treatment on body 541 composition (total body mass, lean mass or fat mass), despite the fact that both treatments altered 542 nestling growth. In contrast, in humans prenatal exposure to famine increases the risk of obesity 543 (Ravelli et al., 1999) and a low birth rate is positively associated with obesity (Rinaudo and 544 Wang, 2011). Catch-up growth may be a particularly important risk factor. For example, rat pups 545 exposed to protein restriction in utero, but then transferred to a high quality diet during the post-546 partum period, exhibit rapid catch-up growth resulting in a larger body mass and a higher 547 percentage of body fat (Desai et al., 2005). In our study, both food-restricted and CORT-treated 548 females exhibited growth retardation during the hand-rearing period, followed by a period of

rapid growth during the latter stage of the treatment period. However, despite experiencing this period of rapid growth, we observed no effect on final body composition. We did observe sex differences in body composition. Males and females had similar total body mass in adulthood, but males had higher lean mass, while females had higher fat mass.

553 4.5 Developmental Stress had Sex-Specific Effects on Metabolic Rates

554 The SMRs of birds in the current study were similar to those obtained for house sparrows 555 (Passer domesticus; Buchanan et al., 2001), which are similar in size to song sparrows. The 556 average PMR of flying birds is 16 times higher than the BMR (Hinds et al., 1993). Past studies in 557 both red-eyed vireos (Vireo olivaceus; Pierce et al., 2005) and house sparrows (Chappell et al., 558 1999) using similar exercise wheels have obtained PMR values that were ~10 times higher than 559 BMR. In the current study, PMR values were only ~6 times higher than SMR values. However, 560 the former studies used wild-caught birds, not hand-reared birds, and prolonged periods of 561 captivity can decrease aerobic capacity in birds (Buttemer et al., 2008). Alternatively, the fact 562 that we may have measured SMR and not true BMR could also explain why metabolic scope was 563 lower in the present study.

564 Both food-restricted and CORT-treated females had higher SMRs than control females. 565 However, SMRs did not differ between males in the three treatment groups. This suggests that 566 developmental stress has sex-specific effects on metabolic rates in song sparrows. Similarly, past 567 studies in birds have found that variation in the rearing environment more strongly affects the 568 metabolic rates of females than males. For example, zebra finch nestlings raised in 569 experimentally enlarged broods have higher SMRs in adulthood, and this effect is stronger in 570 females (Verhulst et al., 2006). In this species, individuals who experience catch-up growth are 571 more likely to experience long-term effects on metabolic rates. For example, nestling zebra 572 finches reared on a low protein diet during the early phase of the nestling period, but then 573 transferred to a high protein diet for the latter part of the nestling period, exhibit catch-up growth 574 and have higher SMRs in adulthood (Criscuolo et al., 2008). In this study, zebra finches reared 575 on a low protein diet throughout the nestling period did not exhibit catch-up growth nor an 576 increase in metabolic rates. This suggests that variation in growth patterns during development 577 may contribute to variation in metabolic rates in adulthood. In our study of song sparrows, both 578 food restriction and CORT treatment decreased growth in females, however in adulthood there 579 was no difference in body size or mass between the three treatment groups. Therefore, it is

580 possible that the stress treatments had long-term effects on the SMRs of females because they 581 altered normal growth patterns of females. In contrast to SMR, there was no effect of either 582 experimental treatment on PMR or metabolic scope. Nest identity was significantly related to 583 both PMR and SMR suggesting that genetic factors also influence variation in metabolic rates in 584 song sparrows. In the current study, time constraints prohibited us from taking more than one 585 measurement of SMR or PMR. However, zebra finches exposed to CORT during development 586 exhibited higher variability in SMR (although only during the treatment period; Spencer and 587 Verhulst, 2008). Therefore, it may be of interest in future studies to look at the effects of 588 developmental stress on variability in SMRs or PMRs.

#### 589 4.6 Conclusions

590 In many species, variation in the early rearing environment can have profound effects on 591 adult phenotype. In particular, exposure to stressors during development can permanently alter 592 physiology and may predispose individuals to disease and negatively affect fitness (McMillen 593 and Robinson, 2005; Monaghan, 2008). In the current study, both food restriction and CORT 594 treatment had long-term effects on SMR in females, but not males, suggesting that the long-term 595 effects of early-life stress on physiology and fitness may be sex-specific. This finding supports 596 past research in zebra finches showing that females are more susceptible to early-life stressors 597 than males (Verhulst et al., 2006; Martin, 2004). In addition, both food restriction and CORT 598 treatment had sex-specific effects on nestling growth rates that exaggerated normal sex 599 differences in nestling mass. This could give males a competitive advantage over their female 600 siblings when being reared in a poor quality environment (e.g. Zanette et al. 2005). Future 601 studies looking at the effects of developmental stress on other physiological systems (e.g. 602 immune system, endocrine system) will help elucidate how males and females differentially 603 allocate resources to growth and development when raised in a poor quality environment.

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#### 811 Figure Legends

Figure 1. Experimental timeline used to determine the effects of early-life food restriction or
corticosterone treatment on nestling growth and adult body size, body composition, and
metabolic rates in song sparrows.

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Figure 2. The effect of food restriction (Food Res) or corticosterone (CORT) treatment on
nestling growth rates in male (A) and female (B) song sparrows. Insets show mass of nestlings
during the hand-rearing period (days 9 to 18) when treatments were most intense. The total
treatment period (hand-rearing and post-fledging treatment) lasted from 7 days of age to 60 days
of age. \*p<0.05</li>

Figure 3. The effect of food restriction or corticosterone (CORT) treatment on structural body size of song sparrows at 25 days of age (A), 45 days of age (B) and in adulthood (C). Body size scores are the results from principal component analyses (PCA) that included measures of body mass, tarsus, and wing length. Results from the PCA can be found in Table 1. Treatments lasted from 7 days of age to 60 days of age. \*p<0.05, \*\*p<0.01.

Figure 4. Simple linear regressions showing the relationship between body mass (A) and lean mass (B) of the experimental birds in adulthood and their father's body mass. The father was the resident male bird on the territory where a nest was located and was caught prior to hatching.

Figure 5. The effect of food restriction or corticosterone (CORT) treatment on body composition
of song sparrows including total body mass (A), lean mass (B), and fat mass (C). Treatments
lasted from 7 days of age to 60 days of age. Body composition analysis was conducted using
quantitative magnetic resonance analysis when birds were ~7 months of age. \*p<0.05</li>

837 Figure 6. The effect of food restriction or corticosterone (CORT) treatment on standard

838 metabolic rates (A), peak metabolic rates (B) and metabolic scope (C) of song sparrows.

839 Treatments lasted from 7 days of age to 60 days of age. Metabolic rates were assessed when

840 birds were ~7 months of age. \*p<0.05, \*\*p<0.01

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	Control		<b>Food Restriction</b>		CORT	
	Male	Female	Male	Female	Male	Female
Sample Size	9	7	8	8	6	9
Age at Capture (d)	3.56±0.44	3.71±0.57	3.25±0.16	$3.63 \pm 0.50$	3.17±0.17	$3.44\pm0.44$
Mass at Capture (g)	8.98±1.18	9.45±1.13	9.60±0.69	9.18±1.36	10.03±0.55	8.88±1.12

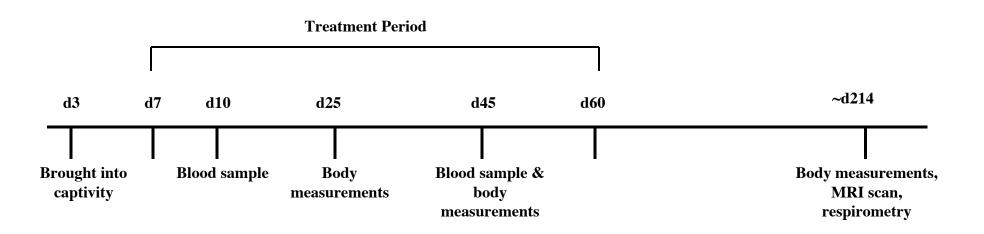
Table 1. The Age and Mass of Nestlings at the Start of the Experiment

Note: Age at capture and mass at capture represent the age and mass of nestlings the day they were brought into captivity. Values represent means  $\pm$  SEM. CORT = corticosterone

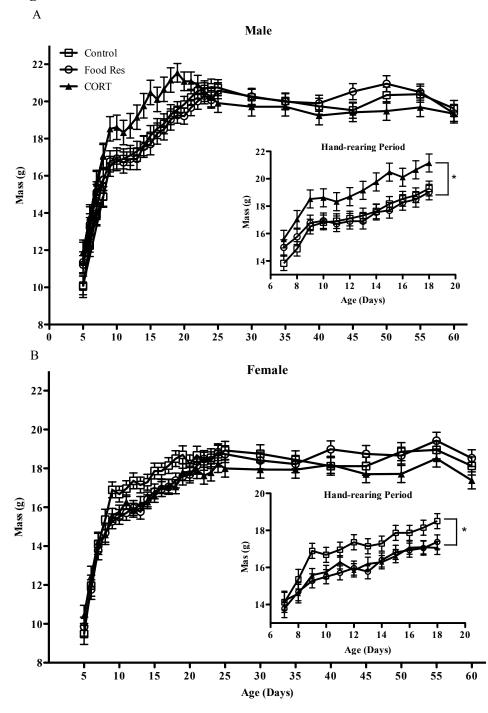
	Eigenvalue	% Variance Explained	Factor Loadings		
			Mass	Tarsus	Wing
day 25 PC1	1.71	56.86	0.83	0.74	0.69
day 45 PC1	1.71	56.89	0.77	0.74	0.75
Adult PC1	1.83	61.02	0.75	0.87	0.72

Note: At each age, principal component analyses revealed one principle component (PC) with an eigenvalue greater than one.











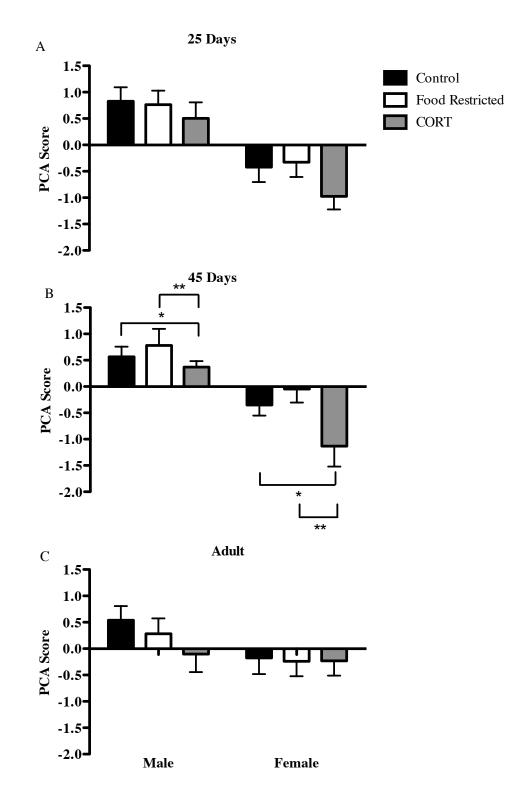


Figure 4

