

# **RESEARCH ARTICLE**

# The cortical hem regulates the size and patterning of neocortex

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#### **ABSTRACT**

The cortical hem, a source of Wingless-related (WNT) and bone morphogenetic protein (BMP) signaling in the dorsomedial telencephalon, is the embryonic organizer for the hippocampus. Whether the hem is a major regulator of cortical patterning outside the hippocampus has not been investigated. We examined regional organization across the entire cerebral cortex in mice genetically engineered to lack the hem. Indicating that the hem regulates dorsoventral patterning in the cortical hemisphere, the neocortex, particularly dorsomedial neocortex, was reduced in size in latestage hem-ablated embryos, whereas cortex ventrolateral to the neocortex expanded dorsally. Unexpectedly, hem ablation also perturbed regional patterning along the rostrocaudal axis of neocortex. Rostral neocortical domains identified by characteristic gene expression were expanded, and caudal domains diminished. A similar shift occurs when fibroblast growth factor (FGF) 8 is increased at the rostral telencephalic organizer, yet the FGF8 source was unchanged in hem-ablated brains. Rather we found that hem WNT or BMP signals, or both, have opposite effects to those of FGF8 in regulating transcription factors that control the size and position of neocortical areas. When the hem is ablated a necessary balance is perturbed, and cerebral cortex is rostralized. Our findings reveal a much broader role for the hem in cortical development than previously recognized, and emphasize that two major signaling centers interact antagonistically to pattern cerebral cortex.

KEY WORDS: Neocortex, Embryonic patterning, Signaling center, Fgf8, Wnt3a, Mouse

# INTRODUCTION

To understand cerebral cortical function, a key question is how the major divisions of the cortex are established during development. These divisions include broad categories of cerebral cortex, such as neocortex, paleocortex and allocortex ('other cortex'), as well as the functionally specialized areas that form the neocortical area map (Nauta and Feirtag, 1986). Two cortical organizers have been identified in or near the embryonic cortical primordium (CP), the cortical hem in the dorsomedial telencephalon, which expresses WNT and BMP genes (Furuta et al., 1997; Grove et al., 1998), and a rostral telencephalic organizer (RTO), expressing several FGF genes, including Fgf8 (Bachler and Neubuser, 2001; Borello et al., 2008; Cholfin and Rubenstein, 2008; Crossley et al., 2001; Fukuchi-Shimogori and Grove, 2001; Maruoka et al., 1998; Neubuser et al., 1997; Ohkubo et al., 2002). A third candidate signaling center is the anti-hem, a curving band of neuroepithelium at the pallial/subpallial boundary that generates a variety of signaling proteins, including signaling from the hem (Assimacopoulos et al., 2003; Kawano and Kypta, 2003; Kim et al., 2001; Rattner et al., 1997).

Patterning the cerebral cortex includes specifying regional

the WNT inhibitor, SFRP2, potentially antagonizing WNT

Patterning the cerebral cortex includes specifying regional identity, and controlling tissue growth to generate regions of the correct size. Signals from the hem and the RTO regulate both. FGF8, dispersing from the RTO in a gradient, organizes the neocortical area map along its rostrocaudal (R/C) axis, and FGF17, a member of the same FGF subfamily as FGF8, specifies areas of prefrontal cortex (Assimacopoulos et al., 2012; Cholfin and Rubenstein, 2007, 2008; Fukuchi-Shimogori and Grove, 2001; Garel et al., 2003). FGF signaling further regulates telencephalic growth (Paek et al., 2009; Storm et al., 2006, 2003). The hem induces the hippocampal primordium and orders the relative positions of the hippocampal fields, probably through a WNT signaling gradient (Galceran et al., 1999; Machon et al., 2007; Mangale et al., 2008; Zhou et al., 2004). WNT signaling from the hem additionally affects tissue growth by expanding the hippocampal progenitor cell pool (Lee et al., 2000b).

The RTO directs formation of the neocortical area map (Assimacopoulos et al., 2012; Garel et al., 2003; Toyoda et al., 2010), but no equivalently broad role has been established for the hem (Galceran et al., 2000; Yoshida et al., 2006). Yet the hem resembles in position and constituent signaling molecules a powerful patterning source in the caudal neural tube, the roofplate. WNT and BMP signals from the roofplate specify dorsal cell types in spinal cord and hindbrain and suppress ventral cell fates (Chizhikov and Millen, 2005; Dorsky et al., 2000; Lee et al., 2000a; Lewis et al., 2004; Liem et al., 2000, 1997; Muroyama et al., 2002; Ulloa and Briscoe, 2007). By analogy with the roofplate the hem would control dorsoventral (D/V) patterning across the cerebral cortex, promoting and suppressing development of dorsal and ventral regions, respectively.

To test this hypothesis, and assess other roles for the hem, cortical patterning was analyzed in mutant mice engineered to lack the hem (Yoshida et al., 2006). Because the mutant mice die at birth, cortical organization was assessed at embryonic ages. As expected, the hippocampus was absent (Galceran et al., 1999; Lee et al., 2000b; Mangale et al., 2008; Yoshida et al., 2006). Further, the dorsomedial CP showed an early decrease in cell proliferation, probably caused by loss of WNT mitogenic signals from the hem (Lee et al., 2000b; Machon et al., 2007; Megason and McMahon, 2002), and consistent with this, dorsomedial neocortex was smaller than normal in latestage mutant embryos.

A marked shift appeared in the organization of the whole cortical hemisphere along the D/V axis. In apparent compensation for reduced dorsomedial neocortex, ventrolateral cortex expanded dorsally. The expanded region included paleocortex, namely the olfactory piriform area, as well as allocortical entorhinal cortex. These observations supported the original hypothesis, suggesting a model in which the RTO and cortical hem, respectively, organize the R/C and D/V axes of cerebral cortex.

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Contrary to this straightforward model, we found hem loss caused regional shifts along the R/C axis of the CP. In late-stage embryos, rostral domains of neocortex were enlarged at the expense of caudal domains. The same alteration in neocortical patterning is seen when the endogenous source of FGF8 is experimentally augmented (Fukuchi-Shimogori and Grove, 2001), but no increase in FGF8 was observed in hem-ablated brains, indicating that other mechanisms generate the R/C patterning changes. Further investigation revealed an antagonism between the hem and RTO in controlling the expression of some [Emx2, Lhx2, Dmrt3, Dmrt5 (Dmrta2 – Mouse Genome Informatics], but not all (Nr2f1, Sp8), transcription factor genes that regulate cortical identity and the size and position of neocortical areas. Ablating the hem downregulated expression of the first four genes, copying the gene regulatory behavior of FGF8, and implying that hem signals normally oppose FGF8.

To explore the extent of such interactions between the hem and RTO, we electroporated Fgf8 and Wnt3a ectopically into wild-type CP and identified additional genes implicated in regional cortical development that were regulated in opposite ways by FGF8 and WNT3a. We propose that normal cortical patterning requires antagonistic interactions between the hem and RTO.

## **RESULTS**

#### Genetic ablation of the hem

Wnt3a expression is an early selective marker of the hem, appearing at embryonic day (E) 9.5 (Grove et al., 1998; Lee et al., 2000b). Mice carrying a Wnt3a-IRES-xneox-dt-a allele (Yoshida et al., 2006) were crossed with Emx1<sup>IRESCre/+</sup> mice (Gorski et al., 2002). In mice with a Wnt3a<sup>IRESxneox-dt-a/+</sup>; Emx1<sup>IRESCre/+</sup> genotype, the hem was absent by E10 (Yoshida et al., 2006). For context, the hem is identifiable in wild-type mice from E9.5 to E13.5 (Grove et al., 1998). Mice of the Wnt3a<sup>IRESxneox-dt-a/+</sup>; Emx1<sup>IRESCre/+</sup> genotype will be referred to as hem-ablated mice. Those with a Wnt3a<sup>IRESxneox-dt-a/+</sup>; Emx1<sup>+/+</sup> genotype had a normal hem, and were used as controls. Because recombination elsewhere in the body of double heterozygotes caused lethal defects at birth, analysis of cortical regionalization without the hem was limited to prenatal ages.

# Reduced indicators of WNT and BMP signaling in hemablated mice

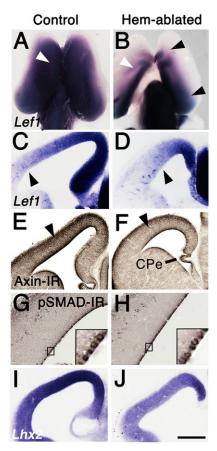
The transcription factor, lymphoid enhancer binding factor 1 (LEF1), is required for canonical WNT signaling, and *Lef1* is also a WNT downstream target gene (Hovanes et al., 2001). In control brains at E12.5, strong *Lef1* expression filled a large domain of the CP, showing a high dorsal to low ventral gradient (Fig. 1A,C). Notably the extent of *Lef1* expression was very similar to that of BAT-Gal labeling for canonical WNT signaling activity in mouse embryos of the same age (Backman et al., 2005). In the hemablated CP, *Lef1* expression was weaker and confined more caudomedially (Fig. 1B,D). Removal of the hem thus caused a widespread drop in *Lef1* expression in the rostral, lateral and ventral CP, the first indication of a broad influence of hem loss on the CP. *Axin2* is another downstream gene target of canonical WNT signaling (Yan et al., 2001). Axin2 immunoreactivity (IR) was reduced in the hem-ablated CP at E12.5 (Fig. 1E,F).

Hem ablation had less obvious effects on indicators of BMP signaling, possibly because BMP ligands are secreted by choroid plexus epithelium (CPe), and are available to ventricular zone (VZ) progenitor cells from embryonic cerebrospinal fluid (Lehtinen and Walsh, 2011; Marques et al., 2011). Lack of WNT signaling from

the hem caused loss of the adjacent hippocampus, whereas lack of hem BMPs only reduced the adjacent CPe (Yoshida et al., 2006). Progenitors immunoreactive for phosphorylated SMAD1/5/8 (pSMAD-IR) were equally dense in the VZ in mutants and controls (Fig. 1G,H) (Cheng et al., 2006). Expression of the gene encoding LIM homeobox protein 2, *Lhx2*, highly sensitive to BMP signaling (Monuki et al., 2001), however, was moderately downregulated in hem-ablated CP (Fig. 1I,J).

## Absence of the hippocampus and reduction of neocortex

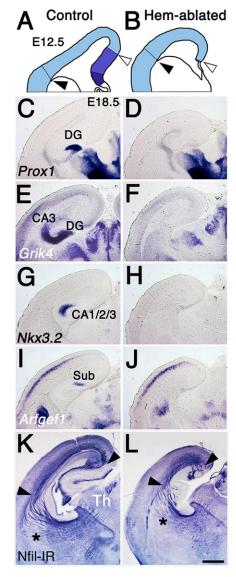
In hem-ablated mice at E18.5, the hippocampal formation was absent from the dentate gyrus to the subiculum (Fig. 2A-J), as expected from previous experimental disruption of canonical WNT signaling (Galceran et al., 2000; Lee et al., 2000b). Hippocampal fields were identified as previously by gene expression (Galceran et al., 2000; Lee et al., 2000b; Tole et al., 2000). The medial-to-lateral expansion of the neocortex was also noticeably reduced (Fig. 2K,L). Neurofilament immunoreactivity (Nfil-IR) showed a correlated reduction in the density of cortical afferent and efferent axons in the internal capsule (Fig. 2K,L).



**Fig. 1.** Reduced indicators of WNT and BMP signaling in hem-ablated **cortex.** (A-J) E12.5 mouse brains processed with *in situ* hybridization or immunohistochemistry. (A,B) Whole brains, dorsal view, rostral up, (C-J) coronal sections, medial to right. (A-D) *Lef1* expression in hem-ablated CP is weaker and more confined than in a control E12.5 CP. White arrowheads (A,B) indicate comparable positions in the two brains. Black arrowheads indicate low point of M/L expression gradient (B-D). (E,F) Axin-IR is lower in hem-ablated than control CP (note intensity at arrowheads). (G,H) A similar density of pSMAD-IR VZ cells in control and hem-ablated CP. Insets show higher magnification. (I,J) *Lhx2* expression is lower in hem-ablated than control CP. Scale bar: in J, 400 μm for A,B; 200 μm for C-F,I,J; 50 μm for G,H. CPe, choroid plexus epithelium.

# Changes in regional patterning along both major axes of the CP

To evaluate changes in CP regional patterning following hem loss, we examined in coronal and sagittal brain sections gene expression patterns that demarcate CP domains and presumptive areas in perinatal mouse cortex (Assimacopoulos et al., 2012; Bishop et al., 2000; Chou et al., 2009; Fukuchi-Shimogori and Grove, 2001; Griveau et al., 2010; Miyashita-Lin et al., 1999; Rubenstein et al., 1999). At E18.5, *Cdh6* and *Rorb* were expressed in presumptive primary somatosensory cortex (pS1) (Fig. 3A,C). In hem-ablated cortex, expression of both genes shifted dorsomedially (Fig. 3B,D),



**Fig. 2.** Loss of hippocampus and reduced neocortex in hem-ablated mice. (A,B) Schematic coronal sections, control and hem-ablated E12.5 brains. Neocortical and hippocampal primordia, light and mid blue; hem is dark blue. Arrowheads point to the same anatomical landmarks in A,B as in K,L. Hem ablated-cortex lacks a hippocampus, and dorsal CP is shorter in B than in A. (C-L) Coronal sections through control (C,E,G,I,K) and hem-ablated (D,F,H,J,L) E18.5 brains processed with *in situ* hybridization (C-J) or immunohistochemistry to show Nfil-IR (K,L). (C-J) Hem-ablated brains lack gene expression indicating the dentate gyrus, CA3, CA1 and subiculum. (K,L) In hem-ablated brains, dorsal CP is shortened (arrowheads) and fewer axons cross between CP and thalamus (asterisks). Scale bar: in L, 400 μm for C-L. DG, dentate gyrus; Sub, subiculum; Th, thalamus.

consistent with reduction of the dorsomedial CP. The reduced region (Fig. 3C) appeared to include presumptive cingulate and retrosplenial areas, and possibly part of somatomotor cortex (Paxinos et al., 1991).

We tested whether ventrolateral CP expands as dorsomedial CP contracts. At E18.5, the transcription factor gene *Pou3f1* (*Scip*) is expressed in the striatum, olfactory tubercle (OT) and neocortex, including the insular cortex (Icx) (Fig. 3E). A region of little or no *Pou3f1* expression, occupied by piriform (Pir) cortex, intervenes between the OT and the ventral boundary of neocortex. This region expanded in the hem-ablated forebrain (Fig. 3E,F). *Nrp2* and *Lmo3* expression confirmed Pir dorsal expansion (Fig. 3G-J; supplementary material Fig. S2; G.C.-B., unpublished). We quantified Pir expansion in coronal sections processed to show *Nrp2* expression. Strong *Nrp2* 

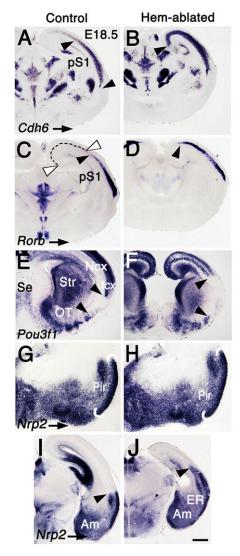


Fig. 3. Dorsomedial cortex is reduced and ventrolateral cortex expanded in hem-ablated mice. (A-J) Coronal sections, E18.5 cortex. (A-D) Strong expression of *Cdh6* and *Rorb* shifts medially in hem-ablated mice (black arrowheads in A-D), demonstrating that a medial neocortical region (dotted lines, between white arrowheads in C) is reduced or lost. (E-F) A gap in *Pou3f1* expression picks out the piriform area (black arrowheads) and is larger in the hem-ablated mouse. (G-J) *Nrp2* expression indicates dorsal expansion of presumptive piriform (white curved lines, G,H), and entorhinal areas (arrowheads, I,J). Insular cortex lies within the *Pou3f1* expression domain. Scale bar: in J, 400 µm for A-F,I,J; 200 µm for G,H. Am, amygdala; lcx, insular cortex; ER, entorhinal; Pir, piriform.

expression marks the outer layer of Pir (Fig. 3G,H; supplementary material Fig. S1). The curved outer surface of Pir was traced and measured in images of coronal sections (six coronal sections per E18.5 brain, evenly spaced along the R/C axis of one cortical hemisphere/brain) (ImageJ, series 1.48, NIH, see Materials and Methods; supplementary material Fig. S1). The dorsoventral length of Pir was significantly greater in hem-ablated compared with control cortex (t-test, P=0.004, n=6 brains/group), extending 15-20% further dorsally than in control cortex. Entorhinal cortex (ER) is not easily discerned at E18.5, but Nrp2 expression was dorsally extended in caudal sections likely to contain presumptive ER (Fig. 3I,J).

Along the R/C axis, the CP can be divided into frontal (Fr), parietal (Pa) and occipital (Oc) domains. Pa incorporates pS1 and Oc contains presumptive primary visual cortex (pV1). In sagittal sections of E17.5 CP, Ngfr was expressed in a distinctive band in pS1 and pV1 (Fig. 4A). This band was truncated in hem-ablated cortex (Fig. 4B, arrowhead). In controls, Lmo4 was expressed strongly in Fr and Oc, but weakly in the intervening Pa domain (Fig. 4C). In hem-ablated mice, the Pa domain shifted caudally leaving a tiny Lmo4-expressing Oc domain, indicating that the most extreme reduction was in caudomedial CP containing pV1.

Estimates of the comparative size of hem-ablated and control neocortex, and the relative sizes of their Pa and Oc domains, were made using a standard method, taking measurements from images of whole brains or hemispheres in dorsal or lateral view, processed to show expression of appropriate regional markers (Armentano et al., 2007; Bishop et al., 2000; Hamasaki et al., 2004). Using images similar to those in Fig. 5, and ImageJ to measure areas, we estimated that hemablated neocortex was  $63\pm6\%$  of control size (n=18 brains/group, t-test, P < 0.0001). The Pa domain was smaller than normal (60±6% of control Pa, n=6 brains/group), but consistent with the general reduction. The mean area of the Oc domain, however, was only 39±2% of control Oc (n=6 brains/domain/group) (Fig. 5A-D). Our estimation of surface area was not exact, given neocortex is three-dimensional. Nonetheless, our observations indicate a substantial reduction in the size of hem-ablated neocortex, a proportional reduction of parietal cortex, and a disproportional reduction of the Oc domain.

We measured the R/C extent of frontal neocortex (Fr) more precisely (see Materials and Methods), and found that, in contrast

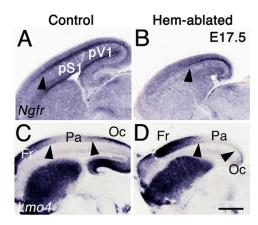


Fig. 4. Caudal cortex is disproportionately reduced in hem-ablated mice. (A-D) Sagittal sections, E17.5 cortex. (A,B) Dense band of *Ngfr* expression marks pS1 and presumptive visual cortex (pV1); this band is much shorter caudal to rostral in hem-ablated cortex (black arrowheads in A,B). (C) In control cortex *Lmo4* expression is strong in Fr and Oc domains, virtually absent in the Pa domain containing pS1. (D) In hem-ablated cortex, *Lmo4* expression suggests a reduced Pa domain, and near obliteration of the Oc territory (arrowheads in C,D). Scale bar: in D, 400 µm for A-D.

to other domains, the Fr domain expanded in hem-ablated mice (Fig. 5A-D). In images of hemispheres in lateral view, the dorsal contour of the Fr Lmo4 expression domain was traced from the rostral pole of the telencephalon to the caudal boundary of Fr Lmo4 expression, thereby accounting for differences in curvature of the cortex between mutants and controls. The R/C extent of the Fr domain in hem-ablated mice was significantly greater (t-test, P=0.005, t=6 brains per group), roughly 20% longer than in controls.

Lateral views also highlighted the dorsal expansion of ventrolateral cortex in hem-ablated brains compared with controls (Fig. 5E,F). Both qualitative and quantitative findings therefore

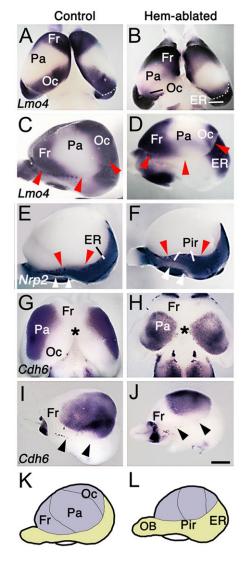


Fig. 5. Hem-ablated hemispheres display shifts in cortical domain boundaries. (A-J) E18.5 forebrains, processed with whole-mount *in situ* hybridization, dorsal (A,B,G,H) or lateral (C-F,I,J) view. Broken white lines (A,B) indicate the caudal boundary of neocortex, red arrowheads (C-F) mark its ventral boundary, and in E,F, neocortex is the dorsal region that does not express *Nrp2*. White or black arrowheads (E,F,I,J) mark the ventral edge of cortex. (A-D) Fr *Lmo4* expression expands in hem-ablated neocortex, but Oc *Lmo4* expression is severely diminished. (E,F) *Nrp2* expression indicates expansion of ventrolateral cortex (Pir and ER). (G-H) *Cdh6* expression shifts caudally and towards the midline (asterisks) in hem-ablated cortex. (I,J) In lateral view *Cdh6* expression shifts dorsally. (K,L) Summary of regional size changes with hem ablation, neocortex is blue, ventrolateral cortex is yellow. Scale bar: in J, 500 μm for A-J.

indicated that in the absence of the hem less of the total CP was allocated to neocortex and more to ventrolateral cortex composed of the Pir and ER areas (Fig. 5C-F,I,J).

Cdh6 expression provided an overview of the differences between hem-ablated and control neocortex. In control hemispheres at E18.5, Cdh6 was strongly expressed in mid-lateral neocortex, with the frontal domain and dorsomedial neocortex free of expression (Bishop et al., 2000; Takeichi et al., 1997) (Fig. 5G,I). In hemablated neocortex, Cdh6 expression shifted caudally and medially, leaving very little expression-free caudomedial cortex but enlarging Cdh6-free frontal and lateral domains (Fig. 5I,J).

# Progenitor cell proliferation altered in medial but not lateral CP

Changes in cell proliferation or cell death could alter cortical domain size. From E11.5 to E17.5, cell death was generally low in the CP, and indistinguishable between control and hem-ablated CP (Fig. 6A,B). Loss of mitogenic WNT signaling from the hem (Lee et al., 2000b) suggests cell proliferation would be reduced in the hem-ablated CP. We used phospho-histone-H3 immunoreactivity (pHH3-IR) to identify mitotic cells in the CP from E11.5 to E17.5. Mitotic pHH3-IR cells were counted in rectangular fields of consistent size, positioned on section images, centered over the cortical VZ. At E11.5 significantly fewer pHH3-IR VZ progenitor cells appeared in the dorsomedial CP in hem-ablated mice compared with controls (*t*-test, *P*=0.002, *n*=6 mice/group) (Fig. 6C,D). The mean number of cells per counting field was 47 (±3) in hem-ablated mice and 71 (±1) in controls, a reduction of about 30%. No significant difference in

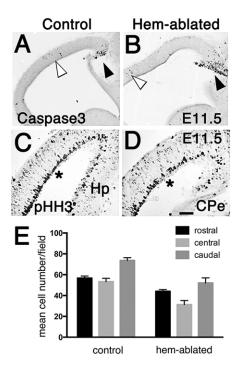


Fig. 6. Altered cell proliferation contributes to smaller dorsomedial cortex but not to other regional size changes. (A-D) Sagittal sections, E11.5 brains. (A,B) Sparse caspase-3-IR apoptotic cells in control and hem-ablated CP (white arrowheads), dense cells only in developing CPe (black arrowheads). (C,D) Less dense pHH3-IR apical progenitor cells in the dorsomedial CP of a hem-ablated brain compared with a control (see regions at asterisks). (E) pHH3-IR cell counts in caudal, central, rostral thirds of E11.5 CP. Two-way ANOVA indicated that mean cell counts, obtained from the six control and six hem-ablated brains, vary significantly by genotype and by R/C level, but not by the interaction of the two factors. Scale bar: in D, 100 µm for A,B; 40 µm for C,D.

mitotic cell count was detected in the lateral CP between the two groups. By E13.5, pHH3-IR cells were no longer significantly reduced in hem-ablated dorsomedial CP. A similarly transient effect of a WNT gradient, emanating from the roofplate, is seen in the embryonic spinal cord, in which WNT signaling directs a D/V gradient of cell proliferation (Megason and McMahon, 2002). WNT-dependent cell proliferation decreases over time, probably because broad dispersion of the mitogen is reduced by tissue expansion (Megason and McMahon, 2002). Our findings suggest that WNT signaling no longer significantly regulates CP cell proliferation after about E12.5.

## No changes in cell proliferation along the R/C axis

We next counted pHH3-IR dividing cells in consistently sized fields within sagittal sections of the E11.5 CP separated into caudal, central and rostral thirds. Two-way ANOVA tested for significant differences among mean cell counts, with genotype and R/C level as the two factors. Significant sources of variation (*P*=0.001) were genotype and R/C position, but no significant variation was noted in the interaction of the two (Fig. 5E). In summary, our observations of cell proliferation provided no evidence that changes in cell proliferation account for patterning shifts in hem-ablated cortex, other than the reduction in dorsomedial neocortex. Our findings pointed instead to alterations in the mechanisms that determine regional identity.

# Gene manipulations that cause a cortical phenotype similar to that of hem-ablated mice

Several gene manipulations produce mice that share specific cortical patterning defects with hem-ablated animals. Rostral neocortex expands and caudal regions are reduced in mice in which the rostral FGF8 source is experimentally augmented (Fukuchi-Shimogori and Grove, 2001), and in mice deficient in Emx2, an ortholog of the Drosophila gene empty spiracles (Bishop et al., 2000; Hamasaki et al., 2004; Mallamaci et al., 2000). In mice lacking the double sex and mab-3-related transcription factor (Dmrt) gene, Dmrta2, lateral and rostral neocortex expand, and caudomedial cortex is diminished (Konno et al., 2012; Saulnier et al., 2012). Mice lacking the orphan nuclear hormone receptor gene Nr2f1 show a related but not identical phenotype in which rostral neocortex is enlarged and caudal sensory areas are almost obliterated (Armentano et al., 2007). Finally, R/C patterning shifts opposite to those seen in hem-ablated cortex arise in mice deficient in Fgf8 (Garel et al., 2003), or in Sp8, which encodes a member of the SP1 transcription factor family, mediating or enhancing FGF8 signaling (Borello et al., 2013; Sahara et al., 2007; Zembrzycki et al., 2007).

## FGF8 signaling at the RTO is not changed

The Fgf8 expression domain was similar in size in hem-ablated and control embryos at E11 to E13.5 (n=10 brains/group) (Fig. 7A,B; supplementary material Fig. S2A,B). Further, rostral expression of Spry2, a direct readout of FGF8 signaling, was not distinguishable between mutants and controls (supplementary material Fig. S2C,D, n=4 brains/group). In wild-type mice, FGF8 positions the rostral expression boundary of the patterning gene, Nr2fI, and excess FGF8 drives the boundary further caudal. The positions of Nr2fI expression boundaries in hem-ablated and control CP were highly similar (supplementary material Fig. S3, n=7/group). Finally, FGF8 upregulates Sp8 expression (Borello et al., 2013; Cholfin and Rubenstein, 2008; Sahara et al., 2007), but in hem-ablated mice, rostral telencephalic Sp8 expression was lower than in control mice, rather than increased (supplementary material Fig. S2E,F, n=5/

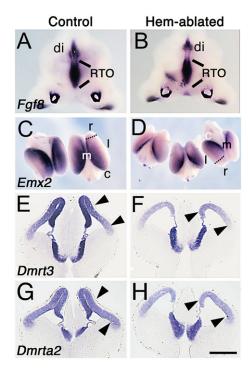


Fig. 7. Expression of genes implicated in cortical patterning. (A-D) Whole E11.5 brains, frontal view (A,B), whole E12.5 brains, dorsal view (C,D). (E-H) Coronal sections E12.5 brains. (A,B) Fgf8 expression at the RTO is not expanded in a hem-ablated brain compared with a control. (C,D) Emx2 expression gradients, caudal to rostral, and medial to lateral. In hem-ablated hemispheres, Emx2 expression is lower overall. A rostral zone with little or no Emx2 expression is larger than in controls (broken lines, brains on right, C,D). (E-H) Dmrt3 and Dmrta2 expression is reduced in hem-ablated brains compared with controls (arrowheads in E-H). Scale bar: in H, 400 μm for A,B; 1.5 mm for C,D; 300 μm for E-H. c, caudal; di, diencephalon; l, lateral; m, medial; r, rostral.

group). This reduction partially reflects loss of medial tissue that normally expresses Sp8, but the severity of reduction suggests a specific decrease following hem loss (supplementary material Fig. S2G,H; see Fig. 9). None of these findings supports increased RTO FGF8 signaling in the hem-ablated mouse.

# Patterning interactions among *Dmrt* genes, *Emx2* and WNTs

In hem-ablated CP, the high caudomedial to low rostrolateral expression gradient of Emx2 remained, perhaps previously established by the telencephalic roofplate (Cheng et al., 2006). The level of *Emx2* expression, however, was much weaker throughout the CP, and not detected in a substantial rostral region (Fig. 7C,D). The far-reaching effect of hem ablation on Emx2 expression levels in the CP paralleled a similarly extensive reduction of Lef1 expression (Fig. 1A,B). An interaction between EMX2 and WNT signaling has been associated with deficits in the hippocampus and caudomedial neocortex of Emx2 mutants (Muzio et al., 2005; Theil et al., 2002; Tole et al., 2000). Present observations demonstrate a widespread effect of hem WNT signaling on Emx2 expression throughout the neocortical primordium. Because the positioning and size of neocortical areas are sensitive to EMX2 levels (Hamasaki et al., 2004), decreased *Emx2* expression in the hem-ablated mouse should reduce caudal and medial regions and allow expansion of rostral and lateral cortex (Bishop et al., 2000; Mallamaci et al., 2000) just as is

Expression of the homeobox gene *Pax6* is negatively regulated by EMX2 (Muzio et al., 2002), and as expected, *Pax6* expression

increased in hem-ablated CP. Cortical patterning shows little change, however, in mice with increased *Pax6* expression (Manuel et al., 2007), suggesting that the increase in hem-ablated mice does not contribute to their cortical phenotype.

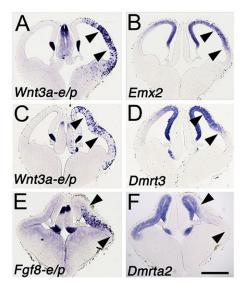
Recent studies demonstrate that canonical WNT signaling increases expression of *Dmrt3* and *Dmrta2*, associated with cortical patterning (Hasenpusch-Theil et al., 2012; Kikkawa et al., 2013; Konno et al., 2012; Saulnier et al., 2012). *Dmrta2*, *Dmrt3* and *Emx2* maintain regulatory interactions, with *Dmrta2* an upstream regulator of *Emx2* expression (Saulnier et al., 2012). We found that CP expression of both *Dmrta2* and *Dmrt3* was substantially reduced in hem-ablated mice (Fig. 7E-H). Previous and present findings therefore sketch out a cortical patterning pathway, composed of interactions among DMRTA transcription factors, EMX2, and hem WNT signaling, in which hem-ablated mice have obvious deficiencies. We propose that cortical patterning anomalies in hem-ablated mice are generated at least in part by decreased expression of *Dmrt3*, *Dmrta2* and *Emx2*.

#### Interactions between the hem and the RTO

That excess FGF8 or hem ablation cause similar cortical pattern changes suggests the hem restrains the patterning activity of FGF8, and that this restriction is required for normal cortical development. FGF8 downregulates the expression of *Emx2*, *Dmrta2* and *Dmrt3*, whereas canonical WNT signaling clearly upregulates expression of *Emx2* and *Dmrt3* (Fig. 8A-F).

We asked if these observations indicated a more comprehensive interaction between the hem and RTO in controlling gene transcription in the CP. Using *in utero* microelectroporation (IUME), electroporating the CP at E10.5 and harvesting brains at E12.5, we investigated cross-regulation by FGF8 and WNT3a of several additional genes that are either known or predicted to be involved in cortical regional development.

The cortical selector gene *Lhx2* (Mangale et al., 2008) has additional functions in cortical development, including regulating precise thalamic innervation of sensory neocortex (Chou and



**Fig. 8.** Opposite control of known patterning gene expression by WNT3a and FGF8. (A-F) Coronal sections through wild-type E12.5 CD-1 mouse brains, electroporated at E10.5 with a *Wnt3a* (A-D) or *Fgf8* (E,F) expression construct and processed with *in situ* hybridization. Ectopic WNT3a upregulates expression of *Emx2* (A,B) and *Dmrt3* (C,D). Ectopic FGF8 downregulates *Dmrta2* expression (E,F). Scale bar: in F, 300 μm for A-F.

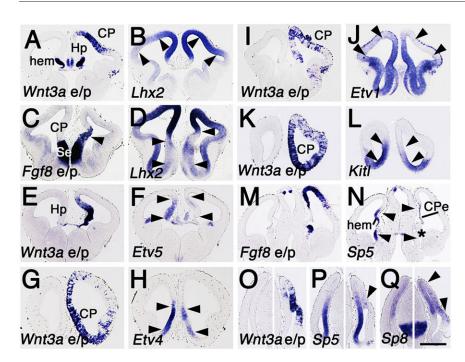


Fig. 9. WNT3a and FGF8 regulation of candidate patterning gene expression. (A-Q) Coronal sections through wild-type E12.5 CD-1 mouse brains, electroporated in one hemisphere at E10.5 with Wnt3a (Wnt3a e/p) or Fgf8 (Fgf8 e/p) and processed with in situ hybridization. (A-D) Wnt3a e/p (A) increases Lhx2 expression (B, compare expression between arrowheads in each hemisphere). Fgf8 e/p (C, arrowhead) expands a region of low Lhx2 expression (D. see arrowhead pairs). (E-L) Wnt3a e/p (E,G,I,K) reduces CP expression of Etv5,4 and 1 as well as Kitl (F.H.J.L. compare expression between arrowheads in each hemisphere). (M-Q) Fgf8 and Wnt3a e/p have opposite effects on expression of Sp5 (M-P), but Wnt3a e/p mimics FGF8 in upregulating Sp8 expression (Q). (O-Q) Control and e/p sides of the CP are shown in separate panels. Scale bar: in Q, 300 µm for A-F,I,J,M-Q; 200 µm for G,H,K,L.

O'Leary, 2013; Chou et al., 2009; Marcos-Mondejar et al., 2012; Roy et al., 2013; Shetty et al., 2013; Subramanian et al., 2011). *Lhx2*, like *Emx2*, *Dmrt3* and *Dmrta2*, is expressed in a caudomedial high to rostrolateral low gradient. Indicating antagonistic WNT and FGF8 regulation of this gradient, WNT3a increased *Lhx2* expression (Fig. 9A,B), whereas augmenting the RTO FGF8 source extended low *Lhx2* expression in the rostral telencephalon (Fig. 9C,D).

Functions for the PEA3 family of ETS genes in cortical patterning have not been investigated, but seem likely given the developmental roles of PEA3 genes elsewhere in the embryo (Arber et al., 2000; Fontanet et al., 2013; Mao et al., 2009). FGF8 positively regulates all three PEA3 genes, *Etv1*, *Etv4* and *Etv5*, which show a nested expression along the medial telencephalon that follows the R/C FGF8 gradient (Fukuchi-Shimogori and Grove, 2003; Toyoda et al., 2010). Electroporation of *Wnt3a* decreased expression of all three genes (Fig. 9E-J).

The developmental function in the brain of KITL/KIT signaling, crucial for the generation of germ cells and gonads (Merkwitz et al., 2011), is unknown. KITL/KIT signaling, however, is likely to be involved in the function of FGF8 at the RTO. *Kitl* is expressed at the rostral telencephalic midline, prominently including the RTO (Fig. 9C), but not in the dorsal midline. *Kitl* expression is upregulated by ectopic FGF8 sources (Assimacopoulos et al., 2012), and, consistent with its exclusion from the hem, *Kitl* expression is decreased by *Wnt3a* electroporation (Fig. 9K,L).

WNT3a induces expression of Sp5, an SP1 family member that is expressed in the medial wall of the cortical hemisphere, and potentially contributes to medial patterning (Dunty et al., 2014; Fujimura et al., 2007; Thorpe et al., 2005) (Fig. 9N-P). Ectopic FGF8 reduces the size of the hippocampus (Shimogori et al., 2004), thereby reducing the domain of potential Sp5 expression. We found, however, that after Fgf8 electroporation Sp5 expression was virtually absent in the substantial remaining medial telencephalon, indicating a true reduction of gene expression (Fig. 9N).

Expression of *Sp8* is upregulated by FGF8 (Borello et al., 2013; Cholfin and Rubenstein, 2008; Sahara et al., 2007) and, to our surprise, by WNT3a as well (Fig. 9O,Q), an observation supported by recent transcriptional profiling of the *Wnt3a* mutant mouse

(Dunty et al., 2014). Positive regulation by WNT3a is consistent with the expression of Sp8 in the medial wall of the cortical hemisphere (Fig. 9Q), and could explain reduced Sp8 expression in the hem-ablated mouse.

In summary, we identified several gene regulatory interactions between FGF8 and WNT3a, most of them antagonistic, in which FGF8 either up- or downregulates expression of a given gene and WNT3a does the opposite. In each case, these interactions are consistent with shaping domains of gene expression that arise during normal cortical development and contribute to patterning the cortical hemisphere. As an illustration, *Etv4* and *Etv1* expression appears at the rostromedial telencephalic midline, ending at the R/C level of the hippocampus and hem. *Etv5* expression continues into the most rostral hippocampus, but not into the hem. Our findings suggest that the overall pattern of PEA3 gene expression at the rostral but not caudal midline is the result of coordinated regulation by FGF8 and WNT signaling.

# **DISCUSSION**

Findings from the present study prompt three main conclusions. First, the cortical hem regulates broad patterning of the cerebral cortex along the D/V axis, promoting dorsal cortical identity and suppressing ventral identity, reminiscent of the actions of the roofplate in the caudal neural tube. Similar to signals from the roofplate, hem signals direct both cell proliferation and the adoption of specific regional identities (Chizhikov et al., 2010; Galceran et al., 2000; Lee et al., 2000b; Liem et al., 1997; Mangale et al., 2008; Megason and McMahon, 2002). Dorsomedial neocortex is reduced in the hem-ablated mouse, because of transiently decreased cell proliferation probably caused by loss of WNT signaling from the hem. The expansion of ventrolateral cortex, however, is not explained by changes in cell proliferation, indicating that the hem directs subdivision of the cerebral cortex along the D/V axis.

Decreased expression of *Emx2* and *Dmrta2* may account in part for expansion of ventrolateral cortex in hem-ablated mice; both *Emx2* and *Dmrta2* mutants show enlarged lateral cortex (Bishop et al., 2000; Mallamaci et al., 2000; Saulnier et al., 2012). Diminished *Lhx2* expression could also contribute to the

phenotype, given that LHX2 is required for boundary formation between paleocortex and neocortex (Chou et al., 2009), but the drop in *Lhx2* expression in hem-ablated cortex is modest (Fig. 1I,J); moreover, entorhinal cortex, not shown to be LHX2-dependent, is part of the enlarged ventrolateral domain.

A different possibility is that the anti-hem (Assimacopoulos et al., 2003; Kim et al., 2001) opposes the actions of the hem along the D/V axis. Supporting a patterning interaction between hem and antihem, ventrolateral cortex expands in other mice with a reduced or absent hem. In the *Gli3* mutant *extra-toes*, patches of gene expression characteristic of piriform cortex appear throughout the D/V extent of *extra-toes* cortex (Vyas et al., 2003), as do patches of *Sfrp2* expression typical of the anti-hem (E.A.G., unpublished). Whatever the underlying cause, the shift in D/V organization of the cortical hemisphere in hem-ablated mice suggests that the hem normally controls the amount of the CP allocated to neocortex, or to a ventrolateral cortical domain that is not neocortical in character.

The second conclusion is that the hem influences R/C patterning of the neocortical primordium. Hem ablation causes rostral neocortical domains to expand at the expense of caudal domains, which are shrunken and shifted caudally. No anomalies in cell proliferation were found that could explain the R/C patterning shifts. Instead, diminished expression of *Emx2* and *Dmrta* genes is likely to account substantially for regional shifts along the R/C axis in hem-ablated cortex. Considerable evidence supports Emx2 as crucial to neocortical patterning (Bishop et al., 2000; Hamasaki et al., 2004; Mallamaci et al., 2000), whereas the *Dmrta* genes are relative newcomers to this role. Studies of the *Emx2* mutant mouse, however, have suggested that more genes would be found with similar patterning effects to Emx2, and that their expression would respond to FGF or WNT signaling or both (Cholfin and Rubenstein, 2008; Fukuchi-Shimogori and Grove, 2003; Muzio et al., 2005). In the Emx2 mutant, rostral Fgf8 and Fgf17 expression domains expand (Cholfin and Rubenstein, 2008; Fukuchi-Shimogori and Grove, 2003), and hem *Wnt* gene expression decreases (Fukuchi-Shimogori and Grove, 2003; Muzio et al., 2005; Tole et al., 2000). Area boundary shifts in the *Emx2* mutant are partially reversed by reducing FGF8 or FGF17 (Cholfin and Rubenstein, 2008; Fukuchi-Shimogori and Grove, 2003), and increasing WNT signaling relieves the prominent reduction of caudomedial cortex in the Emx2 mutant (Muzio et al., 2005). These observations imply that deregulation of additional genes downstream of FGF or WNT signaling contributes to the cortical phenotype seen in the Emx2 mutant. Dmrt3 and Dmrta2 are likely to be members of this group of genes (Konno et al., 2012; Saulnier et al., 2012), and others may be identified.

NR2F1 maintains a mutual negative regulatory relationship with FGF8, and inhibits canonical WNT signaling (Faedo et al., 2008). Cortical expression of *Nr2f1* was unchanged, however, by loss of WNT and BMP function in the hem-ablated mouse, or electroporation of Wnt3a into wild-type CP (supplementary material Fig. S3; S.A., unpublished). *Dmrta2*-deficient mice also display no change in expression of *Nr2f1* (Saulnier et al., 2012). These observations indicate two partially distinct cortical patterning pathways, one implicating WNT signaling, the *Dmrta* genes and *Emx2*, but not *Nr2f1*, and the other centered on an antagonism between SP8 and NR2F1 (Armentano et al., 2007; Zhou et al., 2001).

The third conclusion from the present study is that the hem maintains antagonistic interactions with FGF8 in regulating R/C patterning of the neocortex. Ablating the hem or increasing FGF8 levels has the same effect on early regional patterning of the neocortex. In normal development, therefore, the hem acts as a negative modulator of the rostralizing activity of FGF8; when the

hem is ablated, the brake is removed and the neocortex is rostralized. Further, opposed regulation by FGF8 and WNT3a of *Sp5*, which may be involved in medial cortical development, hints at a two-way antagonism. Notably, our observations do not support a simple model in which the two signaling centers independently control orthogonal axes of the cerebral cortex. Although the hem and RTO pattern the cortex along the D/V and R/C axes, respectively, the signaling sources are not independent. Interactions between the two support a more complex network of cortical regionalization signals.

Finally, our findings may help to resolve a long-standing question concerning cortical regional patterning. In the spinal cord, and even in the early telencephalon, D/V patterning is controlled by two signaling centers, one producing sonic hedgehog, the other WNTs and BMPs, which both actively pattern the ventral and dorsal neural tube respectively, and make D/V patterning a more robust developmental process by antagonizing one another (Ulloa and Briscoe, 2007). To date the 'caudal' antagonist of the RTO has seemed to be missing. The observations reported here indicate that the antagonistic interactions that make for robust patterning of the cerebral cortex need not be between two signaling centers placed opposite one another.

#### **MATERIALS AND METHODS**

#### Mice

Animals use followed NIH guidelines; the University of Chicago IACUC approved all mouse protocols. Hem-ablated mice were generated as described (Yoshida et al., 2006). Midday of the day of vaginal plug discovery was termed E0.5.

## **Immunohistochemistry**

Brains were collected from embryos aged E10.5 to E18.5, fixed in 4% paraformaldehyde and sectioned with a Leica SM2000R microtome. Sections were incubated with primary antibody followed by appropriate HRP-conjugated secondary antibodies. Primary antibodies were anti-Axin2 rabbit polyclonal (Abcam), anti-phospho-Smad1/5/8 rabbit polyclonal (Cell Signaling Technology), anti-phospho Histone H3 (pHH3) (Ser10) rabbit polyclonal (Millipore), 3A10 anti-neurofilament mouse monoclonal (Developmental Studies Hybridoma Bank), and anti-cleaved caspase 3 rabbit polyclonal antibody (Cell Signaling Technology).

# In situ hybridization

Whole brains or sections were processed with digoxigenin (Dig)-labeled riboprobes. cDNAs were gifts of M. Takeichi (RIKEN CDB, Kobe, Japan) (Cdh6, Cdh8), S. K. McConnell (Stanford University, CA, USA) (Rorb), and L. F. Reichardt (University of California, San Francisco, USA) (Ngfr). Other cDNAs were obtained by PCR from mouse embryo cDNA. Tissue was viewed with a Zeiss Axioscope or a Leica dissection microscope, and images captured with Axiovision cameras and software (Zeiss). For figures, digital images were adjusted for contrast, color and brightness using Adobe Photoshop CS4. Comparisons of the expression of a given gene between control and mutant mice were based on at least six brains/group/age. Genes with expression patterns used to define cortical domains at E18.5 were Cdh6 and Cdh8, encoding cadherins 6 and 8, Lmo3 and Lmo4, encoding Lim-only domain transcription factors, Nrp2, encoding neuropilin2, a semaphorin receptor, Rorb, encoding an orphan nuclear receptor, and the nerve growth factor receptor gene, Ngfr.

## Quantification

Estimates of the relative sizes of E18.5 hem-ablated and control neocortices, and their Pa and Oc domains, were obtained by taking measurements from images of whole brains, hemispheres or sections, processed to show appropriate region-specific gene expression. Consistency in the angle of view in each image was obtained by laying hemispheres from bisected brains, flat side down, on an agarose gel surface. Whole brains were placed into a depression cut into the agarose. In a given image, the total area of neocortex,

and the areas of Pa or Oc, were outlined and measured using ImageJ software (series 1.48, NIH, public domain). The expansion of frontal neocortical (Fr) in hem-ablated mice, in particular, was determined as described in the text. To confirm dorsal expansion of piriform cortex in hem-ablated mice, the D/V length of piriform cortex was determined in coronal sections from six control and six hem-ablated E18.5 forebrains (six coronal sections per cerebral cortex, evenly spaced along the R/C axis). Sections were processed with in situ hybridization (ISH) for Nrp2 expression, which demarcates piriform cortex. The outer contour of piriform cortex was drawn on section images, matched for R/C level, and measured in ImageJ. To assess differences in cell proliferation along the R/C and medial to lateral (M/L, equivalent to D/V) axes of the neocortical primordium, E11.5-E17.5 brains were sectioned sagittally or coronally and processed with immunohistochemistry to show pHH3 immunoreactivity. Mitotic pHH3-IR cells were counted in rectangular fields of consistent size, positioned on section images, centered over the cortical VZ. Statistical comparisons of measurements of cell counts in mutant and control mice were made with a t-test (paired or unpaired as appropriate), for example, when comparing cell proliferation in hem-ablated and control dorsomedial CP, or with two-way ANOVA (Prism 6, GraphPad Software), when determining whether cell proliferation varies differentially along the R/C axis between hem-ablated and control CP.

#### In utero microelectroporation

cDNAs encoding FGF8, WNT3a (Fukuchi-Shimogori and Grove, 2001), and tdTomato (Genove et al., 2005) were cloned into the pEFX expression vector (Agarwala et al., 2001). Electroporation and selection of brains with appropriate electroporation sites were as described (Assimacopoulos et al., 2012). The CP was electroporated at E10.5, and brains were collected at E12.5 and sectioned. One series of sections was processed with ISH for the electroporated gene. Others were processed to show up- or downregulation of particular genes of interest. At least six brains were processed to show expression of each gene in each experimental condition. Control electroporation constructs carried td-Tomato and eGFP. Control electroporation was repeated four to six times for each gene.

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# Competing interests

The authors declare no competing financial interests.

# **Author contributions**

G.C.-B., M.Y. and E.A.G. developed the ideas and approach; G.C.-B., M.Y., F.G. and S.A. performed the experiments; G.C.-B. and E.A.G. analyzed the data and wrote the paper.

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# Supplementary material

Supplementary material available online at http://dev.biologists.org/lookup/suppl/doi:10.1242/dev.106914/-/DC1

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