

## bcl-2 in cancer, development and apoptosis

David M. Hockenbery

Fred Hutchinson Cancer Research Center, Seattle, WA, USA

### SUMMARY

The *bcl-2* gene provides a window on the basic cellular machinery of apoptosis or programmed cell death, a process involved in virtually all biologic events in multicellular organisms, but particularly relevant to neoplasia and development. *bcl-2* gene function supports cell survival and appears to lie at a nodal point in pathways leading to activation or execution of apoptosis. Carcinogenesis may involve several steps at which cell death programs are normally activated and are bypassed in cancer cells, including apoptotic pathways activated by several oncogenes. Functional redundancy and the complexity of

the regulation of cell survival are demonstrated by the less than expected phenotype of *bcl-2* knockout mice and the cloning of several *bcl-2* related genes, some of which promote cell death. The molecular function for *bcl-2* is unknown, but several lines of evidence support a role in protection from oxidative stress. These studies suggest that many environmental perturbations and genetic pathways converge to disrupt a metabolic balance between oxidant generation and anti-oxidant defenses.

Key words: oncogene, cell death, oxidative stress

### INTRODUCTION

As has been the case for genes involved in cellular proliferation and activation, an important cellular gene controlling programmed cell death was discovered by a search for novel oncogenes. *bcl-2* was identified as an oncogene involved in the t(14;18) translocation that is characteristic of follicular lymphoma, a human B cell malignancy (Tsujimoto et al., 1984; Bakhshi et al., 1985; Cleary and Sklar, 1985). *bcl-2* on chromosome 18q and the immunoglobulin heavy chain gene locus on chromosome 14q are broken and rejoined in a balanced translocation, creating a chromosomal context in which transcriptional deregulation of *bcl-2* occurs.

Initial assays for cell transforming properties were disappointing and a potential role for *bcl-2* in oncogenesis was lacking until the experiments of Vaux et al. (1988) were reported. Introduction of *bcl-2* into an interleukin-3 (IL-3)-dependent cell line led, not to factor-independent growth, but to factor-independent survival. Subsequent experiments confirmed the ability of *bcl-2* to inhibit the expression of an apoptotic cell death program following factor withdrawal independent of cell cycle effects (Nunez et al., 1990; Hockenbery et al., 1990). The overexpression of a normal *bcl-2* protein is the important oncogenic event in human follicular lymphoma and can be partially recreated in transgenic models. The generation of *bcl-2*-transgenic mice using the *bcl-2*-promoter and cDNA and immunoglobulin heavy chain enhancer demonstrated the consequences in the B cell lineage of deregulated cell survival (McDonnell et al., 1989). Dramatic polyclonal expansion of mature B lymphocytes precedes development of diffuse immunoblastic B cell lymphomas in the second year of life. A high proportion of these tumors have rearrangements of *c-myc* as a second genetic alteration (McDonnell and Korsmeyer, 1991).

Although several groups have reported similar results in the B cell lineage (Strasser et al., 1991b; Katsumata et al., 1992), oncogenic effects of *bcl-2* in other cell types have not been as evident. Targeted overexpression of *bcl-2* in thymic lymphocytes, mammary and intestinal epithelium, and myeloid populations has not produced tumors, although occasional T cell neoplasms have been reported in mice bearing immunoglobulin enhancer-*bcl-2* transgenes (Sentman et al., 1991; Lagasse and Weissman, 1993; D. M. Hockenbery, unpublished observations).

### bcl-2 FUNCTION IN CANCER

One model for *bcl-2* action in oncogenesis relies on the dual effects of proliferation and cell death in homeostatic regulation of cell populations, suggesting that abnormalities in both pathways may lead to clonal selection and neoplasia (Korsmeyer, 1992). Documentation of an extended lifespan of tumor cells is lacking in most cases, however, and is presumably not the case in tumors with a demonstrably high cell turnover, such as Burkitt's lymphoma. The requirement for a deregulated cell survival mechanism may be temporally restricted to the period of early acquisition of several genetic lesions, when the constraints of physiologic cell turnover may be a limiting factor on clonal evolution. B lymphocytes, which survive only several days in the peripheral circulation without antigenic stimulation, may be one lineage and differentiation stage with this requirement.

Another model would propose a more targeted role for *bcl-2* in neoplasia in which *bcl-2* is highly synergistic with specific cellular oncogenes. This concept follows the recognition that several oncogenes that function in cell activation and prolifer-

ation pathways have a paradoxical effect of promoting cell death by apoptosis. This tendency is unmasked by adverse growth conditions or blockade of cell proliferation. This connection between cell proliferation and apoptosis was originally demonstrated for c-myc, and has been shown to be relieved by overexpression of bcl-2 (Evan et al., 1992; Bissonnette et al., 1992; Fanidi et al., 1992). Tumorigenesis in cell lines and transgenic models is dramatically accelerated by co-expression of c-myc and bcl-2 (Strasser et al., 1990). Progression of human lymphoid tumors with deregulated c-myc and bcl-2 suggests that these observations hold true in naturally occurring tumors (Lee et al., 1989). Additional cellular oncogenes have been found that can predispose cells to undergo apoptosis, including c-rel and c-fos (Smeyne et al., 1993; Abbadie et al., 1993).

Deregulation of bcl-2 may also function as a survival mechanism in cancer cells predisposed to cell death by environmental factors. bcl-2 acts as a broad anti-apoptotic factor and opposes cell deaths following ionizing radiation, cancer drugs and hormonal manipulations (Sentman et al., 1991; Strasser et al., 1991a; Miyashita and Reed, 1993). Apoptosis may also be triggered by withdrawal of extracellular matrix interactions, suggesting that tumor growth pattern and metastasis may impose an apoptosis-inducing stress (see article by C. Streuli, this issue). Several non-lymphoid neoplasms, including prostate, lung, colon and breast express high levels of bcl-2, although a genetic alteration in *bcl-2* has not been demonstrated (McDonnell et al., 1992; Pezzella et al., 1993; Bronner et al., 1994; D. M. Hockenbery, unpublished observations).

## **bcl-2 FUNCTION IN DEVELOPMENT**

The identification of an anti-apoptotic function of bcl-2 in cancer and in *in vitro* assays has produced much interest in the potential normal *in vivo* functions of this gene. Apoptotic cell deaths are abundant in developmental processes of many organisms (Sulston and Horvitz, 1977; Abrams et al., 1993). These appear to be essential events in higher organisms, leading to important morphogenetic changes such as loss of interdigital webs, and functional matching of cell populations in the nervous system, resulting in the death of up to 85% of neurons that are born (Cowan et al., 1984). bcl-2 is expressed in a limited number of tissues in the adult, including T and B lymphocytes, hemopoietic cells, epithelia, and neurons (Hockenbery et al., 1991). Predictions of a determinative role for bcl-2 in life or death decisions *in vivo* are best supported by study of germinal centres in lymphoid follicles. Germinal centres are sites with high rates of apoptotic cell death of B cells during humoral immune responses to T cell-dependent antigens (MacLennan and Gray, 1986). Cell death operates as a selective mechanism for expansion of B cell clones bearing high-affinity immunoglobulin antigen receptors. Diversity in immunoglobulin receptors is created by hypermutation of the rearranged immunoglobulin genes of one or two B cells that are recruited to the germinal centre, where expansion and hypermutation take place in the centroblastic zone. A need for survival cues becomes evident as these cells cease proliferating and accumulate in the centrocytic zone. Limiting amounts of cognate antigen are localized within the germinal centre on

dendritic cells, provoking a competition for antigen-antigen receptor interactions won by B cells bearing mutated, high-affinity receptors. The evidence suggests that these cells are the survivors of germinal centre reactions, emigrating as memory B cells or plasma cells characteristic of the mature immune response. The remainder of B cells generated in the germinal centre succumb to apoptosis. The primary role of bcl-2 in this selection scheme is suggested by the vigorous regulation of bcl-2 expression that is observed. B lymphocytes that are recruited to the germinal centre and undergo expansion as centroblasts have down-regulated the abundant bcl-2 expression characteristic of circulating B cells to undetectable levels (Hockenbery et al., 1991; Pezzella et al., 1990). This remains true for the majority of centrocytes in the area of the germinal centre with high rates of apoptotic death, with the exception of rare single cells that stain positively for bcl-2.

*In vitro* study of centrocytes reveals the probable course of events. Germinal centre lymphocytes undergo an accelerated apoptotic death *in vitro* unless specific signals are present. These include immunoglobulin receptor stimulation and the co-stimulatory ligands anti-CD40 antibody or rCD23 protein plus interleukin-1 (Liu et al., 1991). These factors likely represent antigen-antibody binding in the context of cell-cell and cytokine-receptor interactions present in the germinal centre environment. bcl-2 protein, significantly, is induced by both sets of stimuli. Thus, in the germinal centre reaction, down-regulation of bcl-2 appears to set up an apoptotic reaction and is the target of positively-selecting stimuli to rescue cells from an apoptotic end. The apparent complexity of bcl-2 regulation in germinal centres is further increased by *in situ* RNA hybridization studies, which revealed abundant bcl-2 RNA throughout the germinal centre, suggesting a predominant post-transcriptional mechanism (Chleq-Deschamps et al., 1993).

bcl-2 is expressed more widely in the developing fetus, notably in many neuronal populations, the retina, and limb buds. The expression pattern of bcl-2 is, in some instances, inversely related to topographically-restricted sites of apoptotic death, such as interdigital webs (Veis et al., 1993).

## **FUNCTIONAL REDUNDANCY AND bcl-2-RELATED GENES**

Transgenic mice with deletions of single genes by homologous recombination provide a stringent test for the essential function of genes during development. Two gene 'knockout' models of bcl-2 have been reported (Veis et al., 1993; Nakayama et al., 1993). Surprisingly, except for a slight growth retardation, development proceeds normally in these mice and normal births are reported with no excess mortality for mice with homozygously deleted bcl-2. Three tissue-specific phenotypes are evident post-natally. Lymphoid organs undergo massive involution by apoptosis within the first 2 months of life, although thymic and B cell maturation initially proceed normally. These mice undergo early deaths, probably more related to a polycystic kidney lesion apparent soon after birth. Finally, a pattern of progressive graying during the second hair follicle cycle develops, although hair pigmentation at birth is normal (Veis et al., 1993).

The results in mice with deleted bcl-2 suggests a level of functional redundancy for bcl-2, particularly during develop-

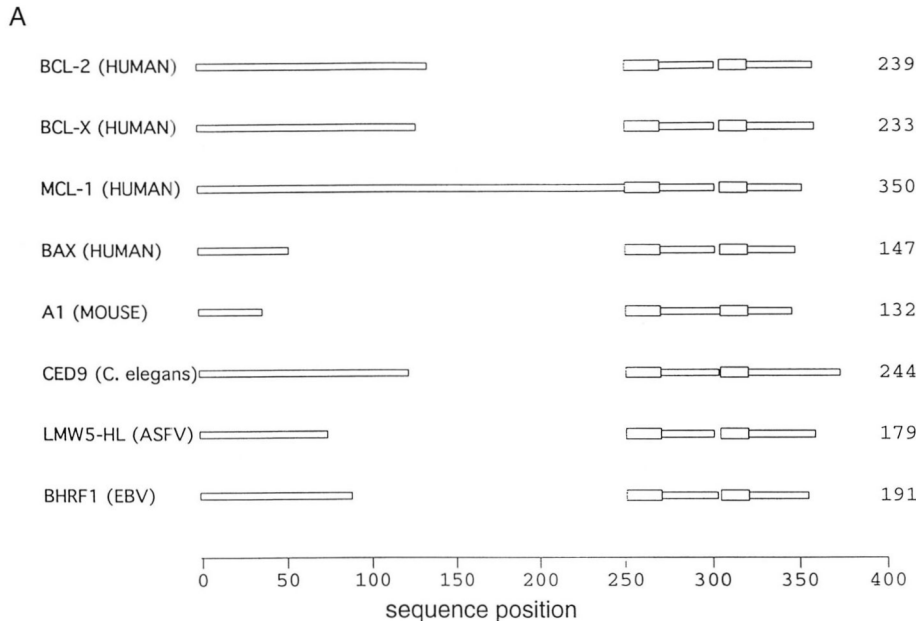
mental stages. Recently, several genes homologous to bcl-2 have been cloned, which may explain models based on redundant gene function. Two genes, *bax* and *bcl-x*, encode proteins that lack one or more regions evolutionarily conserved in bcl-2 (Oltvai et al., 1993; Boise et al., 1993). Both of these, when co-expressed with bcl-2, produce inhibition of bcl-2 function in survival assays. *bax* was isolated by co-immunoprecipitation with bcl-2 and has been demonstrated to form heterodimeric complexes with bcl-2 (Oltvai et al., 1993). The ratio of bcl-2 to *bax* protein within a cell appears to act as a 'rheostat' predicting cell survival following apoptotic triggers.

bcl-x, cloned by low-stringency hybridization of a cDNA library, produces alternatively-spliced mRNAs, one of which encodes an inhibitor of bcl-2 function, bcl-x<sub>s</sub>, lacking 2 domains conserved in bcl-2. A larger protein, bcl-x<sub>L</sub>, is encoded by a second mRNA and retains all conserved domains identified in bcl-2. This bcl-x product inhibits apoptotic death and appears to be functionally equivalent to bcl-2. bcl-x<sub>L</sub> and bcl-x<sub>s</sub> appear to be regulated independently and are expressed in different cell types. Additional bcl-2 family members, mcl-1 and A1, have recently been cloned from hematopoietic cells, but have not been functionally characterized (Kozopas et al., 1993; Lin et al., 1993). bcl-2 is part of a still larger family of homologous proteins, including cell death inhibitors found in the DNA viruses adenovirus, Epstein-Barr virus, African swine fever virus and herpesvirus saimiri (White, 1993; Cleary et al., 1986; Neilan et al., 1993; Albrecht et al., 1992). Sequence

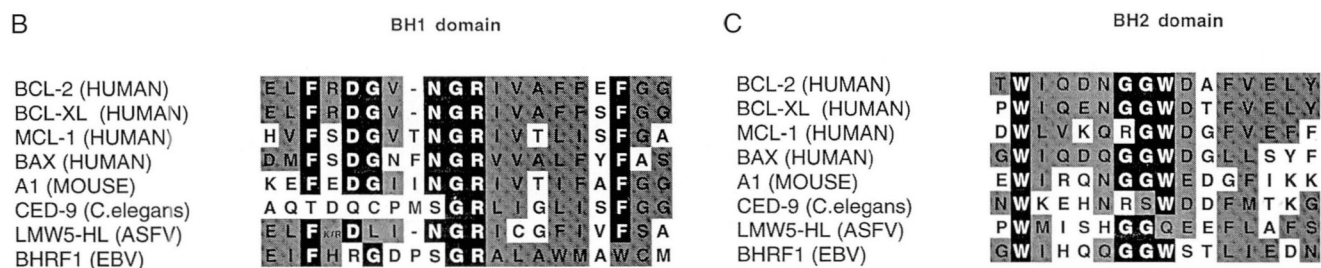
homology is strongest in two short regions, BH1 and BH2 (Fig. 1). Homologous genes have also been found in mouse, chicken, rat and *Caenorhabditis elegans* (Negrini et al., 1987; Eguchi et al., 1992; Reed, 1994; Hengartner and Horvitz, 1994).

Knowledge of redundancy in bcl-2 function may help to explain one conundrum in the literature. Down-regulation of bcl-2 expression precedes cell death in many models and, if prevented in gene transfection experiments, cell death is impeded. These results suggest that some stimuli that induce apoptosis have bcl-2 down-regulation as their target. However, attempts to induce cell death by decreasing bcl-2 function, using anti-sense oligonucleotides or overexpression of an inhibitor such as *bax*, still require an apoptotic trigger (Oltvai et al., 1993; Reed et al., 1990). The intracellular changes produced by an apoptotic stimulus, such as serum deprivation, are therefore poorly understood. If more than a single bcl-2-like protein is expressed and involved in maintaining cellular viability, attempts to reproduce the events of serum deprivation by down-regulating one member of this family may be insufficient. It may only increase the apoptotic response to serum deprivation, if the effect of serum deprivation is to down-regulate all bcl-2-like proteins below some threshold level. This is, in fact, the sensitization response that is observed after bcl-2 down-regulation, including assays of cells from bcl-2 'knockout' mice.

Why are there multiple bcl-2 family members? One potential



**Fig. 1.** (a) Schematic representation of regions of homology among bcl-2 family members. Blocks of aligned sequences were analyzed with the program MACAW (Schuler et al., 1991). (b) Conserved nucleotide sequences in the BH1 region are shown. Amino acids identical to human bcl-2 are in black and conservative substitutions are shaded. (c) Conserved nucleotide sequences in the BH2 region are shown.



explanation is suggested by the pattern of *bcl-2* expression within a cell lineage. Hemopoietic precursors of myeloid, erythroid and megakaryotic lineage express *bcl-2*, but more mature, post-mitotic progeny lack *bcl-2*. We have recently demonstrated that quiescent, pluripotent hemopoietic cells with 'stem cell' features also lack *bcl-2*. This pattern of *bcl-2* expression within a limited number of differentiation or developmental stages of a lineage is seen in other cell types, such as complex epithelia. If some *bcl-2*-like proteins have non-overlapping distributions, perhaps each stage in a lineage of a cell will have a member(s) of this family of proteins actively maintaining cell viability. This would mean that cellular transitions would result in down-regulation of one *bcl-2* family member and up-regulation of another. This might provide an efficient check on any cells that fail to execute normal developmental or differentiation programmes. These cells, which may fail to exit the cell cycle in G<sub>0</sub> or fail to express the correct receptor for a trophic factor, would automatically undergo apoptosis by losing expression of one family member and failing to induce another. A family of genes would increase the complexity of regulatory strategies that could be used in different lineages or stages of differentiation. This model would also suggest that *in vitro* models of apoptotic cell death after various exogenous treatments may be variations on a theme used *in vivo*, in which cell cycle arrest, DNA damage or factor-withdrawal could converge to down-regulate intracellular survival factors.

## APOPTOTIC MECHANISMS AND *bcl-2* FUNCTION

Mechanisms of apoptotic cell death must be closely linked to the function of *bcl-2*, since *bcl-2* has been shown in experimental models to block almost all examples of apoptotic death. There is also room for those cell deaths not responsive to *bcl-2* overexpression to remain part of the larger category of *bcl-2*-responsive deaths, if high levels of inhibitory proteins like *bax* are present.

The subcellular localization of *bcl-2* may provide a clue to its function. *bcl-2* has been found associated with mitochondria, nuclear membrane, and smooth endoplasmic reticulum (Hockenbery et al., 1990; Jacobson et al., 1993; Monaghan et al., 1992). These sites have in common an oxidation-reduction function that can result in oxygen-free radical generation. Several stimuli that can produce apoptosis are linked to oxidative stress, including ionizing radiation, tumor necrosis factor and phorbol esters.

To investigate the possibility that reactive oxygen species (ROS) play a wider role in apoptosis, we examined the model of interleukin-3 (IL-3)-withdrawal-induced cell death (Hockenbery et al., 1993). Pretreatment of IL-3-dependent FL5.12 cells with either *N*-acetylcysteine, a direct free radical scavenger that increases intracellular glutathione levels, or vitamin E, a chain-terminating antioxidant, gave partial protection from factor withdrawal-induced apoptosis. To achieve enhanced antioxidant effects, stable transfectants of FL5.12 cells were made with glutathione peroxidase or manganese superoxide dismutase (MnSOD) cDNAs and evaluated for response to factor withdrawal. While overexpression of MnSOD had no effect in this model, glutathione peroxidase provided substantial protection. These results suggested that ROS participated in factor withdrawal-induced cell death. In

view of the recognized effects of oxidative stress in gene induction and modification of protein function, a role in cell signaling or a more direct role in cell damage could be envisaged. The specificity for peroxides activity suggests that peroxidase are the relevant ROS involved in apoptosis.

A useful assay of ROS-mediated cellular damage is the analysis of lipid peroxidation. A fluorescent lipid analog, *cis*-parinaric acid (CPA) has been used as an indirect measure of lipid peroxidation in flow cytometric assays. CPA is distributed to all cellular membranes and remains stably fluorescent unless it is peroxidized, which involves a molecular rearrangement with loss of fluorescent properties. We examined the model of dexamethasone-induced apoptosis of a T cell hybridoma, 2B4 cells, for the advantage of a more synchronized apoptotic response. 2B4 cells that are *bcl-2*-transfected remained viable after dexamethasone treatment and showed no loss of CPA fluorescence. To the contrary, control 2B4 cells died by apoptosis and showed an early diminution of CPA fluorescence by 4 hours after dexamethasone that progressively increased during the incubation. An early marker of apoptotic cell death in this model, DNA fragmentation, occurred at 10-11 hours. This result suggests that early ROS-mediated cell damage occurs and increases during the time period when other cellular events in apoptosis take place. Finally, we asked if the effect of *bcl-2* in preventing lipid peroxidation was at the level of ROS generation or at a downstream point. Menadione is a toxic vitamin K derivative that causes intracellular formation of superoxide radical by redox cycling. Cells treated with menadione undergo apoptosis, which is blocked by *bcl-2* at lower menadione doses. Generation of superoxide radical can be measured by oxygen consumption in the presence of cyanide, an inhibitor of oxidative phosphorylation. *bcl-2* protected cells demonstrated no change in the rate of superoxide production as measured in this assay, implying a downstream, antioxidant function for *bcl-2*. Further studies using a flow cytometric indicator of peroxide generation, dichlorofluorescein, confirmed that *bcl-2* did not inhibit the generation of ROS. Interestingly, the effect of dexamethasone as a trigger of apoptotic death does not appear to be mediated by an increase in ROS generation. As pro-oxidant effects can be achieved by decreases in antioxidant levels, this mechanism may be operative in dexamethasone-induced apoptosis. If *bcl-2* directly or indirectly acts as an antioxidant, the models of apoptosis in which *bcl-2* down-regulation occurs might also fit this model.

Why would cells use oxidative damage as a general effector mechanism in programmed cell deaths? ROS have been shown to stimulate gene transcription, regulate transcription factors, and activate components of signal transduction pathways. As described for the role of nitric oxide as a second messenger, ROS may be tightly regulated within a cell to achieve desired physiologic effects and avoid pathologic effects. Global cellular programs, such as cell proliferation and differentiation, respond to changes in redox environment. If variations in ROS levels regulate some of these programs, a novel view of cellular oxidant/antioxidant balance as a labile, dynamic control element may be required. Exaggerated responses or prolonged down-regulation of balancing antioxidants could readily result in apoptotic cell death.

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