

RESEARCH REPORT

Hox-mediated endodermal identity patterns pharyngeal muscle formation in the chordate pharynx

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ABSTRACT

The chordate pharynx, possessing gill slits and the endostyle, is a complex of multiple tissues that are highly organized along the anterior-posterior (AP) axis. Although Hox genes show AP coordinated expression in the pharyngeal endoderm, tissue-specific roles of these factors for establishing the regional identities within this tissue have not been demonstrated. Here, we show that *Hox1* is essential for the establishment of AP axial identity of the endostyle, a major structure of the pharyngeal endoderm, in the ascidian *Ciona intestinalis*. We found that knockout of *Hox1* causes posterior-to-anterior transformation of the endostyle identity, and that *Hox1* represses *Otx* expression and anterior identity, and vice versa. Furthermore, alteration of the regional identity of the endostyle disrupts the formation of body wall muscles, suggesting that the endodermal axial identity is essential for coordinated pharyngeal development. Our results demonstrate an essential role of Hox genes in establishment of the AP regional identity in the pharyngeal endoderm and reveal crosstalk between endoderm and mesoderm during development of chordate pharynx.

KEY WORDS: Ascidian, *Ciona*, Endoderm, Hox, Pharyngeal muscle, Pharynx

INTRODUCTION

The pharynx, with gill slits and the endostyle (or thyroid gland), is a defining chordate feature, whereas the existence of gill slits can be extended to deuterostomes. The chordate pharynx comprises multiple tissues, such as nerves, muscles and endodermal epithelia, that are well organized along the embryonic anterior-posterior (AP) axis. Hox genes are thought to play important roles in establishing the regional identity of the pharynx along the AP axis (Couly et al., 1998; Gendron-Maguire et al., 1993; Hunt et al., 1991; Rijli et al., 1993). In the pharyngeal endoderm, Hox genes also exhibit coordinated expression during vertebrate development (Shone et al., 2016). In mice, loss of *Hoxa1* and *Hoxb1* functions results in absence of the third pharyngeal pouch derivatives, such as the thymus and parathyroid (Rossel and Capecchi, 1999). However, the tissue-specific role of Hox genes in the pharyngeal endoderm is not well understood, except for *Hoxa3*, which is involved in the

development of the third pouch-derived organs (Chojnowski et al., 2014). A reason for the scarce understanding of the tissue-specific role of Hox genes is related to the multiplicity of their functions; Hox genes are expressed and function in various organs including pharyngeal endoderm. Therefore, little is known about how the regional identities are established within this tissue by Hox genes and how the patterning is coordinated with those in other tissues.

We have previously found that *Hox1* is expressed in the posterior part of the endostyle in the ascidian *Ciona intestinalis* (Sasakura et al., 2012). The endostyle is a mucus-producing organ formed on the ventral midline of the pharyngeal endoderm in non-vertebrate chordates and in larval lampreys, and is thought to be the precursor of the vertebrate thyroid gland (Salvatore, 1969). The ascidian endostyle is a representative structure of the pharynx and extends from the anterior to the posterior ends of the pharynx (Fig. 1K). Although *Hox1* expression in the posterior endostyle suggests involvement of this gene in AP patterning of the pharynx, its function is unclear. Here, we report an essential role of *Hox1* for establishing the AP axial identity of the endostyle in *Ciona*. We also find that the AP axial identity of the endostyle is necessary for the patterning of muscles in the pharynx, indicating that endodermal patterning is a key event for establishing the organized pharynx in chordates.

RESULTS AND DISCUSSION

Hox1 and *Otx* establish the regional identity of the endostyle

To explore the role of *Hox1* in the endoderm, we carried out tissue-specific knockout of *Hox1* using transcription activator-like effector nucleases (TALENs) (Treen et al., 2014). A TALEN pair designed to target the *Hox1* locus was expressed in the endodermal tissues under the control of *Titf1* regulatory sequence, which expresses downstream genes in the endoderm and a small subset of neural cells (Ristoratore et al., 1999; Sasakura et al., 2012). The endoderm-specific disruption of *Hox1* allowed embryos to develop to juveniles with normal atrial siphons and gill slits, both of which are lost in *Hox1* mutant animals (Sasakura et al., 2012). We first examined the expression of *Otx* and *Hox1*, which respectively mark the anterior and posterior endostyle as reported in another tunicate species (Cañestro et al., 2008) (Fig. 1A,E). Because TALENs generally introduce small deletions and/or insertions, expression of target genes usually remains in knockout animals, thereby allowing us to examine whether target genes are necessary for their own expression. Expression of *Hox1* in the posterior endostyle was not detected when *Hox1*-TALENs were expressed in the endodermal tissues (Fig. 1B). By contrast, *Otx* was ectopically expressed in the posterior endostyle in addition to the anterior endostyle (Fig. 1F). These results suggest that the identity of the posterior endostyle is transformed into the anterior one in the *Hox1* knockout juveniles, and that *Hox1* is required to repress the anterior identity in the posterior endostyle. Next, we tested whether *Hox1* is capable of

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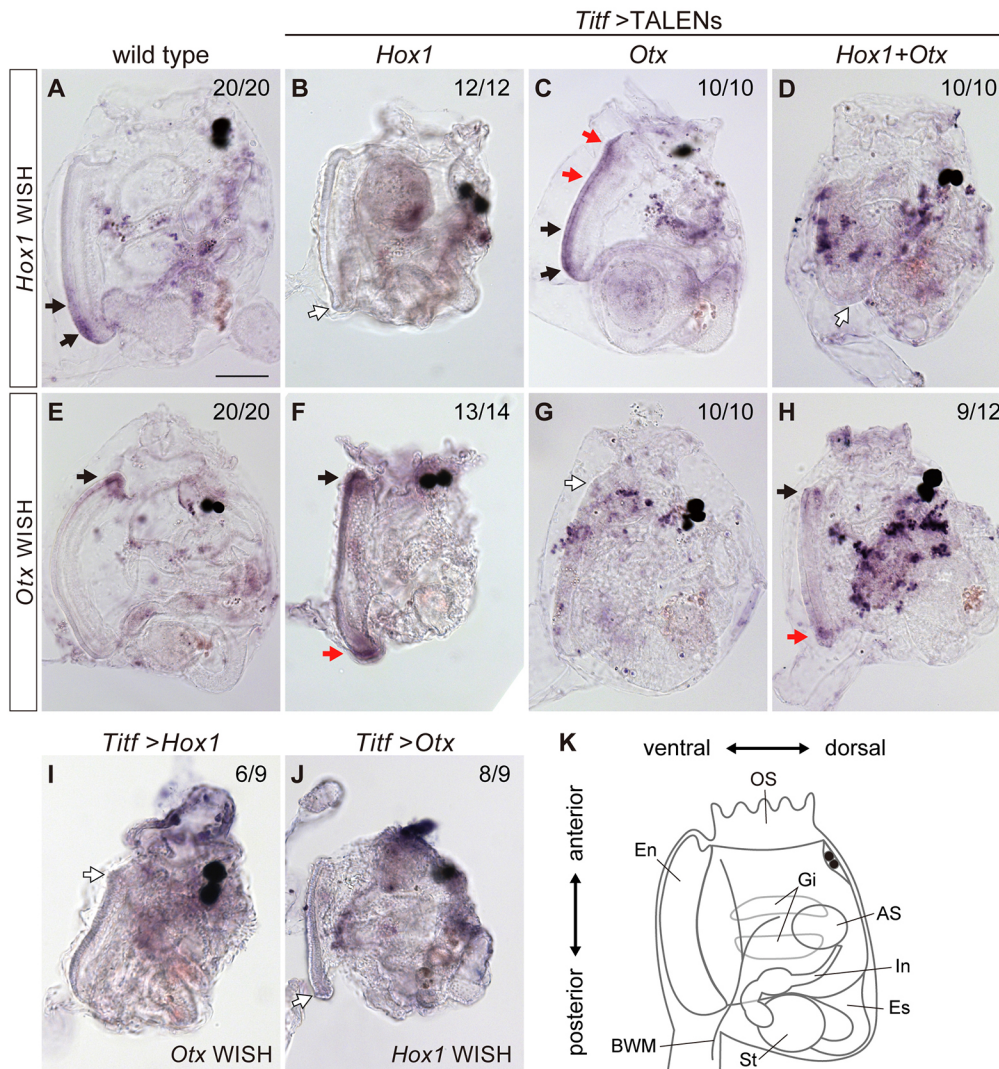


Fig. 1. *Hox1* and *Otx* establish the axial identity of the endostyle.

(A–J) Whole-mount *in situ* hybridization (WISH) for *Hox1* (A–D, J) or *Otx* (E–I) in 6 dpf control (A, E), *Hox1*-TALEN-electroporated (B, F), *Otx*-TALEN-electroporated (C, G), *Hox1* and *Otx* double knockout (D, H), *Hox1*-overexpressing (I) and *Otx*-overexpressing (J) juveniles. Black, red and white arrows indicate normal, ectopic and loss of expression of analyzed gene, respectively. (K) A schematic of *Ciona* juvenile. AS, atrial siphon; BWM, body wall muscle; En, endostyle; Es, esophagus; Gi, gill; In, intestine; OS, oral siphon; St, stomach. In all panels, anterior is to the top and ventral is to the left. Numbers on the top right of panels indicate the proportion of juveniles showing the phenotype represented by the panel. Scale bar: 50 μ m.

suppressing the anterior identity. Overexpression of *Hox1* in the endodermal tissues was carried out using the *Titf1* cis-element (*Titf1>Hox1*). Expression of *Otx* was not detected in the anterior endostyle of *Titf1>Hox1*-electroporated animals (Fig. 1I), confirming that *Hox1* represses the anterior identity in the endostyle.

It has been reported that *Hox1* is expressed in the posterior trunk endoderm giving rise to the caudal pharynx at larval stages (Ikuta et al., 2004). To distinguish the role of *Hox1* in the endostyle from that in the larval endoderm, we expressed *Hox1*-TALENs using an enhancer that drives gene expression in the endostyle after metamorphosis (Awazu et al., 2004). The stage-limited knockout of *Hox1* recapitulated the ectopic expression of *Otx* in the posterior endostyle (Fig. S1). We also found that knockout of *Hox1* in the whole endoderm does not affect the expression of *Otx* at the larval stage (Fig. S1). These results indicate that the alteration of the posterior endostyle identity observed in *Hox1*-TALEN-electroporated juveniles is not a secondary effect of its disruption in the larval endoderm.

Next, we examined the role of *Otx* for establishing anterior identity in the endostyle. Knockout of *Otx* in the endoderm resulted in loss of *Otx* expression in the anterior endostyle (Fig. 1G). On the other hand, *Hox1* expression was expanded throughout the endostyle of juveniles electroporated with *Otx*-TALENs (Fig. 1C). These results indicate that *Otx* is required for establishing the

anterior identity of the endostyle and repressing the posterior one. Then we carried out overexpression of *Otx* in the endoderm. Expression of *Hox1* in the posterior endostyle was not detected when *Titf1>Otx* was introduced (Fig. 1J). Taken together, these results suggest that *Hox1* and *Otx* play opposing roles in the organization of AP identity in the pharyngeal endoderm: *Hox1* establishes the posterior identity of the endostyle and represses *Otx* expression and subsequent anterior identity, and vice versa.

Because *Otx* is expressed in the endodermal cells of cleavage-stage embryos (Hudson and Lemaire, 2001), we examined whether the phenotypes seen in *Otx* knockout juveniles are the result of the disruption of this gene in early embryogenesis. First, we did not detect mutations in the *Otx* locus of early gastrula embryos electroporated with *Otx*-TALENs, but mutations were detectable at the larval stage (Fig. S1), suggesting that function of *Otx* in early development was not disrupted by TALENs expressed by the *Titf1* driver. We also found that expression of *Hox1* is not altered in the larvae electroporated with *Otx*-TALENs (Fig. S1). These results support the notion that expression of *Otx* in the anterior endostyle is required for establishing the anterior identity of the endostyle.

We also found that morphology of the endostyle depends on the molecular identity. The anterior tip of endostyle comprises large columnar cells and shows protruded morphology, whereas the

posterior tip has no such structure. In the *Hox1*-TALEN-electroporated juveniles, the posterior tip of the endostyle had the anterior-like morphology as well as expressing *Otx* (Fig. 1B,F; Fig. S1). On the other hand, the anterior tip became the posterior-like shape upon knockout of *Otx* (Fig. 1C,G; Fig. S1). These results indicate that morphology of the endostyle is determined by *Hox1* and *Otx*.

We next carried out simultaneous knockout of *Hox1* and *Otx* in the endoderm. In the double-knockout animals, *Hox1* expression was not detected whereas *Otx* was expressed in both the anterior and posterior endostyle (Fig. 1D,H). This result suggests that *Hox1* function is indispensable for *Hox1* expression itself, and the default identity of the posterior endostyle is the same as the anterior one expressing *Otx*.

A retinoic acid-*Hox1* feedback loop maintains the posterior identity

The default of anterior identity in the endostyle suggests that posterior identity with *Hox1* expression might require induction from other tissues. We investigated the mechanism that induces *Hox1* expression in the posterior endostyle. Retinoic acid (RA) is a well-known regulator of *Hox1* in *Ciona* (Kanda et al., 2009; Nagatomo and Fujiwara, 2003) as well as in vertebrates (Marshall et al., 1996). In *Ciona*, RA is involved in patterning of the central nervous system and posterior epidermis (Imai et al., 2009; Pasini et al., 2012). RA is also known to regulate endodermal patterning in tunicates (Hinman and Degnan, 1998, 2000). We carried out RA administration assay using the transgenic line EJ[MiTSAdTPOG]124 (hereafter referred to as EJ124), which is an enhancer trap line that expresses GFP under the control of a *Hox1* enhancer (Sasakura et al., 2012). GFP expression was detected throughout the endostyle of EJ124 juveniles cultured with RA after metamorphosis, suggesting that *Hox1* expression in the endostyle is activated by RA signaling (Fig. 2A,B). To determine whether RA signaling is necessary to induce *Hox1* expression in the posterior endostyle, we carried out endoderm-specific knockout of the retinoic acid receptor, *RAR* (Nagatomo et al., 2003). Expression of GFP in the posterior endostyle became detectable from 2 days post-fertilization (dpf) in control EJ124 animals (Fig. 2D). By contrast, GFP expression in the posterior endostyle was undetectable in EJ124 animals electroporated with TALENs for *RAR* (Fig. 2C). This suggests that *RAR* is indispensable for induction of *Hox1* expression in the posterior endostyle. We next analyzed expression of *Raldh2*, which encodes the RA-synthesizing enzyme (Zhao et al., 1996). *Raldh2* is expressed in a subset of tail muscle cells during embryogenesis (Nagatomo and Fujiwara, 2003). In addition, we found that *Raldh2* is expressed in the posterior trunk endoderm of swimming larvae (Fig. S2). To test whether RA synthesized in the larval tissues is required to induce *Hox1* expression in the endostyle, we carried out knockout of *Raldh2* in muscle and endodermal tissues simultaneously. Expression of *Hox1* in the posterior endostyle was diminished in animals electroporated with *Raldh2*-TALENs (Fig. S2), suggesting that RA signaling from larval muscle and endoderm induces *Hox1* expression in the endostyle.

To examine whether maintenance of the *Hox1* expression in the posterior endostyle depends on RA, we analyzed expression of *Raldh2* in juveniles. *Raldh2* was expressed in the posterior end of the endostyle in juveniles (Fig. 2I). This localized expression became evident after tail absorption (Fig. S2). Then we tested whether this localized *Raldh2* expression depends on the posterior identity of the endostyle. Expression of *Raldh2* was undetectable both in the *Hox1* knockout and in the *Otx*-overexpressing animals (Fig. 2J,K). On the other hand, overexpression of *Hox1* or knockout

of *Otx* resulted in duplicated expression of *Raldh2* in both the anterior and posterior endostyle (Fig. 2L,M). These results suggest that expression of *Raldh2* in the posterior endostyle depends on *Hox1*-mediated posterior identity.

In order to test whether *Raldh2* in the endostyle is required for maintenance of *Hox1* expression, we carried out endoderm-specific knockout of *Raldh2* using EJ124 animals. GFP expression in the posterior endostyle was observed in *Raldh2*-TALEN-electroporated animals as well as in controls at 2 dpf (Fig. 2E,F), suggesting that *Raldh2* function in the endoderm is not necessary for initiation of *Hox1* expression in the endostyle. However, at 6 dpf, GFP expression in the posterior endostyle became hardly detectable in the *Raldh2* knockout animals (Fig. 2G,H). This indicates that RA synthesis in the posterior endostyle is required to maintain *Hox1* expression in the posterior endostyle. Taken together, these findings suggest that the RA-*Hox1* positive-feedback loop is necessary to establish the posterior identity of the endostyle: RA signaling from surrounding tail muscles and the posterior trunk endoderm can initiate *Hox1* expression in the posterior endostyle region; in turn *Hox1* upregulates *Raldh2* expression in the endostyle, and RA synthesized in the posterior endostyle maintains *Hox1* expression by the positive feedback (Fig. 2N). These data also suggest that the broader expression of *Hox1* throughout the endostyle observed in *Otx* knockout juveniles (Fig. 1C) is likely to be due to excess production of RA in both the anterior and posterior endostyle (Fig. 2M). We examined expression of *Raldh2* in larvae electroporated with TALENs targeting *Hox1*, *Otx* or *RAR*. Expression of *Raldh2* in the posterior trunk endoderm was unaffected by knockout of these genes (Fig. S2). This suggests that the RA-*Hox1* and *Otx* genetic network establishes the AP identity of pharyngeal endoderm during post-larval development.

Endodermal identity is essential for the directional elongation of body wall muscles

In order to address the significance of the regional identity of the endostyle for the development of the pharynx, we analyzed the formation of the body wall muscles (BWMs), which develop along the AP axis of the pharynx. Ascidian BWMs, as well as atrial siphon muscles, are specified through a shared genetic program with vertebrate pharyngeal muscles (Diogo et al., 2015; Stolfi et al., 2010). BWM precursor cells are located in the atrial siphon primordium before metamorphosis and BWMs elongate posteriorly towards the endostyle during metamorphosis (Stolfi et al., 2010) (Fig. 3A). Formation of BWMs was observed by expression of *Myosin heavy chain 3* (*Mhc3*) gene, which marks the oral and atrial siphon muscles and BWMs (Stolfi et al., 2010). We found that BWMs failed to elongate towards the posterior endostyle when *Hox1* was knocked out in the endoderm. In *Hox1* knockout juveniles, approximately 45% of observed BWMs displayed misdirected elongation (Fig. 3B) and 29% of them did not show elongation (Fig. 3C). These results suggest that the posterior identity of the endostyle is required for the directional elongation of BWMs towards the posterior endostyle. Because the endostyle and the atrial siphon, where precursors of BWMs are situated, are not adjacent to each other, there must be a signaling factor that is downstream of *Hox1* and promotes the directional elongation of BWMs. RA is a feasible candidate factor to promote the posterior elongation of BWMs. To test this possibility, we examined the BWM formation in the animals treated with an RA synthesis inhibitor, citral (Kanda et al., 2009; Marsh-Armstrong et al., 1994). In the citral-treated condition, BWMs seemed to start the posterior elongation process but failed to complete it (Fig. 3D,E). Then we observed BWM

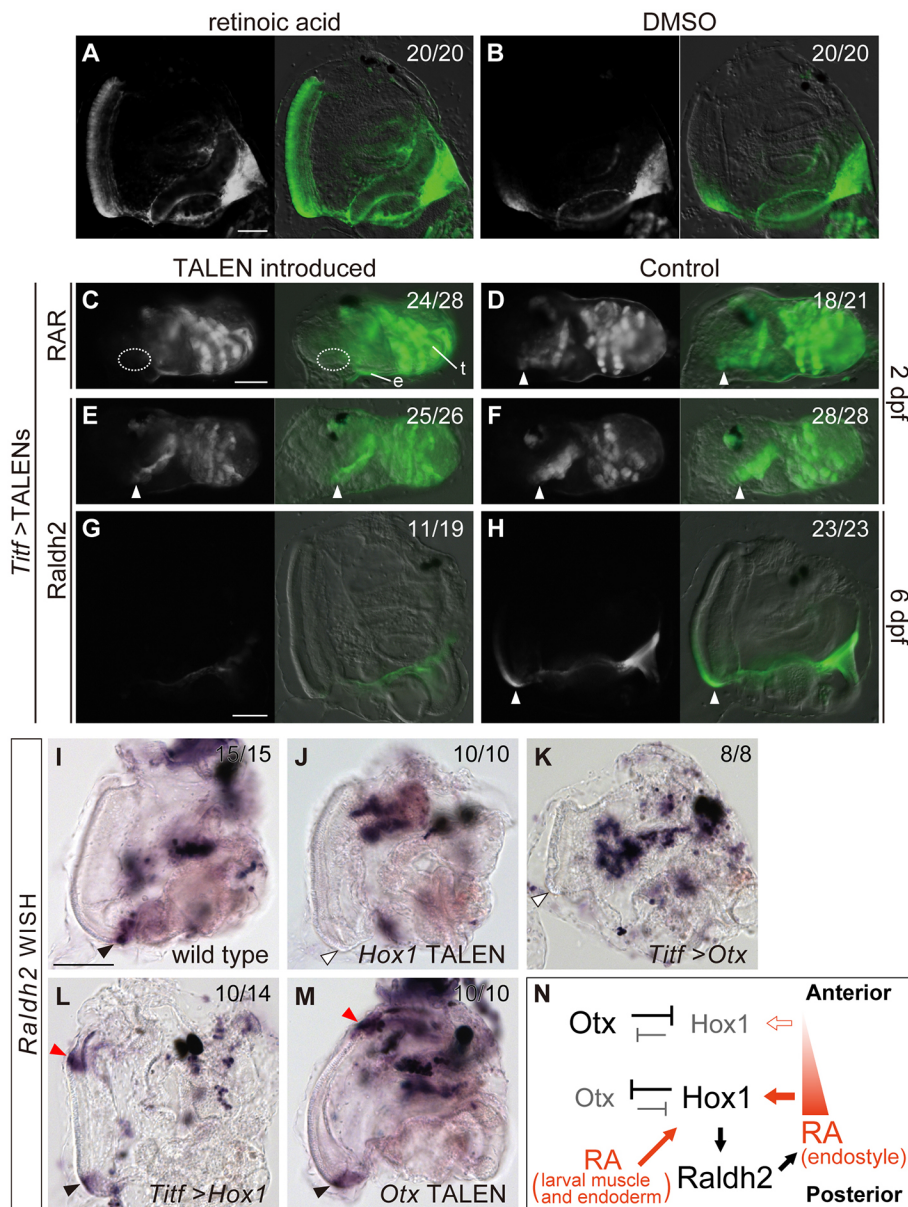


Fig. 2. Retinoic acid-*Hox1* feedback establishes the posterior identity. (A-H) Transgenic line of GFP enhancer trap for *Hox1*. In each panel, GFP fluorescence image (pseudocolored) is on the left and merged image of GFP and differential interference contrast is on the right. (A) An RA-treated 3 dpf juvenile. (B) A control juvenile. (C) An *RAR*-TALEN-electroporated 2 dpf juvenile. GFP expression was not observed in the posterior endostyle (dotted circle), but was observed in the epidermis (e) and autofluorescence of absorbed tail muscle (t) was detected. (D) A control juvenile. (E) A *Raldh2*-TALEN-electroporated 2 dpf animal. (F) A control 2 dpf animal. (G) A *Raldh2*-TALEN-electroporated 6 dpf juvenile. (H) A control 6 dpf juvenile. White arrowheads in D, E, F and H indicate GFP expression in the posterior endostyle. (I-M) Whole-mount *in situ* hybridization for *Raldh2* in 6 dpf juveniles. (I) A control juvenile. (J) A *Hox1*-TALEN-electroporated juvenile. (K) A *Otx*-overexpressing juvenile. (L) A *Hox1*-overexpressing juvenile. (M) A *Otx*-TALEN-electroporated juvenile. Black, red and white arrowheads indicate normal, ectopic and loss of *Raldh2* expression, respectively. (N) Model for the establishment of anterior-posterior identities in the endostyle. In all panels, anterior is to the top and ventral is to the left. Numbers on the top right of panels indicate the proportion of animals showing the phenotype represented by the panel. Scale bars: 50 μ m.

formation in juveniles electroporated with *Raldh2* TALENs. Knockout of *Raldh2* in the endodermal tissue disrupted the elongation of BWMs (Fig. 3F,G), suggesting that RA synthesized in the posterior endostyle is required for posterior elongation of BWMs. Next, we treated juveniles with RA and analyzed BWM formation. In RA-treated animals, elongated BWMs were not observed, suggesting that ubiquitous RA input inhibits the elongation or differentiation of BWMs (Fig. S3). In order to clarify whether BWMs are not formed or failed to elongate by RA treatment, we carried out live imaging of BWM formation by expressing Kaede fluorescent protein in the BWMs with a *cis*-regulatory region of *Mhc3*. This imaging analysis revealed that BWMs made ectopic protrusions in various directions but the muscles failed to elongate in the posterior direction upon RA administration (Fig. 3H,I). These results further support the idea that RA synthesized in the posterior endostyle is necessary for directional elongation of the BWMs towards the posterior endostyle. It is also possible that there is another factor that regulates directional elongation of BWMs, because citral treatment

or *Raldh2* knockout disrupted posterior elongation of BWMs but did not recapitulate the misdirected elongation observed in *Hox1* knockout animals. To understand fully the mechanism underlining the directional elongation of BWMs, identification of the factor(s) downstream of *Hox1* and/or *Otx* is necessary.

Conclusions

This study shows that in *C. intestinalis*, the anterior and posterior ends of the endostyle, a representative structure of pharyngeal endoderm, have distinct identities established by *Otx* and *Hox1* transcription factors, respectively. In chordates, these factors are expressed in the pharyngeal endoderm during embryogenesis. In mouse development, *Otx2* is expressed in the first arch endoderm (Ang et al., 1994), and *Hoxa1* and *Hoxb1* are expressed in the caudal pharynx in an RA- and *Raldh2*-dependent manner (Niederreither et al., 2003; Wendling et al., 2000). In the cephalochordate amphioxus, *Hox1* is expressed in the endoderm under the control of RA and represses *Otx* expression, which determines the posterior limit of the pharynx (Schubert et al., 2005). Therefore, the AP patterning mechanism of the *Ciona*

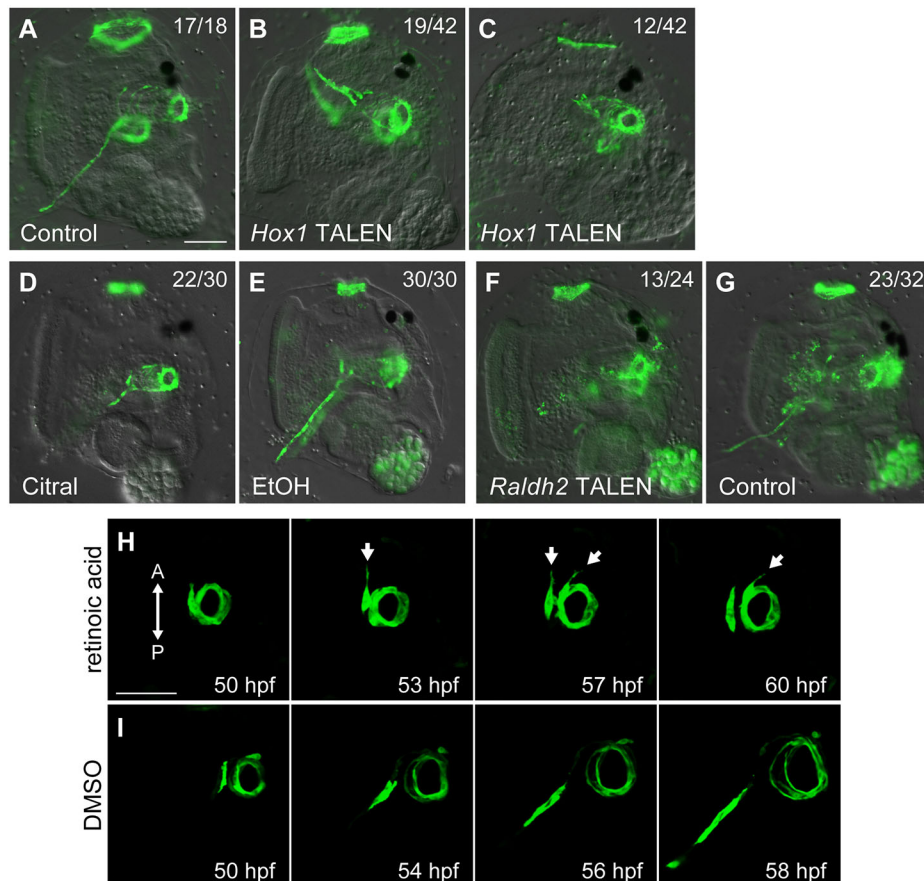


Fig. 3. Endodermal identity is essential for the directional elongation of BWMs. (A–G) Whole-mount *in situ* hybridization for *Mhc3* in 3 dpf juveniles. (A) A control juvenile. (B,C) *Hox1*-TALEN-electroporated juveniles. BWMs showed misdirected elongation (B) or no elongation (C). (D) A citral-treated juvenile. (E) A control animal treated with ethanol (EtOH). (F) A *Raldh2*-TALEN-electroporated juvenile. (G) A control juvenile. Numbers on the top right of panels indicate the proportion of BWMs showing the phenotype represented by the panel. (H,I) Time-lapse analysis of BWM formation using *Mhc3>Kaede*. 3D-reconstructed fluorescent images of the BWM and atrial siphon muscles are shown. Approximate developmental times are indicated on the bottom. Arrows indicate ectopic protrusions of BWMs. A, anterior; P, posterior. In all panels, anterior is to the top and ventral is to the left. Scale bars: 50 μ m.

endostyle, involving *Otx* and RA-*Hox1*, might have utilized the shared genetic mechanism that patterns the AP axis of the early pharyngeal endoderm in chordate development.

In vertebrate pharyngeal development, patterning and differentiation of pharyngeal muscles are regulated by cranial neural crest cells (NCCs), which give rise to skeletal elements and tendons associated with muscles (Noden and Trainor, 2005; Rinon et al., 2007). Our present study demonstrates that proper formation of the BWM, a musculature surrounding the pharynx, is dependent on the regional identity of the endodermal organ. This suggests that in *Ciona*, which has no definitive NCC, it is primarily the endoderm that is responsible for organizing the pharynx along the AP axis.

MATERIALS AND METHODS

Animals

Wild-type *Ciona intestinalis* was cultivated at Maizuru (Kyoto), Misaki (Kanagawa), Mukaishima (Hiroshima) and Usa (Kochi). The transgenic line of the *Hox1* enhancer trap, EJ[MiTSAAdTPOG]124, was maintained by an inland culture system (Joly et al., 2007).

Constructs and electroporation

TALENs were assembled using the four-module golden gate method (Sakuma et al., 2013). The activity of the constructed TALENs were estimated by previously described methods (Fig. S4) (Treen et al., 2014). Details of the construction of expression vectors and electroporation procedures are described in supplementary Materials and Methods.

Detection of mutations

Genomic DNAs were isolated from early gastrula embryos and swimming larvae developed from eggs, into which the *Titf1>Otx*-TALEN pair or the left side of *Otx*-TALEN (control) was electroporated, using the Wizard

genomic DNA purification kit (Promega), and the genomic region including the target site of the *Otx*-TALEN pair was amplified by PCR. The PCR bands were analyzed by heteroduplex mobility shift assay with polyacrylamide gel electrophoresis (Ota et al., 2013) to examine their heterogeneity of the sequence that reflects the presence of the mutated gene.

RA and citral administration

Preparation of stock solutions of RA and citral was carried out as described previously (Kanda et al., 2009). Metamorphosing larvae were treated with 1 μ M all-trans RA, 0.1% dimethylsulfoxide, 20 μ M citral or 0.1% ethanol from 45 hours post-fertilization (hpf), which is prior to the onset of BWM elongation, to 62 hpf, after the posterior elongation of BWMs was complete.

In situ hybridization and imaging

Whole-mount *in situ* hybridization (WISH) was performed basically according to the previous study (Yoshida and Sasakura, 2012). Details of WISH and imaging are described in supplementary Materials and Methods.

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Competing interests

The authors declare no competing or financial interests.

Author contributions

K.Y. and Y.S. designed the study. K.Y., A.N., N.T. and Y.S. performed the experiments. T.S. and T.Y. contributed materials for knockouts. K.Y., N.T. and Y.S. wrote the paper.

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Supplementary information

Supplementary information available online at <http://dev.biologists.org/lookup/doi/10.1242/dev.144436.supplemental>

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