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Notch signaling coordinates the patterning of striatal compartments

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Summary

Numerous lines of evidence suggest that Notch signaling plays a pivotal role in controlling the production of neurons from progenitor cells. However, most experiments have relied on gain-of-function approaches because perturbation of Notch signaling results in death prior to the onset of neurogenesis. Here, we examine the requirement for Notch signaling in the development of the striatum through the analysis of different single and compound *Notch1* conditional and *Notch3* null mutants. We find that normal development of the striatum depends on the presence of appropriate Notch signals in progenitors during a critical window of embryonic development. Early removal of *Notch1* prior to neurogenesis alters early-born

patch neurons but not late-born matrix neurons in the striatum. We further show that the late-born striatal neurons in these mutants are spared as a result of functional compensation by *Notch3*. Notably, however, the removal of Notch signaling subsequent to cells leaving the germinal zone has no obvious effect on striatal organization and patterning. These results indicate that Notch signaling is required in neural progenitor cells to control cell fate in the striatum, but is dispensable during subsequent phases of neuronal migration and differentiation.

Key words: Notch1, Notch3, Striatum, Patch, Matrix, Neural progenitor

Introduction

Neural progenitor cells are responsible for producing the complex repertoire of diverse cell types that define the mature brain. Early during neurogenesis, the progenitor pool expands to generate additional neural progenitors through symmetric cell divisions. Once the progenitor pool has been established, neuronal production commences and sequential rounds of asymmetric cell divisions produce a postmitotic neuron as well as a progenitor cell. Neurogenesis ultimately draws to an end as neural progenitors undergo final symmetric divisions to give rise to postmitotic neurons, thus exhausting the progenitor cell population (Cai et al., 2002; Takahashi et al., 1996). Numerous studies have shown that the time at which a cell becomes postmitotic during forebrain development is a critical determinant of its ultimate cell fate (Frantz and McConnell, 1996; Livesey and Cepko, 2001; McConnell, 1995; McConnell and Kaznowski, 1991; van der Kooy and Fishell, 1987). Therefore, elucidating the signals that regulate the differentiation of progenitors within the developing brain is crucial to understanding how cell fate is regulated in the central nervous system (CNS).

The mature striatum is mosaically arranged into two distinct compartments, the patch (or striosome) compartment and the surrounding matrix. Patch and matrix neurons each display unique biochemical profiles and have different functional properties arising from separate corticostriatal and nigrostriatal afferent and efferent pathways (Gerfen, 1984; Gerfen, 1992; Ragsdale and Graybiel, 1990). Like the cerebral cortex

(Angevine and Sidman, 1961; Luskin and Shatz, 1985; Rakic, 1974), neurons in the striatal patches and matrix are generated in a precise developmental sequence, with the majority of patch neurons being produced prior to those in the matrix (van der Kooy and Fishell, 1987). The earliest born patch neurons reside in the ventrolateral-most region of the striatum, called the subcallosal streak (SCS) (Song and Harlan, 1994). Little is known about the signals that regulate populations of neural progenitor cells in the basal forebrain and their subsequent differentiation into early- and late-born cell types in the striatum (Halliday and Cepko, 1992).

Notch signaling has been proposed to be a key regulator of the orderly progression of cell types during forebrain development (Schuurmans and Guillemot, 2002). Both Notch receptors and their Delta-Serrate-Lag2 (DSL) ligands are the proliferative ventricular subventricular zones (VZ and SVZ, respectively) during neurogenesis (Lindsell et al., 1996). Gain-of-function studies have revealed that constitutive Notch signaling leads to cells remaining as progenitors (Henrique et al., 1997; Mizutani and Saito, 2005; Ohtsuka et al., 2001), whereas decreased Notch activity is correlated with a reduction in neural progenitors (Hitoshi et al., 2002; Yoon et al., 2004; Yoon and Gaiano, 2005) and increased neuronal differentiation (de la Pompa et al., 1997; Ishibashi et al., 1995). In addition, Notch signaling is thought to regulate glial versus neuronal identity (Furukawa et al., 2000; Gaiano et al., 2000; Morrison et al., 2000; Wang et al., 1998). Radial glia are stem cells in the nervous system (Anthony et al., 2004; Malatesta et al., 2000; Noctor et al., 2001; Noctor et al., 2004), and brain lipid-binding protein (BLBP), a marker of radial glia, has recently been shown to be a direct target of the Notch signaling pathway (Anthony et al., 2005). Although the majority of previous experiments have focused on the role of Notch activity in early developmental events, such as neurogenesis and cell fate determination, several studies have suggested that the Notch pathway may also play important roles in postmitotic neurons. In particular, in vitro experiments have implicated Notch signaling in regulating the growth of neurites (Berezovska et al., 1999; Franklin et al., 1999; Redmond et al., 2000; Sestan et al., 1999).

Because Notch1 null mutants die at embryonic day 9.5 (E9.5) (Conlon et al., 1995; Swiatek et al., 1994), a time prior to formation of the nervous system, it has been impossible to examine the role of Notch signaling in neurogenesis and in subsequent stages of neuronal maturation in vivo. Neural progenitor cells sequentially give rise to different types of neurons, from which it can be predicted that the loss of Notch signaling would result in the production of early cell fates at the expense of later-born cell types in the striatum, because the progenitor population would become prematurely depleted in the absence of Notch activity. However, at least one Notch receptor, Notch3, has been reported to antagonize Notch1 activity on the basis of gain-of-function experiments (Apelqvist et al., 1999; Beatus et al., 1999; Beatus et al., 2001). Notch3 null mutants are viable (Krebs et al., 2003) and display some defects in vasculogenesis (Domenga et al., 2004), but the function of *Notch3* in striatal progenitor cells is at present unclear. Moreover, the requirement for Notch signaling once cells exit the VZ is unknown. Both *Notch1* and *RBP-J\kappa* (an intracellular mediator of signaling through all Notch receptors) null mutants show signs of precocious neuronal differentiation, although RBP-Jk mutants display more severe defects than Notch1 null mutants, suggesting that another Notch family member may also play a role in forebrain neurogenesis (de la Pompa et al., 1997).

Like *Notch1*, *Notch3* is expressed by progenitor cells within the forebrain (Lindsell et al., 1996). To test the role of *Notch1* and *Notch3* receptors in regulating neurogenesis in the striatum, we have investigated the phenotypes occurring in single and compound *Notch1* conditional and *Notch3* null mutant animals. We used the Cre-LoxP system (Sauer and Henderson, 1988) and two different Cre-driver lines to produce two distinct conditional deletions of the *Notch1* receptor. In one case, *Notch1* is removed throughout the telencephalon from the beginning of neurogenesis onwards. In the second case, *Notch1* is deleted only after cells have exited the VZ in the ventral telencephalon. We have assessed striatal development in *Notch1* conditional; *Notch3* null double mutant mice in the context of both of these Cre-driver lines.

We show here that removing *Notch1* in the forebrain prior to neurogenesis preferentially affects early-born neurons in the striatum, whereas later born cell types are generated normally. In addition, we demonstrate that *Notch3* functionally compensates for the loss of *Notch1* in the nervous system and mediates the conservation of late-born neurons in *Notch1* conditional mutants. Notably, removal of *Notch1* and *Notch3* in cells after they have left the ventricular zone has no effect on striatal development. These experiments reveal that Notch

signaling is not required in postmitotic neurons for their migration or the subsequent patterning of the striatum.

Materials and methods

Mice and mouse embryos

Floxed *Notch1* mice were a gift of Freddy Radtke and were genotyped as previously described (Radtke et al., 1999). Mutant mouse embryos were obtained by crossing homozygous floxed *Notch1* mice with mice heterozygous for floxed *Notch1* and *Foxg1^{Cre/+}*. The generation of *Foxg1^{Cre/+}* mice was previously published (Hebert and McConnell, 2000) and *Foxg1^{Cre/+}* heterozygous mice were maintained on a Swiss Webster background. *Dlx5/6-Cre-IRES-EFGP* mice were previously described (Stenman et al., 2003). *Notch3* null mutant mice are viable and fertile and were maintained as homozygous nulls (Krebs et al., 2003). Conditional *Notch1*; *Notch3* double mutant mice were acquired by breeding double homozygous floxed *Notch1*; *Notch3* null mutant mice with mice heterozygous for floxed *Notch1* and *Foxg1^{Cre/+}* (or *Dlx5/6^{Cre}*) on a *Notch3* null mutant background. Two Cre recombination reporter lines were used, ROSA26 floxed stop *lacZ* (Soriano, 1999) and Z/EG (Novak et al., 2000). Plug date was defined as embryonic day 0.5 (E0.5).

BrdU birthdating

Pregnant mice were injected with intraperitoneally with 2 mg of bromodeoxyuridine (BrdU) (Sigma, St Louis, MO) in a solution of PBS with 7 mM NaOH. BrdU was administered at E10.5, E11.5, E12.5, E13.5, E14.5 and E15.5, and the embryos were subsequently allowed to develop until E18.5, at which point the dams were terminally anesthetized and the embryos were removed and perfused with 2% paraformaldehyde and postfixed for 2 hours at 4°C. At least three mutants and three wild-type littermates were analyzed for each time-point of BrdU administration.

Tissue preparation and in situ hybridization

Embryos were dissected in chilled PBS and fixed in either 2% or 4% paraformaldehyde for four hours at 4°C, cryoprotected in 30% sucrose, embedded in Tissue-Tek® OCT, and sectioned at a thickness of 14-16 μm on a Leica CM3050 S cryostat. RNA in situ hybridization was performed as previously described (Schaeren-Wiemers and Gerfin-Moser, 1993; Wilkinson and Nieto, 1993). RNA probes were labeled with digoxigenin and visualized with BM-Purple®, according the manufacturer's instruction (Roche Biosciences). The following cDNA probes were used: *Notch1*, *Notch2*, *Notch3*, *Hes1*, *Hes5*, *Mash1*, *Neurod* and *Ebf1*. Images were obtained using a Diagnostics 4.2 camera and Spot Advanced software, and processed using Adobe Photoshop.

Antibodies and immunohistochemistry

Rabbit anti-DARPP-32 (Chemicon International, Temecula, CA) was used at 1:500, rabbit anti-tyrosine hydroxylase (Chemicon International) was used at 1:500, rabbit anti-glutamate receptor 1 (Chemicon International) was used at 1:50, mouse anti-BrdU (BD Biosciences, San Jose, CA) was used at 1:100, and rabbit anti-GFP (Molecular Probes, Eugene, OR) was used at 1:1000. Ephrin-A4/Fc (R&D Systems, Minneapolis, MN) was used at 2 μg/ml. Secondary antibodies conjugated with Cy3 or Alexa-488 were obtained from Jackson ImmunoResearch Laboratories (West Grove, PA) and Molecular Probes, and raised in goats. α-human IgG-Alexa-488 (Molecular Probes) was used at 1:200. Fluorescent images were acquired using a cooled-CCD camera (Princeton Scientific Instruments, NJ) and Metamorph software (Universal Imaging, Downington, PA), and were processed using Adobe Photoshop.

Western blot

E12.5 mutant and wild-type brain lysates were prepared in 100 μ l

RIPA buffer (10 mM Tris/HCl (pH 7.5), 140 mM NaCl, 1 mM orthovanadate, 1% Nonidet P-40, 2 mM PMSF, 5 mM EDTA, 20 μg/ml aprotinin, 20 μg/ml leupeptin), spun down and the supernatants were boiled in Laemli sample buffer. Proteins were resolved by 8% SDS-PAGE and transferred onto a PVDF membrane for western blot analysis. Rabbit anti-Notch1 (Upstate, Lake Placid, NY) followed by peroxidase-conjugated anti-Rabbit IgG (Jackson ImmunoResearch Laboratories) was used to detect the cleaved form of endogenous Notch1, and mouse anti-alpha tubulin (Sigma-Aldrich) followed by peroxidase-conjugated anti-Mouse IgG (Jackson ImmunoResearch Laboratories) was used to detect endogenous tubulin.

Striatal analysis

Coronal sections from E18.5 telencephalon were double immunostained with antibodies to Darpp32 and BrdU, and fluorescent images were obtained as described above. Using Metamorph software (Universal Imaging, Downington, PA), regions of Darpp32 immunoreactivity (which define the subcallosal streak and striatal patches) were outlined and the number of BrdU-positive cells in each compartment were counted. The matrix compartment of the striatum was defined as the region remaining around the clusters of Darpp32-

positive cells. Six striatal sections were analyzed per animal and at least three mutant and three wild-type littermates were analyzed for each time-point of BrdU administration (E10.5-E15.5). Microsoft Excel was used to compute the data and perform the statistical analyses. Student's t-test (one-tailed) was used to compare the measurements of the mutant and wild-type animals at each time-point, and statistical significance was determined with Pvalues of less than 0.05.

Results

Targeted deletion of Notch1 throughout the mouse forebrain

We used Foxg1^{Cre/+} mice, in which Cre recombinase has been knocked into the Foxg1 locus (Hebert and McConnell, 2000), to inactivate Notch1 specifically in the embryonic mouse forebrain. As revealed by X-gal staining using the ROSA26 Cre reporter mouse (Soriano, 1999), *Foxg1*^{Cre/+} induces recombination within the ventral telencephalon and anterior portion of the optic vesicles, beginning at embryonic day 8.5 (E8.5) (Fuccillo et al., 2004; Hebert and McConnell, 2000), and expanding throughout the entire telencephalon by E9.5 and E10.5 (Fig. 1A), encompassing all neuroepithelial cells. To obtain Notch1 conditional mutants, we crossed homozygous mice in which exon 1 of the Notch1 gene is flanked by loxP sites (floxed; $Notch1^{f/f}$) (Radtke et al., 1999) to $Notch1^{f/+}$; $Foxg1^{Cre/+}$ mice. Thus, Cremediated recombination of the floxed Notch1 allele is expected to occur in all telencephalic cells, including the neural progenitor cells. We refer to $Foxg1^{Cre/+}$; Notch 1ff conditional knockout mice as Foxg 1Cre; N1 cKOs for simplicity.

Foxg1^{Cre}; N1 cKOs survive until birth. Neonates die within several hours and display a smaller forebrain than wild-type littermates do. To confirm that Notch1 is completely removed as a result of this genetic cross, we examined the Foxg1^{Cre}; N1 cKO telencephalon using in situ hybridization, immunoblotting and PCR. Although Notch1 mRNA is observed throughout the VZ of the wild-type (WT) telencephalon at E10.5, Notch1 transcripts are not detected in Foxg1^{Cre}; N1 cKOs (Fig. 1B). In addition, Notch1 protein is abundant in forebrain lysates prepared from E12.5 wild-type embryos, but is absent from Foxg1^{Cre}; N1 cKOs (Fig. 1C). PCR primers designed to recognize the recombined or wild-type allele show that only the recombined allele is present in telencephalic tissue at E12.5 (data not shown). Hes5, a downstream target of the Notch signaling pathway, is greatly diminished in conditional mutants when compared with wild-type embryos, and only persists in the most ventromedial region of the telencephalon, the medial ganglionic eminence (Fig. 1B, lower right panel), which does

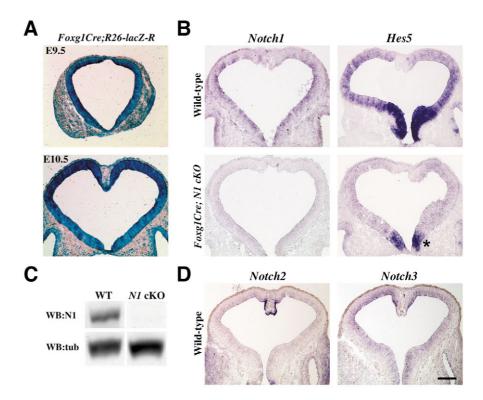


Fig. 1. Generation of a telencephalic-specific deletion of *Notch1*. (A) Coronal sections through the embryonic telencephalon of Foxg1^{Cre/+}; ROSA26-floxed-stop-lacZ reporter mice stained with X-gal to visualize Cre activity. Cre-mediated recombination is detected throughout the entire telencephalon by E9.5 and E10.5. (B) Notch1 and Hes5 are present in the telencephalic VZ in wild-type (WT) embryos, whereas Notch1 mRNA is not detected and Hes5 is substantially diminished in the telencephalon of Foxg1Cre; N1 cKOs at E10.5. Notably, residual *Hes5* expression is detected only in the medial ganglionic eminence (asterisk), and not in the striatal anlage, the lateral ganglionic eminence, which is more laterally located. (C) At E12.5, Notch1 protein is not detected in telencephalic lysates from Foxg1Cre; N1 cKOs (N1 cKO), whereas levels of α-tubulin are equivalent between N1 cKO and WT forebrain lysates, as assessed by immunoblotting for the cleaved portion of Notch1 and α -tubulin. Western blot (WB): anti-Notch1 (N1) and anti- α -tubulin (tub). (D) At E10.5, Notch2 is expressed at high levels within the epithelium of the choroid plexus but is not detectable in the VZ, whereas Notch3 is present at low levels throughout the VZ of wild-type embryos. Scale bar: 150 μm.

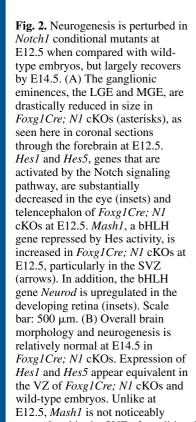
not give rise to the striatum (Olsson et al., 1998; Wichterle et al., 2001). Thus, $Foxg1^{Cre}$; N1 cKOs display an efficient recombination of the Notch1 gene locus, which results in the removal of Notch1 activity throughout the telencephalon by E9.5. To determine the potential contribution of other Notch family members to early neurogenesis within the telencephalon, we examined the expression of Notch2 and Notch3 at E10.5. Notch2 is expressed at high levels within the epithelium of the choroid plexus but not within the VZ, whereas Notch3 can be detected within the VZ, although at lower levels than Notch1 is expressed in the ventral telencephalon at E10.5 (Fig. 1B,D).

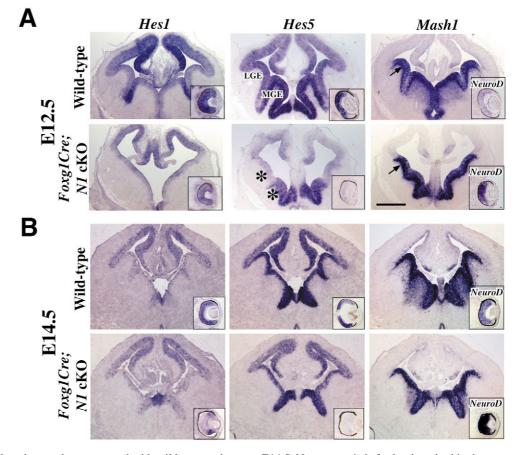
Perturbation of early neurogenesis in *Notch1* conditional mutants

The telencephalon of Foxg1^{Cre}; N1 cKOs exhibits striking morphological defects at E12.5, including a reduction in the overall size of the developing forebrain. The subcortical regions of the telencephalon, characterized by the lateral, medial and caudal ganglionic eminences (LGE, MGE and CGE, respectively), are particularly affected in the Foxg1^{Cre}; N1 cKOs at E12.5. In Foxg1^{Cre}; N1 cKOs, the MGE, LGE (Fig. 2A) and CGE (data not shown) are severely diminished in size. These ventral eminences comprise neural progenitors as well as their differentiating progeny. Both progenitor cells (visualized by Hes1 and Hes5 expression, Fig. 2A) and newly differentiating neurons [identified by the expression of the

neuron-specific marker TuJ1, as shown by Yoon et al. (Yoon et al., 2004)] are reduced in the $FoxgI^{Cre}$; NI cKOs when compared with wild-type littermates at E12.5.

The primary downstream effectors of the Notch signaling pathway are Hes genes (mammalian homologs of the Hairy and Enhancer of split genes in Drosophila) (Sasai et al., 1992; Takebayashi et al., 1995). Hes genes are expressed by neural progenitor cells, and encode transcriptional repressors that act to inhibit both the expression and the function of proneural basic helix-loop-helix (bHLH) genes (Ishibashi et al., 1995), such as Mash1 and Neurod. As expected, both Hes1 and Hes5 are reduced in the forebrain of Foxg1^{Cre}; N1 cKOs at E12.5 (Fig. 2A, left and center panels). Foxg1 drives Cre expression in the developing eyes as well as in the forebrain (Hebert and McConnell, 2000), and therefore Foxg1^{Cre}; N1 cKOs also lack *Notch1* activity in the nasal, temporal portion of the embryonic retina. Interestingly, levels of Hes1 are decreased in the developing retina of Foxg1^{Cre}; N1 cKOs, whereas Hes5 is virtually undetectable (Fig. 2A, insets, left and middle panels). The persistence of *Hes5* expresssion in the telencephalon with its comparative absence in the embryonic retina indicated to us that another Notch receptor may function in the telecephalon. Although *Notch3* is present in the telencephalic VZ as early at E10.5 (Fig. 1D), we could only detect *Notch1* in the developing mouse retina (data not shown). Unlike Hes5, Hes1 expression persists in the developing retina of Foxg1^{Cre}; N1 cKOs, raising the possibility that Hes1 could be regulated by Notch-





upregulated in the SVZ of conditional knockouts when compared with wild-type embryos at E14.5. Neurogenesis is further impaired in the retina of *Notch1* conditional mutants, as *Hes1* and *Hes5* are virtually absent and *Neurod* is considerably elevated.

independent signaling pathways. In support of this idea, RBP- $J\kappa$ null mutants also display a lack of *Hes5* staining, whereas Hes1 expression remains (de la Pompa et al., 1997).

Mash1 mRNA is expressed by differentiating cells in the ventral telencephalon and is upregulated in Foxg1^{Cre}; N1 cKOs at E12.5 (Fig. 2A, right panels). The increase in Mash1 expression is most apparent in cells residing within the SVZ. In addition, the mutant SVZ appears to be thicker than the wild-type SVZ, suggesting that cells precociously transit to the SVZ in the absence of *Notch1*. A similar observation was made in Mash1 mutant mice in which VZ cells in the LGE expressed SVZ markers prematurely, a defect that the authors attributed to reduced Notch signaling (Casarosa et al., 1999). Like the forebrain, the developing retina of Foxg1^{Cre}; N1 cKOs displays increased proneural gene expression, and Neurod is substantially elevated compared with wild-type littermates (Fig. 2A, insets, right panels). The upregulation of proneural genes in the eye and ventral forebrain, suggests that cells precociously initiate neuronal differentiation at E12.5 in the absence of Notch1. Although Foxg1^{Cre}; N1 cKOs display obvious morphological defects at E12.5, we were surprised to note that the mutant brains appear relatively normal in their overall morphology at E14.5 (Fig. 2B). Furthermore, levels of Hes1, Hes5 and Mash1 in the forebrain of Foxg1^{Cre}; N1 cKOs appear similar to those found in the forebrain of wild-type littermates at E14.5 (Fig. 2B), suggesting that neurogenesis occurs normally at this time and that telencephalic development recovers in Foxg1^{Cre}; N1 cKOs. By contrast, removing Notch1 in the embryonic mouse retina results in abnormalities that progressively worsen during development and fail to improve (Fig. 2A,B, insets, and H.A.M. and G.F., unpublished).

Aberrant patch and subcallosal streak development in the striatum of Notch1 conditional mutants

To determine the consequences of abnormal neurogenesis at E12.5 in $Foxg1^{Cre}$; N1 cKOs, we examined the specific cell types that are generated at E12.5 or earlier in the basal telencephalon. Patch neurons in the striatum are derived from the LGE (Olsson et al., 1998; Wichterle et al., 2001) and are produced within this temporal window (van der Kooy and Fishell, 1987). In addition, a ventrolateral to dorsomedial gradient of differentiation occurs such that the earliest born cells reside in a specialized region of the patch compartment known as the subcallosal streak (SCS), a crescent-shaped area along the ventrolateral edge of the striatum (Song and Harlan, 1994). Although SCS, patch and matrix neurons can be easily distinguished by a variety of molecular and histochemical markers in the adult striatum (Beckstead and Kersey, 1985; Graybiel and Chesselet, 1984; Graybiel et al., 1981), their segregation is only beginning to be apparent at E18.5. One indication that early-born striatal neurons are maturing and coalescing into characteristic SCS and patch compartments is the refinement of dopaminergic projections from the substania nigra (SN), forming what have been called islands of dopamine (Graybiel, 1984; Loizou, 1972; Murrin and Ferrer, 1984; Olson et al., 1972). In addition, these neurons express dopamine-3':5'-monophosphate-regulated adenosine cyclic phosphoprotein (Darpp32; Ppp1r1b - Mouse Genome Informatics) (Foster et al., 1987) and glutamate receptor 1 (Glur1) (Snyder-Keller and Costantini, 1996) during

embryonic development. Thus, we evaluated patch and SCS development in Foxg1^{Cre}; N1 cKOs using antibodies to Darpp32, Glur1, and tyrosine hydroxylase (Th), all of which are abnormally expressed in mutants when compared with wild-type littermates at E18.5 (Fig. 3). The striatum, including the patch compartment, remains immature at E18.5, but this is the latest time-point that could be reliably examined because the conditional mutants die at birth. Glur1 and Darpp32 are expressed similarly in the SCS and some of the more laterally

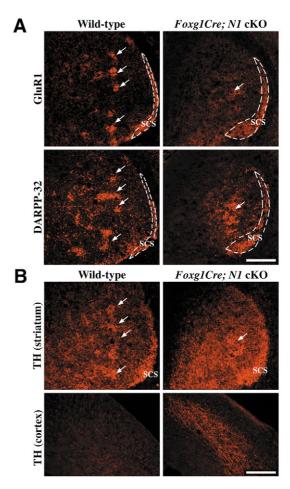


Fig. 3. The patch compartment, as well as its dopaminergic innervation from the midbrain, is significantly impaired in the absence of Notch1. (A) Patch neurons in the developing striatum begin to aggregate and display cell-specific markers at E18.5. Immunostained adjacent coronal forebrain sections show that glutamate receptor 1 (Glur 1) and Darpp 32 are expressed by newly differentiating subcallosal (SCS) and patch neurons (arrows) in wildtype embryos but are abnormally expressed in Foxg1Cre; N1 cKOs. The SCS is thicker in the Foxg1Cre; N1 cKOs than wild-type embryos relative to the overall size of the striatum. Scale bar: 200 μm. (B) Incoming dopaminergic fibers from the substania nigra (SN) express tyrosine hydroxylase (Th) and become selectively localized to SCS and patch neurons in the wild-type striatum (arrows). Foxg1Cre; N1 cKOs, which display an expanded SCS, show greater Th innervation than do wild-type mice at E18.5 (upper panels). In addition, fibers from the SN ectopically project to the cerebral cortex in the absence of *Notch1*. Excessive Th-positive fibers are present throughout the prefrontal cortex in Foxg1Cre; N1 cKOs whereas Thpositive fibers are rarely observed in the wild-type cortex at E18.5 (lower panels). Scale bar: 100 µm.

located patches when these markers are examined in adjacent sections at E18.5 (Fig. 3A, left panels). α -Darpp32 labels additional cells compared with α -Glur1, including younger, more medially located neurons (Fig. 3A, left panels), suggesting that Darpp32 is expressed in less mature patch neurons than is Glur1.

There are several obvious defects in early-born striatal neurons in the absence of Notch1. First, the SCS appears thicker in Foxg1^{Cre}; N1 cKOs than in controls, relative to the overall size of the striatum (Figs 3, 4, Fig. 5B). Second, the remaining patch clusters are fewer in number in these mutants, although the patches that do form tend to be larger in size than in wild-type embryos (Figs 3, 4, Fig. 5B). Finally, dopaminergic (Th-positive) fibers from the SN form aberrant projections in the forebrain of Foxg1^{Cre}; N1 cKOs (Fig. 3B). Unlike wild-type littermates, which display an enrichment of Th-positive fibers in the SCS, as well as in numerous other patch compartments in the striatum, Foxg1^{Cre}; N1 cKOs show expanded Th innervation of the SCS and fewer Th fibers forming characteristic clusters than in the wild-type striatum (Fig. 3B, upper panels). Because the dopaminergic fibers that innervate the striatum originate in the SN, an area of the midbrain that still retains Notch1 activity, the aberrant Th immunostaining in the mutants reflects the functional consequences of defects in the patch targets. In addition, dopaminergic innervation of the developing striatum is delayed in Foxg1^{Cre}; N1 cKOs by 2 days when compared with wildtype embryos (data not shown). Much to our surprise, Foxg1^{Cre}; N1 cKOs also display numerous ectopic Th-positive fibers in the cerebral cortex compared with wild-type embryos, which display few, if any, Th projections to the cortex (Fig. 3B, lower panels). Because the size of the SN is indistinguishable between mutants and wild-type littermates (data not shown),

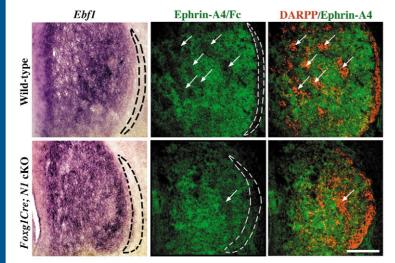


Fig. 4. Late-born matrix neurons develop normally in the absence of *Notch1*. *Ebf1* expression and ephrin A4/Fc binding is enriched in the matrix compartment of the striatum, and excluded from the SCS and patch regions. The patterns of *Ebf1* and ephrin A4/Fc staining appear to be equivalent in both wild-type embryos and *Foxg1Cre; N1* cKOs, as seen here in coronal sections through the striatum at E18.5. The SCS, which can be visualized by the lack of *Ebf1* and ephrin A4 staining (shown in green), is expanded in the absence of *Notch1* (dashed lines). The patch compartments, which also exclude *Ebf1* and ephrin A4, express Darpp32 (shown in red) and are reduced at E18.5 in the mutant (arrows). Scale bar: 200 μm.

one possible explanation is that afferent fibers from the SN fail to find normal or adequate patch targets in the mutant striatum and subsequently form aberrant projections to the frontal cortex.

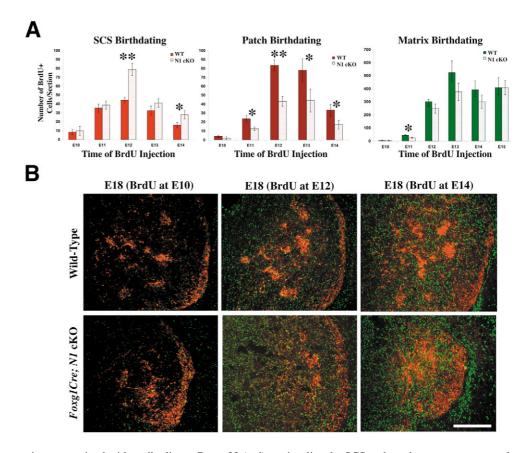
The striatal matrix develops normally in the absence of *Notch1*

As morphological defects in the Foxg1^{Cre}; N1 cKOs largely disappear by E14.5, we determined the phenotype of cells normally born at or after this time. In the ventral forebrain, these later-born neurons ultimately comprise the matrix compartment of the striatum (van der Kooy and Fishell, 1987). At E18.5, matrix neurons are still relatively immature and are in the initial stages of expressing their characteristic markers. One such marker is the helix-loop-helix transcription factor Ebf1, which is involved in the specification and compartmentalization of the striatal matrix (Garel et al., 1999). In addition, ephrin A4 ligands selectively bind to EphA receptors that are expressed by matrix neurons and are excluded from striatal patches (Janis et al., 1999). When we examine the expression of these matrix markers at E18.5, we find that they look relatively normal in Foxg1^{Cre}; N1 cKOs compared with wild-type littermates. Both Ebf1 and ephrin A4 binding is detected in the majority of cells in the striatum, and is excluded from the subcallosal streak and other patch compartments in conditional mutants and wild-type embryos (Fig. 4). Thus, in contrast to patch development Foxg1^{Cre}; N1 cKOs, the matrix appears to develop normally in the absence of *Notch1*. Because the *Foxg1^{Cre/+}* mice used to produce the Notch1 conditional knockouts lack one copy of Foxg1, it is possible that the phenotype we observed in $Foxg1^{Cre}$; N1 cKOs could be due to a decrease in Foxg1 gene function rather than the selective loss of Notch1. However, when we examined

patch and matrix compartments in $Foxg1^{Cre/+}$ mice, we found that the striatum forms normally (data not shown), confirming that the striatal phenotype in $Foxg1^{Cre}$; N1 cKOs results from removing Notch1 function.

One explanation for the defects observed in the patch and SCS neurons in the absence of Notch1 is that Notch signaling acts in progenitors to preserve a sufficient number of progenitor cells to ensure the generation of all striatal cell types. Without adequate Notch signaling, progenitor cells may produce postmitotic neurons without replenishing themselves. However, the defects observed in the patch compartment may also reflect changes in cell proliferation, cell death, or neuronal differentiation and we have examined each of these possibilities. We do not observe any obvious differences in the proliferation of neural progenitors residing in the VZ (as assessed by BrdU incorporation or markers for cycling cells such as Ki67 or phosphohistone-H3) between wild-type and mutant mice (data not shown), indicating that the increase in the SCS is not likely to be due to enhanced proliferation of SCS neurons or their progenitors. Next, we examined whether cell death is increased in $Foxg1^{Cre}$; N1 cKOs using antibodies that recognize cleaved caspase 3 and TUNEL labeling, both hallmarks of apoptosis. The number of cleaved caspase 3 immunoreactive cells is increased in Foxg1^{Cre}; N1 cKOs when compared with wild-type littermates from E12.5 through E16.5, as is the number of TUNEL-

Fig. 5. Birthdating analysis of neurons in the striatum. (A) BrdU was administered at different embryonic time-points (E10.5-E15.5), and the striatum was subsequently analyzed at E18.5. BrdU-positive neurons were counted with respect to their localization within the striatal compartments (SCS, patch or matrix). The SCS neurons born at E12.5 are significantly increased in Foxg1Cre; N1 cKOs, whereas neurons born at E12.5 in the patch compartment are significantly decreased in mutants compared when with wild-type littermates. Equivalent numbers of matrix neurons are born in the mutants and in wild-type littermates, except at E11.5, at which time there is a small but statistically significant decrease in the birth of matrix neurons in Foxg1Cre; N1 cKOs. A single asterisk (*) denotes a P-value of <0.05, whereas two asterisks (**) signify a P-value of <0.005. Three Foxg1Cre; N1 cKOs and three wildtype embryos were analyzed for each time-point. Error bars represent s.e.m. (B) Coronal sections of the striatum at E18.5 with three representative ages of BrdU administration in Foxg1Cre; N1



cKOs and wild-type littermates. Tissue was immunostained with antibodies to Darpp32 (red) to visualize the SCS and patch compartments, and with antibodies to BrdU (green) to detect cells that become postmitotic shortly after the pulse of BrdU. Scale bar: 250 µm.

positive nuclei (Mason et al., 2005). However, even though cell death is increased in the absence of Notch1, we could not find a selective increase in cell death at the time when most patch neurons are generated (E12.5-E13.5) compared with later timepoints, when the bulk of matrix neurons are produced (E13.5 and later) (Mason et al., 2005). These data suggest that there is a generalized increase in cell death in the absence of Notch1 that equally affects patch and matrix neurons. Indeed, Notch has been reported to regulate cell survival via mechanisms distinct from its effects on neurogenesis (Oishi et al., 2004). Therefore, we favor a model in which Notch1 acts in progenitors to control their differentiation as early-born cell types in the striatum, and in which the loss of Notch1 activity results in a majority of cells differentiating as SCS neurons at the expense of remaining patch neurons.

To further test this hypothesis, we performed a series of birthdating experiments to quantify the effect of Notch1 function on the generation of specific neuronal cell types (SCS, patch and matrix) during embryonic development. BrdU pulses were administered at different times of development, from E10.5 through E15.5, and the brains were subsequently analyzed at E18.5. Cells that were in S-phase at the time of BrdU administration and then subsequently exited the cell cycle will retain BrdU at E18.5, whereas cells that continued proliferating would have diluted the BrdU label. Darpp32 was used to distinguish the patch versus matrix compartments at E18.5 and the number of BrdU-positive cells was quantified in

each compartment (Fig. 5). We find that significantly more SCS neurons are born at E12.5 in the Foxg1^{Cre}; N1 cKOs compared with in wild-type embryos at this time (Fig. 5A, left panel). In addition, there are correspondingly fewer patch neurons in the mutants born on E12.5 and E13.5, than in wildtype embryos (Fig. 5A, middle panel). Interestingly, there is a small but statistically significant decrease in the number of matrix neurons in the mutants at E11.5, but after E14.5 the number of matrix neurons that are produced are equivalent in the Foxg1^{Cre}; N1 cKOs and the wild-type embryos (Fig. 5A, right panel). These data suggest that Notch1 acts in progenitor cells to control the time at which they differentiate and to influence what type of neuron is produced. Furthermore, there is a defect in early-born cells (more SCS neurons at the expense of patch neurons), whereas late-born matrix neurons develop normally. These results raise the question of why there is a selective defect in early-born cells followed by a period during which progenitors are able to produce a relatively normal cohort of matrix neurons.

The role of *Notch3* in the development of the striatum in the absence of Notch1

It seemed likely that the normal development of matrix neurons in the striatum of Foxg1^{Cre}; N1 cKOs is mediated through the activity another member of the Notch family of receptors. We examined both Notch2 and Notch3 expression at E10.5 using in situ hybridization and found detectable levels of Notch3

mRNA in the VZ (Fig. 1D). By contrast, Notch2 is present primarily within the epithelium of the choroid plexus and not within the VZ at E10.5 (Fig. 1D). Thus, Notch3 seemed to be a more likely candidate than Notch2 based on their expression patterns. However, previous gain-of-function studies on Notch3 activity reported that Notch3 is a weak activator of canonical Notch target genes and can even inhibit Notch1 signaling (Apelqvist et al., 1999; Beatus et al., 1999; Beatus et al., 2001). Notch3 alone is not essential for striatal development because Notch3 null mutants display normal patch and matrix compartments (data not shown). However, to resolve whether or not Notch3 (N3) can functionally compensate for the loss of Notch1, we examined the development of the striatum in conditional N1; N3 null double mutants (Foxg1^{Cre}; N1; N3 DKOs). These double knockouts were generated by crossing N3 null mutants, which are viable

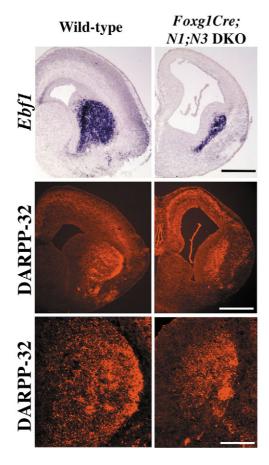


Fig. 6. The entire striatum is severely compromised in *Foxg1Cre*; *Notch1* conditional; *Notch3* null double mutants (*Foxg1Cre*; *N1*; *N3* DKOs). As visualized using in situ hybridization for *Ebf1* in E17.5 coronal sections of the striatum, only a small region of the matrix compartment develops in the absence of both *Notch1* and *Notch3*. Scale bar: 500 μm (upper right panel). Similarly, both the SCS and patch regions, immunostained here with antibodies to Darpp32, are substantially reduced in size and are severely disorganized in the double knockouts at E17.5. Scale bar: 500 μm. As seen in the lower panels, which show a higher magnification view than the middle panels, the SCS is disrupted and no characteristic clusters of Darpp32-positive cells are observed in *Foxg1Cre*; *N1*; *N3* DKOs. Scale bar: 250 μm.

and fertile (Krebs et al., 2003), onto the $Foxg1^{Cre}$; N1 cKO background.

Examination using Ebf1, a striatal matrix marker, revealed that this region is severely compromised in the double knockouts when compared with wild-type littermates at E17.5 (Fig. 6, upper panels). This data suggests that Notch3 compensates for the loss of Notch1 in our previous single conditional knockout analysis. Furthermore, these results strongly suggest that Notch3 activity can functionally replace Notch1 activity to regulate proper matrix development. Because Notch3 compensates for the loss of Notch1 in the matrix neurons of the striatum, we tested whether Notch3 also plays a role in regulating the development of the SCS and patch neurons. Indeed, the striatal SCS and patches display severe defects in the Foxg1^{Cre}; N1; N3 DKOs as visualized by Darpp32 immunostaining (Fig. 6, middle and lower panels). The SCS of Foxg1^{Cre}; N1; N3 DKOs shows abnormal aggregates and is not a smooth crescent shape as it is in wildtype mice or even in Notch1 single conditional mutants (Fig. 6, middle and lower panels, and Figs 3-5). In addition, no clusters of Darpp32 immunoreactivity, characterizing the patch compartment, are observed within the striatum of the double mutants, and only scattered Darpp32-positive cells are found within this region (Fig. 6, lower panels). Thus, the striatal development of Foxg1^{Cre}; N1; N3 DKOs is significantly impaired and all compartments (SCS, patch and matrix) are disrupted. Unfortunately, at present, no markers exist to distinguish between patch and SCS cells. It is therefore unclear whether the loss of striatal patches in Foxg1^{Cre}; N1; N3 DKOs is a result of a change in cell fate or simply of a severe disorganization of the patch, SCS and matrix compartments.

Notch1 and Notch3 act within the VZ to regulate the distinct cell types that form the compartments of the striatum

The defects observed in the Foxg1^{Cre}; N1 cKOs and the Foxg1^{Cre}; N1; N3 DKOs could result either from the lack of Notch signals in progenitor cells in the VZ, or during a later developmental stage, such as migration and differentiation, as Foxg1^{Cre} results in the permanent removal of Notch1 throughout the telencephalon. Notch signaling has been reported to function in postmitotic neurons, such as in controlling neurite morphology (Berezovska et al., 1999; Franklin et al., 1999; Redmond et al., 2000; Sestan et al., 1999). In addition, Presenilin 1, a membrane protein responsible for the cleavage activation of the Notch receptor (De Strooper et al., 1999; Struhl and Greenwald, 1999) has been shown to play important roles in neuronal migration (Louvi et al., 2004). These findings raise the possibility that the striatal disorganization observed in our Foxg1^{Cre} single and double mutants results from the loss of Notch signaling during the migration and differentiation of neurons in the striatum.

To test this idea, we selectively removed Notch function after cells exit the VZ using Dlx5/6-Cre-IRES-EGFP transgenic mice (Dlx5/6-Cre), in which Cre recombinase is absent from the striatal VZ and is expressed only when cells transit into the subventricular zone (SVZ) and underlying mantle (Stenman et al., 2003) (Fig. 7A). When the Dlx5/6-Cre line is crossed to the Z/EG recombination reporter line (Novak et al., 2000), EGFP permanently marks cells that

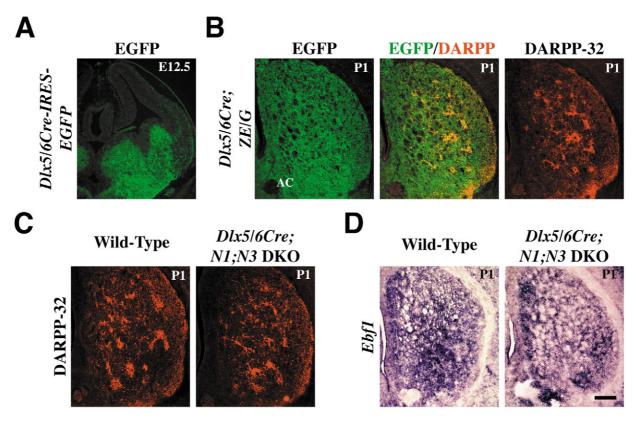


Fig. 7. Notch1 and Notch3 are not required for normal striatal development after cells exit the VZ. (A) A coronal section of an E12.5 Dlx5/6Cre-IRES-EGFP forebrain indicates that the Dlx5/6 enhancer directs the expression of EGFP throughout the ventral SVZ and mantle, but not in the VZ. (B) Dlx5/6Cre induces the recombination of floxed alleles throughout the striatum, including the SCS, patch and matrix compartments. Shown here is in a coromal section of a P1 striatum from a cross between the Dlx5/6Cre-IRES-EGFP transgenic and the Z/EG reporter mouse. Cells that have undergone Cre-mediated recombination permanently express EGFP (shown here in green). EGFP is expressed in all regions of the striatum at P1 in progeny from Dlx5/6Cre and Z/EG reporter mice. Antibodies to Darpp32 mark the SCS and patches (shown here in red). The EGFP-negative regions are fiber tracts passing through the striatum, with the anterior commissure (AC) visible in the lower left corner. (C) The SCS and patch compartments develop normally in Dlx5/6Cre; N1; N3 DKOs, as seen here with antibodies to Darpp32 at P1. (D) The matrix compartment is equivalent in both Dlx5/6Cre; N1; N3 DKOs and wild-type littermates at P1. Scale bar: 250 µm.

have undergone Cre-mediated recombination during their development. This fate-mapping experiment reveals that the entire striatum, including both patch and matrix compartments, has undergone recombination by postnatal day 1 (P1) in Z/EG; Dlx5/6^{Cre} mice (Fig. 7B). Therefore, the Dlx5/6^{Cre} transgenic mice will facilitate the removal of floxed Notch1 in all neurons in the striatum but only after they exit the VZ. Because we have shown that Notch3 functionally compensates for the loss of *Notch1* (Fig. 6), we generated *Dlx5/6^{Cre}* conditional mutants on the Notch3 null background (Dlx5/6^{Cre}; N1; N3 DKOs). Unlike Foxg1^{Cre}; N1 mutants, Dlx5/6^{Cre}; N1; N3 DKOs survive into adulthood. When we examined patch and matrix development in Dlx5/6^{Cre}; N1; N3 DKOs at P1 (Fig. 7C-D) or in adults (data not shown), the mutant striatum appeared to be indistinguishable from the wild-type striatum. The patch marker Darpp32 (Fig. 7C) and the matrix marker Ebf1 (Fig. 7D) both show normal patterns of expression in the $Dlx5/6^{Cre}$ double knockouts at P1. Thus, activity through Notch receptors 1 and 3 is not critical once cells are in the SVZ and mantle. Taken together, these results suggest that Notch signaling is essential when cells are in the VZ to regulate the distinct neuronal cell types found in the striatum. Once cells progress to the SVZ and mantle, Notch signaling is not required for the

subsequent stages of maturation and morphogenesis that will ultimately form the mature striatum.

Discussion

To test the role of Notch in regulating striatal development, we used genetic strategies to study the consequences of the loss of Notch signaling at different stages of the development of this structure. Surprisingly, we found that only early-born, not lateborn, neurons are affected in the striatum of Foxg1^{Cre}; N1 cKOs. More specifically, the earliest born subcallosal streak cells are increased, whereas the remaining patch neurons are correspondingly decreased. The late-born matrix neurons in the striatum of Foxg1^{Cre}; N1 cKOs develop normally. Thus, Notch1 is essential for the proper development of the early-born neurons in the striatum and is dispensable for the formation of the late-born populations.

We show that *Notch3* gene function underlies the production of late-born matrix neurons in $Foxg1^{Cre}$; N1 cKOs, as these cell types are severely impaired in $Foxg1^{Cre}$; N1; N3 DKOs. In addition, the generation of patch neurons is also further compromised, revealing that Notch3 also plays a role early in neurogenesis in the absence of Notch1. These results raise two interesting points. First, they show that Notch3 is capable of functioning in place of Notch1 to regulate stritatal neurogenesis. Second, the defects observed in the early-born cell types in the Foxg1^{Cre}; N1 cKOs suggest that Notch3 cannot perfectly replace the activity of Notch1, a point that will be discussed in more detail below. We interpret these results as indicating that the loss of Notch1 alone results in an early temporal window of severely compromised Notch signaling that in turn leads to specific defects in the patch compartment. However, by E14.5 the overall forebrain morphology and birthdating data suggests that neurogenesis is occurring normally in the absence of *Notch1*. This contrasts sharply with other regions of the developing CNS, such as the cerebellum and the eye, in which Notch1 removal alone results in severe, progressive and permanent defects (Lutolf et al., 2002) (Fig. 2).

Unlike later phases of neurogenesis, Notch3 alone is insufficient for the normal development of patch neurons in the absence of Notch1. One simple explanation may be that the levels of Notch3 are too low early in neurogenesis to provide effective Notch signaling. Although it remains a possibility that the selective expression of Notch3 in matrix progenitors and not in patch progenitors underlies this difference, the expression of *Notch3* appears uniform in VZ progenitors. We therefore favor a model in which progenitor cells giving rise to early- and late-born neurons arise from distinct progenitor pools that require Notch signaling at sequential times during development. One intriguing possibility is that the mode of cell division is linked to the requirement of a progenitor cell for Notch signaling. According to our data, the progenitors that are likely to be dependent on Notch signaling are the ones in a neurogenic mode of division at the time Notch1 is removed in Foxg1^{Cre}; N1 cKOs, which are the progenitors that are producing patch neurons. Progenitors that give rise to late-born neurons appear to be in a Notch-independent mode of division, most likely undergoing self-renewing divisions that produce additional progenitor cells rather than post-mitotic neurons. The progenitors that give rise to late-born neurons ultimately become dependent on Notch signaling to regulate their differentiation (most likely when they initiate neurogenic divisions) because matrix development is severely impaired in Foxg1^{Cre}; N1; N3 DKOs (Fig. 6). This model is consistent with the evidence that Foxg1^{Cre}; N1 cKOs do not display any obvious defects during the initial phases of neural development (between E9.5 and E10.5; Fig. 1B), a period characterized primarily by symmetric cell divisions that amplify the progenitor population rather than neurogenic divisions. A growing number of genes, including Numb and lethal giant larvae 1 (Lgl1) also appear to be required at the onset of neurogenesis (Klezovitch et al., 2004; Li et al., 2003; Petersen et al., 2002; Petersen et al., 2004; Shen et al., 2002). These genes may function to promote asymmetric divisions through interactions with the Notch pathway.

The selective effect of *Notch1* on early-born cells in the striatum in *Foxg1^{Cre}*; *N1* cKOs, in conjunction with previous studies that demonstrated that Notch activity prevents differentiation and maintains a progenitor state (Hitoshi et al., 2002; Ohtsuka et al., 2001), suggested to us that Notch signaling is critical in neural progenitors that reside in the VZ. However, *Notch1* and *Notch3* gene function in *Foxg1^{Cre}*; *N1*; *N3* DKOs is also absent during all subsequent development

stages, including neuronal migration and differentiation. It is therefore impossible to know from this analysis whether Notch signaling is used iteratively for a variety of developmental steps. To address the potential role of Notch signaling in later stages of neuronal development, we used the Dlx5/6^{Cre} driver line to remove Notch signaling after cells have exited the VZ. In Dlx5/6^{Cre}; N1; N3 DKOs, both the patch and matrix compartments develop normally (Fig. 7). These results suggest that Notch signaling is not required for proper striatal patterning once cells have exited the VZ. Recent reports have suggested that Notch activity is important for regulating neurite morphology in postmitic neurons in the cortex (Berezovska et al., 1999; Franklin et al., 1999; Redmond et al., 2000; Sestan et al., 1999). The present study did not examine axonal or dendrite morphology although it will be interesting to address this question in future studies. The neuronal migration defects in presenilin 1 mutants raised the possibility that Notch signaling might be involved in migration, as Notch receptors require cleavage by presenilins to be activated. However, our data supports the idea the presenilin 1 exerts its effects on migration by acting on other proteins, such as cytoskeletal proteins (Louvi et al., 2004). Therefore, Notch1 and Notch3 are not necessary for the subsequent phases of neuronal development that ultimately form the characteristic striatal mosaic, such as neuronal migration, the segregating of SCS, patch and matrix neurons, and their ultimate differentiation and expression of specific cellular and molecular markers. In these mutants, it remains possible that Notch2 could be compensating for the absence of Notch1 and Notch3. However, several observations do not support this possibility. First, the fact that the morphology of the Foxg1^{cre}; N1; N3 DKOs is severely compromised (Fig. 6) suggests that Notch2 activity is not sufficient to mediate normal striatal development. Second, we do not observe Notch2 upregulation in either Foxg1^{Cre} or Dlx5/6^{Cre} double knockouts (data not shown). Third, we see no indication of Notch2 expression outside of the VZ at any timepoint (data not shown).

Apart from the role of Notch in regulating neurogenesis in the VZ, the only other developmental process we found to be affected when Notch signaling was removed later (in neurons after they exited the ventricular zone) was for cell survival. Specifically, we observed elevated levels of cell death in both Foxg1^{Cre} (Mason et al., 2005) and Dlx5/6^{Cre} double knockouts (data not shown). These results suggest that Notch signaling plays a generalized role in cell survival, and that in the absence of Notch1 and Notch3, cells have a higher probability of undergoing programmed cell death during embryonic development. However, this increased apoptosis appears to affect all types of neurons equally, as we could not find a selective effect on either the patch or the matrix neurons in either of our conditional knockouts.

In conclusion, our data indicates that early-born neuronal fates are selectively altered in the striatum of $Foxg1^{Cre}$; N1 cKOs, whereas later born cell types are generated normally. Ectopic innervation of the cortex from midbrain dopaminergic fibers is observed in these mutants, most likely as a consequence of this defect. We further show that Notch3 can compensate for the loss of Notch1 in the generation of lateborn matrix neurons in the striatum. Finally, we demonstrate that both the patch and matrix compartments develop normally when Notch1 and Notch3 are removed after cells have exited

the VZ. The results pinpoint the critical window of Notch activity in progenitor cells in the VZ, and suggest that neurons can migrate and differentiate in the absence of additional Notch signaling.

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