# **REVIEW**-

# THE INTERACTION OF PULMONARY VENTILATION AND THE RIGHT-LEFT SHUNT ON ARTERIAL OXYGEN LEVELS

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Accepted 4 June 1996

#### **Summary**

In adult mammals, arterial blood gases closely reflect lung gas composition, and arterial blood gases can, therefore, be effectively regulated through changes in ventilation. This is not the case among most ectothermic vertebrates. where the systemic and pulmonary circulations are not completely separated, resulting in central vascular shunts. In the presence of a right-to-left shunt (R-L shunt), the O<sub>2</sub> levels (P<sub>O2</sub> and haemoglobin O<sub>2</sub>saturation) of systemic arterial blood are depressed relative to those of the blood returning from the lungs. Arterial blood gas composition is, accordingly, not determined only by ventilation, but also by the magnitude of admixture as well as the blood gas composition of systemic venous blood. Changes in the central shunt patterns, therefore, represent an alternative mechanism by which to control arterial blood gas levels. The primary aim of this report is to evaluate the relative importance of the R-L shunt and ventilation in determining arterial blood gas levels.

Using standard equations for gas exchange and the twocompartment model, we predicted arterial O<sub>2</sub> levels at physiologically relevant levels of ventilation, R-L shunt and blood flows. The analyses show that the effects of changing ventilation and the size of the R-L shunt on arterial O<sub>2</sub> levels vary with parameters such as the rate of O2 uptake, the blood O2-carrying capacity and the level of hypoxia. The relative importance of ventilation and the R-L shunt in determining arterial  $P_{O_2}$  values is largely explained by the sigmoidal shape of the O2 dissociation curve. Thus, if lung  $P_{O_2}$  is high relative to blood  $O_2$ affinity, a large change in ventilation may have little effect on pulmonary venous  $O_2$  content, although  $P_{O_2}$  may have changed considerably. If an R-L shunt is taking place, this, in turn, implies that arterial O<sub>2</sub> content is affected only marginally, with a correspondingly small effect on  $P_{O_2}$ . These predictions are discussed in the light of the limited existing experimental data on cardiac shunts in lower vertebrates; we propose that, in future experiments, the measurement of both ventilatory and cardiovascular parameters must be combined if we aim to understand the regulation of arterial blood gas levels in lower vertebrates.

Key words: control of blood gas levels, ventilation, cardiac shunt, right-left shunt, gas exchange, two-compartment model, oxygen, reptile, amphibian, ectothermic vertebrates.

### Introduction

In most fish as well as in adult mammals and birds, arterial blood gas levels closely reflect the gas composition at the gas-exchange organ, and systemic arterial blood gases can, therefore, be effectively regulated through changes in ventilation. This is not the case among reptiles, amphibians, many air-breathing fishes and embryonic mammals and birds. In these animals, the systemic and pulmonary circulations are not completely separated, resulting in the possibility of central vascular shunts. Central vascular shunts have traditionally been characterized by their direction as right-to-left shunts and left-to-right shunts (R–L shunt and L–R shunt, respectively), where

an R–L shunt represents recirculation of systemic venous blood (pulmonary bypass), while an L–R shunt represents recirculation of O<sub>2</sub>-rich blood within the pulmonary circulation (systemic bypass). In the presence of an R–L shunt, arterial systemic blood O<sub>2</sub> levels ( $P_{O_2}$ , O<sub>2</sub> content and haemoglobin O<sub>2</sub>-saturation) are depressed relative to the O<sub>2</sub> levels in blood returning from the lungs (chorioallantoic blood in the case of embryonic mammals and birds). Similarly, an L–R shunt results in an increase in the oxygen levels in the pulmonary arterial blood relative to that in the systemic venous blood. Arterial blood gas composition in animals possessing central

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vascular shunts is, accordingly, determined not only by ventilation but also by the magnitude of admixture as well as the blood gas composition of systemic venous blood. Changes in the shunt pattern, therefore, represent an alternative mechanism for arterial blood gas regulation and a means of altering systemic O<sub>2</sub> delivery (the product of blood flow and blood O<sub>2</sub> content) that is independent of ventilation and absolute levels of blood flows (Burggren, 1988; Hicks and Wood, 1989; Burggren *et al.* 1989).

It is the purpose of this paper to quantify and discuss the effects of the R–L shunt on arterial blood O<sub>2</sub> levels with the specific aim of comparing the effects of altered cardiac admixture with that of changes in pulmonary ventilation.

## Design and description of the model

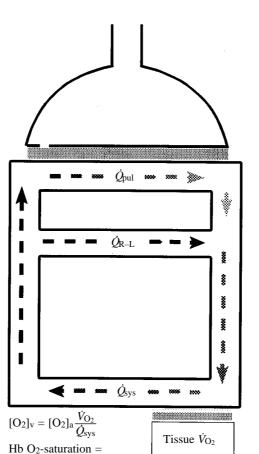
We have used the two-compartment model (Fig. 1) to analyze the effects of cardiac shunts on arterial  $O_2$  levels. Pulmonary ventilation ( $\dot{V}A$ ) convectively transports  $O_2$  from the environment to a single-compartment lung, where  $O_2$  diffuses to the blood perfusing the lung. The circulatory system is characterized by the possibility of an R–L shunt, and  $O_2$ -poor blood returning from the tissues can flow to the lungs ( $\dot{Q}_{pul}$ ) or may directly re-enter the systemic circulation and bypass the lung altogether ( $\dot{Q}_{R-L}$ ). Oxygen uptake from the environment is assumed to occur exclusively at the lungs, and

all O<sub>2</sub> consumption is assumed to occur in the tissue. At steady state, O<sub>2</sub> uptake in the lung is equal to tissue O<sub>2</sub> consumption.

All calculations are based on standard equations for mass transport, which have all been included in Fig. 1. Briefly, at a given inspired  $P_{O_2}$ , lung  $P_{O_2}$  ( $P_{LO_2}$ ) is determined by ventilation relative to O2 uptake, and pulmonary venous blood is assumed to achieve a  $P_{O_2}$  equal to  $P_{LO_2}$  minus a diffusion deficit which is considered constant at 10 mmHg. Hemoglobin (Hb) O<sub>2</sub>-saturation of pulmonary venous blood is determined by blood O<sub>2</sub>-binding characteristics ( $P_{50}$  and Hill's  $n_{\rm H}$ ) and pulmonary venous  $P_{O_2}$  ( $P_{PVO_2}$ ). In turn, pulmonary venous blood O<sub>2</sub> content is given as the product of blood O<sub>2</sub>-carrying capacity ([O2]cap) and Hb O2-saturation. In the presence of an R-L shunt, arterial blood is a mixture of venous systemic and pulmonary venous blood, and arterial O<sub>2</sub> content ([O<sub>2</sub>]<sub>a</sub>) is given by the weighed mean of the O2 content from these two circuits (Berggren, 1942). Under these conditions, arterial  $P_{\rm O_2}$ depends on the resulting arterial Hb O2-saturation and the O2binding characteristics of the blood. Finally, venous O<sub>2</sub> levels are determined by arterial O<sub>2</sub> delivery ( $[O_2]_a \times \dot{Q}_{sys}$ ) relative to oxygen uptake  $(\dot{V}_{O_2})$ .

### Critique of the model and assumptions

The predictions of the present model are inherently biased by the choice of values for any of the parameters included in



 $[O_2]_V/[O_2]_{cap}$ 

$$P_{\text{LO}_2} = (760 \,\text{mmHg} - P_{\text{H}_2\text{O}}) \times F_{\text{I}_{\text{O}_2}} \,(\dot{V}_{\text{O}_2} / \dot{V}_{\text{A}})$$

$$PPVO_2 = PLO_2 - 10 \,\text{mmHg}$$

Hb O<sub>2</sub>-saturation = 
$$\frac{PPVO_2^n}{PPVO_2^n + P_{50}^n}$$

$$[O_2]_{PV} = Hb \ O_2$$
-saturation  $\times [O_2]_{cap}$ 

$$[O_2]_a = \frac{\dot{Q}_{pul} \times [O_2]_{PV} + \dot{Q}_{R-L} \times [O_2]_v}{\dot{Q}_{pul} + \dot{Q}_{R-L}}$$

Hb 
$$O_2$$
-saturation =  $[O_2]_a/[O_2]_{cap}$ 

$$Pa_{O_2} = P_{50} \left( \frac{\text{Hb O}_2\text{-saturation}}{1 - \text{Hb O}_2\text{-saturation}} \right)^{1/n}$$

Fig. 1. The two-compartment model used to describe O<sub>2</sub> transport in animals with intracardiac shunts. See text for further explanation (based on Rossoff *et al.* 1980; Wood, 1984). [O<sub>2</sub>]<sub>PV</sub>, pulmonary venous blood oxygen content; [O<sub>2</sub>]<sub>v</sub>, venous systemic blood oxygen content; [O<sub>2</sub>]<sub>a</sub>, arterial blood oxygen content;  $\dot{V}$ A, pulmonary ventilation. See Table 1 for further explanation of abbreviations.

Table 1. Values utilized for calculating blood oxygen levels in the four analyses (I–IV)

Physiological variable	I	II	III	IV
P <sub>50</sub> (mmHg)	25	25	25	25
Hill constant, $n_{\rm H}$	2.5	2.5	2.5	2.5
Blood oxygen-carrying capacity, $[O_2]_{cap}$ (mmol $O_2 l^{-1}$ blood)	5	2-10	5	5
$P_{\text{LO}_2} - P_{\text{PV}_{\text{O}_2}} \text{ (mmHg)}$	10	10	10	10
Alveolar minute volume, $\dot{V}$ A (ml kg <sup>-1</sup> min <sup>-1</sup> )	20	20-100	20-100	20-100
Rate of oxygen uptake, $\dot{V}_{\rm O_2}$ (ml kg <sup>-1</sup> min <sup>-1</sup> )	1	1	0.5-6.5	1
Inspired oxygen fraction, FiO2	0.21	0.21	0.21	0.05-0.21
Systemic blood flow, $\dot{Q}_{\text{sys}}$ (ml kg <sup>-1</sup> min <sup>-1</sup> )	30-90	60	60	60
Pulmonary blood flow, $\dot{Q}_{\text{pul}}$ (ml kg <sup>-1</sup> min <sup>-1</sup> )	10-90	20-60	20-60	20-60
R-L shunt flow, $\dot{Q}_{R-L}$ (ml kg <sup>-1</sup> min <sup>-1</sup> )	0-60	0–40	0–40	0-40
Fraction of cardiac outflow in the right-left shunt, $\dot{Q}_{pul}/\dot{Q}_{sys}$	0.33-1.0	0.33-1.0	0.33-1.0	0.33-1.0

 $P_{\text{LO}_2}$ , lung  $P_{\text{O}_2}$ ;  $P_{\text{PVO}_2}$ , pulmonary venous  $P_{\text{O}_2}$ .

Fig. 1. The applied values (listed in Table 1) are based on published values for freshwater turtles (see, for example, Milsom and Chan, 1986; Wang and Hicks, 1996), but the general findings are applicable to all animals possessing a R–L shunt.

This analysis neglects the L–R shunt and, thus, assumes that the L–R shunt does not affect arterial blood gas levels. If the lung functions as a perfect gas exchanger, pulmonary venous blood gas levels would be equal to those of the lung, and recirculation of pulmonary venous blood to the lung (L–R shunt) would indeed have no effect. However, the lung is not a perfect gas exchanger and, if the  $P_{\rm O_2}$  difference between lung gas and capillary blood is large, recirculation of blood to the lung may elevate pulmonary venous  $P_{\rm O_2}$ . It has also been proposed that the L–R shunt and the associated increase in  $Q_{\rm pul}$  improve ventilation–perfusion matching within the reptilian lung; recent experimental evidence supports this view (Hopkins *et al.* 1996).

In all analyses, we assume a constant  $PL_{O_2}$ - $PPV_{O_2}$  deficit (equivalent of the mammalian alveolar-arterial difference) of which is similar to values determined experimentally in turtles (e.g. Burggren and Shelton, 1979). This  $P_{O_2}$  difference is the combined result of diffusion limitation, pulmonary shunts and ventilation-perfusion inequalities (for a review on reptiles, see Wang et al. 1996) and is, therefore, unlikely to remain constant in vivo. Furthermore, our predictions are based on the condition that gas exchange is in steady state. Although this assumption is rarely (if ever) fulfilled in lower vertebrates, the predicted importance of the R-L shunt as well as the predicted trends are valid even in the absence of a steady state. Finally, several studies on reptiles have documented higher O2 levels in the right aortic arch than in the left aortic arch (Burggren and Shelton, 1979; Ishimatsu et al. 1988) but, for simplicity, the O<sub>2</sub> levels in the systemic circuit are assumed to be uniform in this study. Again, this assumption does not affect the qualitative predictions of the present analysis and could be modelled using the conceptually similar three-vessel model described by Tazawa and Johansen (1987) and Ishimatsu *et al.* (1988).

#### **Analyses and predictions**

As depicted in Fig. 1, arterial  $O_2$  levels in the presence of an R–L shunt depend not only on the degree of the R–L shunt but also on the  $O_2$  levels in the systemic and pulmonary venous blood. Since several of these parameters are mutually dependent, we performed several different analyses in which different parameters were varied. A summary of the four analyses (I–IV) is presented in Table 1. In all these analyses, we have assumed a fixed oxygen dissociation curve ( $P_{50}$ =25 mmHg;  $P_{10}$ =2.5).

# Analysis I: effects of altering $\dot{Q}_{pul}/\dot{Q}_{sys}$ at several levels of blood flow

Fig. 2 shows the effects of increasing the R–L shunt at absolute levels of  $\dot{Q}_{pul}$  and  $\dot{Q}_{sys}$  during normoxia and at a fixed level of ventilation. At any value of  $\dot{Q}_{sys}$ , an increase in the R–L shunt (by a reduction in  $\dot{Q}_{pul}$ ) reduces both arterial and venous Hb O<sub>2</sub>-saturation and the accompanying  $P_{O_2}$  values. As the rate of oxygen uptake ( $\dot{V}_{O_2}$ ) was constant in this analysis, the O<sub>2</sub> content difference between arterial and venous blood increases in proportion to the reduction in systemic blood flow.

In all the following analyses,  $\dot{Q}_{\rm sys}$  was kept constant at  $60\,{\rm ml\,kg^{-1}\,min^{-1}}$ . At least in turtles, this premise seems to be valid, whereas other animals may alter  $\dot{Q}_{\rm sys}$  (e.g. Lillywhite and Donald, 1989).

## Analysis II: effects of varying blood O2-binding capacity

At constant  $\dot{V}_{\rm O_2}$  and  $\dot{Q}_{\rm sys}$ , a reduction in blood O<sub>2</sub>-binding capacity lowers venous Hb O<sub>2</sub>-saturation. In the absence of an R–L shunt, this reduction does not affect arterial blood gas levels, which in this case are entirely determined by lung gas composition. However, in the presence of an R–L shunt, a reduction in venous Hb O<sub>2</sub>-saturation proportionally reduce arterial Hb O<sub>2</sub>-saturation and, thus, arterial  $P_{\rm O_2}$  ( $P_{\rm AO_2}$ ). These

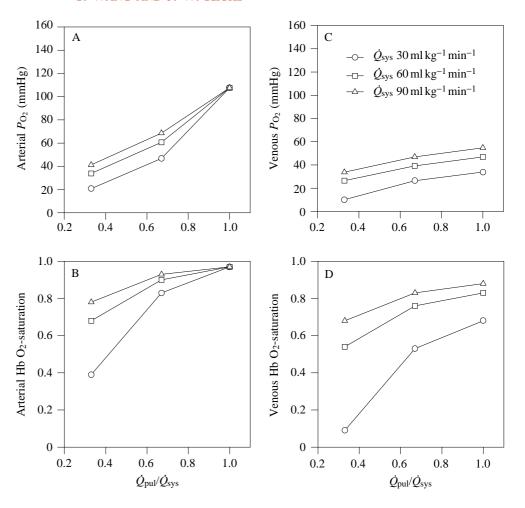


Fig. 2. The calculated effects of the R-L shunt on arterial  $P_{O_2}$  (A), arterial haemoglobin (Hb)  $O_2$ saturation (B), venous  $P_{O_2}$  (C) and venous Hb O<sub>2</sub>-saturation (D) at several levels of absolute blood flows (see text and Table 1 for further details and explanation). In Figs 2-5, values were obtained by substituting the values given in Table 1 into the relevant equations given in Fig. 1.

relationships are presented in Fig. 3 in the absence of an R-L shunt (circles), at a  $\dot{Q}_{R-L}$  of 20 ml kg<sup>-1</sup> min<sup>-1</sup> (triangles) and at a  $\dot{Q}_{\rm R-L}$  of  $40\,{\rm ml\,kg^{-1}\,min^{-1}}$  (squares) and at two rates of ventilation (20 and 100 ml kg<sup>-1</sup> min<sup>-1</sup>, represented by filled and open symbols, respectively). Note that an increased rate of ventilation has only a small effect on arterial Hb O<sub>2</sub>-saturation and that only in the absence of an R-L shunt is the effect on arterial  $P_{O_2}$  sizable.

Analysis III: effects of increasing the rate of oxygen consumption

In this analysis, the effect of increasing metabolic rate was assessed at two rates of ventilation and three levels of R-L shunt (Table 1; Fig. 4). At constant ventilation, increasing  $\dot{V}_{\rm O_2}$ linearly decreased lung  $P_{O_2}$ , which, in the absence of an R-L shunt, resulted in a corresponding decrease in PaO2 (circles in Fig. 4A). In the presence of an R-L shunt, increasing  $\dot{V}_{\rm O_2}$ 

6

8

10

12

Fig. 3. The calculated effects of altering blood oxygen-carrying capacity on arterial  $P_{O_2}$  (A) and haemoglobin (Hb) O2-saturation (B) in the absence and presence of an R-L shunt and at two rates of lung ventilation. Filled symbols represent high rates of ventilation  $(100\,\mathrm{ml\,kg^{-1}\,min^{-1}}),$  while open symbols depict low rates of ventilation  $(20 \, \text{ml kg}^{-1} \, \text{min}^{-1}).$ Circles represent no R-L shunt, triangles an R-L shunt of 33 % and squares an R-L shunt of 67%. See text and Table 1 for further details and explanation.

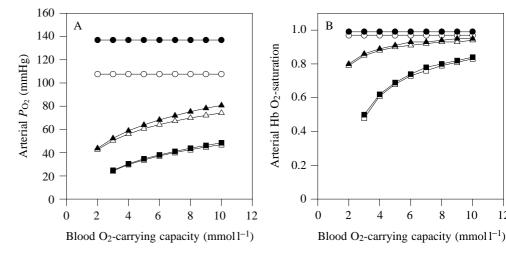
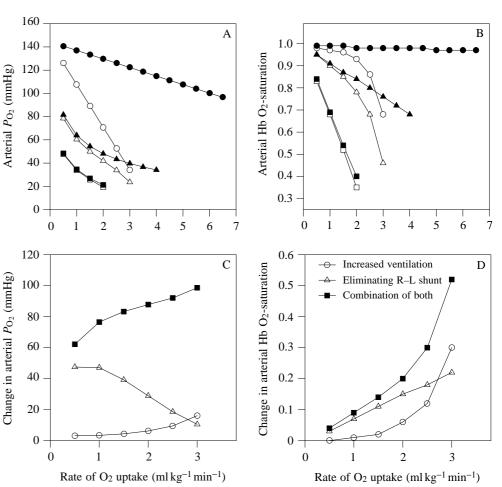


Fig. 4. The calculated effects of increasing the rate of oxygen uptake on arterial  $P_{O_2}$  (A) and arterial haemoglobin (Hb) O2-saturation (B) in the absence and presence of an R-L shunt and at two rates of lung ventilation. Filled symbols represent high rates of ventilation  $(100 \,\mathrm{ml}\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1}),$ while open symbols depict low rates of ventilation  $(20 \,\mathrm{ml}\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1}).$ Circles represent no R-L shunt, triangles an R-L shunt of 33% and squares an R-L shunt of 67%. (C) The change in arterial  $P_{O_2}$ achieved by increasing ventilation (open circles), by eliminating the R-L shunt (open triangles) or by combination of increasing ventilation and eliminating the R-L (filled squares). shunt Using identical symbols, D depicts the changes in arterial haemoglobin O2saturation. See text and Table 1 for further details and explanation.



decreased arterial  $O_2$  levels because of the reduction in venous  $O_2$  content. This relationship is depicted for two levels of R–L shunt (triangles and squares) and at two rates of ventilation (open and filled symbols) in Fig. 4A,B. Note that the highest levels of  $\dot{V}_{O_2}$  can only be sustained if ventilation is high and the R–L shunt is eliminated.

The effects of increasing ventilation, eliminating the R-L shunt and the combination of both are presented in Fig. 4C,D, using a ventilation of 20 ml kg<sup>-1</sup> min<sup>-1</sup> and a  $\dot{Q}_{pul}/\dot{Q}_{sys}$  of 40/60 as reference (open triangles in Fig. 4A,B). The increases in PaO<sub>2</sub> and Hb O<sub>2</sub>-saturation obtained by eliminating the R-L shunt, but maintaining ventilation constant, are depicted with open triangles, while the effects of increasing ventilation to 100 ml kg<sup>−1</sup> min<sup>−1</sup>, but maintaining the R–L shunt constant, are depicted with open circles (Fig. 4C,D). The filled squares represent the effect of both increasing ventilation and eliminating the R-L shunt. At low  $\dot{V}_{O_2}$ , increasing ventilation only modestly affected PaO2 and Hb O2-saturation, while elimination of the R-L shunt had a pronounced effect on PaO<sub>2</sub>. In contrast, at higher  $\dot{V}_{O_2}$ , an increase in ventilation had a larger effect on arterial oxygen levels than elimination of the R-L shunt. For any given condition, the combined effect of increasing ventilation and reducing the R-L shunt is larger than the effect of changing only ventilation or only the R-L shunt.

Analysis IV: effects of hypoxia

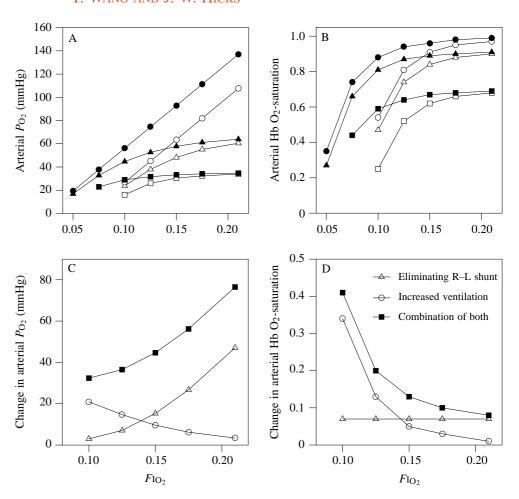
The effects of decreasing the inspired  $O_2$  fraction ( $F_{IO_2}$ ) were determined at two rates of ventilation and three levels of R-L shunt (Fig. 5). At constant ventilation, a reduction in  $F_{IO_2}$  linearly reduces  $P_{O_2}$  of pulmonary venous blood which, in the absence of an R-L shunt, is mirrored by a similar decrease in  $P_{AO_2}$  (open and filled circles, respectively, in Fig. 5A,B). In the presence of an R-L shunt, this reduction in  $P_{AO_2}$  is less pronounced (triangles and squares in Fig. 5A,B).

Fig. 5C,D shows the effects of increasing ventilation (open circles), eliminating the R–L shunt (open triangles) or a combination of both (filled squares) using a ventilation of  $20 \,\mathrm{ml\,kg^{-1}\,min^{-1}}$  and a  $Q_{\mathrm{pul}}/Q_{\mathrm{sys}}$  of 40/60 as reference (open triangles in Fig. 5A,B). At normoxia ( $F_{\mathrm{IO}_2}$ =0.21), the effect of increasing ventilation is virtually absent while the effect of eliminating the R–L shunt is maximal. As  $F_{\mathrm{IO}_2}$  is lowered, the effect of increasing ventilation increases while the effect of eliminating the R–L shunt becomes progressively less pronounced. As in the previous analysis, the combined effect of changing both ventilation and the R–L shunt is always larger than that achieved by changing only one parameter.

### **Discussion**

Our analyses emphasize that both ventilation and the R-L

Fig. 5. The calculated effects of altering inspired oxygen fraction  $(F_{1O_2})$  on arterial  $P_{O_2}$  (A) and arterial haemoglobin (Hb) O2-saturation (B) in the absence and presence of an R-L shunt and at two rates of lung ventilation. Filled symbols represent ventilation high rates of  $(100 \,\mathrm{ml}\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1}),$ while open symbols depict low rates  $(20 \,\mathrm{ml}\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1}).$ ventilation Circles represent no R-L shunt, triangles an R-L shunt of 33% and squares an R-L shunt of 67%. (C) The change in arterial  $P_{O_2}$ achieved by increasing ventilation (open circles), by eliminating the R-L shunt (open triangles) or by a combination of increasing ventilation and eliminating the R-L shunt (filled squares). Using identical symbols, D depicts the changes in arterial haemoglobin O<sub>2</sub>-saturation. See text and Table 1 for further details and explanation.



shunt are important determinants of arterial O<sub>2</sub> levels. This observation is by no means novel, and the importance of the R–L shunt in determining arterial blood gas levels has long been recognized within both clinical and comparative physiology (see, for example, Rossoff *et al.* 1980; Wood, 1982, 1984). Nevertheless, most existing models for gas exchange in amphibians and reptiles have either ignored intracardiac shunts or assumed the R–L shunt to be fixed at a constant value (e.g. Boutilier and Shelton, 1986; Withers and Hillman, 1988). In addition, the principal focus of the present study was to quantify and compare the effects of altering ventilation and the R–L shunt within physiologically realistic limits. Such quantification has, at least to our knowledge, not been conducted prior to this study.

What determines the impact of changing the R–L shunt and ventilation on arterial O<sub>2</sub> levels?

This study demonstrates that the effect of changing ventilation and the R–L shunt on arterial  $O_2$  levels varies with parameters such as inspired  $P_{O_2}$ , the rate of  $O_2$  uptake and blood  $O_2$ -carrying capacity. These changes in the relative importance of altering ventilation and the cardiac R–L shunt in determining arterial blood gas levels are largely explained by the sigmoidal shape of the  $O_2$  dissociation curve (ODC). If lung  $P_{O_2}$  is high relative to blood  $O_2$  affinity, the  $O_2$  levels of blood leaving the

lung are positioned on the flat portion of the ODC and even a large increase in lung  $P_{O_2}$  (by increasing ventilation) has little effect on pulmonary venous O2 content. If an R-L shunt is taking place, this implies that arterial O2 content is affected only marginally, with a correspondingly small effect on arterial  $P_{O_2}$ . In contrast, if the O<sub>2</sub> levels of pulmonary venous blood are positioned on the step portion of the ODC, a small change in lung  $P_{O_2}$  affects pulmonary venous  $O_2$  content markedly, and the resulting impact on arterial O2 levels is accordingly more pronounced. This explains why, in the presence of an R-L shunt, an increase in ventilation from 20 to 100 ml kg<sup>-1</sup> min<sup>-1</sup> has only small effects on arterial O2 levels at normoxia (Figs 3–5), whereas the impact of increasing ventilation is large when lung  $P_{O_2}$  is low. The converse argument explains the effect of the R-L shunt on arterial O2 levels. As presented in Fig. 5D, the impact of eliminating the R-L shunt on arterial Hb O<sub>2</sub>-saturation is independent of the level of hypoxia. Nevertheless, the corresponding change in PaO<sub>2</sub> due to elimination of the R-L shunt is large (Fig. 5C) because the change in Hb O<sub>2</sub>-saturation occurs on an increasingly steep portion of the ODC. The same arguments hold true in the analysis where the rate of oxygen uptake is altered (Fig. 4), although this situation is more complicated because the change in arterial Hb O2-saturation for a given level of R-L shunt depends on the rate of oxygen uptake.

Because the effects of changing ventilation and/or the R–L shunt on arterial oxygen levels are determined by lung  $P_{\rm O_2}$  relative to blood oxygen affinity, the most 'beneficial' cardiorespiratory response (in terms of improving  $\rm O_2$  transport) depends on the conditions of oxygen loading at the lungs. If lung  $P_{\rm O_2}$  is high relative to blood  $\rm O_2$  affinity, a change in ventilation will have virtually no effect, while a decrease in the R–L shunt is relatively more powerful. Conversely, if lung  $P_{\rm O_2}$  is low relative to blood  $\rm O_2$  affinity, the effect of changing ventilation is larger. Importantly, simultaneously increasing the ventilation and eliminating the R–L shunt always results in the largest increases in blood  $\rm O_2$  levels.

The R–L shunt invariably results in a decrease in arterial oxygen levels and, at a given  $\dot{Q}_{sys}$ , the R–L shunt therefore reduces the  $O_2$  transport capacity of the cardiovascular system. As a result of this reduction, the presence of an R–L shunt reduces the maximum sustainable rate of  $O_2$  consumption (Fig. 4) and reduces the tolerance to hypoxia (Fig. 5) and to reductions in blood  $O_2$ -carrying capacity (Fig. 3). Teleologically, it may therefore be argued that it is beneficial for gas exchange for the R–L shunt to be eliminated under circumstances where  $O_2$  transport is challenged (hypoxia and hypoxaemia) or when metabolic rate is increased (during digestion and muscular exercise).

# Is the R-L shunt eliminated in vivo when oxygen transport is challenged?

Detailed descriptions of cardiovascular responses under conditions other than rest are, unfortunately, scarce. In fact, not a single study has determined total systemic and pulmonary blood flows simultaneously during hypoxia, hypoxaemia or exercise in any animal possessing the possibility of cardiac shunting. This lack of simultaneous determinations of blood flows makes it difficult to assess the changes in intracardiac shunt in detail. Nevertheless, some studies have determined  $\dot{Q}_{\rm Pul}$  in addition to blood flows in one or more systemic vessel, and the results from these studies seem to support our suggestion that the R–L shunt is eliminated, or at least reduced, during activity and hypoxia.

In the green sea turtle *Chelonia mydas*,  $\dot{Q}_{pul}$  increases proportionately more than left aortic blood flow during swimming (West *et al.* 1992), which strongly suggests a reduction in the R–L shunt. Large increases in  $\dot{Q}_{pul}$  compared with  $\dot{Q}_{sys}$  and the development of a net L–R shunt during terrestrial exercise have also been noted by Burggren and Shelton (1979) for *Testudo graeca* and *Pseudemys scripta*, and large increases in  $\dot{Q}_{pul}$  have been reported during movements under water in the turtle *Chelonia mydas* (Johansen *et al.* 1970). Detailed determinations of cardiac shunt patterns during different forms of activity are clearly needed, and studies on non-chelonian reptiles would be most welcome.

Compared with the considerable information on ventilatory responses to reductions in inspired oxygen levels, surprisingly few studies have investigated the effects of hypoxic hypoxia on central vascular blood flows. Nevertheless, in the aforementioned study on the green sea turtle, West *et al.* (1992)

found a twofold increase in  $\dot{Q}_{pul}$  at moderate hypoxia (10%  $O_2$ ), while left aortic blood flow increased by only 30%. Similarly, Burggren *et al.* (1977) reported large increases in  $\dot{Q}_{pul}$  during hypoxia in two other species of chelonians, but did not determine  $\dot{Q}_{sys}$ . In the Australian lungfish *Neoceratodus forsteri*,  $\dot{Q}_{pul}$  increases two- to threefold during aquatic hypoxia (Fritsche *et al.* 1993) and, finally, hypoxia elicits increases in  $\dot{Q}_{pul}$  in anaesthetized toads *Bufo marinus* (West and Burggren, 1984).

It has long been recognized that animals with low blood O2-carrying capacity possesses high  $\dot{Q}_{\rm sys}$  (Lenfant et~al. 1970), but the effects of reducing blood O2-carrying capacity on cardiac shunting have received virtually no attention. Nevertheless, the possible role of arterial O2 content as opposed to  $P_{\rm O2}$  as a regulated variable for ventilation has been discussed repeatedly (Wang et~al. 1994). While this is an interesting question, our present analyses clearly show that an increased ventilation does not improve or safeguard O2 transport in anaemic animals. Rather, an elimination of the R–L shunt and an increase in  $\dot{Q}_{\rm sys}$  are beneficial under these circumstances and future studies on the role of reduced blood O2-carrying capacity should consequently address both the cardiovascular and the ventilatory events.

# Are shunts actively controlled and do they participate in regulation of blood gases?

The potential role of the cardiovascular system in regulating arterial blood gas levels through changes in R–L shunting is undisputed. The existence of such potential, nevertheless, does not warrant the conclusion that the cardiovascular system is involved in this regulation. Chemoreceptors located in the arterial circulation and on the pulmocutaneous arches have been identified and recorded from several species of amphibians and reptiles. These chemoreceptors, like the peripheral chemoreceptors in mammals, increase their firing frequency during hypoxia (Ishii *et al.* 1985; Van Vliet and West, 1992). The afferent input from the receptors has mostly been studied from the viewpoint of ventilatory control, and its possible effects on the cardiovascular system are largely unknown.

Increases in  $\dot{Q}_{\rm pul}$  and the concomitant reductions in the R–L shunt are often associated with changes in ventilation. It can therefore be difficult to establish whether the cardiovascular performance changes independently or whether it is merely a function of the normal cardiorespiratory interaction. In several studies, Burggren and coworkers observed that turtles, while submerged and inactive, periodically increase  $\dot{Q}_{\rm pul}$  (Burggren and Shelton, 1979; Burggren, 1988; Burggren *et al.* 1989). It was therefore suggested that these transient perfusions of the lung serve to regulate arterial oxygenation, but it is imperative to emphasize that the involvement of arterial chemoreceptors and the appropriate afferent control of pulmonary perfusion remain to be demonstrated.

What do determinations of arterial blood gas levels reveal? As discussed above and originally by Wood (1982, 1984),

arterial blood gas levels in the presence of an R-L shunt are dependent variables determined by all the parameters included in Fig. 1. As an example, PaO2 increases with increased temperature in virtually all amphibians and reptiles, and this pattern is commonly interpreted as being primarily a result of decreased blood oxygen-affinity at elevated temperature (e.g. Glass et al. 1985). While these interpretations may provide an adequate explanation, alternatives exist, as illustrated by blood gas data from one of our own studies on toads (Branco et al. 1993). In these experiments, ventilation was stimulated by perfusing the central chemoreceptor with a mock cerebrospinal fluid solution of varying acidity while arterial blood gas levels were monitored. The increased ventilation resulted in a respiratory alkalosis (0.3 pH units at 35 °C) which, according to the interpretations above, should result in a decrease in  $Pa_{O_2}$ because of the expected increase in blood O2-affinity. In contrast to this 'expectation', PaO2 increased significantly. This finding can be explained by a decrease in the R-L shunt, an increase in  $\dot{Q}_{\rm sys}$  and the resulting increase in mixed venous O<sub>2</sub> content, an increase in left atrial oxygenation level, a decrease in the rate of  $O_2$  uptake or any possible combination of all these. Naturally, an evaluation of these possibilities requires that all, or at least most, of these parameters be determined. The point we would like stress is that, in the absence of detailed and simultaneous determinations of blood flows and blood gas levels from several central sites, interpretations of the causes of changing arterial blood gas levels are, at best, tenuous.

# Conclusion and future directions for research on the control of arterial blood gas levels

The modelling of arterial O<sub>2</sub> levels in the present study unequivocally demonstrates the importance of intracardiac shunts for the determination of blood gas levels in lower vertebrates (and all embryonic vertebrates). It is, therefore, erroneous to view the control of arterial blood gas levels solely as a function of ventilation. Rather, arterial blood gas composition is the *combined* result of ventilation and cardiac shunts, and both the cardiovascular and the ventilatory systems must accordingly be evaluated if we aim to understand the control of arterial blood gas levels. Currently, only limited experimental data exist on cardiovascular performance in lower vertebrates and detailed studies on cardiac shunts are very scarce. Thus, although it is premature to conclude that the regulation of cardiac shunts is directly involved in the control of arterial blood gas levels, no data indicate the opposite. Clearly, future studies on lower vertebrates must combine measurements of cardiac shunts and ventilation.

T.W. was supported by a postdoctoral fellowship from the Carlsberg Foundation (Denmark) during the course of this study and J.W.H. received financial support from an NSF operating grant (IBN-9218936). We thank Dr S. C. Wood for critical comments on a previous version of this manuscript and Ole Wang for arithmetic advice.

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