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Sox17 haploinsufficiency results in perinatal biliary atresia and hepatitis in C57BL/6 background mice

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SUMMARY

Congenital biliary atresia is an incurable disease of newborn infants, of unknown genetic causes, that results in congenital deformation of the gallbladder and biliary duct system. Here, we show that during mouse organogenesis, insufficient SOX17 expression in the gallbladder and bile duct epithelia results in congenital biliary atresia and subsequent acute 'embryonic hepatitis', leading to perinatal death in ~95% of the *Sox17* heterozygote neonates in C57BL/6 (B6) background mice. During gallbladder and bile duct development, *Sox17* was expressed at the distal edge of the gallbladder primordium. In the *Sox17*+/- B6 embryos, gallbladder epithelia were hypoplastic, and some were detached from the luminal wall, leading to bile duct stenosis or atresia. The shredding of the gallbladder epithelia is probably caused by cell-autonomous defects in proliferation and maintenance of the *Sox17*+/- gallbladder/bile duct epithelia. Our results suggest that *Sox17* plays a dosage-dependent function in the morphogenesis and maturation of gallbladder and bile duct epithelia during the late-organogenic stages, highlighting a novel entry point to the understanding of the etiology and pathogenesis of human congenital biliary atresia.

KEY WORDS: Sox17, Haploinsufficiency, Biliary atresia, Hepatitis, Gallbladder, Bile duct, Mouse

INTRODUCTION

The biliary system in mice and humans transports bile from the liver to the duodenum and consists of the gallbladder, cystic duct, intra- and extrahepatic bile duct and common bile duct. Bile duct dysfunction in congenital biliary diseases and viral infection leads to the accumulation of bile in the liver, preventing excretion of detoxification products and liver injury (Zong and Stanger, 2011). Biliary atresia is a rare condition in newborn infants (Kohsaka et al., 2002; Mieli-Vergani and Vergani, 2009), characterized by inflammation in the bile ducts and liver due to the leakage of bile. Infants with biliary atresia are generally subdivided into two distinct clinical forms of the fetal/embryonic and perinatal/postnatal types (Schweizer, 1986; Desmet, 1992). The perinatal/postnatal type may be mainly caused by the virus infection and toxin exposure, leading to the apoptosis/necrosis of bile duct cells and subsequent inflammation/fibrosis around them. By contrast, the fetal/embryonic type (i.e. congenital biliary atresia), comprising one-third of patients, may be attributed to developmental errors in bile duct formation and morphogenesis by their genetic mutations. Studies in mouse models have identified signaling factors associated with congenital biliary atresia, such as Jagged1 (notch signaling; Kohsaka et al., 2002; Flynn et al., 2004) and Cryptic protein (nodal signaling; Bamford et al., 2000). However, the etiology and pathogenesis of the congenital (fetal/embryonic type) biliary atresia in both human and mice is not fully understood.

The intrahepatic and extrahepatic duct systems are derived from the liver and gallbladder primordium in the posterior-ventral foregut (Stainier, 2002; Zaret, 2008; Zaret and Grompe, 2008; Spence et al., 2009; Uemura et al., 2010). For the intrahepatic duct, cholangiocyte progenitors are derived from hepatocytes located adjacent to portal veins of the liver and are organized into ring structures (duct plates) around the portal vein branches. They form intrahepatic bile ducts that link the hepatocyte-lined bile canaliculi and the extrahepatic bile ducts (Shiojiri and Katayama, 1987; Clotman et al., 2002; Lemaigre, 2003; Carpentier et al., 2011). By contrast, the extrahepatic biliary structures (the gallbladder, cystic duct and extrahepatic ducts) are derived from the gallbladder primordium (Spence et al., 2009; Uemura et al., 2010; this study), which express SOX17, an SRY-related HMG box transcription factor that plays a conserved and vital function in definitive endoderm development in various vertebrate species (Tam et al.,

In mice, *Sox17*-null embryos show a drastic reduction in endodermal cell population, and fail to develop beyond 10.5 days post coitum (dpc) (Kanai-Azuma et al., 2002). In the definitive endoderm, *Sox17* is transiently expressed during the initial phase of differentiation from mid-streak (7.0 dpc) to the early somite stages (Kanai-Azuma et al., 2002). Interestingly, during the early-somite (8.5 dpc) stages, *Sox17* is re-expressed in the posterior-ventral foregut, where the progenitors of the gallbladder/bile duct are found (Uemura et al., 2010) and is maintained in the gallbladder primordium during the perinatal period (this study). Cell-autonomous *Sox17* function in the foregut endoderm is required for the specification and differentiation of gallbladder/bile duct progenitors during foregut morphogenesis (Spence et al., 2009; Uemura et al., 2010). Despite the evolutionarily conserved

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SOX17 expression in gallbladder primordium and its derivatives among zebrafish (Shin et al., 2012), *Xenopus* (Zorn and Mason, 2001), mouse (Matsui et al., 2006; Uemura et al., 2010) and human (Cardinale et al., 2011; Carpino et al., 2012), it remains unclear how SOX17 activity in the gallbladder primordium is involved in the development and maturation of the gallbladder and bile duct system during the late organogenic stages. Moreover, the specification of the gallbladder primordium is regulated by several other factors such as *Foxf1* (Kalinichenko et al., 2002), *Hnf6* (*Onecut1* – Mouse Genome Informatics) (Clotman et al., 2002), *Hes1* (Sumazaki et al., 2004), *Hhex* (Hunter et al., 2007) and *Lgr4* (Yamashita et al., 2009). However, the molecular and cellular mechanisms that establish and maintain the gallbladder and bile duct system during the perinatal periods remain unknown.

In the present study, we showed that *Sox17* haploinsufficiency causes tissue-autonomous defects in the morphogenesis and maturation of gallbladder and bile duct epithelia in C57BL/6 (B6) background mice, leading to congenital biliary atresia and subsequent acute hepatitis in late fetal stages. This study adds new knowledge to our understanding of the pathogenesis of congenital biliary atresia and hepatitis.

MATERIALS AND METHODS

Animal care and use

All animal experiments in this study were performed in strict accordance with the Guidelines for Animal Use and Experimentation, as established by the University of Tokyo. The procedures were approved by the Institutional Animal Care and Use Committee of the Graduate School of Agricultural and Life Sciences in the University of Tokyo (approval ID: P11-501). Embryos at 13.5 to 17.5 dpc were obtained from pregnant wild-type females [C57BL/6 (B6) strain; Clea Japan] mated with $Sox17^{+/-}$ male mice (B6×129SvJ mixed background; Kanai-Azuma et al., 2002). $Sox17^{GFP/+}$ embryos (ICR background; 13.5 to 17.5 dpc; Kim et al., 2007) were also used in this study.

Histology, lectin histochemistry and immunohistochemistry

For whole-mount staining, the livers with gallbladder/bile duct tissues were fixed in 4% paraformaldehyde (PFA)-PBS for 6 hours at 4°C, and then washed with TBST. For permeabilization, all samples were dehydrated and stored in 70% methanol for several days. The samples were incubated with Rhodamine-labeled DBA lectin (10µg/ml) or mouse anti-acetylated tubulin (1/100 dilution; Sigma) for 12 hours at 4°C.

For paraffin sections, the liver and gallbladder/bile duct tissues were fixed in 4% PFA-PBS for 12 hours at 4°C, dehydrated, embedded in paraffin and serially sectioned (5 μ m in thickness). The 4% PFA-fixed frozen sections were also used (5-7 μ m in thickness). All sections were subjected to conventional histological [Hematoxylin-Eosin (HE) and periodic acid Schiff (PAS) staining] and immunohistochemical staining.

For immunohistochemical staining, sections were incubated with mouse anti-acetylated tubulin (1/100 dilution; Sigma), mouse anti-BrdU (1/100 dilution; DakoCytomation), mouse anti-E-cadherin (1/250 dilution; BD Pharmingen), rabbit anti-GRP78 (1/500 dilution; Affinity Bioreagents), mouse anti-GFP (1/50 dilution; MBL), rabbit anti-HNF6 (1/50 dilution; Santa Cruz), rat anti-integrin β1 (1/50 dilution; Millipore), rabbit antilaminin (1/250 dilution; ICN Pharmaceuticals), mouse anti-Ki67 (1/50 dilution; Leica), mouse anti-PCNA (1/5000 dilution; DACO), rabbit anti-SOX9 (1/250 dilution) (Kidokoro et al., 2005), rabbit anti-SOX17 (10 μg/ml) (Kanai et al., 1996), goat anti-SOX17 (1/100 dilution; R&D Systems) and mouse anti-ZO1 (1/100 dilution; Invitrogen) antibodies. Finally, the immunoreaction was visualized by biotin-conjugated secondary antibody in combination with an ABC Kit (Vector Laboratories) or by secondary antibodies conjugated with alkaline phosphatase/Alexa-488/594. After counterstaining with DAPI, the samples were analyzed under an Olympus fluorescent microscope (BX51N-34-FL2) and stereomicroscope (SZX16 plus U-LH100HG) systems and an Olympus FluoView confocal laser microscope (FV10i; Olympus, Japan).

For cell proliferation indices and morphometric data, PCNA/Ki67/BrdU-positive gallbladder epithelial cells and epithelial heights were separately estimated in the transverse sections at the levels of the largest duct diameter.

Organ culture

To analyze the tissue-autonomous phenotype of the $Sox17^{+/-}$ gallbladder/bile duct, we performed organ culture of the gallbladder primordium isolated at 13.5 dpc. Briefly, the gallbladder region without the proximal cystic duct was isolated from the fetal liver under a dissecting microscope at 13.5 dpc. The gallbladder primordia from the $Sox17^{+/-}$ and wild-type littermates were placed onto an ISOPORE membrane filter (Millipore), and then cultured in 10% fetal calf serum-DMEM (Sigma) at 37°C for 72 hours. In some explants, the gallbladder primordia were placed on gelatin-coated plates for the timecourse observation.

Transmission electron microscopy

Isolated liver and gallbladder/bile duct tissues were fixed in 2.5% glutaraldehyde/0.1 M phosphate buffer (PB) for 4 hours at 4°C. After washing with PBS, they were post-fixed in 1% OsO₄ in 0.1 M PB for 2 hours at 4°C. Then they were dehydrated and embedded in EPON812, and ultrathin sections were examined under a JEOL-1010 transmission electron microscope at 80 kV (Hara et al., 2009). In the electron micrographs (×5000) of the transverse sections at the levels of the largest duct diameter, the number of the primary cilia with the distinct basal body was counted and the total length of the luminal surface of the epithelial membrane was also measured to obtain the frequency of the primary cilia per each 100-μm apical cell surface.

RNA extraction, microarray and RT-PCR analyses

The $Sox17^{+/-}$ and wild-type liver tissues at 17.0 dpc were used for microarray expression analysis using the Affymetrix GeneChip system (Affymetrix). After the peripheral distal edge (one-third part) of each lobule was excised with a surgical scalpel under a dissecting microscope, the remaining proximal liver tissues (without any hepatic lesion) were used for subsequent RNA analysis, in order to make precise comparisons in the gene expression between the severe- and mild-phenotype samples. After total RNA was extracted using an RNeasy Mini Kit (Qiagen), doublestranded cDNA and biotin-labeled cRNA were synthesized using One-Cycle cDNA Synthesis and IVT Labeling kits (Affymetrix). Fragmented biotin-labeled cRNA (25 µg) was hybridized to the Affymetrix Mouse Expression Array MOE 430A for 16 hours at 45°C. The chips were analyzed using Microarray Suite version 5.0 (Affymetrix) in accordance with the manufacturer's standard protocols. Differential expression was defined as a difference of twofold or more in liver samples between Sox17 heterozygote and wild type. The microarray data have been deposited in the Gene Expression Omnibus of NCBI (accession number: GSE33106).

For quantitative RT-PCR analysis, total RNA was extracted from the liver using Trizol reagent (Invitrogen). Each RNA sample was treated with DNase I, and then reverse transcribed using random primers with a Superscript-III cDNA synthesis kit (Invitrogen). A reverse transcriptasefree reaction was performed as a control. Real-time PCR was performed with TaqMan Universal PCR Master Mix (Applied Biosystems). Specific primers and fluorogenic probes for Cxcl2 (Mm00436450_m1), Cxcl1 (Mm00433859 m1), Cxcl10 (Mm00445235 m1), Ptgs2 (Mm00478374 m1), (Mm03038954 s1), Hspa1b Hspa1a (Mm01159846 s1), Esm1 (Mm00469953), Serpine1 (Mm00435860), (Mm00496902 m1), (Mm01325629_m1), Jag1 Jag2 Notch1 (Mm00435249_m1), Notch2 (Mm00803077_m1), Hes1 (Mm01342805 m1), Nodal (Mm00443040 m1) and Gapdh (Taqman control reagents) were also purchased from Applied Biosystems. PCR was performed using an ABI Prism 7900HT sequence detector. Relative mRNA expression levels were calculated by the $\Delta\Delta$ Ct analysis, using Gapdh (4352339E) mRNA for internal normalization.

Liver chemistry tests

Serum alanine aminotransferase (ALT) and alkaline phosphatase (ALP) were analyzed by SRL diagnostics (Tokyo, Japan). The intrahepatic bile acid levels were also determined using a Bile Acid L3K Assay Kit

DEVELOPMENT

Table 1. Viability of Sox17+/- pups on the C57BL/6 background*

	Survival ratio				
Generation	+/+	+/-	(+/-/+/+)	Total number	
N2	164	140	0.85	304	
N3	190	155	0.82	345	
N4	113	108	0.96	221	
N5	85	11	0.13	96	
N6	83	10	0.12	93	
N7	69	8	0.12	77	
N8	28	1	0.04	29	

Number of live neonates of each genotype in breedings between \$Sox17\(^{-}\) males at each generation of backcross progeny from N2 [(C57BL/6\(\to 129SVJ\)) F1\(\to C57BL/6\)] and wild-type females (C57BL/6).

(Diagnostic Chemicals) according to the manufacturer's directions (Bochkis et al., 2008).

Ink tracer experiment

The whole liver organ with the gallbladder/bile duct system and duodenum was isolated from wild-type or $Sox17^{+/-}$ embryos at 17.0 dpc. The red fluorescent ink (WA-TC 90; Tombo, Japan) was injected into the fetal gallbladder region at high pressures. The injected liver tissues were fixed with 4% PFA for 6 hours, and analyzed under an Olympus fluorescent microscope stereomicroscope system.

Statistical analysis

All quantitative data are represented as mean \pm s.e.m. Data analysis was conducted with the graphics and statistics program PRISM v5.0 (GraphPad Software). Student's *t*-test or one-way ANOVA statistic was used to determine whether an overall difference existed between two groups or among more than three groups. Where differences existed, the Tukey test was also used to compare the value with every other values. A *P*-value of 0.05 or less was used to determine statistical significance for each analysis.

RESULTS

Sox17 haploinsufficiency results in defective perinatal development of both liver and gallbladder/bile ducts in B6 background mice

Perinatal lethality was found in ~90% of the Sox17 heterozygote mutant pups after the N₅ generation of the backcross of Sox17 heterozygote mutant mice (129/SvJ) onto the B6 background (Table 1). Pathological analyses of the $Sox17^{+/-}$ embryos in the N₇₋₈ generation in the B6 background (hereafter, Sox17^{+/-} B6 or $Sox17^{+/-}$ embryo) revealed defects in the development of the liver and gallbladder/bile duct systems during the late-organogenic stages (Fig. 1). In 62.5% of the $Sox17^{+/-}$ B6 embryos (N₇₋₈), liver lobules showed peripheral degeneration with various degrees of severity at 17.5 dpc (55/88 embryos; Fig. 1A) based on gross anatomy. The peripheral degenerative areas were negative for PAS staining, which detects glycogen accumulation in healthy hepatocytes. Such peripheral degeneration was first detectable during 16.5-17.0 dpc, and the degenerative area rapidly expanded toward the central proximal region of the lobules. Moreover, liver weight was reduced significantly at 16.5 dpc in the $Sox17^{+/-}$ B6 embryos, compared with wild-type littermates (*P*<0.01; Fig. 1B).

In almost all $Sox17^{+/-}$ B6 embryos, the gallbladder region showed hypoplasia irrespective of whether hepatic lesions were present or not (Fig. 1C). Whole-mount dolichos biflorus agglutinin (DBA)-lectin staining revealed gallbladder hypoplasia at as early as 15.5 dpc (Fig. 1C). Ectopic extrahepatic ducts were also formed in the cystic duct region (21/22 in $Sox17^{+/-}$ versus 5/28 in wild type; Fig. 1C, white arrowheads).

 $Sox17^{+/-}$ B6 embryos showed no histological abnormality in organs such as the lung, pancreas, esophagus, stomach, duodenum and intestine. In either ICR or 129SvJ/B6 (N₁₋₂) mixed backgrounds, the phenotypes of hepatitis and ectopic hepatic ducts

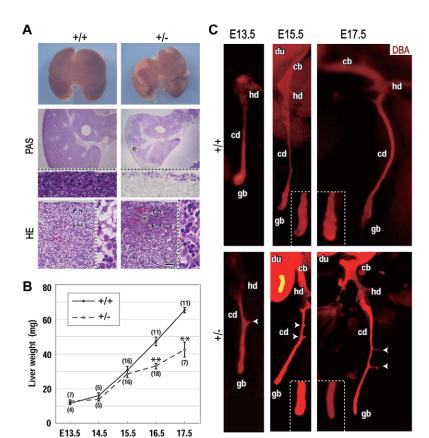


Fig. 1. Defective development of the liver and gallbladder/bile duct in Sox17 heterozygote embryos in B6 background mice. (A,B) Gross-anatomical (upper panels in A) and histological [PAS staining (middle) and HE staining (lower panels in A)] images showing peripheral degeneration (asterisks and insets in A) of the liver lobules in $Sox17^{+/-}$ embryos at 17.5 dpc. In B, the line graph indicates significant reduction of liver weight in Sox17+/embryos at 16.5 and 17.5 dpc compared with wild-type littermates (**P<0.01). Numbers in parentheses indicate the total number of the embryos used in each group. Error bars represent s.e.m. (C) Whole-mount DBA staining shows hypoplastic gallbladder (gb, insets) and ectopic formation of extrahepatic ducts (white arrowheads) in $Sox17^{+/-}$ embryos during 13.5 to 17.5 dpc. cb, common bile duct; cd, cystic duct; du, duodenum; gb, gallbladder; hd, extrahepatic duct. Scale bar: 50 μm.

Table 2. Top 20 of the 59 upregulated genes in Sox17+/- livers (B6) at 17.0 dpc*

		Fold change [‡]	
Description	Gene	Severe	(Mild)
Endothelial cell-specific molecule 1	Esm1	115.7	(10.1)
Chemokine (C-X-C motif) ligand 10	Cxcl10	49.0	(6.4)
Heat shock protein 1A	Hspa1a	28.9	(14.2)
Heat shock protein 1B	Hspa1b	28.8	(17.5)
Chemokine (C-X-C motif) ligand 2	Cxcl2	26.6	(5.1)
Patched domain containing 3	Ptchd3	24.7	(5.6)
Serine (or cysteine) peptidase inhibitor, clade E, member 1	Serpine1	24.2	(158.7)
Kallikrein 1-related peptidase b24	Klk1b24	21.2	(5.1)
Phosphatidylinositol-4-phosphate 5-kinase, type 1 alpha	Pip5k1a	20.2	(2.0)
Hepcidin antimicrobial peptide	Натр	19.2	(4.3)
Predicted gene 6297	Gm6297	13.0	(3.8)
Chemokine (C-X-C motif) ligand 1	Cxcl1	12.4	(4.3)
Procollagen lysine, 2-oxoglutarate 5-dioxygenase 2	Plod2	11.9	(4.0)
Chondroitin sulfate synthase 3	Chsy3	9.2	(3.3)
Activating transcription factor 3	Atf3	8.7	(6.6)
RIKEN cDNA 4930583H14 gene (mitochondria localized glutamic acid rich protein)	4930583H14Rik (Mgarp)	8.4	(3.4)
Ankyrin repeat domain 37	Ankrd37	8.0	(7.8)
Potassium voltage-gated channel, Isk-related subfamily, gene 3	Kcne3	7.9	(5.9)
Family with sequence similarity 184, member A	Fam184a	6.9	(6.5)
Chemokine (C-C motif) ligand 2	Ccl2	5.9	(3.9)

^{*}Microarray expression analyses were performed using the cDNA samples collected from the central proximal region of the liver lobules in which any degenerative area was detected based on gross anatomy, even in the severe group. In the mild group, the cDNA samples were also prepared from the proximal regions of the liver lobules (the livers in the mild group were normal without any hepatitis phenotype at 17.0 dpc).

in $Sox17^{+/-}$ embryos were considerably milder than those in the B6 background, although the gallbladder hypoplasia became evident in almost all of the samples in the adult stage (supplementary material Fig. S1A,B). As anti-SOX17 signal intensities in gallbladder primordia appear to be lower in $Sox17^{+/-}$ embryos than those in wild-type littermates in both ICR and B6 backgrounds (supplementary material Fig. S1C), Sox17 haploinsufficiency (i.e. a dosage-dependent effect) causes aberrant development of the liver and gallbladder/bile duct by the perinatal stage on the B6 background.

Perinatal hepatic inflammation and cholestasis in the *Sox17*^{+/-} (B6) liver

To understand the molecular basis of liver phenotypes, we performed microarray analyses of the isolated liver tissues of the $Sox17^{+/-}$ and wild-type littermates (each cDNA sample was prepared from the $Sox17^{+/-}$ embryo with or without grossanatomical hepatic lesions as severe- or mild-phenotype group, respectively). Microarray array analysis identified 59 upregulated genes (Table 2) and 17 downregulated genes (supplementary material Table S1) in Sox17^{+/-} livers compared with wild-type livers. Among the top 20 upregulated genes, expression of inflammatory cytokines (e.g. Cxcl10, Cxcl2 and Cxcl1) and stressinduced heat-shock protein markers (Hspala and Hspalb) was elevated in $Sox17^{+/-}$ livers at 17.0 dpc, compared with wild-type littermates. The qPCR analyses of the $Sox17^{+/-}$ livers at 17.0 dpc [the earliest stage at which the degenerative region in some $Sox17^{+/-}$ livers (i.e. severe-phenotype group) was detected] confirmed the significantly increased expression of various inflammatory cytokines and hepatotoxic marker genes (Cxcl1, Cxcl2, Cxcl10, Ptgs2, Esm1 and Serpine1) in a severity-dependent manner (Fig. 2A). Interestingly, such increased expression of inflammatory cytokines was not detected in the Sox17^{+/-} livers at 15.5 dpc, just before the first biliary excretion into the fetal duodenum (supplementary material Fig. S2). Moreover, the levels of serum ALT and ALP (two hepatitis markers) were significantly elevated in $Sox17^{+/-}$ embryos compared with wild-type littermates at 17.0 dpc (Fig. 2B, upper two graphs). The intrahepatic level of bile acids was also significantly elevated in $Sox17^{+/-}$ livers (Fig. 2B, lowest graph).

Because elevated levels of intrahepatic bile acid level is known to cause endoplasmic reticulum (ER) stress in livers (Bernstein et al., 1999; Bochkis et al., 2008), the expression pattern of GRP78 (an ER stress marker) was also examined in developing $Sox17^{+/-}$ livers. GRP78 expression was upregulated in hepatocytes surrounding the PAS-negative degenerative areas in the $Sox17^{+/-}$ livers (Fig. 2C; supplementary material Fig. S3). Ultrastructural analysis also confirmed the characteristic ER enlargement in the cytoplasmic region facing the bile canaliculi in the hepatocytes around the degenerative region (Fig. 2D). Taken together, these data indicate the development of cholestasis and perinatal onset of hepatic inflammation in the $Sox17^{+/-}$ B6 livers during 16.5 to 17.5 dpc.

SOX17 is highly expressed in proliferating epithelial cells of the gallbladder primordium, but not in fetal hepatocytes during mid- and lateorganogenic stages

To determine the cause of the acute hepatitis in the $Sox17^{+/-}$ B6 embryos, the sites of SOX17 expression in the liver and gallbladder/bile duct system were examined by immunostaining (ICR background) (Uemura et al., 2010) or a Sox17-GFP reporter line (ICR background; Kim et al., 2007). At 13.5 to 17.5 dpc, no SOX17 was detected in fetal hepatocytes in the lobules (Fig. 3A,B). By contrast, strong expression of SOX17 was observed in the gallbladder epithelia, even in late-organogenic stage embryos (13.5-16.5 dpc) ('gb' in Fig. 3C,D). SOX17 was also detected in the cystic duct epithelia ('cd' in Fig. 3C,D), but not in the common bile duct and extra- and intrahepatic ducts ('hd' in Fig. 3C,D; data not shown). The vascular endothelial cells and

[‡]The fold change represents the difference in expression levels in livers between Sox17* and wild-type littermates. Differential expression was defined as a difference of twofold or more.

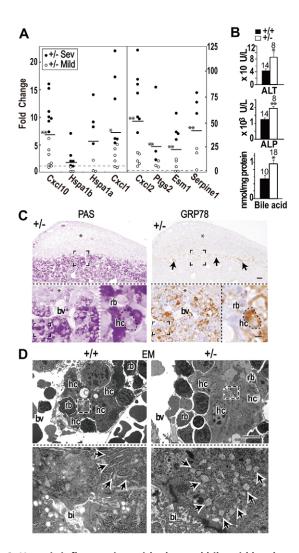


Fig. 2. Hepatic inflammation with elevated bile acid levels and endoplasmic reticulum stress of hepatocytes in fetal Sox17+/- B6 livers. (A) Real-time RT-PCR analysis showing elevated expression levels of several inflammatory cytokine markers in Sox17^{+/-} B6 livers at 17.0 dpc. The vertical axis represents fold changes in the expression level of each liver sample relative to those of wild-type livers (the mean value was set as 1). Filled (severe) and unfilled (mild) circles indicate Sox17+/liver samples with and without any gross-anatomical lesions, respectively. The bars show mean values of all (severe and mild) samples, whereas asterisks on the bar indicate significant differences compared with wild-type livers (*P<0.05, **P<0.01). (B) Biochemical data showing significantly elevated levels of serum ALT, serum ALP and intrahepatic bile acids in Sox17+/- livers compared with wild-type livers. Error bars represent s.e.m. (C) PAS (red) and anti-GRP78 immunostaining (brown) of two consecutive sections, showing GRP78positive signals in the hepatocytes around the degenerating peripheral region of Sox17+/- liver lobules (arrows). Asterisks indicate the PASnegative region including the damaged hepatocytes. (**D**) Electron microscopic images showing enlargement of rough ER (arrows) in Sox17^{+/-} hepatocytes. Each dashed rectangle indicates the area highly magnified in the adjacent panel. bi, bile canaliculus; by, blood vessel; hc, hepatocyte, rb, red blood (hematopoietic) cells. Scale bars: 50 μm in upper panels in C; 5 μ m in lower right inset of C and in D.

hematopoietic cells were positive for SOX17 and express SOX17-GFP. Anti-proliferating cell nuclear antigen (PCNA) staining revealed high proliferative activities of SOX17-positive bile duct

epithelial cells in the distal region of the developing gallbladder/bile duct primordium at 13.5 and later stages (Fig. 3E,F; supplementary material Fig. S4).

Hypoplasia and deciduation of gallbladder epithelia lead to bile duct stenosis and atresia in the *Sox17**/- B6 embryos

Histological analysis clearly revealed that the phenotype of the gallbladder of $Sox17^{+/-}$ (B6) embryos was associated with the hypoplasia of the gallbladder epithelium, which can be detected as early as 15.5-16.5 dpc (Fig. 4A). In wild-type embryos, the gallbladder epithelium acquired a columnar architecture at 15.5 to 16.5 dpc, forming a pseudostratified columnar epithelium in the gallbladder by 17.5 dpc (Fig. 4A,B, left-hand panels). By contrast, Sox17^{+/-} gallbladder formed hypotrophic, single-layered cuboidal epithelium with no epithelial fold formation (Fig. 4A,B, right-hand panels). Morphometric analysis showed that the epithelial height in $Sox17^{+/-}$ gallbladder epithelium was reduced compared with wildtype littermates (25.8±1.0 µm in nine wild-type embryos versus 17.4 ± 0.6 µm in 14 $Sox17^{+/-}$ embryos at 17.5 dpc, P<0.01). Ultrastructural examination revealed the presence of a monolayer epithelium in the $Sox17^{+/-}$ gallbladder with an underdeveloped reticular lamina layer in the basal lamina lining the outer wall of the duct (Fig. 4B, lower insets). Although some epithelial cells were detached from the adjacent epithelial cells (Fig. 4A,B, arrows; also see Fig. 5A,B), most epithelial cells of the gallbladder showed no appreciable ultrastructural abnormalities based on transmission electron microscopy.

A comparison of the PCNA-positive indices in the gallbladder epithelial cells (i.e. bile duct cells at the largest diameter of the distal edge) showed that the gallbladder epithelia of wild-type embryos, which was high at 15.5 dpc, dropped significantly (P<0.01, Tukey test) by 16.5 dpc (Fig. 4C). In $Sox17^{+/-}$ gallbladder epithelia at 15.5 and 16.5 dpc, the PCNA-positive indices were significantly (P<0.01) reduced compared with the wild-type counterparts (Fig. 4C). Both Ki-67- and BrdU-labeling indices were also shown to be significantly reduced in $Sox17^{+/-}$ gallbladder epithelia at 15.5 dpc (supplementary material Fig. S5).

Interestingly, the gallbladder epithelial cells were occasionally detached from the gallbladder wall (Fig. 5A,B), and then accumulated inside the lumina of the cystic and extrahepatic ducts in the Sox17^{+/-} B6 embryos (Fig. 5A-E). Anti-HNF6 and DBA-lectin staining (the markers for bile duct epithelial cells) confirmed that these luminal decidual cells were positive for both HNF6 and DBA staining (Fig. 5A). Anti-E-cadherin staining revealed no appreciable defects in the $Sox17^{+/-}$ gallbladder epithelia (Fig. 5B). These luminal decidual cells clearly caused the stenosis and atresia in the cystic and extrahepatic ducts to varying degrees (Fig. 5C-E, arrowheads). Ultrastructural analysis revealed a close connection between bile duct epithelial cells and the luminal decidual cells via the apical surface (C1, C3 in Fig. 5C). However, necrotic cell death was observed in several decidual cells located in the center of the laminated epithelial plug (C2 in Fig. 5C). In cases of complete biliary atresia, DBA-positive materials filled the lumina of extrahepatic ducts (Fig. 5D,E, right-hand panels). Taken together, these findings suggest that the defective proliferation and maintenance of the Sox17+/- gallbladder/bile duct epithelia partially caused their detachment from the duct wall in the lumen, which secondarily leads to bile duct stenosis and atresia in the cystic and extrahepatic ducts.

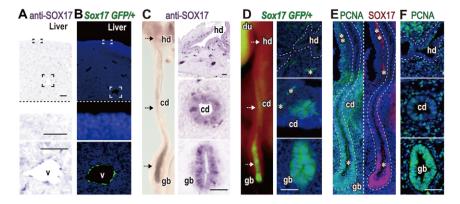


Fig. 3. SOX17 is highly expressed in proliferating gallbladder epithelia, but not in hepatocytes during the late-organogenic stages. (**A-F**) Anti-SOX17 (purple in A and C, red fluorescence in E) and anti-PCNA (green fluorescence in E and F) immunostaining of wild-type embryos and GFP fluorescence images of *Sox17*^{GFP/+} embryos (green fluorescence in B and D) at 13.5 dpc (E) and 16.5 dpc (A-D,F) showing SOX17 expression sites in developing liver (A,B) and gallbladder/bile duct (C-F). In A and B, the two lower insets indicate higher-magnified images of the surface (upper) and proximal (lower) regions of the liver lobules surrounded by the broken rectangles in the top panels, respectively. In C and D, left panels show SOX17 expression in whole-mount images of the gallbladder/bile-duct, whereas right panels display the transverse section images corresponding to the plane of the dashed lines at the levels of extrahepatic duct, cystic duct and gallbladder. (E,F) Anti-SOX17 (red) and anti-PCNA (green) double-immunostaining of the sagittal section (E) and anti-PCNA staining of the transverse section (F) of the gallbladder/bile-duct (DAPI, blue). Asterisk indicates non-specific fluorescence. cd, cystic duct; hd, extrahepatic duct; gb, gallbladder; v, blood vessel. Scale bars: 50 μm.

In contrast to the abnormal anatomy of gallbladder and extrahepatic bile ducts, SOX9-positive intrahepatic bile ducts were formed properly around the portal vein of the proximal region of the liver lobules even in livers with lesions (Fig. 6A). A fluorescent ink-tracer experiment did not reveal any defects in intrahepatic biliary trees at 17.0 dpc (3/3 Sox17^{+/-} embryos; Fig. 6B).

As for notch/nodal signaling (Bamford et al., 2000; Kohsaka et al., 2002; Flynn et al., 2004), no significant changes in the expression levels of *Jag1*, *Jag2*, *Notch1*, *Notch2*, *Hes1* and *Nodal* in the gallbladder/bile ducts primordium were detected between wild-type and *Sox17*^{+/-} embryos (supplementary material Fig. S6). As for the left-right laterality and cilia formation [which were speculated to be closely associated with congenital biliary atresia in humans (Nakanuma et al., 2010; Chu et al., 2012)], no appreciable defects in both laterality (data not shown) and cilia formation in gallbladder/bile ducts (supplementary material Fig. S7) were observed in *Sox17*^{+/-} embryos at 15.5-16.5 dpc. The

frequencies of the primary cilia in the *Sox17*^{+/-} gallbladder epithelia appear to be increased, rather than reduced, compared with those in wild type (supplementary material Fig. S7D).

Defective elongation and shredding of epithelial cells in *Sox17**/- bile duct epithelia in the organ culture using gallbladder primordium

To study the cellular events leading to defects in the *Sox17*^{+/-} gallbladder/bile duct epithelium, we cultured gallbladder primordia of 13.5 dpc *Sox17*^{+/-} and wild-type embryos, and then analyzed the elongation and morphogenesis of the duct structure (Fig. 7A,B). During *in vitro* culture, the wild-type gallbladder primordium elongated in a distal-proximal manner to form the tubular structure [39/45 explants (6/45: no outgrowth); Fig. 7B, left-hand panels]. A considerable regionalized distribution of PCNA-positive epithelial cells was found in the distal region of elongated wild-type gallbladder explants (11/11 explants; white arrow indicates proximal

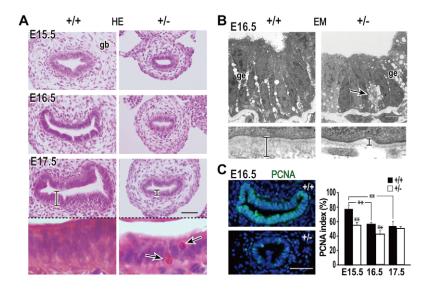


Fig. 4. Hypoplasia of Sox17+/- gallbladder epithelium during late-organogenic stages. (A,B) Light (A; HE staining) and electron (B) microscopic images of gallbladder epithelia (transverse sections at the levels of maximum diameter), showing hypoplasia of Sox17+/gallbladders at 15.5 to 17.5 dpc. Gallbladder epithelial cells showed defective maturation (vertical bars indicate epithelial cell height in A and basal lamina thickness in B) in Sox17+/- embryos. Arrows in A and B indicate presumptive decidual cells within the gallbladder epithelia. (**C**) Anti-PCNA immunofluorescence images (left panels) and quantitative data (right graph) showing significant reductions in the PCNA-positive index (**P<0.01 at 15.5 and 16.5 dpc) in $Sox17^{+/-}$ gallbladder epithelia (n=10embryos in each bar). Error bars represent s.e.m. gb, gallbladder; ge, gallbladder epithelial cells. Scale bars: 50 μm in A,C; 5 μm in B.

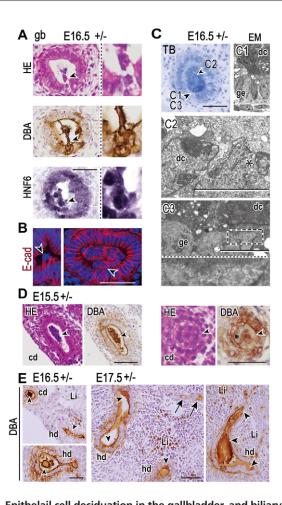


Fig. 5. Epithelail cell deciduation in the gallbladder, and biliary obstruction in the cystic duct and extrahepatic duct regions of Sox17+/- embryos. (A-E) Light (HE, DBA-lectin, anti-HNF6 and anti-Ecadherin (E-cad) staining) and electron microscopic images showing epithelial cell deciduation in the gallbladder (A-C) and luminal sloughed cells/cell debris in the cystic and extrahepatic ducts (arrowheads in D,E). Sloughed epithelial cells are positive for DBA lectin staining and anti-HNF6/E-cad immunostaining (arrowheads in A,B). In the gallbladder/cystic duct regions, luminal decidual cells can occupy the luminal space (right panel in B; and C). In the center of the obstruction region, the decidual cells undergo necrosis (asterisk in C2), whereas several outer decidual cells are tightly connected to the luminal cell surface of the bile duct epithelia (C3). In the cystic duct and extrahepatic duct regions at 15.5-17.5 dpc, the decidual cells are frequently found in the lumen (arrowheads in D and left panels of E), resulting in biliary atresia in the extrahepatic ducts (arrowheads in two right panels of E; the arrows indicate intrahepatic bile ducts). cd, cystic duct; dc, decidual cells in the lumen; gb, gallbladder; hd, extrahepatic duct; Li, liver parenchyma. Scale bars: 50 µm (except for 5 µm in C2,C3).

side in Fig. 7C). By contrast, $Sox17^{+/-}$ gallbladder primordium formed a balloon-like cystic structure and lacked directional elongation [33/39 explants (6/39: no outgrowth); Fig. 7B, right-hand panels; supplementary material Fig. S8]. There was also no regionalization of PCNA-positive cells in the balloon-like structure formed in the $Sox17^{+/-}$ explants (11/11 explants; Fig. 7C). In 4/14 $Sox17^{+/-}$ explants, cellular debris was found inside the lumen of the balloon-like cyst (Fig. 7C, white arrowheads), and the neighboring epithelial lining displayed patchy laminin (Fig. 7C, white dashed arrow). The wild-type gallbladder explants showed a well-developed

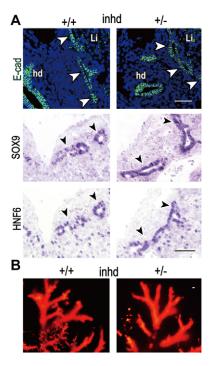


Fig. 6. No appreciable defects in the formation and branching of intrahepatic biliary trees in fetal $Sox17^{+/-}$ livers. (A,B) Anti-E-cad (green fluorescence), SOX9 and HNF6 (purple) immunostaining (A) and a tracer experiment by injecting fluorescent ink into the gallbladder under high pressure (red fluorescence; B) revealed proper formation of the intrahepatic duct tree (arrowheads), even in $Sox17^{+/-}$ livers with gross-anatomical hepatic lesions at 17.0 dpc. hd, extrahepatic duct; inhd, intrahepatic bile duct; Li, liver parenchyma. Scale bars: 50 μ m.

pseudostratified columnar epithelium with the epithelial folds (Fig. 7D, left-hand panels), whereas $Sox17^{+/-}$ gallbladder epithelium was hypotrophic and remained as a monolayer of low cuboidal cell (epithelial height= $30.0\pm1.5~\mu m$ in seven wild-type explants versus $10.4\pm1.1~\mu m$ in six $Sox17^{+/-}$ explants, P<0.01; Fig. 7D). Although expression of E-cadherin and ZO-1 was unaffected, integrin $\beta1$ [a cell adhesion receptor to the extracellular matrix (ECM)] was reduced in $Sox17^{+/-}$ gallbladder explants compared with wild-type explants (Fig. 7E). Taken together, these data suggest that reduced Sox17 activity in gallbladder epithelium results in the loss of regionalized cell proliferation, the defective maturation of epithelial structure and the failure to form tubular structures in a tissue-autonomous manner. These explants display a phenocopy of the hypoplasia and deciduation of bile duct epithelia of $Sox17^{+/-}$ B6 embryos.

DISCUSSION

After transient expression of *Sox17* in the definitive endoderm in the early stages (Kanai-Azuma et al., 2002), *Sox17* expression becomes re-upregulated in the most-posterior and lateral domains of the ventral foregut endoderm during the 9- to 10-somite stages, in which the gallbladder/bile duct primordium is initially specified (Uemura et al., 2010). A complete loss of SOX17 activity leads to the failure of gallbladder formation and changes in the molecular phenotype (loss of DBA and HNF6 expression) of bile duct cells (Spence et al., 2009; Uemura et al., 2010), indicating a crucial role of SOX17 activity in gallbladder/bile duct specification during the

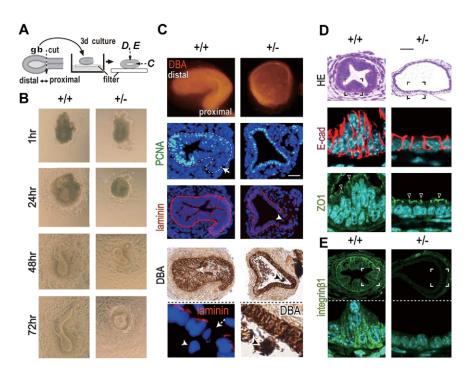


Fig. 7. Reduced elongation, non-polarized proliferation, and shredding of epithelial cells of *Sox17**/- **gallbladder primordium** *in vitro*. (**A,B**) Schematic (A) and phase-contrast images of the timecourse (B) of a 3-day organ culture of gallbladder primordium initiated at 13.5 dpc. The dashed arrows show the sectioning planes corresponding to C, D and E. (**C-E**) DBA-stained whole-mount images (horizontal view; C); anti-PCNA-, anti-laminin-, and DBA-stained section images (horizontal section; C), HE- and anti-E-cad/anti-ZO1-stained images (transverse sections; D); and anti-integrin β1-stained images (transverse sections; E) of $Sox17^{+/-}$ and wild-type explants initiated at 13.5 dpc (3-day culture). The wild-type gallbladder explants showed polarized elongation of the ductural structure (B), polarized distribution of PCNA-positive epithelial cells along the distal-proximal axis (white unbroken arrow: PCNA-negative proximal region in C), and epithelial fold formation (D) in the developing gallbladder primordium *in vitro*. By contrast, $Sox17^{+/-}$ explants displayed a non-polarized balloon-like structure surrounded by a single thin epithelial cell layer (B-E), non-polarized distribution of PCNA-positive epithelial cells (C), a partial lack of anti-lamimin signals with the decidual epithelial cells (dashed arrow and filled arrowhead in C), and reduced anti-integrin β1 signals at the basal surface of the epithelial cells (E). The bottom panel in C show the $Sox17^{+/-}$ decidual epithelial cells indicated by arrowheads in the upper panels. Scale bar: 50 μm.

initial phase of ventral foregut morphogenesis. In this study, we extended the investigation to a more advanced stage of organogenesis of the gallbladder and bile duct in $Sox17^{+/-}$ mutant on a B6 background and showed that SOX17 is required for the proper morphogenesis and maintenance of gallbladder and bile duct epithelia during fetal and perinatal life.

Genetic background has been shown to influence phenotype and survival of mutants in a variety of mouse disease models (Doetschman, 1999). The $Sox17^{+/-}$ pups in the N4-N5 generation on a B6 background rapidly reduced the survival rate, which appeared to be accompanied by increased ratios of embryonic hepatitis (Table 1; supplementary material Fig. S1A). As any direct descendant of $Sox17^{+/-}$ pups was obtained from the $Sox17^{+/-}$ Ng males (data not shown), the lethal phenotype in $Sox17^{+/-}$ mutants might be affected by multiple (two or more) modifier genes (including polymorphic repetitive sequences involved in their expression levels) in the B6 background.

SOX17 is expressed in the actively proliferating epithelial cells in the distal region of the gallbladder primordium to sustain the growth of the ductal organ. Reduced Sox17 activity is closely associated with reduced proliferation and luminal deciduation of the gallbladder epithelial cells, resulting in bile duct atresia and stenosis and secondarily induced embryonic hepatitis. Although the similarities in these phenotypes of the $Sox17^{+/-}$ mouse model with the etiopathogenesis of human biliary atresia remain unclear, the cell-autonomous injury of the bile duct epithelia seen in the

Sox17^{+/-} gallbladder/bile duct primordium is widely accepted as one of the direct causes of the congenital biliary atresia in humans (Nakamura et al., 2010). This Sox17 heterozygous mutant on a B6 background therefore provides a clinically relevant experimental model for congenital biliary atresia and embryonic hepatitis elicited secondarily by malformations of extrahepatic bile duct.

It is well known that SOXF factors (including SOX17) are involved in the expression of the fibronectin 1 (Fn1) (Shirai et al., 2005) and laminin, alpha 1 (Lamal) (Niimi et al., 2004) genes encoding constituents of the basement membrane. Moreover, previous studies have demonstrated that SOX17 functions as a transcriptional regulator in the basal lamina formation of the extra-embryonic endoderm (Shimoda et al., 2007) and parietal endoderm (Artus et al., 2011). The present histological observations revealed poorly developed reticular lamina of the basement membrane and reduced expression of integrin β 1 signals in the $Sox17^{+/-}$ gallbladder epithelia (Fig. 4B; Fig. 7C,E). However, our culture experiments using the Sox17^{+/-} gallbladder explants embedded in Matrigel (basement membrane matrix) revealed that exogenous supply of basement membrane matrix could rescue neither defective epithelial maturation (i.e. epithelial fold formation) nor polarized cell proliferation in Sox17^{+/-} gallbladder explants, showing the balloon-like cystic structure with a single columnar epithelial layer in almost of the explants embedded in Matrigel (see supplementary material Fig. S9). Recently, SOX17 was also shown to repress the expression of *Rhou*, a Cdc42-related atypical Rho GTPase gene, which is a novel factor

that plays a role in maintaining epithelial architecture in the foregut endoderm in mouse early-somite-stage embryos (Loebel et al., 2011). These findings raise the possibility that cell-autonomous defects in Rho signaling and cell adhesion with the ECM might cause luminal deciduation of the gallbladder epithelial cells into the bile duct lumen in $Sox17^{+/-}$ embryos.

In infants and children with biliary atresia, 10~20% of the fetal/embryonic type demonstrate left-right laterality defects, and some patients with the mutation in PKHD1, encoding the ciliary protein fibrocystin, are closely correlated with a ciliopathy with clinical features that resemble biliary atresia (Nakamura et al., 2010; Hartley et al., 2011). Moreover, cilia structure and distribution within bile ducts were previously shown to be affected in the specimens of human biliary atresia: shorter, abnormal orientation, and less abundant cilia in the biliary atresia specimens (Chu et al., 2012). These findings raise a possible association of the reduced Sox17 activity in the gallbladder primordium with the ciliopathy associated with congenital biliary atresia. However, the $Sox17^{+/-}$ embryos and surviving adults did not show any defects in left-right laterality (data not shown), despite the defective establishment of left-right asymmetry in Sox17 null-embryos at early-somite stages (Viotti et al., 2012; Saund et al., 2012). Moreover, in the Sox17^{+/-} gallbladder primordia, cilia formation in the gallbladder/bile duct region appears not to be affected, and the relative number of primary cilia on the apical surface appears to be increased, rather than decreased, in the $Sox17^{+/-}$ gallbladder epithelia (supplementary material Fig. S7). This is also consistent with no defects in cilia structure/function in the node of Sox17-null embryos (Saund et al., 2012). However, further studies are needed to understand cilia function and downstream signals in the gallbladder/bile duct system and their direct association with the biliary atresia in both mouse models and humans.

Based on the present histopathological and RNA analyses of $Sox17^{+/-}$ livers, it is likely that bile duct stenosis and atresia of the decidual gallbladder epithelia are closely associated with bile acid cholestasis in the intrahepatic region, resulting in hepatic ER stress and subsequent onset of embryonic hepatitis (see Fig. 2) (Bernstein et al., 1999). Interestingly, the peripheral hepatocytes were most sensitive to the embryonic hepatitis in the $Sox17^{+/-}$ livers (Fig. 2C; supplementary material Fig. S3). This may be associated with the recent study showing that the morphogenesis and proliferation of the hepatocytes occur more actively in the peripheral region than in the central region of each liver lobule in the late-organogenic-stage embryos (Onitsuka et al., 2010). It is also possible that hepatocytes in the periphery might respond first in an inflammatory response through the vasculatures, because blood flows from the periphery toward the center of each liver lobule.

Our immunohistochemical analysis revealed that Sox17 was expressed in the vascular endothelial and hematopoietic cell lineages in livers, but not in fetal hepatocytes (Fig. 3A,B). Moreover, we could not detect any defects in the vascular endothelial and hematopoietic cells in fetal Sox17^{+/-} livers (see supplementary material Figs S10, S11), consistent with previous reports based on co-expression patterns and redundant functions of SOX17 with SOX7 and SOX18 in these two cell lineages (Matsui et al., 2006; Sakamoto et al., 2007; Cermenati et al., 2008; Hosking et al., 2009; François et al., 2010; Serrano et al., 2010; He et al., 2011). Because no appreciable defects in early differentiation of the hepatocyte lineage could be detected in Sox17-null foregut endoderm (Kanai-Azuma et al., 2002; Uemura et al., 2010), these data suggest that extrahepatic duct atresia of these decidual gallbladder epithelia contributes at least partially to hepatocyte ER stress and subsequent acute onset of hepatitis in a tissue-non-autonomous fashion.

However, we cannot completely rule out a potential contribution of tissue-autonomous SOX17 activity in certain hepatic multipotent stem/progenitor cells that only weakly or transiently express SOX17 in a developmental-stage-dependent manner (Cardinale et al., 2011; Okada et al., 2012; Pfister et al., 2011).

In the model we propose, SOX17 maintains the epithelial architecture of the gallbladder/cystic duct system through their progenitor cells located in the distal region of the gallbladder epithelia. The SOX17-positive gallbladder/cystic-duct progenitor cells proliferate and consequently contribute to the epithelial architecture of the gallbladder and cystic duct system during lateorganogenic stages. During the polarized proliferation and elongation processes of the gallbladder and cystic duct along the proximodistal axis, some Sox17^{+/-} epithelial cells have certain defects in the maturation of epithelial characteristics, becoming detached from the epithelial walls into the lumen (i.e. injured bile duct epithelial wall), which consequently causes congenital biliary atresia in extrahepatic ducts of Sox17^{+/-} B6 embryos. It is likely that, just after the first biliary excretion into the fetal duodenum (16.5 dpc), such congenital biliary atresia by luminal epithelia deciduation induces cholestasis with elevated levels of both intrahepatic bile acids and hepatic ER stress, subsequently leading to acute hepatic inflammation around the perinatal stage of the $Sox17^{+/-}$ embryos. In $Sox17^{+/-}$ embryos, the positive response to the cholestasis might lead to ectopic formation of extrahepatic ducts in the cystic ducts. It is also possible that aberrant ingression of Sox17+/- gallbladder epithelia (i.e. their detachment into the lamina propria through the broken basal lamina) partially contributes to ectopic formation of the extrahepatic duct in the cystic duct region in these mutants. Therefore, our data provide a novel mechanism for the morphogenesis and maturation of gallbladder epithelium based on the gene dosage-dependent function of Sox17 and reveal important insights into the pathogenesis of congenital biliary atresia in mammals.

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Competing interests statement

The authors declare no competing financial interests.

Supplementary material

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