#### **RESEARCH ARTICLE**



# Ethambutol induces impaired autophagic flux and apoptosis in the rat retina

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#### ABSTRACT

Ethambutol (EMB), an effective first-line antituberculosis agent, can cause serious visual impairment or irreversible vision loss in a significant number of patients. However, the mechanism underlying this ocular cytotoxicity remains to be elucidated. In this study, we found that there were statistically significant dose- and timedependent increases in the number of cytoplasmic vacuoles and the level of cell death in EMB-treated RGC-5 cells (retinal ganglion cells). The protein kinase C (PKC) inhibitor rottlerin markedly reduced the EMB-induced activation of caspase-3 and the subsequent apoptosis of RGC-5 cells. Western blot analysis revealed that the expression levels of class III PI3K, Beclin-1, p62 and LC3-II were upregulated, and LC3 immunostaining results showed activation of the early phase and inhibition of the late stage of autophagy in retinas of the EMB-intraperitoneal (IP)-injected rat model. We further demonstrated that exposure to EMB induces autophagosome accumulation, which results from the impaired autophagic flux that is mediated by a PKC $\delta$ -dependent pathway, inhibits the PI3K/Akt/mTOR signaling pathway and leads to apoptotic death in retina neuronal cells. These results indicate that autophagy dysregulation in retinal neuronal cells might play a substantial role in EMB-induced optic neuroretinopathy.

### KEY WORDS: Ethambutol, Optic neuroretinopathy, Autophagy, Rottlerin, Apoptosis

#### INTRODUCTION

Ethambutol (EMB) is routinely used as an anti-mycobacterial agent, especially in the treatment of tuberculosis. EMB can cause an irreversible vision loss, known as EMB-induced optic neuropathy (EON), in a small but significant fraction of patients. Depending on the dosage of EMB, the incidence of EON has been reported to be in the range of 1-5% (Sivakumaran et al., 1998). It has been suggested that the cause of EON might be linked to a disturbance in the optic nerve that is induced by EMB through an excitotoxicity pathway (Campbell and Elmes, 1975; Chai and Foroozan, 2007; Figueroa et al., 1971; Heng et al., 1999; Yoon et al., 2000; Zoumalan et al., 2005). The toxic effects of EMB in retinal cells have also been studied in recent works (Chung et al., 1989; Liu et al., 2008; Tsai et al., 2008a; Vistamehr et al., 2007). The clinical features of

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EMB-induced neuroretinopathy, including macula edema, retinal hemorrhage, retinal pigment epithelial (RPE) dysfunction and electrophysiological abnormalities, have also been reported (Liu et al., 2008; Vistamehr et al., 2007; Yen et al., 2000) but at lower frequencies than EON. Although the visual impairment is generally reversible after discontinuation of EMB, some patients still suffer severe or permanent vision loss, even taking the standard doses of EMB (Alvarez and Krop, 1993; DeVita et al., 1987). The pathophysiology of EMB-induced ocular toxicity remains unclear. Our previous studies have demonstrated that EMB induces cytosolic vacuoles formation and reduces the phagocytic activity in human RPE-derived cells, including RPE50 and ARPE19 cells (Tsai et al., 2008a). Furthermore, we also found that EMB-induced via the protein kinase C (PKC) $\delta$  signaling pathway (Tsai et al., 2011).

PKC is a family of serine/threonine kinases that are involved in different neuronal development events, such as proliferation, differentiation, survival and apoptosis (Wooten, 1999). The involvement of PKCs in apoptosis has been well established. PKC $\delta$  was first identified as a substrate of caspase-3, and the proteolytic activation of PKC $\delta$  has been directly linked to apoptosis (Basu et al., 2001; Ghayur et al., 1996). As with apoptosis, PKC $\delta$  was the first PKC isozyme shown to play an important role in autophagy (Chen et al., 2009; Ozpolat et al., 2007; Pattingre et al., 2009, 2008). It has been suggested that PKC $\delta$  induces autophagy activation to protect cells from cell death in response to acute hypoxic stress, but caspase-3-mediated proteolytic cleavage of PKC $\delta$  during chronic stress promotes apoptosis to eliminate irreversibly damaged cells (Chen et al., 2008).

Autophagy is an intracellular self-degradative process for breakdown of the cytoplasmic components, eradicating toxic aggregates and damaged organelles and then recycling the essential biomolecules to maintain cellular metabolism and homeostasis (Yang and Klionsky, 2010). Autophagy represents a cytoprotective response against various types of cellular stress (Boya et al., 2005), and its deregulation has been implicated in the pathogenesis of specific diseases such as immune-mediated diseases, cardiovascular diseases, metabolic diseases, cancer and neurodegeneration (Ravikumar et al., 2010). The physiological roles of autophagy in neural function are far from being completely understood (Nixon, 2006; Wong and Cuervo, 2010). Autophagy activation reduces the accumulation of misfolded and aggregated proteins in several neurodegenerative proteinopathies (Pickford et al., 2008; Renna et al., 2010). Conversely, overactivation of autophagy can trigger neuronal cell death following hypoxic/ ischemic injury (Ginet et al., 2009; Koike et al., 2008).

In this study, we found that EMB induces apoptosis in retinal ganglion cells (RGCs) and increases latency in the flash visual evoked potential (FVEP) test in rats. We also provide evidence suggesting that EMB-induced apoptosis involves the activation of caspase-3/7 and a process of decreasing autophagic flux, which

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#### TRANSLATIONAL IMPACT

#### Clinical issue

Ethambutol (EMB), an efficacious antituberculosis agent, can cause irreversible vision loss owing to EMB-induced optic neuropathy (EON) in a small but significant fraction of patients. Depending on the dosage of EMB, EON incidence has been reported to be in the range of 1-5%. EMB-induced neuroretinopathies, including retinal pigment epithelial changes, macular edema, retinal hemorrhage and abnormal electrophysiological properties, have also been reported but at lower frequencies than EON. It has been suggested that the cause of EON might be linked to a disturbance in the optic nerve that is caused by EMBinduced cytotoxicity. Previous work revealed that the EMB toxicity is mediated by zinc, lysosomal membrane permeabilization and mitochondrial-coupling defects in retinal ganglion cells (RGCs). However, the link between EMB toxicity, RGC death and lysosomal or mitochondrial dysfunction remains to be elucidated. Alterations of autophagy, a physiological process that eliminates dysfunctional cytoplasmic components, could be involved.

#### Results

To investigate the role of autophagy regulation in the pathogenesis of EON, the authors used adult rats treated with 40% over the recommended dose of EMB for 10 consecutive days, which induced ocular side effects. The authors provide evidence that EMB induces apoptosis in RGCs and increases the latency of the flash visual evoked potential (which indicates impaired visual function) in rats. Using the mRFP-GFP tandem fluorescent-tagged LC3 assay (which is able to 'sense' the presence of autophagosomes and autolysosomes) to study autophagic flux, the authors demonstrate that EMB-induced apoptosis involves a process of decreasing autophagic flux, which results in autophagosome accumulation via activation of the PKC $\delta$  signaling and inhibition of the PI3K/Akt/mTOR pathway. The PKC $\delta$  inhibitor rottlerin significantly reduced caspase 3/7 activity and apoptotic death in the RGC-5 cell line.

#### Implications and future directions

This study suggests that EMB treatment might impair the fusion of autophagosomes and lysosomes and thus inhibit autophagic flux. These results are consistent with previous studies suggesting that the site of EMB toxic activity is the lysosome. The current results indicate that dysregulation in the autophagy-dependent protein degradation in the lysosomes might contribute to EON. They also expand our understanding of the mechanism underlying EMB cytotoxic effects in the retina and provide new insights into potential molecular players that might be targeted as a protective strategy against EON.

results in autophagosome accumulation through PKC $\delta$  signaling and the PI3K/Akt/mTOR pathway. Our data show that the PKC $\delta$ inhibitor rottlerin significantly reduced EMB-induced phosphorylation of PKC $\delta$ , upregulation of autophagic markers, caspase-3/7 activity and apoptotic death in RGC-5 cells. Altogether, these findings demonstrate that the diminished autophagic flux induced by EMB might be one of the factors that leads to cytotoxicity in retinal neuronal cells and contributes to the pathogenesis of EMB-induced optic neuroretinopathy.

#### RESULTS

### EMB induces apoptosis of RGCs and results in visual dysfunction

The cytotoxicity of EMB in rat retinas was assessed using the terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling (TUNEL) assay (DeadEnd; Promega, Madison, WI). Compared with the sham group, the number of TUNEL-positive stained cells (Fig. 1A) was significantly higher in the retinal ganglion cell layer (GCL) in the EMB-treated group (P<0.05)

(Fig. 1B). Furthermore, we evaluated the functional integrity of the visual pathway by assessing the P1 wave latency of the FVEP. In the EMB-treated group, the P1 latency was significantly delayed when compared with the sham group (Fig. 1C,D). The FVEP results demonstrate that the EMB-treated group had significantly impaired visual function compared with the sham group. The data suggest that EMB is toxic to RGCs and leads to impaired electrophysiological functions in the rat retina. These findings are consistent with the reported clinical features of EMB-induced optic neuroretinopathy (Lee et al., 2008; Menon et al., 2009; Woung et al., 1995; Yiannikas et al., 1983).

#### Increased levels of autophagic marker and cleaved caspase-3 in EMB-treated retinas

To determine the effect of EMB on autophagy, we investigated the protein levels of class III phosphoinositide 3-kinase (PI3C3; also known as Vps34), beclin-1, p62 and microtubule-associated protein I light chain 3 (LC3) in the retinas of rats treated with EMB or PBS by intraperitoneal (IP) injection. Vps34 and beclin-1 are biochemical markers of autophagy initiation. LC3 is found in two forms in the cell, LC3-I and LC3-II. During autophagosome assembly, LC3 is converted from LC3-I, which is the soluble cytoplasmic form, to LC3-II, which is an LC3-phosphatidylethanolamine conjugate that associates with autophagosomal membranes (Mizushima, 2004). The p62 protein specifically interacts with LC3-II for its degradation by autophagolysosomes, and the cellular level of p62 is negatively correlated with autophagic flux. Western blot analysis revealed that the expression levels of PI3C3, beclin-1 and p62 were upregulated in the EMB-treated group compared with the sham group (Fig. 2A,C-E). An increased LC3-II level was observed in the EMB-treated group but not in the sham group (Fig. 2A,F). These results indicate that EMB promotes the conversion of LC3-I into LC3-II and the accumulation of the proteins PI3C3, beclin-1 and p62. Furthermore, the increase in the p62 protein level together with the increase in the LC3-II level suggests that autophagic flux is impaired in the retinas of the EMB-treated group. To investigate the association between the apoptotic effect of EMB and the autophagy signal, we evaluated the level of cleaved caspase-3, a marker of apoptosis. We found a detectable level of cleaved caspase-3 in the EMB-treated group but no caspase-3 activation in the sham group (Fig. 2B). These findings suggest that the impaired autophagic flux in the EMB-treated group is associated with more autophagic cell death in RGCs.

We further evaluated the expression pattern of LC3 using immunohistochemical staining. In the sham retina, RGCs and RPE cells exhibited a diffuse cytoplasmic LC3 staining pattern (Fig. 2G,H). In the EMB-treated group, the LC3 immunoreactive staining was observed as clusters of intensely stained granules in the RGC and had a punctate appearance in the inner segments of the photoreceptor and RPE cells (Fig. 2I,J). This expression pattern is consistent with the autophagosome formation induced by EMB.

# EMB stimulates PKC $\delta$ activation and inhibits the PI3K/Akt/ mTOR signaling pathway in the retina

Early activation of PKC\delta is involved in hypoxic-stress-induced autophagic responses (Chen et al., 2008). Furthermore, it is well known that the class I phosphatidylinositol 3-phosphate kinase (PI3K)/Akt/mTOR/p70 ribosomal protein S6 kinase (p70S6K) signaling pathway is involved in regulating autophagy. Therefore, we evaluated the effect of EMB on these pathways using western blot analysis. As shown in Fig. 3, there was a significantly higher

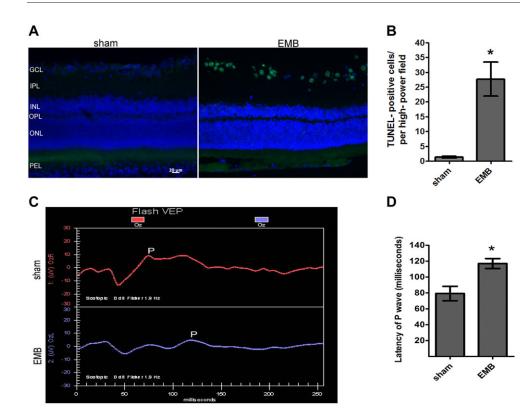
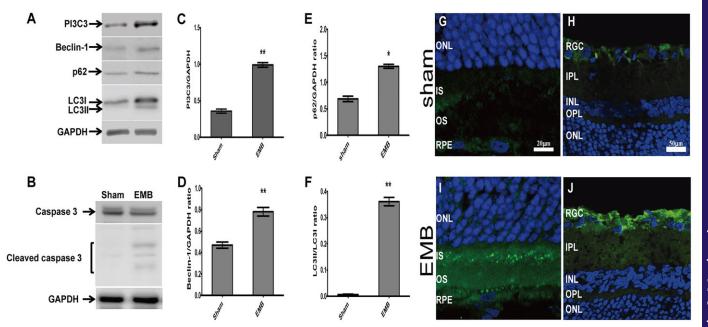


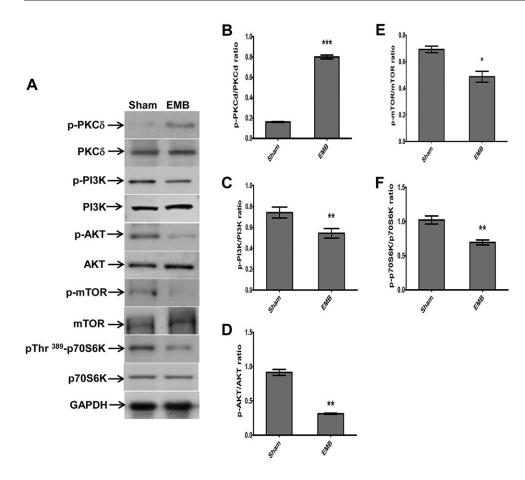
Fig. 1. TUNEL staining of retinas from sham and EMB-treated groups, and FVEP evaluation. (A) TUNEL-positive apoptotic cells (green) were counted in the GCL under a fluorescence microscope. GCL, ganglion cell layer; IPL, inner plexiform layer; INL, inner nuclear layer; ONL, outer nuclear layer; OPL, outer plexiform layer; PEL, pigmented epithelial layer. Scale bar: 20 µm. (B) The total number of TUNEL-positive cells from six sections from each rat was recorded for the sham and EMB-treated groups, and the average count (mean±s.e.m.) was calculated from three rats from each group. \*P<0.05. (C) Representative FVEP tracings after daily EMB IP injections over 10 days. P, P1 wave. (D) Latency of the P1 wave. The latency of P1 in the EMB-treated group (116.9±6.209 ms) was significantly longer compared with the sham group (79.2±9.045 ms). \*P<0.05.

level of phosphorylated PKC $\delta$  (Fig. 3B) in the EMB-treated group compared with the sham group. The levels of phosphorylated mTOR (Fig. 3E) and p70S6K (Fig. 3F) were significantly lower in the EMB-treated group compared with the sham group. To further

investigate the upstream inhibition of mTOR by EMB, we investigated the phosphorylation levels of PI3K and Akt. EMB treatment decreased the levels of phosphorylated PI3K (Fig. 3C) and Akt (Fig. 3D) in rat retinas.



**Fig. 2. EMB promotes the expression of cleaved caspase-3 and autophagic markers in the rat retina, and LC3 immunoreactivity is revealed in the rat retina.** (A,B) Immunoblot analysis of the expression levels of cleaved caspase-3 and the autophagic markers PI3C3, Beclin-1, p62 and LC3 in total protein extracts from retinas of the EMB-treated group and the sham group. Representative results from three independent experiments are shown. (C-F) Quantitative analyses of the PI3C3, Beclin-1, p62 and LC3 expression levels normalized to the internal control, GAPDH. The results are expressed as the means±s.e.m. from three independent experiments. \**P*<0.05, \*\**P*<0.01. (G,H) The sham-treated retina showed weak and diffuse staining patterns in the RPE (G) and RGC (H) layers. (I,J) More punctate LC3 fluorescent staining patterns were observed in the IS, RPE (I) and RGC (J) layers of the EMB-treated retina. IS, inner segment; IPL, inner plexiform layer; INL, inner nuclear layer; ONL, outer nuclear layer; OPL, outer plexiform layer; OS, outer segment; RGC, retinal ganglion cell; RPE, retinal pigmented epithelium.



### Fig. 3. Effects of EMB on the PKC $\delta$ and mTOR-PI3K signaling pathways.

(A) Western blot analysis of the expression levels of PKCδ, phospho-PKCδ, phospho-PI3K, phospho-Akt, phospho-mTOR and phospho-p70S6K in the total protein extracts from retinas of the EMB-treated and sham groups. Representative results from three independent experiments are shown. (B) Quantitative analysis of the PKCδ, PI3K, Akt and mTOR expression levels normalized to the internal control, GAPDH. The results are expressed as the means±s.e.m. from three independent experiments. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001.</p>

#### Rottlerin reduces EMB-induced caspase-3 activity and apoptosis in RGC-5 cells

As shown in Fig. 4 and supplementary material Fig. S1, EMB treatment induced cytoplasmic vacuole formation in RGC-5 cells, with the number and diameter of the vacuoles increasing as the time and dosage increased. To evaluate the cell damage caused by EMB, cell viability was determined using the 3-[4,5-dimethylthiazol-2yl]-2,5 diphenyl tetrazolium bromide (MTT) assay. EMB treatment decreased the RGC-5 viability in a dose-dependent manner (Fig. 4A,B). There was no significant difference in the reduction in viability at the 2 mM concentration (95.3±0.56%) between the EMB-treated cells and the control cells. However, the 3 mM (72.0 $\pm$ 0.65%) and 4 mM (42.1±0.8%) EMB concentrations significantly reduced the cell viability of the EMB-treated cells compared with the control cells (P<0.001). The 6 mM (11.5±0.62%) and 12 mM (9.3±0.7%) concentrations resulted in reductions in cell viability that were considered beyond the LD<sub>50</sub>. Because the 4 mM EMB treatment resulted in approximately 50 to 60% cell death, this concentration was used in the subsequent experiments. In the presence of EMB, 1 µM rottlerin, a PKCδ inhibitor, attenuated cytoplasmic vacuole formation and significantly increased the survival rate of RGC-5 cells.

Treating RGC-5 cells with EMB significantly increased the caspase-3/7 activity in a dose-dependent manner (Fig. 4C). After an 8-h treatment with 3, 4, 6 or 12 mM EMB, the caspase-3/7 activity in the cells increased by 2.6-, 5.2-, 5.9- and 6.3-fold, respectively, compared with the dimethyl sulfoxide (DMSO)-treated control cells. Rottlerin at a 1  $\mu$ M concentration significantly reduced the EMB-induced caspase-3/7 activity to nearly the basal level.

To further evaluate cell viability and to determine whether the cell loss observed after EMB treatment was caused by apoptosis, fluorescence-activated cell sorting (FACS) analysis using annexin V and propidium iodide (PI) was performed (Fig. 5A). The FACS analysis confirmed that greater than 90% of non-EMB-treated cells (control) were viable and not labeled with Annexin V or PI (data not shown). Exposure to EMB for 1, 4, 8 and 24 h significantly increased the number of apoptotic cells by 36.0%, 39.03%, 50.03% and 55.9%, respectively. Furthermore, the addition of 1  $\mu$ M rottlerin to cells treated with EMB for 1, 4, 8 and 24 h significantly reduced the number of apoptotic cells by 11.6%, 13.7%, 15.77% and 19.23%, respectively (all *P*<0.001) (Fig. 5B). These results indicate that the EMB-induced apoptosis of RGC-5 cells is mediated by the activation of PKC $\delta$  and the caspase-3 activity.

# Rottlerin reduces EMB-induced phosphorylation of PKC $\delta$ and upregulation of autophagic markers in RGC-5 cells

To gain further insight into the mechanism by which rottlerin attenuates the EMB-induced apoptosis in RGC-5 cells, we examined the levels of PKC $\delta$ , p70S6K and autophagic markers by immunoblot analysis (Fig. 6). Rottlerin significantly reduced the EMB-induced phosphorylation of PKC $\delta$  (Fig. 6B). The levels of phosphorylated p70S6K (Fig. 6C) were significantly higher in the EMB plus rottlerin (EMB+R)-treated group compared with the EMB-treated group. Rottlerin also significantly suppressed the EMB-induced upregulation of the autophagic markers PI3C3, beclin-1, p62 and LC3II. These data suggest that the EMB-induced PKC $\delta$  phosphorylation upregulates autophagy activity through suppression of PI3K/Akt/mTOR/p70S6K signaling.

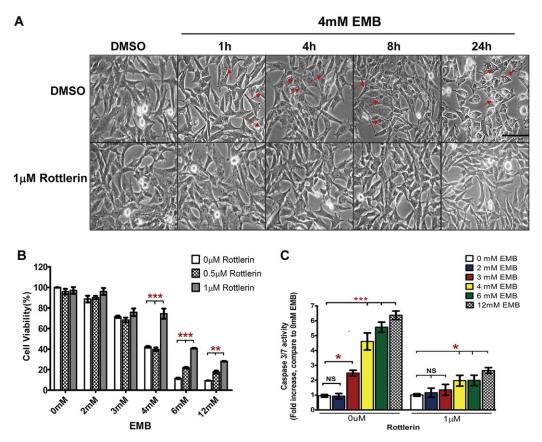


Fig. 4. The PKC $\delta$  inhibitor rottlerin attenuates EMB-induced vacuolar formation, caspase-3/7 activation and cell death in RGC-5 cells. (A,B) EMBinduced cytoplasmic vacuole formation (red arrow) occurs in a time- and dose-dependent manner. EMB treatment (4 mM) resulted in ~60% RGC-5 cell death, whereas 6 mM and 12 mM EMB treatments were very toxic to the cells, resulting in the death of 80 to 90% cells. The PKC $\delta$  inhibitor rottlerin protected the RGC-5 cells from EMB-induced vacuole accumulation and cell death. (C) Caspase-3/7 activity was significantly higher in cultures exposed to 4 to 12 mM EMB for 8 h (*P*<0.001 compared with the DMSO control; *n*=6). No significant increase in caspase-3/7 activity was observed in cultures incubated with 1  $\mu$ M rottlerin. \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001.

### EMB induces lysosome dilation and impairs autophagic flux in RGC-5 cells

Treating RGC-5 cells with 4 mM EMB induced cytosolic vacuole formation as soon as 1 h after exposure. To determine whether these vacuoles were derived from specific organelles, we stained RGC-5 cells with a dye specific for lysosomes (LysoTracker; Invitrogen), immunostained the cells with anti-LC3 antibody and then examined the cells via confocal microscopy. In the control cells, the lysosomes were small and very little LC-positive staining was detected (supplementary material Fig. S2A,C); in contrast, in the cells treated with EMB for 4 h, the lysosomes were significantly larger, and more punctate endogenous LC staining was observed (supplementary material Fig. S2B). The PKC8 inhibitor rottlerin inhibited EMBinduced vacuole formation and LC3-positive puncta formation in RGC-5 cells (supplementary material Fig. S2D).

To investigate whether the EMB-induced cytotoxicity was dependent on autophagic flux impairment, we measured autophagic flux in RGC-5 cells using mRFP-GFP tandem fluorescent-tagged LC3 (tfLC3) (Kimura et al., 2007). Because of the different pH stabilities of the green and red fluorescent proteins, the GFP-LC3 loses its fluorescent signal within the acidic lysosomal environment (pH below 5), but the mRFP-LC3 signal persists. Fluorescence images of RGC-5 cells expressing tfLC3 showed yellow puncta (RFP<sup>+</sup>GFP<sup>+</sup>), which are indicative of autophagosomes, and red puncta (RFP<sup>+</sup>GFP<sup>-</sup>), which are indicative of autolysosomes.

Only a small number of LC3 puncta were observed in the control cells (Fig. 7A). When tfLC3 was overexpressed in RGC-5 cells treated with EMB, we detected more autophagosomes ( $RFP^+GFP^+$ ) than autolysosomes (RFP<sup>+</sup>GFP<sup>-</sup>) (Fig. 7B). More than 76% of the LC3 puncta were autophagosomes  $(RFP^+GFP^+)$  (supplementary material Fig. S3). As expected, when RGC-5 cells were treated with 100 µM chloroquine (CQ), which effectively inhibits the fusion of autophagosomes and lysosomes (Klionsky et al., 2008), approximately 89% of the LC3 puncta were autophagosomes (Fig. 7C). We observed an increase in the number of yellow puncta without a concomitant increase in the number of red LC3 puncta in cells treated with EMB, indicating that the level of autophagosome fusion with lysosomes is lower in the presence of EMB. These results suggest that EMB impairs autophagic flux, which results in autophagosome accumulation in RGC-5 cells. In contrast, in EMB+ R-treated RGC-5 cells, the number of red puncta was higher than that of yellow puncta (Fig. 7D). Less than 30% of LC3 puncta are autophagosome. The results indicate that rottlerin attenuates EMBinduced autophagosome accumulation and promotes autophagic flux in RGC-5 cells.

#### DISCUSSION

The toxic effect of EMB in RGCs has been confirmed *in vitro* and *in vivo* in rodents (Heng et al., 1999; Yoon et al., 2000). This toxicity is mediated by zinc and lysosomal membrane permeabilization (Chung et al., 2009). Moreover, EMB produces a mitochondrial-coupling

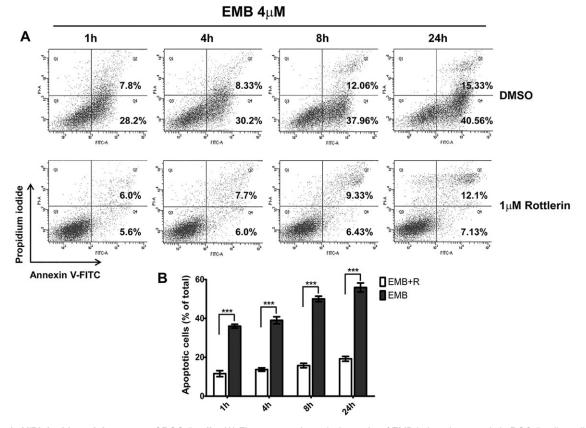


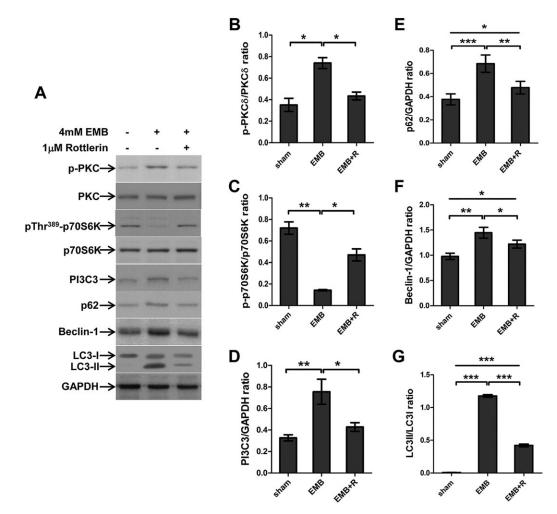
Fig. 5. Annexin V/PI double-staining assay of RGC-5 cells. (A) Flow cytometric analysis results of EMB-induced apoptosis in RGC-5 cells at different time points are shown. (B) A statistical graph of annexin V-FITC/PI staining is shown. The data averages for each time point were calculated using the results from three independent experiments. The results are expressed as the means $\pm$ s.e.m. Apoptotic cells included the Annexin V<sup>+</sup>/PI<sup>-</sup> cells and the Annexin V<sup>+</sup>/PI<sup>+</sup> cells. \*\*\**P*<0.001.

defect with a reduction in complex IV activity (Guillet et al., 2010). However, the link between EMB toxicity, RGC degeneration and lysosomal or mitochondrial dysfunction remains to be elucidated. In this study, we demonstrated for the first time that EMB activates PKCδ signaling, mediates caspase-3 activity and inhibits the PI3K/ Akt/mTOR pathway, which results in impaired autophagic flux and apoptosis of RGCs. The PKCS inhibitor rottlerin attenuated EMBinduced cytoplasmic vacuole formation and apoptosis in RGC-5 cells. Based on these findings, we propose a model for understanding the interrelationship between EMB-induced autophagy and apoptosis, which is regulated by PKC\delta (Fig. 8). According to this model, EMB induces PKCS activation and inhibits PI3K/Akt/mTOR signaling, which initially serves to promote autophagy. With sustained EMB treatment, increased PKCS phosphorylation causes the accumulation of autophagosomes, which fail to fuse with lysosomes, and an increase in caspase-3 activity promotes apoptosis of retina neuronal cells.

PKC $\delta$  maintains cellular homeostasis in response to diverse stimuli, including mechanical stress, pro-inflammatory cytokines and oxidative stress (Konishi et al., 2001; Larroque-Cardoso et al., 2013; Qi and Mochly-Rosen, 2008). It has been suggested that PKC $\delta$  plays a dual role in regulating autophagy and apoptosis during the early stage of the hypoxic response by promoting JNK1-mediated Bcl-2 phosphorylation and the dissociation of the Bcl-2/Beclin-1 complex, which results in autophagy induction (Chen et al., 2008). Furthermore, prolonged hypoxic stress causes the activation of PKC $\delta$  and caspase-3 (Clavijo et al., 2007), which is the major effector in the onset of apoptosis. In this study, we showed

that PKCS activation in EMB-treated retinas is required for the induction of the autophagic process and apoptosis. First, EMB treatment increases the expression level of Beclin-1 and promotes LC3-II formation and the accumulation of GFP-LC3 puncta. Second, EMB treatment induces PKCS activation and increases caspase-3/7 activity. The sustained activation of the PKCS and caspase-3 pathways leads to cell death. Furthermore, we demonstrated that rottlerin, a PKCS inhibitor, attenuates the EMBinduced PKC $\delta$  phosphorylation, upregulation of autophagic markers and caspase-3/7 activity, and reduces the apoptotic effect in RGC-5 cells. The previous studies showing that PKC<sub>0</sub>-dependent phosphorylation activates caspase-3 (Voss et al., 2005) and that PKCδ suppresses Akt phosphorylation (Clavijo et al., 2007; Murriel et al., 2004), which result in apoptosis induction, support our proposed model. Our findings advance our current understanding of the role that PKC $\delta$  plays in the EMB-induced cytotoxicity in the retina and suggest that PKCS might be involved in the crosstalk between autophagy and apoptosis that regulates the cell fate decision.

mTOR serine/threonine kinase functions as a molecular sensor of the cellular nutrient, energy and redox status, and its activity is inhibited under energy stress. mTOR signaling is a negative regulator of autophagy that ensures that the timing of autophagy induction is stringently controlled (Jung et al., 2010). Furthermore, links between the mTOR and caspase signaling pathways have also been proposed to be involved in regulating cell death (Castedo et al., 2002). In this study, we also investigated the relationship between mTOR signaling and autophagy in EMB-induced cytotoxicity in



**Fig. 6. Rottlerin reduces EMB-induced phosphorylation of PKCδ** and upregulation of autophagic markers. (A) Western blot analysis of the expression levels of PKCδ, phospho-PKCδ, p70S6K, phospho-p70S6K, and the autophagic markers PI3C3, Beclin-1, p62 and LC3 in the total protein extracts from RGC-5 cells of the sham, EMB and EMB+R-treated groups. Representative results from three independent experiments are shown. (B-G) Quantitative analysis of the PKCδ, p70S6K, PI3C3, p62, Beclin-1 and LC3 expression levels normalized to the internal control, GAPDH. The results are expressed as the means±s.e.m. from three independent experiments. \**P*<0.05, \*\**P*<0.001.

retina neuronal cells. Our results demonstrate that EMB induces apoptosis in the retina by downregulating the phosphorylation of PI3K, Akt, mTOR and p70S6K and by inhibiting PI3K/AKT/ mTOR signaling, which might be responsible for inducing autophagy. The expression levels of the autophagic markers PI3C3, Beclin-1, p62 and LC3-II were higher in EMB-treated retinas, and our immunohistochemical results also indicate that autophagosomes accumulate in EMB-treated retinas. Altogether, our findings suggest that PI3K/AKT/mTOR signaling plays an important role in the mechanism underlying the induction of the autophagy and apoptosis pathways in EMB-treated retinas.

EMB treatment increased the expression levels of Beclin-1 and PI3C3, which form the class III PI3K complex and are involved in the early phase of autophagy. Autophagy is a highly conserved lysosomal degradation process for breaking down and recycling cytoplasmic components and organelles (Glick et al., 2010; Klionsky and Emr, 2000). There are a series of evolutionarily conserved autophagy-related (Atg) proteins, essential for autophagy induction and autophagosome generation, maturation and recycling (Mizushima, 2011). LC3-1 is cleaved and subsequently conjugated with phosphatidylethanolamine to become LC3-II, which is involved in the elongation of autophagosomes. The level of

LC3-II correlates with the number of autophagosomes; therefore, the level of conversion of LC3-I to LC3-II can be used as an indicator for autophagic activity (Mizushima et al., 2010). The p62 protein is selectively incorporated into autophagosomes through direct binding to LC3-II and efficiently degraded in the autolysosome. Accordingly, the total p62 expression level is negatively correlated with autophagic flux (Mizushima et al., 2010). The western blot analysis revealed that the expression levels of LC3-II and p62 were significantly higher in EMB-treated retinas, which indicates that autophagic degradation and the fusion between autophagosomes and lysosomes have been inhibited. Therefore, it seems that the induction of autophagy by EMB is a secondary effect of the impaired autophagic flux. Similar conclusions were reached when autophagic flux was evaluated using the tfLC3 assay (Kimura et al., 2007). Consistent with the immunoblotting analysis results, RGC-5 cells treated with EMB demonstrated a decrease in autophagic flux, as shown by the increase in the number of yellow puncta (autophagosomes) without the concomitant increase in the number of red LC3 puncta (autolysosomes) per cell. These results imply that EMB treatment might impair the fusion of autophagosomes and lysosomes and might thus inhibit autophagic flux. Furthermore, we also demonstrated that treating RGC-5 cells with EMB leads to

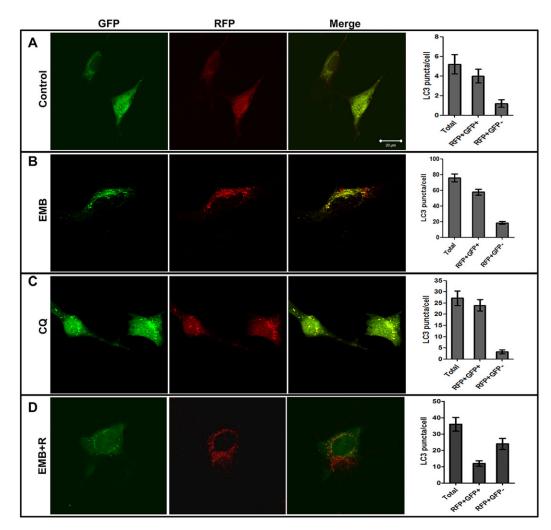


Fig. 7. Monitoring autophagic flux in RGC-5 cells using mRFP-GFP tfLC3. A comparison of the GFP and RFP tfLC3 signals under different conditions.
(A) Representative fluorescent images of and statistical analysis results for RGC-5 cells transiently transfected with tfLC3 without autophagy.
(B-D) Representative fluorescent images of and statistical analysis results for RGC-5 cells transiently transfected with tfLC3 and treated with 4 mM EMB (B), 100 μM chloroquine (CQ; C) and 4 mM EMB combined with 1 μM rottlerin (D) for 4 h. Puncta representing the autophagosomes (diameter >75 μm) and autolysosomes per cell were measured using ImageJ software. Scale bar: 20 μm.

lysosome dilation. Our results are consistent with the results from previous studies suggesting that the site of EMB toxic activity is the lysosome (Chung et al., 2009). These findings indicate that dysregulation in the autophagy-dependent protein degradation in the lysosomes might contribute to EMB-induced optic neuroretinopathy.

RGC-5 cells have been widely used to investigate the cellular and molecular mechanism of neuronal cell death in the retina. However, the RGC-5 cells are now reported to express photoreceptor marker and share certain features with 661W cells (Krishnamoorthy et al., 2013), an SV-40T-antigen-transformed mouse photoreceptor cell line (Tan et al., 2004). RGC-5 cells do not seem to be a suitable model for RGCs. This is a potential limitation of our study. Furthermore, abnormal electro-oculogram (EOG) (Yen et al., 2000) and decreased amplitude or delayed implicit time in multifocal electroretinogram (ERG) (Behbehani et al., 2005; Kardon et al., 2006; Lai et al., 2009) have been reported in some patients taking EMB. These clinical studies suggest the visual dysfunction might be attributable to toxicity of the retina rather than optic nerve. The toxic effects of EMB on retinal cells were also highlighted in previous studies (Chung et al., 2009; Liu et al., 2008; Tsai et al., 2008a;

Vistamehr et al., 2007). We also observed the LC3-stained punctate granules not only in the RGC but also in the inner segments of the photoreceptor and RPE cells (Fig. 2I,J) in the EMB-treated retina. This expression pattern is consistent with the autophagosome formation induced by EMB. The accumulated evidence indicates that the cytotoxic effect of EMB on the retinal cells might be associated with autophagy dysfunction. However, isolating and culturing primary retinal neuronal cells, such as RGCs or photoreceptors, is technically difficult and results in a limited number of cells that only survive for a few days (Grozdanov et al., 2010). Therefore, the goal of this study was to use the RGC-5 cell line to explore the molecular mechanism of EMB cytotoxicity in the retinal neuronal cells with the expectation that the in vitro data set obtained from RGC-5 cells can be verified in primary retinal neuronal cells in a future study. Furthermore, an in vitro cell line has high homogeneity and is easy to handle and manipulate, especially in the assay we used to monitor autophagic flux by tandem fluorescent-tagged LC3 for the analysis of autophagy in the neuronal cells. Most importantly, a stable immortal cell line better representing RGC characteristics should be developed in the near future.

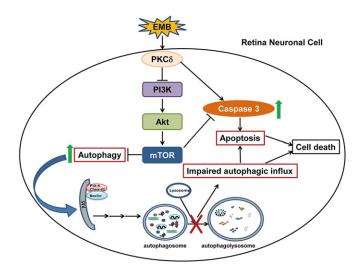


Fig. 8. Hypothetical mechanism for EMB-induced cytotoxicity in retina neuronal cells. EMB exposure induces PKC $\delta$  activation, which in turn suppresses the PI3K/Akt/mTOR pathway, promotes caspase-3/7 activity and is followed by autophagosome accumulation and impaired autophagic influx. The impaired autophagic influx and the increase in caspase-3/7 activation lead to the apoptotic death of retina neuronal cells.

In summary, we demonstrated that EMB causes impaired autophagic flux and apoptosis through the activation of PKC $\delta$  and the suppression of PI3K/Akt/mTOR signaling in rat retinas. Moreover, EMB-induced phosphorylation of PKC $\delta$ , upregulation of autophagic markers, and activation of caspase-3 activity and apoptosis in RGC-5 cells can be attenuated with the PKC $\delta$  inhibitor rottlerin. These results further expand our understanding of the mechanism underlying the cytotoxic effects of EMB in the retina and provide new insights that might promote the development of protective strategies against EMB-induced optic neuroretinopathy.

#### **MATERIALS AND METHODS**

#### Animal experiment for toxic effects of EMB

Thirty adult male Wistar rats weighing 150-180 g were treated with EMB (35 mg/kg body weight per day) by daily IP injection for 10 consecutive days. The EMB dose was increased by approximately 40% over the recommended dose (25 mg/kg body weight per day) to ensure that the ocular side-effects were induced by EMB after 10 days of administration. Twenty rats were treated with PBS and served as controls. All of the animal experiments were performed in accordance with the ARVO statement for the use of Animals in Ophthalmic and Vision Research and were approved by the IACUC at Tzu Chi General Hospital.

#### Flash visual-evoked potentials (FVEPs)

For the functional evaluation of the optic nerve, FVEPs were recorded 10 days after the intraperitoneal injection of EMB or PBS in rats. An isolated silver plate electrode was placed extradurally through a 2-mm diameter craniotomy over the visual cortex using the stereotactic coordinates (bregma -8 mm, lateral 3 mm) and a modified method described by Ohlsson et al. (2004). We used a visual electrodiagnostic system (UTAS-E3000, LKC Technologies, Gaithersburg, MD) to measure FVEPs (Tsai et al., 2008b). Briefly, the recording electrodes in the occipital area and a reference electrode in the frontal area were connected separately with silver wires while the rat was under general anesthesia. An ear clip was placed firmly on the ear lobe. The settings were background illumination off, a flash intensity of Ganzfeld 0 db, single flash with flash rate on 1.9 Hz, the test average at 80 sweeps, the threshold for rejecting artifacts at 50 mV and a sample rate of 2000 Hz. We compared the latency of the first positive wave (P1) of the FVEP between the sham and EMB-treated groups (n=6 in each group).

#### **Cell culture and viability assays**

The RGC-5 cell line was kindly provided by Dr Neeraj Agarwal (National Eye Institute, Bethesda, MD). RGC-5 cells were maintained in low-glucose Dulbecco's modified Eagle's medium containing 10% fetal bovine serum (FBS) in a humidified atmosphere with 5% CO<sub>2</sub> at 37°C. Cell viability, evaluated as mitochondrial activity, was determined by measuring the dehydrogenase activity retained in the cells using the MTT (Sigma-Aldrich) assay. The assay is based on the ability of living cells to reduce MTT into insoluble formazan. Briefly, cells (1500 cell per well) were seeded onto 96-well plates and treated with 0 to 12 mM EMB for 24 h. After 24 h, the cells were incubated in 0.5 mg/ml MTT (100 ml per well) for 2 h in a humidified 5% CO2 incubator at 37°C. The medium was then removed, and 100 ml of DMSO (Sigma-Aldrich) was added to solubilize the formazan product. The absorbance was read at 540/690 nm using an enzyme-linked immunosorbent assay (ELISA) reader (Labsystems Multiskan, Helsinki, Finland). Data were expressed as cell survival percentages compared with the control cultures (maintained in 10% FBS), which were set to 100%.

#### Assays of caspase-3/7 activity

RGC-5 cells were cultured, and the caspase-3/7 activity was measured using an assay kit (Apo-ONE Homogeneous Caspase-3/7 activity; Promega, Madison, WI) according to the manufacturer's protocol. Briefly, the assay reagent (100  $\mu$ l) was directly added to each well at room temperature. The plates were shaken at 500 rpm for 30 s, and caspase-3/7 activation was determined by measuring the fluorescence intensity (excitation at 480 nm, emission at 520 nm) using a fluorescence plate reader. The intensity was expressed as the fold change compared with the control. At least three independent replicates were run for each set of experiments to confirm the consistency of our findings.

#### Flow cytometry for apoptosis detection

RGC-5 cells were seeded onto 24-well plates and treated with DMSO (control), 4 mM EMB or 4 mM EMB/rottlerin and incubated for 1, 4, 8 or 24 h. Apoptosis was measured by flow cytometry using the fluorescein isothiocyanate (FITC) Annexin V/Dead Cell Apoptosis Kit (Invitrogen) according to the manufacturer's protocol. Briefly, the cells were dissociated with trypsin, fixed in 70% ethanol at  $-20^{\circ}$ C and incubated in dichlorofluorescein (DCF, 5 mM, Invitrogen) for 30 min at 37°C to measure the intracellular reactive oxygen species (ROS) production in the viable cells. 3,3'-dihexyloxacarbocyanine iodide [DiOC<sub>6</sub>(3), 50 nM 15 min; Invitrogen] was used to determine the mitochondrial membrane potential, and PI (1 mg/ml, Sigma) was used to determine the cell cycle distribution. After staining, the cellular DNA content was evaluated by FACS flow cytometry using Cell Quest software (Becton Dickinson and Company, USA). The proportion of cells in each cell cycle phase was determined using the Windows multiple document interface for flow cytometry (WinMDI) software.

#### **Immunoblot** analysis

Protein extracts from EMB-treated or sham group rat retinas were prepared using NP-40 lysis buffer (50 mM Tris-HCl pH 7.6, 150 mM NaCl, 1% NP-40 and 1 mM EDTA). After washing with serum-free medium, RGC-5 cells treated with DMSO (control), 4 mM EMB or 4 mM EMB/rottlerin for 24 h were suspended in NP-40 lysis buffer and centrifuged. The protein concentrations were determined using the bicinchoninic acid (BCA) protein assay kit (Pierce). The same amount of protein from each sample was separated by 10% sodium dodecyl sulfate/polyacrylamide gel electrophoresis (SDS/PAGE) and then transferred to polyvinylidene difluoride (PVDF) membranes. Membranes were blocked in 5% dry milk and were then probed with different primary antibodies [anti-PI3C3, anti-phospho-PKCô, anti-Akt, anti-phospho-Akt, anti-mTOR, anti-phospho-mTOR, anti-p70S6K, antiphospho-p70S6K, anti-caspase, anti-cleaved caspase-3 (Cell Signaling), anti-Beclin-1, anti-LC3 (Abcam), anti-p62 and anti-PKCS (Santa Cruz Biotechnology)] overnight at 4°C. After washing, the blots were incubated in the appropriate anti-horseradish-peroxidase-conjugated secondary antibody (1:10,000; Bio-Rad) at room temperature for 1 h. The proteins on the membranes were detected using an enhanced chemiluminescence (ECL) system (Amersham Biosciences). The blots were also probed with an antibody for glyceraldehyde-3-phosphate dehydrogenase (GAPDH) as an internal

loading control. Densitometric analysis was conducted using ImageJ software. Each experiment was repeated three times with independent retinal samples from different animals.

#### Immunohistochemistry

To further evaluate the LC3 expression patterns in the retinas from the EMBtreated and sham groups, we used a fluorescent immunohistochemical staining method as previously described (Huang et al., 2010). Briefly, the eyes were enucleated, the cornea was removed and the eyes were immediately immersed in 4% (w/v) paraformaldehyde (PFA) in 0.1 M PBS for 2 h at room temperature. The eyes were rinsed in PBS (pH 7.4), cryoprotected in a 30% sucrose-PBS solution overnight at 4°C and then embedded in OCT (ornithine carbamyl transferase) (Tissue-Tek). Sections  $(7 \,\mu m)$  were cut through the optic nerve of the retina using a cryostat. The collected sections were washed in 0.1 M PBS, blocked in blocking buffer [1% bovine serum albumin (BSA), 1% NGS, 1% Triton X-100 in 1× PBS] and incubated in an anti-rabbit LC3 polyclonal antibody (PAb, 1:2000; Abcam) overnight at 4°C. To visualize the bound primary antibody, sections were incubated in secondary antibody conjugated to Alexa Fluor 488 (1:500; Invitrogen) and TO-PRO-3 (1:2500; Invitrogen), a nuclear stain, for 1 h at room temperature. The sections were visualized and photographed using a Zeiss confocal laser-scanning microscope (Carl Zeiss).

#### **Plasmids and cell transfection**

The mRFP-eGFP-LC3 construct created by T. Yoshimori (Kimura et al., 2007) (ptfLC3; Addgene plasmid 21074) was obtained from Addgene. Cells were pooled, seeded in chamber slides and cultured for 24 h before treatment. To analyze the autophagic flux, RGC-5 cells were transfected with ptfLC3-expressing plasmid using XtremeGENE 9 (1/2 ratio) according to the manufacturer's instructions (Roche Diagnostics) for 48 h and then treated with 4 mM EMB, 4 mM EMB and 1  $\mu$ M rottlerin, 100  $\mu$ M CQ or DMSO for 4 h. Cells were washed in PBS and fixed in freshly prepared 4% PFA. After three washes with PBS, the cells were mounted. Autophagic flux was determined by evaluating patterns of GFP and RFP puncta under a Zeiss confocal laser-scanning microscope and quantifying the LC3 puncta (puncta/cell were counted) using ImageJ software. Results were obtained from three independent experiments with at least 100 cells analyzed.

#### Immunocytochemistry

RGC-5 cells were treated with 4 mM EMB or DMSO for 4 h and then stained with 75 nM LysoTracker Red DND-99 (Invitrogen) in DMEM for 30 min in a humidified  $CO_2$  incubator. Next, the cells were fixed with 4% (v/v) paraformaldehyde for 15 min at room temperature and permeabilized with 0.2% (v/v) Triton X-100 for 15 min. After blocking with 2% (w/v) BSA, the fixed cells were incubated in anti-LC3 (1:400; Abcam) antibody for 1 h at room temperature. The stained cells were washed and then incubated in a fluorescence-conjugated secondary antibody (Alexa-Fluor-568–goat anti-rabbit IgG, 1:500; Invitrogen) and TO-PRO-3 (Invitrogen) for 1 h at room temperature. For negative controls, cultured cells were incubated in secondary antibody only.

#### **Statistical analysis**

Statistical significance was determined using a two-tailed Student's *t*-test and a one-way ANOVA followed by Bonferroni's multiple comparison test. Data are presented as the means $\pm$ s.e.m. In all cases, a *P*<0.05 was considered statistically significant.

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#### **Competing interests**

The authors declare no competing or financial interests.

#### Author contributions

Conceived and designed the experiments: S.-P.H., J.-Y.C. and R.-K.T. Performed the experiments: S.-P.H. and J.-Y.C. Analyzed the data: S.-P.H. and J.-Y.C.

Contributed reagents/materials/analysis tools: S.-P.H. and R.-K.T. Wrote the paper: S.-P.H., J.-Y.C. and R.-K.T.

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#### Supplementary material

Supplementary material available online at

http://dmm.biologists.org/lookup/suppl/doi:10.1242/dmm.019737/-/DC1

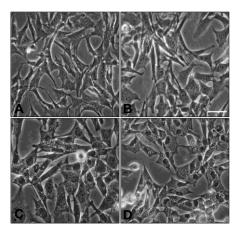
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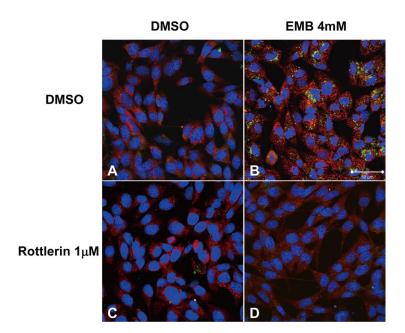
### **Supplementary Figures**



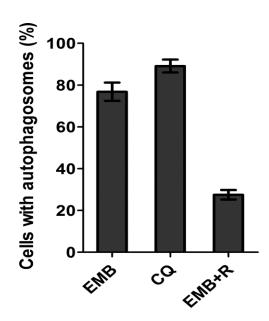
Supplementary Figure 1. EMB induces cytoplasmic vacuole formation in

RGC-5 cells. RGCs were treated with (A) DMSO, (B) 4 mM EMB, (C) 6 mM

EMB and (D) 12 mM EMB for 4 h.



Supplementary Figure 2. EMB treatment induces lysosomal dilation in RGC-5 cells. Confocal microscopy images of LysoTracker (red) and immunofluorescence staining of endogenous LC3 (green) in RGC-5 cells after treating with DMSO (A), 4 mM EMB (B), DMSO and 1  $\mu$ M Rottlerin (C) or 4 mM EMB and 1  $\mu$ M rottlerin (D) for 4 h. The number of cytoplasmic vacuoles that formed after EMB treatment corresponded closely with the number of dilated lysosomes and the increased number of LC3-positive puncta in RGC-5 cells. Rottlerin treatment (1  $\mu$ M) inhibited EMB-induced vacuole formation and decreased the number of LC3-positive puncta. Scale bar, 50  $\mu$ m.



### Supplementary Figure 3. Quantitative analysis of autophagosome puncta

in RGC-5 cells. At least 100 cells were examined for each experimental group.

Data shown are the means  $\pm$  SEM of three independent experiments.