

Retinal horizontal cells: challenging paradigms of neural development and cancer biology

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A group of retinal interneurons known as horizontal cells has recently been shown to exhibit a variety of unique biological properties, as compared with other nerve cells, that challenge many long-standing assumptions in the fields of neural development and cancer biology. These features include their unusual migratory behavior, their unique morphological plasticity, and their propensity to divide at a relatively late stage during development. Here, we review these novel features, discuss their relevance for other cell types, outline open questions in our understanding of horizontal cell development and consider their implications.

Introduction

The retina, a highly specialized extension of the brain, plays host to the first stages of visual perception. Visual stimuli from the world around us are projected upon the retina, converted into neural signals and transmitted to higher visual centers of the brain via the optic nerve. Despite this complex physiological function, the retina, which is composed of only seven cell types and resides as a thin neuronal sheet in the back of eye, is a deceptively simple structure (Box 1). By studying how the retina develops, we can gain a more thorough understanding of its mature architecture and circuitry and of how these underlie the mechanisms of visual perception, but also come to appreciate the defects in this developmental program that arise as a consequence of genetic mutations that lead to retinal disease.

More generally, the retina can be thought of as a ‘stripped down’ version of the brain, and for this reason it is often utilized as a model system to elucidate fundamental questions regarding the central nervous system (CNS) at large. In terms of neurodevelopment, the retina is a particularly attractive model. Developmental mechanisms that result in a highly specialized and intricately organized neuronal structure composed of precise numbers and ratios of cell types, such as the retina, are very likely to be conserved in other neural contexts. The elucidation of the developmental pathways that function in the retina therefore holds much promise for ultimately understanding some of the mysteries of the brain. Furthermore, owing to it being a non-essential tissue, the retina is an ideal system in which to perform genetic loss-of-function studies, which facilitates a decoding of the genomic control of neurodevelopment. In this review, we focus specifically on new and exciting results pertaining to the development of one type of retinal neuron, the horizontal cell.

Retinal horizontal cells (HCs), which were initially characterized by Cajal in 1893 (Cajal, 1893), are, together with amacrine cells, retinal interneurons that lie within the inner nuclear layer (INL) (Fig. 1). HCs function in modulating signaling between photoreceptors and bipolar cells (Box 1). HCs, like all other retinal cell types, are

derived from a common retinal progenitor cell population (Turner et al., 1990; Wetts and Fraser, 1988). According to the generally accepted view, these retinal progenitor cells translocate to the future outer limiting membrane (OLM) of the developing retina (the ventricular surface), where they undergo mitosis and subsequently exit the cell cycle as specific, committed retinal progenitor cells. This is followed by their migration to the appropriate retinal stratum and by the initiation of a differentiation program that results in a morphologically and functionally specific cell type [for a review of these various developmental processes, see Sernagor et al. (Sernagor et al., 2006)]. Recent studies of HCs, however, have uncovered new levels of complexity in HC precursor migration, mitosis and differentiation that challenge this linear developmental scheme (Fig. 2). Upon exiting the cell cycle, mouse and chick HCs do not migrate directly to their final resting place, but rather bypass their prospective layer before they change trajectory and return to the future HC stratum, which lies adjacent to the outer plexiform layer (OPL). Furthermore, HCs do not appear to require apical-basal connections during radial migration, but rather migrate freely. These characteristics of migratory HCs may utilize mechanisms that are conserved in other freely migrating neurons, such as cortical interneurons (Nadarajah et al., 2002). Another interesting feature of HCs is their remarkable morphological plasticity, which is evident in the dramatic changes in cell polarity and dendritic morphology that take place when these cells are mispositioned or when their neighbor relationships are altered. Even more intriguingly, in chicken and zebrafish retinas, committed HC progenitors undergo mitosis at a relatively late stage in retinal development to give rise exclusively to HCs. This observation may indicate a generally utilized mechanism of neuronal layer formation, possibly employed elsewhere in the CNS, whereby mitosis is confined to a particular laminar position and facilitates the rapid and efficient connection of synaptic partners. Finally, new data that indicate that fully differentiated mouse HCs have the ability to give rise to metastatic retinoblastoma challenge widely held assumptions about the origins of tumor cells as being derived from progenitor/stem-like cells. This finding should prompt a reassessment of certain therapies aimed at inducing tumor cell differentiation as a means to halt tumor progression. In this review, we discuss each of these discoveries in turn, outline some as yet unanswered questions regarding their significance and consider their relevance to CNS development in general.

Transcriptional control of HC fate determination and differentiation

As indicated by retroviral lineage-tracing studies (Turner and Cepko, 1987), all seven retinal cell types are derived from a common, multipotent retinal progenitor cell (RPC). It is now well established that the process of retinal cell fate determination is, to a large extent, guided by combinatorial transcription factor activity such that RPCs exist in various states of ‘competency’ that change over time (Cepko et al., 1996). These transcription factors probably regulate the

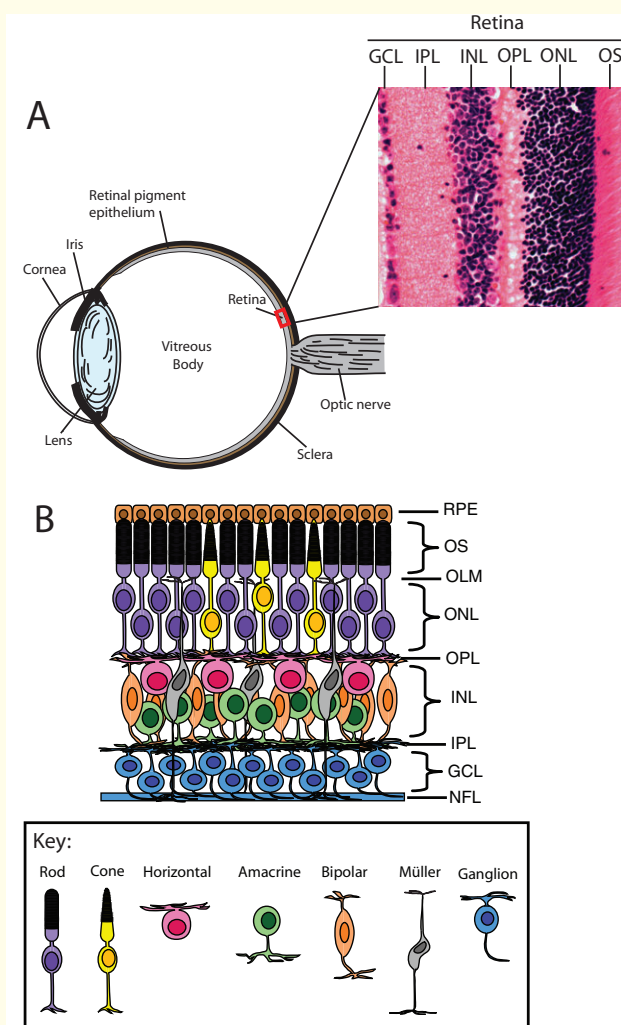
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Box 1. Retinal architecture

In contrast to the thousands of neuronal cell types in the mature brain, the retina is composed of only six neuronal cell types and one glial cell type, which are derived from a common progenitor cell population and precisely organized into a discrete laminar structure at the back of the eye (**A,B**). The light-sensing photoreceptors (PR), the rods and cones, are localized to the outer nuclear layer (ONL), the outermost region of the neural retina adjacent to the retinal pigment epithelium (RPE). Rods are highly sensitive PRs that are essential for vision under scotopic conditions (i.e. in low light), whereas cones are responsible for vision under photopic conditions (i.e. in bright light) and underlie our visual acuity and color vision. They form specialized apical extensions at the outer limiting membrane (OLM) of the retina, the outer segments (OS), which contain the light-sensitive photopigments and the visual transduction machinery that enable the PRs to generate a neural signal in response to light. This signal is then transferred to retinal neurons residing within the inner nuclear layer (INL). Bipolar cells transmit this signal radially from the outer retina to the ganglion cell layer (GCL). Signaling from the PRs to the bipolar cells is modulated within the outer plexiform layer (OPL) by inhibitory interneurons positioned within the INL, the horizontal cells. Within the inner retina, the bipolar cells make synapses with the amacrine cells and the ganglion cells. There is a variety of different amacrine cell types, each modulating retinal function through unique circuitry and signaling mechanisms within the inner plexiform layer (IPL). Visual information converges upon the dendrites of different retinal ganglion cell types and is transmitted to the visual centers in the brain via their axons, which initially course beneath the inner surface of the retina in the nerve fiber layer (NFL), collecting at the optic nerve head to form the optic nerve. The primary glial cell population of the retina, the Müller glia, extend across the entire thickness of the retina and provide essential structural and functional support to the neuronal population. For illustrative purposes, a schematic of the human eye is shown in **A**, but the Hematoxylin and Eosin staining to the right illustrates the cytoarchitecture of an adult mouse retina.



expression of cell surface receptors or components of signal transduction cascades, which enables the cell to respond to extrinsic cues that further refine fate decisions and differentiation (Belliveau and Cepko, 1999; Cepko et al., 1996; Hatakeyama and Kageyama, 2004).

Over the past several years, much has been learned regarding the identity and function of transcription factors that specify mouse retinal cell fates, including HCs, and many excellent reviews of these data currently exist (reviewed by Cayouette et al., 2006; Cook, 2003; Livesey and Cepko, 2001; Ohsawa and Kageyama, 2008). Here, we briefly discuss data relating to HC specification, as many of the unique properties of HCs that are discussed in this review are probably the consequence of genetic pathways that are downstream of these factors.

HCs and amacrine cells share molecular mechanisms that are important for their determination and differentiation, and a fairly well-defined fate determination pathway has emerged (Fig. 3). The expression of the neural bHLH transcription factors neurogenic differentiation 1 (*NeuroD*; *Neurod1* – Mouse Genome Informatics) and mouse atonal homolog 3 (*Math3*; *Neurod4*) in embryonic RPCs coincides with the birth of amacrine cells and HCs. In *NeuroD/Math3* double-knockout mice, amacrine cell numbers are severely reduced, and there is a corresponding increase in retinal

ganglion cells (Hatakeyama et al., 2001; Inoue et al., 2002). Oddly, the misexpression of *NeuroD* or of *Math3* alone does not result in an increase in amacrine cell production, but rather in the induction of photoreceptor development (Hatakeyama and Kageyama, 2004; Inoue et al., 2002). These data suggest that although *NeuroD* and *Math3* are required for RPCs to adopt an amacrine cell fate, other factors must also play a role. Indeed, the homeodomain transcription factor paired box gene 6 (*Pax6*) has been shown to be such a factor. When *Pax6* is misexpressed with either *NeuroD* or *Math3*, this results in the exclusive production of amacrine cells (Hatakeyama and Kageyama, 2004; Inoue et al., 2002). These data indicate that the combinatorial activity of bHLH and homeodomain transcription factors is required for amacrine cell fate specification. By contrast, when *Pax6* is misexpressed along with *Math3*, a greater percentage of HCs (~14% increase) as compared with amacrine cells (~7% increase) is produced, which suggests that *Math3* promotes HC fate over amacrine cell fate and that *NeuroD* and *Math3* are not functionally equivalent (Inoue et al., 2002).

Recently, three additional transcription factors have been discovered to be important for amacrine cell and HC specification. The winged helix/forkhead transcription factor forkhead box N4 (*Foxn4*) was shown to be essential for the generation of both cell types. *Foxn4*-null mice exhibit a complete loss of HCs and a severe

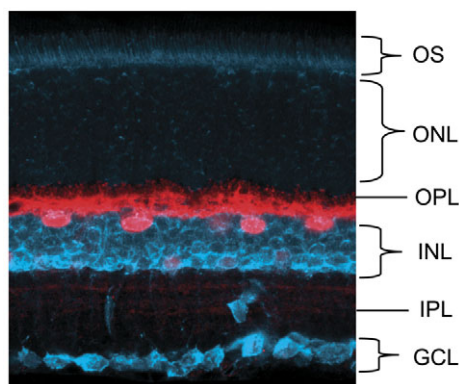


Fig. 1. Horizontal cells in the retina. An adult mouse retina, with horizontal cells (HCs) labeled with an antibody against calbindin (red). This marker labels both the HC somata and their lateral processes within the outer plexiform layer. Neurotrace (blue) identifies all cells within the retina, thereby revealing its laminar cytoarchitecture. GCL, ganglion cell layer; IPL, inner plexiform layer; INL, inner nuclear layer; OPL, outer plexiform layer; ONL, outer nuclear layer; OS, outer segment.

reduction in amacrine cells (Li et al., 2004). *Math3* and *NeuroD* expression is downregulated in these mutants, which indicates that they are downstream of *Foxn4*. However, it is important to note that their expression was not completely abolished, which points to a *Foxn4*-independent mechanism that functions in a subset of cells as also regulating *Math3* and *NeuroD* expression. It is possible that this residual expression of *Math3* and *NeuroD* might explain why the loss of amacrine cells is incomplete in *Foxn4*-null mice.

Another transcription factor essential for amacrine and HC development is the bHLH factor pancreas specific transcription factor 1a (*Ptf1a*). *Ptf1a*-null mice have a phenotype that is almost identical to that of *Foxn4*-null mice and in which all HCs and most amacrine cells are missing (Fujitani et al., 2006). Importantly, *Foxn4* is expressed prior to *Ptf1a*, and *Foxn4* expression is properly initiated in the *Ptf1a*-null mice, whereas *Ptf1a* expression is lost in *Foxn4*-null mice (Fujitani et al., 2006; Li et al., 2004). This indicates that *Ptf1a* is probably downstream of *Foxn4* in regulating amacrine and HC fate determination. However, *Math3* and *NeuroD* are not downregulated in the *Ptf1a* mutants. Based on this result, it would appear that *Math3* and *NeuroD* are downstream of *Foxn4* but upstream of (and possibly regulate) *Ptf1a*. This interpretation is, however, likely to be invalid, despite the finding that *Ptf1a* mutant mice exhibit a loss of HCs (Fujitani et al., 2006), as HC development is unperturbed in *Math3/NeuroD* mutants (Inoue et al., 2002). Rather, it seems likely that *Ptf1a* and *Math3/NeuroD* function in parallel pathways, both of which are downstream of *Foxn4*. The further analysis of *Math3/NeuroD* mutant mice might clarify this issue.

The homeodomain transcription factor prospero-related homeobox 1 (*Prox1*) lies even further downstream in the *Foxn4-Ptf1a* pathway, as indicated by its lack of expression in both *Foxn4*- and *Ptf1a*-null retinas (Fujitani et al., 2006; Li et al., 2004). *Prox1*-null mice display a complete loss of HCs, whereas the amacrine cell population appears unaffected (Dyer et al., 2003). Furthermore, the forced expression of *Prox1* results in the production of HCs (Dyer et al., 2003). Thus, it appears that whereas the *Foxn4* pathway confers upon RPCs the ability to differentiate into an amacrine cell or HC, *Prox1* expression biases a subset of these cells to solely adopt an HC fate.

The above-mentioned studies are interesting in light of *Pax6* conditional knockout experiments, which show that *Pax6* confers the multipotent nature of RPCs. *Pax6* has, however, been shown to be dispensable for amacrine cell development, as these mice show a striking phenotype in which amacrine cells are generated exclusively. In *Pax6* conditional knockout mice, *NeuroD* expression persists. This does not necessarily explain why amacrine cells are still generated in these mutants, as *NeuroD* was shown to require co-expression with *Pax6* in order to generate amacrine cells (Inoue et al., 2002; Marquardt et al., 2001). A possible explanation is that sine oculis-related homeobox 3 (*Six3*), another homeodomain transcription factor expressed in RPCs, might exhibit partial functional redundancy with *Pax6* and cooperate with *NeuroD* in generating amacrine cells. Consistent with this idea, *Six3* expression is unaltered in the *Pax6* conditional mutants, and forced co-expression of *Six3* and *NeuroD* leads to the generation of amacrine cells (Inoue et al., 2002; Marquardt et al., 2001).

Upon fate determination, amacrine cell and HC precursors subsequently migrate, differentiate and acquire their appropriate morphologies, while each expressing a cell type-specific repertoire of genes that is essential for their function in visual perception. The control of amacrine cell differentiation is a striking example of this specificity, as up to 26 morphologically distinct types of amacrine cell reside in the mouse retina (MacNeil and Masland, 1998). Presumably, each of these activates a unique terminal differentiation program that is under some level of transcriptional control. Very few transcription factors, however, have been identified that participate in the creation of this diversity. In the case of mouse amacrine cells, the Bar-class homeodomain transcription factor *Barhl2* was shown to be expressed in post-mitotic amacrine cells and to specify glycinergic, GABAergic and cholinergic amacrine cells (Ding et al., 2009; Mo et al., 2004), whereas the bHLH transcription factor *Bhlhb5* (*Bhlhe22*) was shown to be required for the specification of GABAergic amacrine cells (Feng et al., 2006). Additionally, the LIM-homeodomain transcription factor *Isl1* (*Isl1*) has been implicated in cholinergic amacrine cell differentiation (Elshatory et al., 2007).

In the mouse retina, there is only one identified HC type, an axon-bearing HC, although most other vertebrate retinas possess two or three types of HCs (Peichl and Gonzalez-Soriano, 1994). Notably, the axons of such axon-bearing HCs do not transmit neural signals; rather, the axon provides metabolic support for a functionally independent 'axon terminal system', which, in the mouse, subserves lateral inhibition between the rod photoreceptors. The HC dendritic arbor fulfils the same function exclusively for the cone photoreceptors. In the chick retina, the HC population is composed of three cell types (H1, H2 and H3), each characterized by a distinct morphology (Genis-Galvez et al., 1979). The H1, or 'brush-shaped', HC type is axon bearing, whereas the H2 'stellate' and H3 'candelabrum-shaped' HC types are without axons. A recent report (Suga et al., 2009) provides data that indicate that the transcription factor *Isl1* drives the differentiation of the H2 cell type. When *Isl1* is exogenously expressed in H1 cells, which normally express LIM homeobox protein 1 (*Lim1*; *Lhx1*), *Lim1* appears to be downregulated, and a larger proportion of cells with the H2 morphology are observed at the expense of H1 cells. The expression of a dominant-negative form of *Lim1* did not grossly affect subtype specification, but H1 axons increased in length and were straighter than those of control H1 cells. Taken together, these data suggest that combinatorial transcriptional programs indeed function in regulating later aspects of HC development, including subtype specification and morphogenesis.

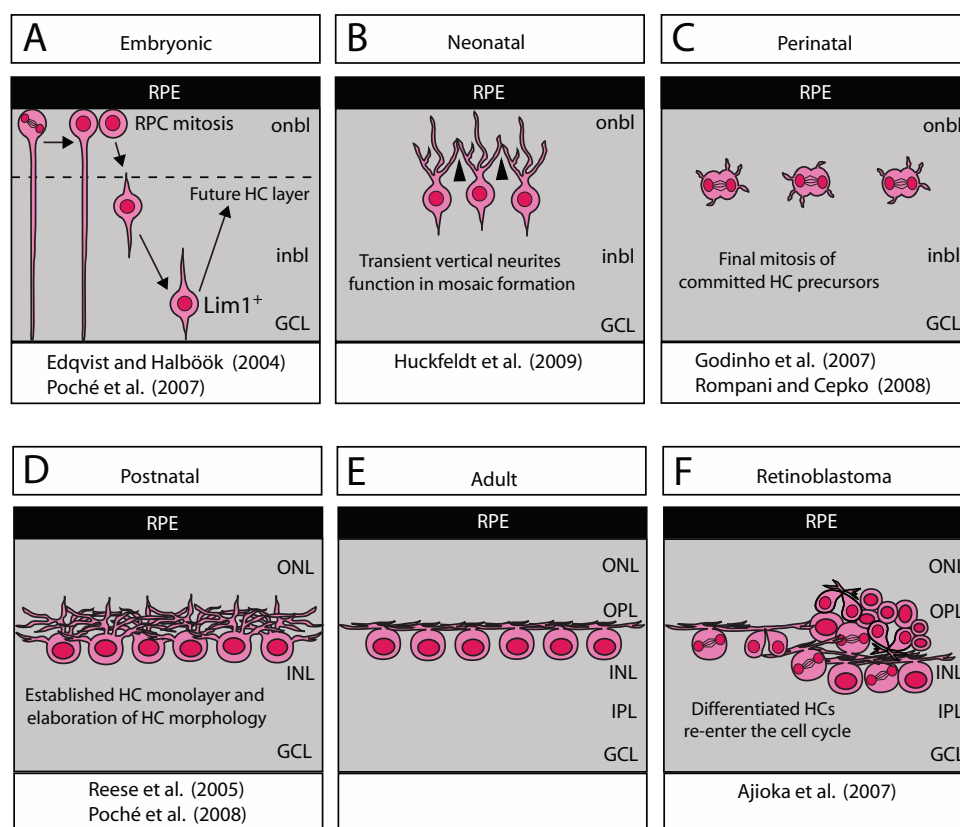


Fig. 2. Unusual features of HCs. (A) During embryonic development, retinal progenitor cells (RPCs) undergo mitosis near the outer limiting membrane and subsequently migrate to their appropriate retinal layer. HC precursors do not migrate directly to the prospective HC layer, but rather bypass this layer and migrate basally to the ganglion cell layer (GCL) before changing direction to migrate apically towards the HC layer. This second phase of HC migration has been shown to depend on *Lim1*. (B) Upon the completion of HC migration, the HCs are organized in a non-random spatial arrangement (mosaic) within the outer retina. HC spacing has been shown to be regulated by homotypic repulsive interactions mediated by transient, apically directed neurites (arrowheads). (C) Zebrafish and chick retinæ contain committed progenitor cells that divide to produce only HCs, and in the zebrafish do so within the HC stratum. (D,E) Subsequent to cell cycle exit, homotypic interactions restrain dendritic overlap, as these processes stratify and form synaptic contacts with their afferents in the outer plexiform layer (OPL). (F) In a mouse model of retinoblastoma, fully differentiated HCs re-enter the cell cycle and give rise to aggressively metastatic tumors. ONL, outer nuclear layer; INL, inner nuclear layer; IPL, inner plexiform layer; onbl, outer neuroblastic layer; inbl, inner neuroblastic layer; RPE, retinal pigment epithelium.

Following this overview of the transcriptional control of amacrine cell and HC specification, we are now in a position to review the new and intriguing studies that have uncovered certain unusual properties of HC development that are potentially conserved in other parts of the CNS. These aspects of HC development might themselves prove to be downstream of the transcription factors that confer HC fate determination.

HCs exhibit a novel mode of neuronal migration

Upon exit from the cell cycle, a differentiating HC must migrate from the site of its final mitosis to become positioned within the future HC stratum. It was previously thought that, subsequent to cell cycle exit, retinal neurons migrate directly to their appropriate laminar position. Given the early birth of retinal HCs and the relatively underdeveloped neural retina at these early stages, this targeted positioning of newly born HCs hardly seemed remarkable. The study of developing chicken HCs, however, has revealed that these cells actually bypass the prospective HC stratum and continue to migrate towards the ganglion cell layer, where they coalesce before translocating back to their final location adjacent to the OPL (Edqvist and Hallbook, 2004) (Fig.

2A). Subsequent studies have shown that mouse HCs behave similarly, and furthermore that the transcription factor *Lim1* is crucial for the translocation back to the HC stratum. It had been shown previously that migrating HCs are immunopositive for *Lim1* (Liu et al., 2000), implicating *Lim1* in this process. By employing a retinal-specific knockout approach in mice, it was shown that upon loss of *Lim1* function, mutant HCs remain positioned within the innermost parts of the INL, adjacent to the inner plexiform layer (IPL) (Poché et al., 2007). These mispositioned *Lim1*-mutant cells express molecular markers that are consistent with an HC identity, which argues against a change in cell fate. Rather, these data indicate that *Lim1* regulates target genes that are required for HC migration.

A major obstacle to a full understanding of the developmental genetic program of HC migration is the fact that currently, no downstream targets of *Lim1* are known. In the future, it will be necessary to perform gene profiling experiments on wild-type and *Lim1*-null HCs with the goal of identifying genes that are controlled either directly or indirectly by *Lim1* and that function in HC migration. Nevertheless, retina-wide gene profiling experiments have provided several promising HC-specific candidate genes. By

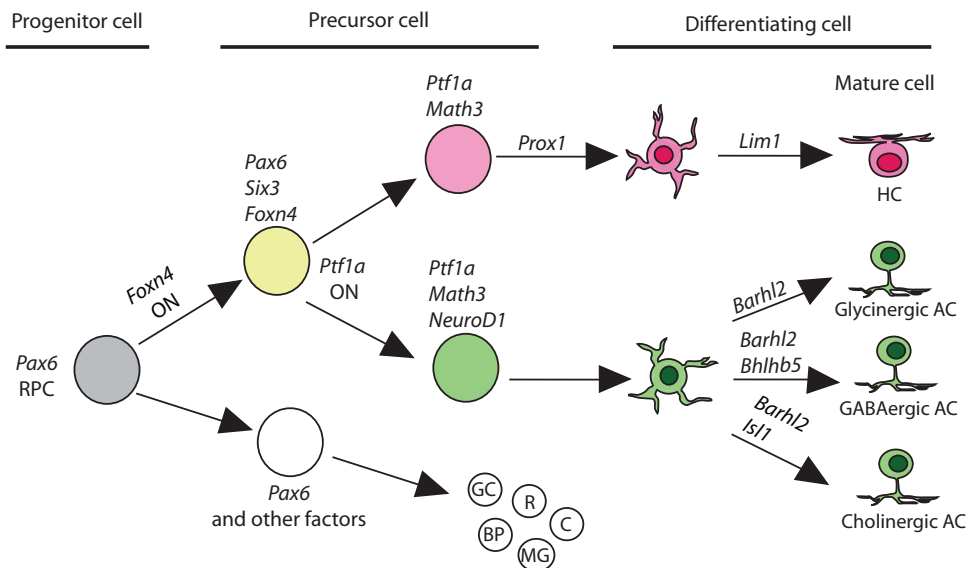


Fig. 3. Transcriptional control of HC and amacrine cell development. The transcription factor Foxn4 lies at the top of the genetic hierarchy that regulates HC and amacrine cell fate determination in mice and probably also in other vertebrates. Downstream of Foxn4, the transcription factor Ptf1a reinforces the decision towards either amacrine or HC fate. The transcription factor Prox1 steers a subset of Ptf1a⁺ cells towards the HC fate, whereas transcription factors Math3 and NeuroD1 direct a separate cohort towards the amacrine cell fate. Further downstream in the amacrine cell developmental pathway, the transcription factors Barhl2, Bhlhb5 and Isl1 specify subtypes of amacrine cell. See text for details on the other factors. HC, horizontal cell; AC, amacrine cell; BP, bipolar cell; MG, Müller glial cell; GC, ganglion cell; R, rod photoreceptor; C, cone photoreceptor; RPC, retinal progenitor cell.

performing a serial analysis of gene expression (SAGE), in which retinal cDNAs expressed at multiple time points were partially sequenced and identified, and mRNA in situ hybridization, it was revealed that septin 4 (*Sept4*) is specifically expressed in developing and mature mouse HCs (Blackshaw et al., 2004). The septins are a family of polymerizing GTP-binding proteins that interact with the actin and microtubule cytoskeleton and have been implicated in various cellular processes, including cytoskeletal and cell membrane dynamics, exocytosis, cytokinesis and vesicle transport (reviewed by Spiliotis and Nelson, 2006; Weirich et al., 2008). Interestingly, the bi-directional migration of chicken HCs was found to be an at least partially actin-dependent event (Edqvist and Hallbook, 2004). Although speculative, these data indicate that *Lim1* might regulate septin gene expression or certain aspects of septin function. The same retinal SAGE analysis uncovered a second HC-specific gene called binder of Rho GTPase 4 (*Borg4*; *Cdc42ep4*) (Blackshaw et al., 2004). Borgs are a family of Cdc42 GTPase effector proteins that can bind septins, thereby altering septin filament organization within the cell (Joberty et al., 2001; Sheffield et al., 2003). Thus, HCs might employ a cellular mechanism whereby borg-septin interactions regulate certain aspects of HC cytoskeletal dynamics. Whether such a mechanism functions downstream of *Lim1* in HC migration remains to be determined. Septin loss-of-function studies could be informative in this regard, but are hindered by a large degree of functional redundancy among septin family members (Peng et al., 2002; Kinoshita, 2008). Additionally, the cellular phenotype of *Lim1*-null HCs is not yet fully defined. The live imaging of fluorescently labeled HCs within acutely isolated retinal tissue might provide the level of detail necessary to gain insight into the cellular manifestation of *Lim1* loss-of-function mutations, which might ultimately be correlated to the loss of activity of candidate *Lim1*-regulated genes.

It is not yet clear whether other retinal neuron populations undergo bi-directional migration, and it will be fascinating to determine how many other neuronal populations of the CNS migrate in this fashion. Interestingly, interneuron migration in the developing cerebral cortex (Nadarajah et al., 2002; Noctor et al., 2004), as well as the nucleogenesis of precerebellar neurons (Kawauchi et al., 2006), have been shown to exhibit heterogeneity in their migratory pattern, with neurons migrating towards the ventricular surface before changing trajectory toward their appropriate layer. Whether the above-mentioned modes of neuronal migration have anything in common with HC migration remains to be elucidated; however, it is interesting to note that *Lim1* is also expressed in various locations throughout the developing CNS (R.A.P., unpublished). Once we gain a further understanding of the role of *Lim1* in HC migration, an analogous role for *Lim1* in the regulation of neuronal positioning elsewhere within the CNS may be uncovered.

It will also be useful to determine whether other Lhx family members are expressed in migratory retinal neurons. From our studies on the role of *Lim1* in HC laminar positioning, one can speculate that other Lhx family members, expressed in various retinal cell types, might also regulate cell type-specific retinal neuron migration or positioning. Indeed, *Lhx2*, *Lhx3*, *Lhx4* and *Lhx9* have been reported to be expressed within the adult retina (Cheng et al., 2005; Zhang et al., 2006), whereas *Lhx4* is expressed in differentiating bipolar cells (Blackshaw et al., 2004). Although bipolar cells do not undergo free migration, but rather translocate their nuclei through a radial process before differentiating their dendritic and axonal arbors from an immature neuroepithelial morphology (Morgan et al., 2006), *Lhx4* could still play a role in this transformation. Detailed temporal and spatial expression profiles and loss-of-function analyses for these Lhx family members should shed light on this issue.

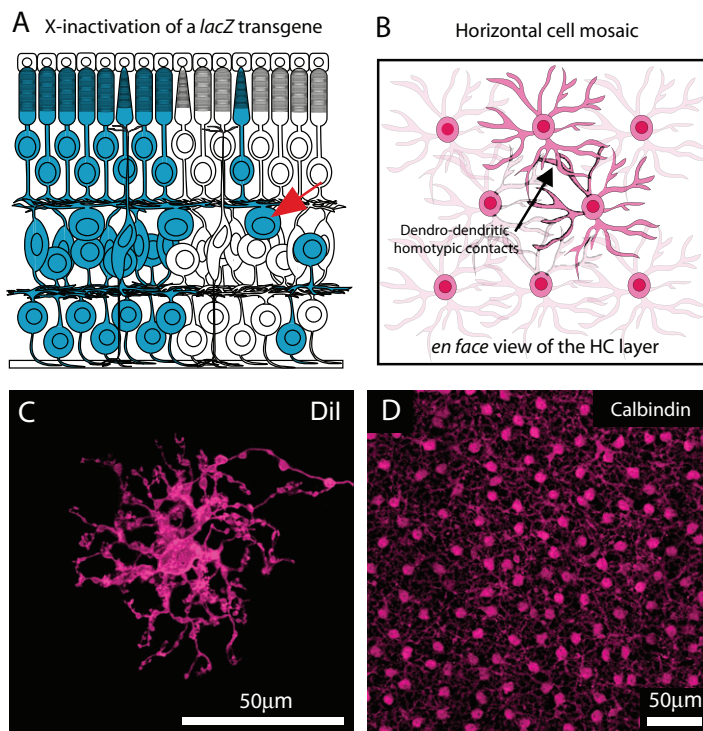


Fig. 4. HCs are non-randomly distributed. (A) The tangential dispersion of immature HCs, as observed in X-inactivation *lacZ*-mosaic mice, was proposed to be the means by which HCs (arrow) and other regularly spaced cell types establish regularity in their patterned distributions. Cells in blue are all derived from the same retinal progenitor cell, revealing the dispersion of particular types of cell from their clonal column of origin. This process is thought to be driven by homotypic interactions between neighboring cells. (B) Viewed from the surface, the HC somata exhibit regular spacing within their stratum in the mature retina. Their dendrites overlap one another (arrow), so that a single cone photoreceptor innervates multiple HCs. (C) A single HC labeled with Dil (within a retinal flat-mount) illustrates HC dendritic morphology. (D) A retinal flat-mount labeled with an antibody against calbindin, which highlights the HC somata and processes and reveals the non-random distribution of these cells across the retina.

Striking plasticity in HC morphology

In addition to the discovery that mouse HCs migrate bi-directionally, the *Lim1* conditional mutants provide evidence that mature HC morphology is highly plastic and susceptible to local environmental cues. In the absence of *Lim1* function, mouse HCs that fail to migrate back to the OPL (and hence remain in the inner portion of the INL) stratify within the IPL (Poché et al., 2007). Importantly, these cells express the *Lim1-lacZ* knockin allele, which is an HC-specific marker. Thus, it was suggested that the fate of *Lim1*-null cells is properly specified as HCs, but that they exhibit a morphology similar to that of amacrine cells. This aberrant morphological differentiation might be caused by local environmental cues within the inner parts of the retina. Alternatively, these results could implicate *Lim1* in additionally regulating an intrinsic program that directs HC morphogenesis. In either case, the post-mitotic HC appears relatively plastic with respect to its polarity and dendritic differentiation, consistent with other recent studies that demonstrate that the dendritic patterning of the HC is itself immensely plastic and instructed by afferent innervation (Raven et al., 2007).

HCs employ a novel method of mosaic formation

Sensory neurons of the same cell type are often organized in a non-random manner, otherwise known as a mosaic, with their cell bodies spaced regularly. Such regular distributions of nerve cells are seen in both invertebrates and vertebrates and are particularly well studied within the vertebrate retina, including the HCs (Gan and Macagno, 1995; Grueber et al., 2003; Jan and Jan, 2003; Reese, 2008b), but exactly how such cellular patterning becomes established is debated (Reese, 2008a). Earlier lineage-tracing studies that examined the progeny of single RPCs (Fekete et al., 1994; Holt et al., 1988; Turner and Cepko, 1987; Turner et al., 1990; Wetts and Fraser, 1988) as well as studies that examined the distribution of clonally related cells in *Mus musculus*/*Mus caroli* inter-specific chimeras (Williams and Goldowitz, 1992a; Williams and Goldowitz, 1992b), have shown that single RPCs must be

multipotent and capable of producing all retinal cell types. Most of these clonally related cells were aligned as radial columns of cells, indicating that nearly all of them migrate radially to reach their appropriate laminar positions within the retina. A few RPCs, however, have been observed to be tangentially dispersed from their clonal columns of origin and are restricted to the fates of the earlier-generated minority cell types (cones, HCs, some types of amacrine cells and retinal ganglion cells). The full significance of this tangential dispersion became apparent only later, through the analysis of mosaic transgenic mice bearing an X-linked *lacZ* reporter gene (Fig. 4A) (Reese et al., 1995; Reese et al., 1999). Using this approach, in which 50% of each cell type is labeled as a consequence of X-inactivation, it was possible to estimate the frequency of the occurrence of tangential dispersion for any given cell type. Rather than this being a rare or ectopic event, tangential dispersion was found to be a universal feature for particular types of cells. Furthermore, by examining the emergence of this dispersion in such X-inactivation mosaic mice, these minority cell types were observed to disperse around the time of their differentiation rather than dispersion being linked to the earlier birth of these cells. This indicates that the dispersion is not simply a passive consequence of the later genesis of the majority cell types, as though these later-born rods, bipolar cells and Müller glia cells simply pushed the earlier-born cells out of their clonal columns (Reese et al., 1995; Reese et al., 1999). Rather, these results suggest that some active cellular interaction between neighboring cells drives this tangential dispersion, which led to the proposal that tangential dispersion is driven by homotypic interactions between differentiating cells, and that this is one of the mechanisms by which regularity in retinal mosaics is established during development (Reese and Galli-Resta, 2002).

The suggestion that such homotypic interactions might be mediated via dendritic interactions during differentiation is supported by a study in which the cytoskeleton of developing HCs was destabilized by molecularly or pharmacologically interfering

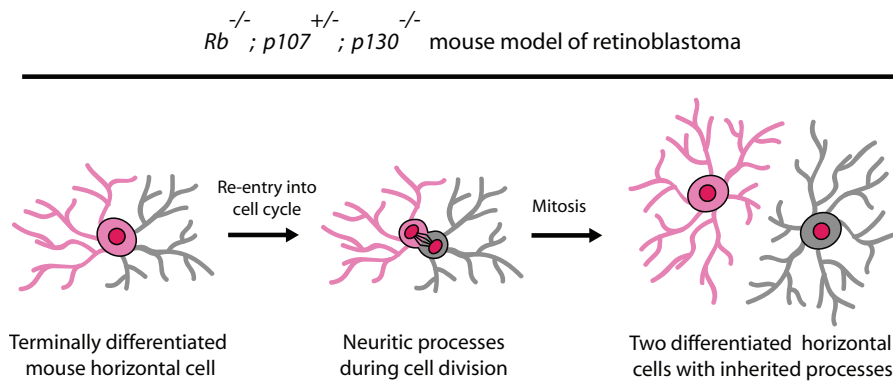


Fig. 5. Differentiated HCs can divide and give rise to retinoblastoma. HCs from $Rb^{-/-}; p107^{+/-}; p130^{-/-}$ mice (referred to as $p107$ -single mice because they carry one normal $p107$ allele) have been shown to undergo mitosis while in a fully differentiated state whereby HC processes exhibited by the mitotic cell were inherited by the daughter cell.

with microtubule-binding proteins such as Tau (Mapt) or Map2 (Mtap2) (Galli-Resta et al., 2002). In these retinæ, regular cellular spacing is disrupted when the interference occurs during a critical developmental window during which mosaic regularity is known to increase (Raven et al., 2005a). Furthermore, recent studies that have analyzed the HC mosaic in different strains of mice with a 2-fold difference in total HC number, or in mice with a genetically engineered reduction in normal HC number, have shown that the dendritic area of HCs varies inversely with HC density (Reese et al., 2005; Poché et al., 2008). From these data, it was concluded that mouse HCs employ homotypic cues to restrict dendritic growth, but how such dendritic interactions might mediate intercellular spacing remained unclear. One other unresolved question stemmed from the fact that mature mouse HC dendritic arbors exhibit extensive overlap with neighboring cells (Fig. 4B-D) (Reese et al., 2005). Thus, it remained difficult to envisage how such homotypic signaling might be propagated through dendro-dendritic contacts, assuming that they interact with one another at the tips of their dendrites. Recent studies on dopaminergic amacrine cells have suggested a role for the Down syndrome cell adhesion molecule (Dscam) in regulating dendritic self-avoidance (Fuerst et al., 2008). Whether Dscam or a related molecule might also play a role in regulating dendritic self-avoidance in HCs remains to be seen, but it is difficult to envisage it playing a role in homotypic repulsion between neighboring HCs, given their extensive dendritic overlap: the dendritic fields of five to seven neighboring HCs overlie one another on the retinal surface. This degree of retinal 'coverage' is approximated when the dendritic radius approaches the average intercellular spacing. Rather, it suggests that active dendritic extension at the tips could be constrained by contact with the neighboring somata of homotypic cells, but what might mediate this signaling remains to be established.

Very recently, an elegant study that employed multi-photon time-lapse imaging of embryonic and early postnatal retinæ has brought a new level of insight into HC mosaic formation and has resolved some of these issues (Huckfeldt et al., 2009). The authors prepared flat-mounts of acutely isolated retinæ from a transgenic mouse line in which HCs are labeled with green fluorescent protein (GFP) driven by the *Gad67* (*Gad1*) promoter (Chattopadhyaya et al., 2004). This transgene allows for high-resolution imaging of HC processes throughout development, and, therefore, discrete morphological changes become readily apparent. Upon analyzing late embryonic retinæ, before HCs have completed their migration to the OPL, one or two apical and basal processes were observed that extended from the somata of GFP⁺ HCs as they migrated radially towards their prospective layer. Also, tangential HC movements, in which somata squeezed through thick, lateral neurites, were seen

near the level of the eventual HC layer, confirming the interpretation of previous results from clonal analyses that proposed that discrete cells located outside of their clonal columns must have moved tangentially (see also Cook and Chalupa, 2000).

At early postnatal stages, as the HCs settle into their prospective stratum, the vertical neurites were observed to become more numerous and branched, while also exhibiting dynamic extension and retraction every one to two hours (Huckfeldt et al., 2009). Unexpectedly, these radially oriented neurites were confined to distinct columnar territories, and neurites from adjacent cells appeared to be in close physical contact, but displayed minimal overlap (Huckfeldt et al., 2009). These non-overlapping neuritic domains also coincided with an increase in intercellular spacing between neighboring HCs, suggesting that transient vertical neurites, rather than lateral dendro-dendritic contacts, participate in the homotypic repulsive interactions that drive mosaic formation (Fig. 2B). To test this idea directly, HCs were targeted for ablation with an infrared laser during the period when the HC mosaic is known to increase in regularity and packing efficiency (Raven et al., 2005b), and the behavior of adjacent HCs was monitored in live retinal explants. As predicted, HCs that surround the ablated region were found to extend vertical neurites apically and laterally into the space once occupied by the ablated HCs. Although this resulted in HC bodies also moving towards the ablated region, none was observed as far into the ablated field as their dendrites, but longer imaging sessions might uncover such repopulation. Nevertheless, this study has provided the first direct observations that link the morphological development of a retinal cell type with the emergence of a patterned distribution upon the retina.

One important, although as yet unexplored, implication of the above-mentioned study is that the vertical neurites might mediate homotypic interactions between HCs as early as embryonic day 17 (E17), before these cells have migrated back to their future stratum, which raises the possibility that the *Lim1*-dependent migration to the HC stratum might be coupled to mosaic formation. For instance, the same apically directed processes that are important for cell spacing and mosaic formation might simultaneously function in targeting the HC apically towards its appropriate layer.

It will be interesting to determine whether other CNS cell types employ a cellular mechanism of mosaic formation that is similar to that of the HCs. Even though the free, radial migration (i.e. during which the migrating cells lack any contact with either the apical or basal surface) observed during mouse HC migration is similar to that of cortical interneurons, it is not clear whether the molecular mechanisms controlling these processes are conserved (Nadarajah et al., 2002; Nadarajah et al., 2001). The short, lateral neuronal movement observed in mouse HCs is more reminiscent of mouse

cortical neurons that undergo nucleokinesis, in which the nucleus moves through a dilated neurite that extends laterally (Bellion et al., 2005; Schaar and McConnell, 2005; Solecki et al., 2004), but whether any type of cortical neuron spaces itself out through homotypic interactions to achieve a quasi-regular distribution remains to be seen. Indeed, the determinants of neuronal positioning within the brain constitute a largely neglected field of inquiry, although tools have recently become available for addressing such questions of patterning and regularity in three dimensions (Eglen et al., 2008).

In the following sections, we move away from HC migration and mosaic formation and address recent exciting findings regarding the control of HC proliferation as it relates to retinal cancer as well as to normal development.

HCs have a propensity for cell cycle re-entry

A revised view of retinoblastoma

Retinoblastoma is a rare but devastating childhood cancer of the retina that has also served as a research model and has facilitated major advances in our understanding of tumorigenesis (reviewed by Burkhart and Sage, 2008; van den Heuvel and Dyson, 2008). Based on its ability to prevent excessive cell growth by inhibiting cell cycle progression until a cell is ready to divide, retinoblastoma 1 (*Rb1*) was the first gene to be identified as a tumor suppressor. Along with its related family members, *p107* (*Rbl1*) and *p130* (*Rbl2*), *Rb1* regulates the transition of cells from G1 into the S phase of the cell cycle (Cobrinik et al., 1993; Ewen et al., 1991; Friend et al., 1986; Hannon et al., 1993; Li et al., 1993). It is now well established that the Rb proteins function to negatively regulate the E2F family of transcription factors, which activate genes that are essential for entry into the cell cycle (van den Heuvel and Dyson, 2008). Today, the Rb family members are generally considered to be transcriptional co-factors and have been shown to have other, non-tumor suppressor roles, such as the regulation of cellular differentiation and apoptosis (reviewed by Khidr and Chen, 2006; Skapek et al., 2006). This property of the Rb family is due to the ability to bind to numerous transcription factors to either promote or repress their activity (Macaluso et al., 2006; Morris and Dyson, 2001).

Despite the extensive literature on Rb as it relates to tumorigenesis, a retinoblastoma 'cell of origin' (a cell that sustains an initiating genetic defect and goes on to give rise to a focal, clonally derived tumor) was only recently identified in a specific mouse model of retinoblastoma as being the differentiated, post-mitotic HC (Ajioka et al., 2007). These data directly challenge the widely held belief in the field of developmental biology that passage through the cell cycle is incompatible with a fully differentiated status (Skapek et al., 2001; Yang et al., 2001). The above-mentioned study was aimed at clarifying the complex functional redundancy that is exhibited by Rb family members during retinal development and tumorigenesis (Chen et al., 2004; Donovan et al., 2006; MacPherson et al., 2004; Zhang et al., 2004). Tumors from *Chx10-Cre^{+/tg}; Rb^{flax/flax}; p130^{-/-}; p107^{+/-}* (hereafter 'p107-single') mice, which have a single functional copy of *p107*, were thoroughly characterized (Ajioka et al., 2007). Immunofluorescence and real-time RT-PCR analyses of the adult mutant retinae revealed that their HCs exhibit a 50-fold expansion, even though they are localized to the outer INL (Fig. 2F). Importantly, this increase in HC number did not arise from a significant increase in the number of embryonic HC precursors. Rather, such HC tumors were derived from fully mature HCs that re-entered the cell cycle. Transmission electron microscopy (TEM) on adult p107-single retinal tumors revealed that the proliferating HCs, identified by the presence

of condensed chromosomes, exhibit several differentiated hallmarks of mature HCs, including the presence of synaptic triads with photoreceptors and bipolar cells. These data indicate, for the first time, that a fully differentiated neuron with dendritic and axonal processes and their associated synaptic connections can re-enter the cell cycle and divide (Fig. 5). These data were corroborated by directly imaging HC mitosis in p107-single retinal explant cultures. Additionally, single cells were injected with neurobiotin. If the injected cell is electrically coupled to neighboring cells, as is the case for mouse HCs, the neurobiotin passes into these cells and fills their processes. Indeed, the neurobiotin tracer was transported to neighboring HCs, which indicates that the mitotic (injected) cells were coupled to other nearby HCs via gap junctions. These data provide further, functional evidence for the HC identity of the mitotic cells. Finally, the p107-single mice eventually developed an extremely metastatic bi-lateral retinoblastoma.

The study by Ajioka and colleagues is the first to show that a fully differentiated neuron can re-enter the cell cycle while maintaining its differentiation status and that it can give rise to an aggressive, metastatic cancer (Ajioka et al., 2007). It remains to be determined whether other neuronal cell types, under certain circumstances, can undergo mitosis while in a differentiated state. What makes the finding by Ajioka and colleagues so remarkable is that decades of tumor cell biology have indicated that differentiation and proliferation are incompatible, so that when differentiated cells are forced to re-enter the cell cycle, apoptosis often results. Furthermore, when tumors acquire certain properties of differentiated cells, they tend to be less aggressive. For example, the transcription factor Gata4 was recently shown to induce breast tumor differentiation, which leads to a repression of metastasis *in vivo* (Kouros-Mehr et al., 2008). Studies like these have triggered the development of cancer therapies aimed at inducing tumor cell differentiation. In light of the finding that fully differentiated HCs expand clonally, treatments to induce tumor differentiation might not be appropriate for certain tumor types, such as those originating from HCs. These data also challenge the notion that tumors necessarily develop from cancer stem cells, given that no proliferating progenitors were observed.

An important question regarding HC expansion in retinoblastoma is whether these findings translate to human patients. This is not an easy question to answer, as a recapitulation of the p107-single genetic background in humans has not been observed, and the identification of the tumor cell of origin is technically very difficult. Nevertheless, the RNAi knockdown of *RB1* and the inactivation of the p53 pathway in human fetal retinal explants have revealed that proliferating neurons express the HC marker calbindin (Laurie et al., 2006). Another perplexing issue is the reason why differentiated HCs are so susceptible to tumorigenesis. Several recent papers that examine HC division during normal development might offer some insight into this issue.

A revised view of neuronal layer formation

A recent *in vivo* imaging study within the developing zebrafish retina has revealed that HCs undergo mitosis following their arrival within the HC stratum (Godinho et al., 2007). These data contradict the idea that functional neuronal layers form by the migration of neurons to their final layer only after they have undergone terminal mitosis (reviewed by Kriegstein et al., 2006). The HC precursors in transit toward the OPL were found to express markers of both undifferentiated and differentiated HCs. Once localized adjacent to the OPL, these cells divided once to produce a daughter HC. Although the authors make no claim that the HC precursors were

derived from RPCs prior to migrating to the future HC layer, this would be expected on the basis of previous data (Turner et al., 1990). Consistent with this idea is the finding of this study that the migratory HC precursors, which ultimately divide in the INL, do not maintain apical-basal contacts during migration, as would be expected if the cells were mitotic progenitor cells. Thus, it appears that during zebrafish retinal development, a subset of progenitor cells undergo mitosis once near the OLM, and are specified as HCs. Upon migrating to the prospective HC layer (potentially by way of a bi-directional migratory trajectory comparable to that described in chicks and mice, as discussed above), the immature HC precursors undergo an additional, final mitosis that produces two daughter HCs (Fig. 2C). It is not yet clear whether mouse HCs undergo a similar developmental process. The study by Huckfeldt and colleagues (Huckfeldt et al., 2009) did not detect mitosis within the HC layer. It is possible that there is a critical time window during which such mitoses take place that was not observed in this study. A second recent paper has reported data that are consistent with the existence of chick HC precursors that divide symmetrically, giving rise to pairs of HCs that are always of the same subtype (Rompani and Cepko, 2008). As mentioned above, the chick retina contains three different types of HCs: H1, H2 and H3. Rompani and Cepko found that only the H1 and H3 types appear as pairs following a final division. It is important to note that this study did not address whether immature chick HC precursors first migrate to the INL before undergoing such terminal mitoses. However, another recent study has confirmed the existence of committed chick HC precursors and has shown that, while localized on the vitreal side of the retina near the ganglion cell layer (GCL), these cells undergo cell cycle arrest in G2 phase for up to two days. Following this period, the cells undergo a final mitosis and continue migration to the prospective HC layer (Boije et al., 2009). Again, such observations are yet to be reported for the mouse retina. Nevertheless, a vertebrate developmental program that involves HC precursor G2 arrest and subsequent terminal mitosis is extremely interesting, especially in the context of HCs being the retinoblastoma cell of origin (Ajioka et al., 2007). Perhaps HCs have an intrinsic propensity for cell cycle re-entry and, in the case of retinoblastoma, this intrinsic propensity is exploited as the HCs proliferate uncontrollably. Further research is needed to address whether *Rb* plays a role in HC development by regulating the terminal mitoses of committed HC precursors. An HC-specific knockout of *Rb* (and related family members) would be most informative.

Why do zebrafish and chick have dedicated horizontal precursors that undergo mitosis at locations removed from the ventricular surface, the site where all other mitotic divisions are believed to occur within the developing retina? One possibility is that such HC mitosis promotes a more efficient laminar coverage of the retina within these species. Should it occur within the mouse retina, however, this explanation would then appear to be at odds with the fact that different strains of mice have HC populations that exhibit conspicuous variations in their total number, and that in the presence of such natural variation (Reese et al., 2005) or following genetic manipulations in mice that yield reduced densities of HCs (Poché et al., 2008), the dendritic fields of HCs modulate their field areas to maintain a constant dendritic coverage. Indeed, this plastic feature of the HC – not witnessed within what is probably the best-studied retinal amacrine cell type with extensive laterally oriented processes, the starburst (cholinergic) amacrine cell (Farajian et al., 2004; Keeley et al., 2007) – is assumed to ensure efficient laminar coverage across the retina. As mentioned above, the dendritic patterning of the HCs is also notably plastic, being sculpted by the

distribution of afferents within the OPL (Raven et al., 2007). These cells are also notorious for their plasticity in maturity, sprouting lengthy subretinal processes in retinal detachment (Lewis et al., 1998) and in retinitis pigmentosa (Fariss et al., 2000). These facts do not, of course, rule out the possibility that the mouse retina will also be shown to exhibit such inner retinal divisions of HC precursors, or that the modulation of these particular divisions is primarily responsible for the aforementioned variation in total HC number within different strains of mice (Raven et al., 2005b). They simply indicate that the immense dendritic plasticity of this cell type would appear to be sufficient for ensuring uniformity in dendritic coverage across the retinal surface.

Conclusions

Recent studies of retinal HCs have uncovered several surprising characteristics that challenge long-standing ideas in the field of developmental biology. Specifically, HCs migrate in a complex bi-directional manner before arriving at their appropriate layer, rather than exhibiting unidirectional movement to a targeted destination, which is the standard mode by which most neuronal cell types are believed to migrate radially. Live mouse HC imaging has also uncovered new aspects of neuronal mosaic formation that might be applicable to other neuronal types within the CNS. Mouse HCs also illustrate the fact that terminally differentiated neurons have the ability to re-enter the cell cycle and to give rise to clonal tumors, which is contrary to prevailing views within the field of tumor biology. Finally, zebrafish HC precursors have been shown to undergo symmetrical divisions followed by rapid tangential dispersion within the presumptive HC layer, potentially increasing laminar coverage as the retina expands. This finding is somewhat analogous to what has been observed in the cortical sub-ventricular zone, in which neural progenitor cells were observed to divide symmetrically (Noctor et al., 2004), and might ultimately be shown to occur elsewhere in the CNS.

Much work remains in order to identify the specific molecular pathways and the cellular properties that confer upon the HC such extraordinary abilities and to understand their biological significance. Specifically, the genetic programs that underlie HC migration, mosaic formation and cell cycle re-entry are not well defined. Although *Lim1* has been implicated in regulating the positioning of HCs within the prospective HC layer and provides a valuable molecular entry point into the mechanism of HC migration, the primary phenotype of *Lim1* mutant cells and the downstream genetic alterations following *Lim1* deletion remain to be determined. With respect to neuronal mosaic formation, the molecular participants that mediate the homotypic interactions that might govern cell spacing and, later on, dendritic overlap are entirely unknown. Recently, mice with a mutation in *Dscam* were found to exhibit abnormal cellular clustering and hyperfasciculation in some subsets of retinal amacrine cells (Fuerst et al., 2008), suggesting a role for this cell surface molecule in mediating intercellular repulsion through homotypic contacts. Perhaps a similar molecular mechanism, which might act transiently during development, is responsible for the emerging regularity in the HC mosaic during early postnatal development. Finally, the reasons why HC precursors re-enter the cell cycle late in development, and why fully mature HCs give rise to retinoblastoma, remain a mystery. To tackle these questions, HC-specific gene profiling experiments, followed by loss-of-function studies, will be necessary, allowing us to gain further insight into these unusual properties of the HCs and to determine whether similar mechanisms are employed elsewhere in the CNS.

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