

## RESEARCH ARTICLE

# A novel floor plate boundary defined by adjacent *En1* and *Dbx1* microdomains distinguishes midbrain dopamine and hypothalamic neurons

Navid Nouri and Rajeshwar Awatramani\*

## ABSTRACT

The mesodiencephalic floor plate (mdFP) is the source of diverse neuron types. Yet, how this structure is compartmentalized has not been clearly elucidated. Here, we identify a novel boundary subdividing the mdFP into two microdomains, defined by engrailed 1 (*En1*) and developing brain homeobox 1 (*Dbx1*). Utilizing simultaneous dual and intersectional fate mapping, we demonstrate that this boundary is precisely formed with minimal overlap between *En1* and *Dbx1* microdomains, unlike many other boundaries. We show that the *En1* microdomain gives rise to dopaminergic (DA) neurons, whereas the *Dbx1* microdomain gives rise to subthalamic (STN), premammillary (PM) and posterior hypothalamic (PH) populations. To determine whether *En1* is sufficient to induce DA neuron production beyond its normal limit, we generated a mouse strain that expresses *En1* in the *Dbx1* microdomain. In mutants, we observed ectopic production of DA neurons derived from the *Dbx1* microdomain, at the expense of STN and PM populations. Our findings provide new insights into subdivisions in the mdFP, and will impact current strategies for the conversion of stem cells into DA neurons.

**KEY WORDS:** Floor plate, Dopamine, Subthalamic, Fate map, Boundary, Mouse, Premammillary

## INTRODUCTION

Borders are defined when territorial disputes are resolved. This is also the case in early embryonic CNS development, when neighboring progenitor zones are vying for territory, ultimately settling the conflict by agreement on a boundary (Briscoe and Small, 2015; Dasen and Jessell, 2009; Kiecker and Lumsden, 2005; Prochiantz and Di Nardo, 2015). Typically, diffusible morphogens drive the expression of transcription factors initially in a ‘fuzzy’ manner, which then through cross-repressive interactions establish sharply defined, molecularly distinct progenitor zones. Accurate settling of these disputes is crucial, as they ultimately determine the numbers and types of neurons produced, with consequent outcomes on physiology and behavior. Recent papers have relied on gene expression patterns and fate mapping, to develop more sophisticated maps of the developing CNS (Bedont et al., 2015; Puelles et al., 2013; Puelles and Rubenstein, 2015; Shimogori et al., 2010; Swanson, 2012; Thompson et al., 2014). Despite significant progress, these recent

reviews acknowledge that current models are imperfect and that further evaluation using modern molecular tools is imperative.

Recently, much work has focused on the progenitor zone that gives rise to dopamine (DA) neurons in the midbrain, with a goal of deriving DA neurons from stem cells, for modeling and ultimately treating Parkinson’s disease (Arenas et al., 2015; Blaess and Ang, 2015; Smidt and Burbach, 2007; Smits et al., 2006; Studer, 2012). In the developing CNS, DA neurons arise from the mesodiencephalic floor plate (mdFP), here defined by the co-expression of *Shh*, *Foxa2* and *Lmx1a* rostral to the midbrain-hindbrain boundary (MHB), due to the concerted action of *Shh*, *Fgf* and *Wnt* signaling pathways (Arenas et al., 2015; Blaess and Ang, 2015; Joksimovic and Awatramani, 2014; Lahti et al., 2012; Luo and Huang, 2016; Smits et al., 2006; Wurst and Prakash, 2014). In terms of boundaries delimiting the DA progenitor zone, several studies have demonstrated that the caudal limit of DA neuron production is at the MHB defined by the caudal limit of *Otx2* expression (Brodski et al., 2003; Joyner et al., 2000; Simeone et al., 2002). Forced ectopic expression of *Otx2* in the hindbrain floor plate (FP) results in DA neuron production in the hindbrain (Ono et al., 2007), whereas loss of *Otx1/2* results in expanded hindbrain at the expense of midbrain (Omodei et al., 2008; Puelles et al., 2004; Simeone et al., 2002). Along the dorsoventral (D-V) axis, several studies have demonstrated that the *Shh*<sup>+</sup>/*Foxa2*<sup>+</sup> domain is subdivided into at least two main domains defined by *Lmx1a/b* and *Nkx6-1/Sim1/Neurog1* (Andersson et al., 2006; Blaess et al., 2011; Hayes et al., 2011; Joksimovic et al., 2009; Nakatani et al., 2010; Nouri et al., 2015; Prakash et al., 2009). The *Lmx1a/b* domain is the origin of most DA neurons, whereas the *Nkx6-1/Sim1/Neurog1* domain is the source of *Pou4f1*<sup>+</sup> red nucleus (RN) neurons and other smaller populations. Knockouts of *Lmx1a/b* results in fewer DA neurons and ectopic *Pou4f1*<sup>+</sup> neurons from the DA domain (Deng et al., 2011; Yan et al., 2011), whereas forced ectopic expression of *Lmx1a/b* result in DA neuron production from the *Nkx6-1/Sim1/Neurog1* domain at the expense of RN neurons (Anderegg et al., 2013; Andersson et al., 2006; Lin et al., 2009; Nakatani et al., 2010). Conversely, forced ectopic expression of *Sim1* results in the production of *Pou4f1*<sup>+</sup> neurons from the *Lmx1a/b* domain, at the expense of DA neurons (Nakatani et al., 2010). Thus, many studies have characterized the caudal and dorsal boundaries of the DA progenitor zone. In contrast, rostrally, it is not even clear whether there is a boundary in the strict sense, i.e. a lineage-restricted compartment (Kiecker and Lumsden, 2005), or whether DA neuron production merely tapers off, due to lack of fibroblast growth factors or other diffusible signals.

Is the mdFP subdivided into compartments along the anteroposterior (A-P) axis? In the early embryo, differentiating *Th*<sup>+</sup> DA neurons are observed in the midbrain with a caudal limit at the MHB. The anterior limit of this *Th*<sup>+</sup> DA cohort is approximately near the base of the zona limitans intrathalamica (ZLI), the boundary between presumptive developmental segmental units, prosomeres 2

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and 3 (p2 and p3) (Joksimovic et al., 2009; Nouri et al., 2015; Puelles and Rubenstein, 2015). Because these neurons appear to be generated from the midbrain as well as presumptive p1, p2 and p3, some have called these mesodiencephalic DA neurons (Puelles and Rubenstein, 2015; Veenivliet and Smidt, 2014), as opposed to midbrain DA neurons (Arenas et al., 2015; Blaess and Ang, 2015). Interestingly, most of the currently known DA progenitor markers, including *Shh*, *Wnt1*, *Otx2*, *Neurog2*, *Lmx1a/b*, *Foxa1/2* and *Msx1*, among others, are expressed rostrally, well beyond the ZLI, into presumptive p3 of the diencephalon (Alvarez-Bolado et al., 2012; Andersson et al., 2006; Ellis et al., 2012; Lahti et al., 2012; Nouri et al., 2015; Puelles and Rubenstein, 2015). Why DA neurons are not produced from the entire A-P axis of the *Shh*<sup>+</sup>/*Foxa2*<sup>+</sup>/*Lmx1a*<sup>+</sup> mdFP, despite the presence of key signaling molecules and transcription factors, is a conundrum. One possibility is that the mdFP is subdivided along the A-P axis into lineage-restricted microdomains. Such a possibility is indeed depicted in prosomere-based models of the segmentally divided embryonic CNS (Björklund and Dunnett, 2007; Puelles and Rubenstein, 2015; Rubenstein et al., 1994; Smidt and Burbach, 2007; Smits et al., 2006, 2013), but evidence for such microdomains within the *Shh*<sup>+</sup>/*Foxa2*<sup>+</sup>/*Lmx1a*<sup>+</sup> mdFP is limited. These models of the subdivided mdFP are based on prosomeric gene expression patterns in more dorsal CNS structures, and these genes are often not expressed in the mdFP. Thus, it remains open whether the mdFP is a compartmentalized structure. In this regard, studies in more caudal regions of the CNS, such as the hindbrain, demonstrate that the FP does not have segmental divisions both in terms of gene expression and cell mixing capability (Fraser et al., 1990; Seitanidou et al., 1997; Wilkinson et al., 1989). In contrast, the neighboring hindbrain neuroepithelium is the archetype of the segmentally subdivided CNS (Kiecker and Lumsden, 2005).

To test whether the *Shh*<sup>+</sup>/*Foxa2*<sup>+</sup>/*Lmx1a*<sup>+</sup> mdFP is subdivided into microdomains, we examined transcription factors that could potentially segregate this region along the A-P axis. Engrailed 1 (*En1*) is known to be expressed in the midbrain beginning at about embryonic day (E) 8.0 (Chi et al., 2003; Davis and Joyner, 1988) and encompasses the majority of the DA primordium, excluding a small number of *Th*<sup>+</sup> neurons at the rostral-most extent (Lahti et al., 2012). Engrailed 1 and 2 (*En1/2*) are important for DA neuron generation and survival (Alberi et al., 2004; Alvarez-Fischer et al., 2011; Alves dos Santos and Smidt, 2011; Ellis et al., 2012; Nordström et al., 2015; Rekaik et al., 2015; Simon et al., 2001; Veenivliet et al., 2013). Moreover, engrailed proteins are involved in various boundary formation systems such as the diencephalic-dorsal midbrain boundary (Matsunaga et al., 2000; Scholpp et al., 2003) and a D-V boundary in the limb apical ectodermal ridge (Kimmel et al., 2000). In contrast, developing brain homeobox 1 (*Dbx1*) expression is excluded from the ventral midbrain, but is expressed in the caudal ventral diencephalon at this stage (Causseret et al., 2011; Shoji et al., 1996; Sokolowski et al., 2016). In the spinal cord, *Dbx1* has been shown to repress *En1* indirectly (Karaz et al., 2016; Pierani et al., 2001). Taking these previous studies into consideration, we sought to examine these two transcription factors in more detail. We reveal two precisely established and abutting microdomains within the mdFP, their diverse neuronal descendants, and a sufficient role for *En1* in expanding DA neuron production along the A-P axis.

## RESULTS

### The mdFP gives rise to DA and non-DA neuron populations

To define the A-P extent of the mdFP and its derivatives, we examined *Foxa2* expression in sagittal sections of *Shh::Cre*, *RC::NZG* embryos, in which nuclear  $\beta$ gal is activated in the *Shh* domain

(in figures this reporter is referred to as *R.NZG*). Consistent with the literature and our previous observations (Alvarez-Bolado et al., 2012; Echelard et al., 1993; Nouri et al., 2015; Puelles and Rubenstein, 2015),  $\beta$ gal<sup>+</sup>/*Foxa2*<sup>+</sup> cells are observed in the midbrain, and well rostral to the ZLI, in the diencephalon (Fig. 1A–B'). Rostral to the  $\beta$ gal<sup>+</sup>/*Foxa2*<sup>+</sup> region,  $\beta$ gal<sup>+</sup>/*Foxa2*<sup>−</sup> cells are observed. The *Shh* domain therefore encompasses the *Foxa2* domain, but also extends significantly more anteriorly than the *Foxa2* domain.

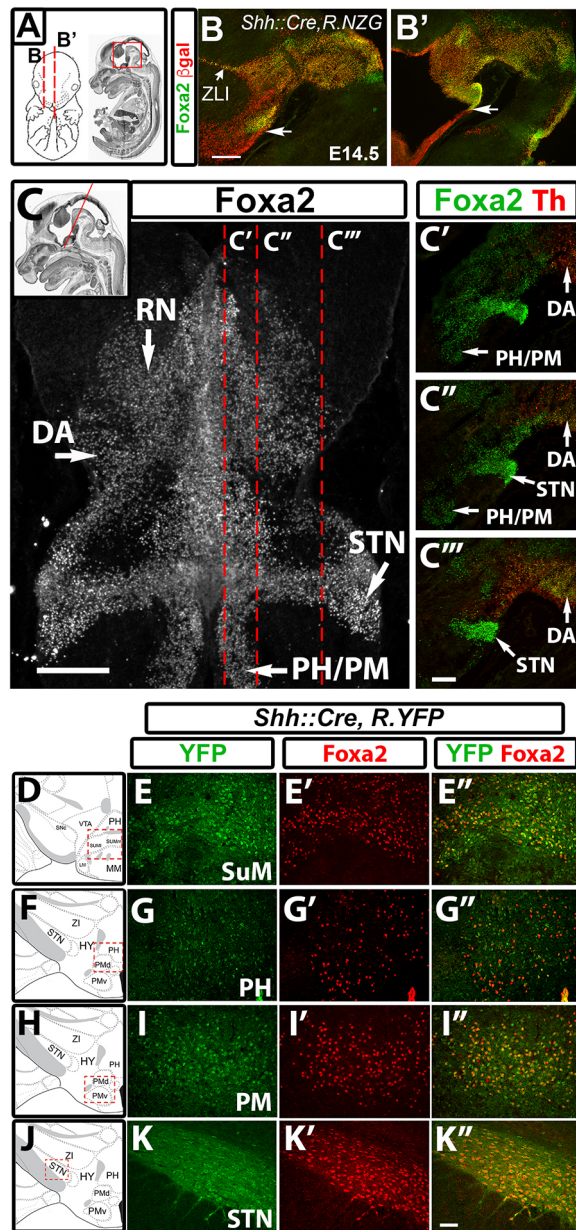
At midbrain levels, *Shh*/*Foxa2* derivatives have been previously described, and include DA and RN populations (Blaess et al., 2011; Hayes et al., 2011; Joksimovic et al., 2009). To identify mdFP derivatives rostral to the midbrain, we examined coronal sections through the rostral midbrain/caudal diencephalon. *Foxa2*-labeled cells are observed in two streams apparently migrating tangentially from the ventricular zone – one mediolaterally and the other rostrally (Fig. 1C). These *Foxa2*<sup>+</sup> cells are located rostral to *Th*<sup>+</sup> DA neuron populations. *Shh* fate maps combined with *Foxa2* immunolabeling reveal *YFP*<sup>+</sup>/*Foxa2*<sup>+</sup> cells in the subthalamic (STN), supramammillary (SuM), posterior hypothalamic (PH) and premammillary (PM) nuclei, suggesting that these neuronal populations are derived from the mdFP (Fig. 1D–K").

We next examined the A-P extent of *Foxa2* and *Lmx1a* expression in relation to DA neuron production from the mdFP (Fig. 2A–C"). In mid-sagittal sections of E9.5–E12.5 embryos, *Foxa2* and *Lmx1a* are co-expressed at the midline; *Th*<sup>+</sup> DA neurons are observed in the midbrain, but are excluded from more rostral portions of the *Foxa2*<sup>+</sup>/*Lmx1a*<sup>+</sup> domain. This observation led us to hypothesize that the mdFP is subdivided along the A-P axis into two microdomains – one giving rise to DA neurons, and a second giving rise to non-DA neurons. To investigate this hypothesis, we searched for genes in the literature, particularly transcription factors expressed early in development that could subdivide the mdFP in a meaningful manner towards early CNS patterning. Consistent with previous reports (Davis and Joyner, 1988; Simon et al., 2001), we found the transcription factor *En1* expressed in the early ventral midbrain (Fig. 2D). In contrast, the transcription factor *Dbx1* was expressed in the ventral diencephalon, but appeared to be excluded from the ventral midbrain (Fig. 2D') (Causseret et al., 2011; Shoji et al., 1996). These factors appear to subdivide the mdFP along the A-P axis at E9, although at later stages a gap between these two domains is observed, probably owing to the dynamic expression of these factors (Fig. S1).

To correlate these two progenitor domains with possible postmitotic neuron markers, we examined the expression of two markers, *Pitx3* and *Pitx2* (Martin et al., 2004; Smidt et al., 1997). Our analysis revealed adjacent, non-overlapping populations of *Pitx3*<sup>+</sup> DA and *Pitx2*<sup>+</sup> non-DA neurons (Fig. 2E,E'). We also observed *Pitx2*<sup>+</sup> expression within more dorsal midbrain regions representing non-DA populations (Martin et al., 2004; Skidmore et al., 2008). Thus, early *En1* expression appears to align with the majority of the *Pitx3*<sup>+</sup> DA domain. In contrast, the adjacent *Dbx1* microdomain corresponds to a region containing non-DA, *Pitx2*<sup>+</sup> neurons.

### Intersectional and dual recombinase fate maps reveal that the mdFP is subdivided by adjacent *En1* and *Dbx1* microdomains with minimal developmental overlap

*En1* and *Dbx1* appear to mark non-overlapping regions of the mdFP. We next investigated (1) whether the *En1* and *Dbx1* microdomains in this specific region abut, forming a novel floor plate boundary; (2) whether there is any overlap of *En1* and *Dbx1* expression within progenitor cells of the mdFP at any time during early development; and (3) whether, if such overlapping cells are identified, they represent specific and novel subsets of DA neurons.



**Fig. 1. DA neuron production is restricted within the *Shh*<sup>+</sup>/*Foxo2*<sup>+</sup> mdFP along the A-P axis.** (A) Schematics of an E14.5 embryo (taken from [emouseatlas.org](http://emouseatlas.org) and Allen Brain Atlas) with plane of sections shown in B, B' indicated. (B, B') The *Foxo2*<sup>+</sup> domain is restricted within the broader *Shh*::*Cre*, *RC*::*NZG* domain ( $\beta$ gal) along the A-P axis (large arrows indicate anterior end of the *Foxo2* domain). (C-C''') *Foxo2* immunolabeling reveals the developing DA RN populations, and apparent tangential migration of STN, PH and PM populations. Inset: schematic depicting plane of section. Dashed red lines represent sagittal planes depicted in C'-C''', labeled with *Foxo2* and Th. (D-K'') *Shh*::*Cre*, *R.YFP* P28 brain sections labeled for *Foxo2* and YFP. Red dashed boxes in schematics indicate the areas shown. HY, hypothalamus; LM, lateral mammillary nucleus; MM, medial mammillary nucleus; PH, posterior hypothalamic nucleus; PMd, dorsal pre-mammillary nucleus; PMv, ventral pre-mammillary nucleus; SUMl, supramammillary nucleus, lateral part; SUMm, supramammillary nucleus, medial part; ZI, zona incerta. Scale bars: 200  $\mu$ m (B, B'); 100  $\mu$ m (C'-C'''); 50  $\mu$ m (E-K'').

To answer these questions, we designed a combined intersectional and dual fate-mapping approach to label *En1* and *Dbx1* microdomains, and any overlapping regions within the same embryo. We used two recombinase drivers, *Dbx1*::*Cre* (Fig. S2D, D') (Bielle et al.,

2005) and *En1*::*Dre* (Plummer et al., 2016) in combination with two separate reporter alleles *RC*::*RLTG* (Rosa-CAG-rox-FRT-loxP-tdTomato-eGFP) and *RC*::*NZG* (Rosa-CAG-loxP-PGKNeo-FRT-nlsLacZ-eGFP) (Fig. 3A) (Plummer et al., 2015; Yamamoto et al., 2009). In such embryos, the intersectional reporter *RC*::*RLTG* produces expression of tdTomato after *En1*::*Dre* recombination, and eGFP after intersectional *En1*::*Dre* and *Dbx1*::*Cre* recombination events; *RC*::*NZG* expresses *nls-lacZ* after *Dbx1*::*Cre* recombination events. This approach allowed us to examine at improved resolution, the establishment and juxtaposition of the *En1* and *Dbx1* microdomains.

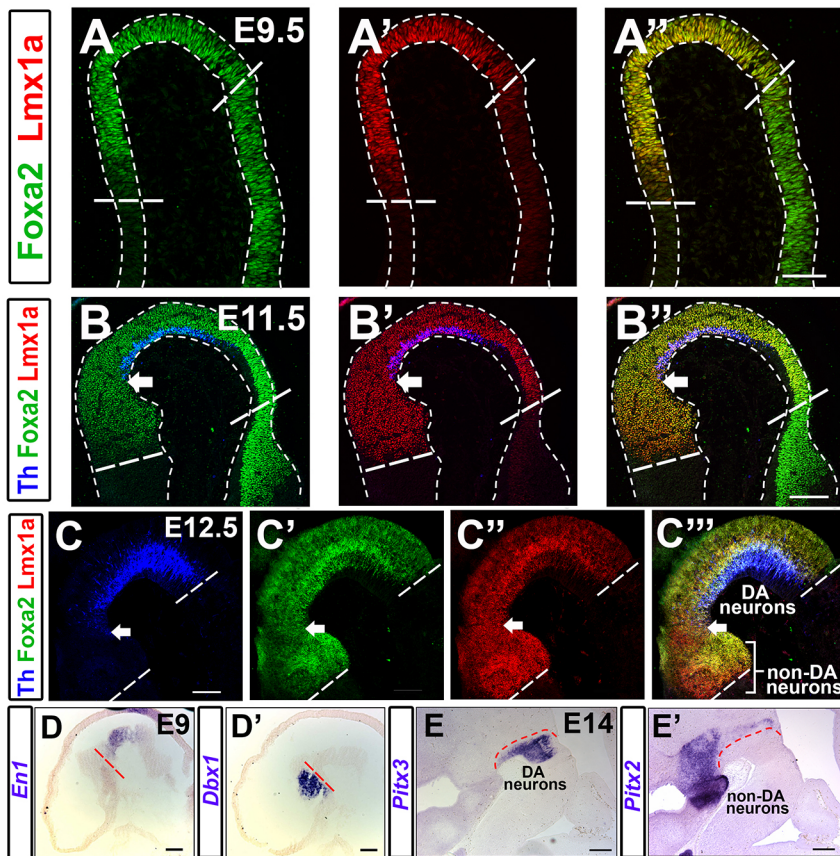
We analyzed mid-sagittal E9.5 and E11.5 sections of *En1*::*Dre*, *Dbx1*::*Cre*, *RC*::*RLTG*, *RC*::*NZG* embryos. Along the A-P axis of the mdFP, tdTomato<sup>+</sup> cells and  $\beta$ gal<sup>+</sup> cells form abutting microdomains with limited intermingling (Fig. 3B, C). These results demonstrate that a progenitor boundary is formed between the *En1* and *Dbx1* microdomains of the mdFP. Very few intersectionally labeled GFP<sup>+</sup> cells were observed near this boundary (Fig. 3B, D; Fig. S2A). Thus, although a boundary is formed, it does not appear to involve initial overlap of *En1* and *Dbx1* transcription factors, unlike some other boundaries (Kiecker and Lumsden, 2005).

We next correlated Th expression with differentially labeled cells in these embryos. Most Th<sup>+</sup> neurons were tdTomato<sup>+</sup>, and further, these were aligned with the *En1*<sup>+</sup> progenitor microdomain, which extends up to the ZLI (Fig. 3D, D'; Fig. S3). Interestingly, a small subset of Th<sup>+</sup> neurons were found outside the tdTomato-labeled microdomain, consistent with a previous study (Lahti et al., 2012) (Fig. 3D', thick arrow). These Th<sup>+</sup> cells were not GFP<sup>+</sup>, and appeared to be  $\beta$ gal<sup>+</sup>, suggesting that they were derived from the *Dbx1* domain (addressed later in this article). Taken together, these data support a model wherein the *En1* microdomain of the mdFP mainly demarcates the rostral limit of DA neuron production (barring a small cohort of Th<sup>+</sup> neurons), and the *Dbx1* microdomain delineates the boundary of neighboring non-DA neurons.

Other embryonic regions were also GFP<sup>+</sup>, including the dorsal midbrain, hindbrain neurons located in rhombomere 1, and the spinal cord (Fig. S2A-C) (Pierani et al., 2001; Shoji et al., 1996). Indeed, GFP<sup>+</sup> fibers extending from the dorsal midbrain or possibly other regions are detected in the caudal ventral midbrain (Fig. 3C, D, D''; Fig. S2A), similar to other studies reporting fibers in this region originating from the dorsal midbrain (Inamata and Shirasaki, 2014). In the spinal cord, *Dbx1* and *En1* expression along the D-V axis has been shown to be highly dynamic, yet partly and transiently overlapping in 5-10% of cells (Pierani et al., 1999, 2001). Our spinal cord results demonstrate that even in the case of transiently overlapping gene expression domains, our intersectional method is capable of detecting large numbers of overlapping cells, and at significantly greater sensitivity than traditional methods (Fig. S2B, C). Overall, we conclude that within the mdFP, the *En1* and *Dbx1* microdomains abut each other forming a boundary, and further, when probed at intersectional resolution, there is minimal developmental overlap.

### ***En1*, but not *Dbx1*, fate maps include DA and RN neurons**

To fate map the *En1*<sup>+</sup> and *Dbx1*<sup>+</sup> microdomains, we separately analyzed postnatal day (P) 28 *En1*::*Cre*, *RC*::*NZG* or *Dbx1*::*Cre*, *RC*::*NZG* animals. Analysis of *En1*::*Cre*, *RC*::*NZG* midbrain sections revealed Th<sup>+</sup>/*Foxo2*<sup>+</sup> substantia nigra pars compacta (SNc), ventral tegmental area (VTA), retrorubral field (RRF) and caudal linear (CLi) neurons co-labeled with  $\beta$ gal (Fig. 4B, E; SNc=99.3%±0.4 s.e.m., VTA=98.7%±0.2 s.e.m., RRF=99.7%±0.3 s.e.m., CLi=99.1%±0.8 s.e.m.). Th<sup>-</sup>/*Foxo2*<sup>+</sup> neurons located in the



**Fig. 2. Developmental expression of mdFP and postmitotic markers.** (A-C''), Developmental expression of Foxa2, Lmx1a and Th. Dashed lines demarcate the A-P extent of the mdFP. Arrows indicate rostral extent of Th<sup>+</sup> DA neurons. (D,E') E9 embryos showing neighboring *En1* and *Dbx1* expression within the mdFP. (E,E') In E14 embryos, *Pitx3*<sup>+</sup> DA neurons appear in a region adjacent to *Pitx2*<sup>+</sup> non-DA neurons (dashed red line). Some *Pitx2* expression is also observed in more dorsolateral midbrain regions. Scale bars: 50  $\mu$ m (A-A''); 100  $\mu$ m (B-D'); 200  $\mu$ m (E,E').

rostral linear (RLi) region were also co-labeled with  $\beta$ gal (not shown).  $\beta$ gal was also observed in Pou4f1<sup>+</sup>/Foxa2<sup>+</sup> cells of the RN in *En1::Cre, RC::NZG* fate maps (Fig. S3B-C'). Indeed, in sagittal embryonic sections,  $\beta$ gal<sup>+</sup>/Foxa2<sup>+</sup>/Pou4f1<sup>+</sup> cells were observed throughout the midbrain with a rostral limit near the ZLI, similar to Th<sup>+</sup> DA neurons (Fig. S3A-B'').

In *Dbx1::Cre, RC::NZG* fate maps,  $\beta$ gal did not colocalize with Th<sup>+</sup>/Foxa2<sup>+</sup> DA neurons of the VTA, SNc, RRF or CLI (Fig. 4C,F; data not shown). Also, cells in the RLi region were  $\beta$ gal<sup>-</sup> (data not shown).  $\beta$ gal<sup>+</sup> cells also did not co-label with Pou4f1<sup>+</sup>/Foxa2<sup>+</sup> RN neurons (data not shown). Finally,  $\beta$ gal<sup>+</sup>/Th<sup>-</sup> cells were also observed in the vicinity of DA neurons in both *En1* and *Dbx1* fate maps (Fig. 4B,C,E,F, arrowheads). As these cells are Foxa2<sup>-</sup>, they could potentially represent interneurons that have migrated from more dorsal regions and/or the hindbrain (Lahti et al., 2016). In summary, *En1*<sup>+</sup> but not *Dbx1*<sup>+</sup> mdFP derivatives include DA, RN and RLi neurons. The few *Dbx1* microdomain-derived Th<sup>+</sup> neurons observed in Fig. 3D,D', do not contribute to the SNc and VTA, and probably contribute to scattered Th<sup>+</sup> neurons in the hypothalamic region.

### The *Dbx1*<sup>+</sup> mdFP microdomain gives rise to hypothalamic neurons

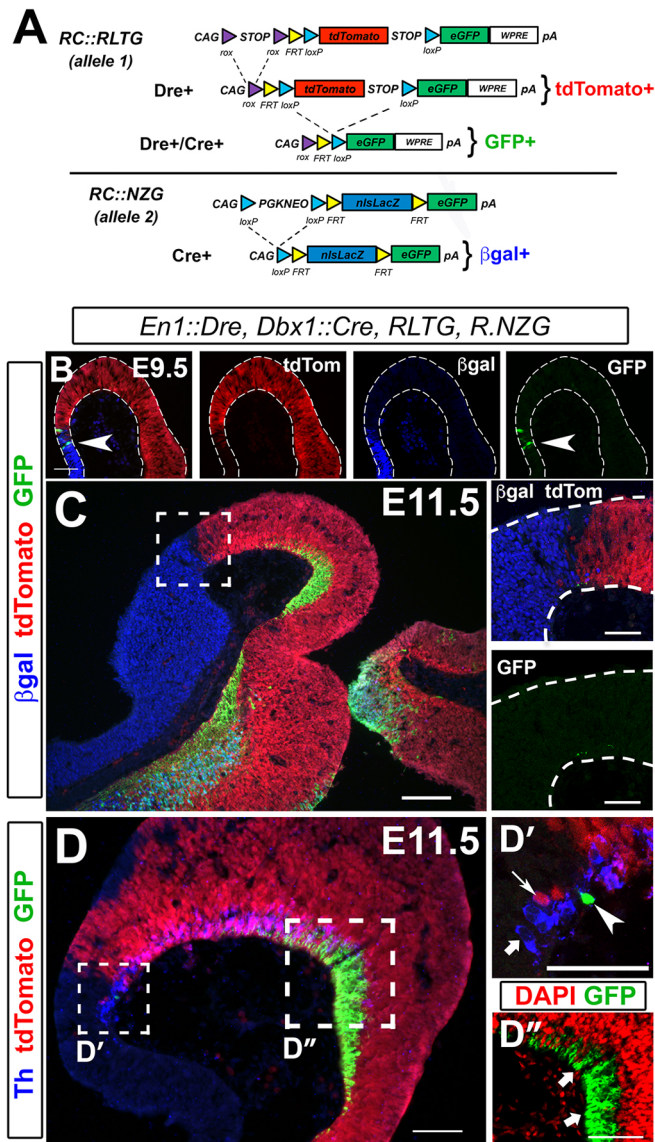
*En1* and *Dbx1* single fate-mapping analyses were also used to determine the origin of neighboring hypothalamic populations. The glutamatergic STN is a hypothalamic population just rostral to the SNc, which has been shown to express *Pitx2* (Fig. 5A-C) (Martin et al., 2004; Skidmore et al., 2008, 2012). Interestingly, we determined that the STN maintains expression of the floor plate markers Foxa2 and Lmx1a, but is negative for  $\beta$ gal in *En1::Cre, RC::NZG* fate maps (Fig. 5A,D,F). In contrast, *Dbx1::Cre, RC::NZG* fate maps show  $\beta$ gal colocalized with all *Pitx2*<sup>+</sup>, Foxa2<sup>+</sup> and

*Lmx1a*<sup>+</sup> cells of the STN and paraventricular nucleus (PSTN) (Fig. 5A,C,E,G; data not shown for PSTN). Additionally, the STN and PSTN also express Nr4a2, an important marker of FP-derived DA neurons (data not shown).

Further analysis revealed robust expression of the mdFP markers Foxa2 and Lmx1a in more ventral medial nuclei of the PH, SuM and PM regions. The Foxa2<sup>+</sup> and Lmx1a<sup>+</sup> PH/PM neurons co-labeled with  $\beta$ gal in *Dbx1::Cre, RC::NZG* [Fig. 5A,I,K shows the ventral premammillary (PMv) region; PH and SuM not shown], but not in *En1::Cre, RC::NZG* fate maps (Fig. 5A,H,J). The Foxa2<sup>-</sup>/Lmx1a<sup>-</sup> mammillary and lateral hypothalamic area were also labeled with  $\beta$ gal in *Dbx1::Cre, RC::NZG*, but not in *En1::Cre, RC::NZG* fate maps (data not shown). In summary, our fate maps show that two clear and non-overlapping microdomains defined by *En1* and *Dbx1* exist within the mdFP, and these give rise to distinct neuronal populations.

### Ventral premammillary neurons express multiple DA neuron markers

Through separate studies in our laboratory, we identified an *Slc6a3*<sup>+</sup> population in the PMv region. To explore the possibility that this unique population expressing DA markers was derived from the mdFP, we analyzed *Slc6a3::Cre, Ai9* P30 brains. Our experiments revealed tdTomato<sup>+</sup> neurons (Fig. 6A,B) co-labeled with the markers Foxa2, Lmx1a and Nr4a2, indicative of their mdFP origin (Fig. 6C). Very few tdTomato<sup>+</sup> neurons were co-labeled with Th antibodies (Fig. 6C; zero to two neurons per section). Allen Brain Atlas (ABA) characterizations also revealed robust transcript expression of *Slc6a3*, *Ddc* and *Slc18a2* in the PMv. Although we were able to detect robust *Ddc* immunolabeling, we were unable to detect *Slc6a3* and *Slc18a2* protein in PMv cells (Fig. S5). Taken together, these analyses reveal

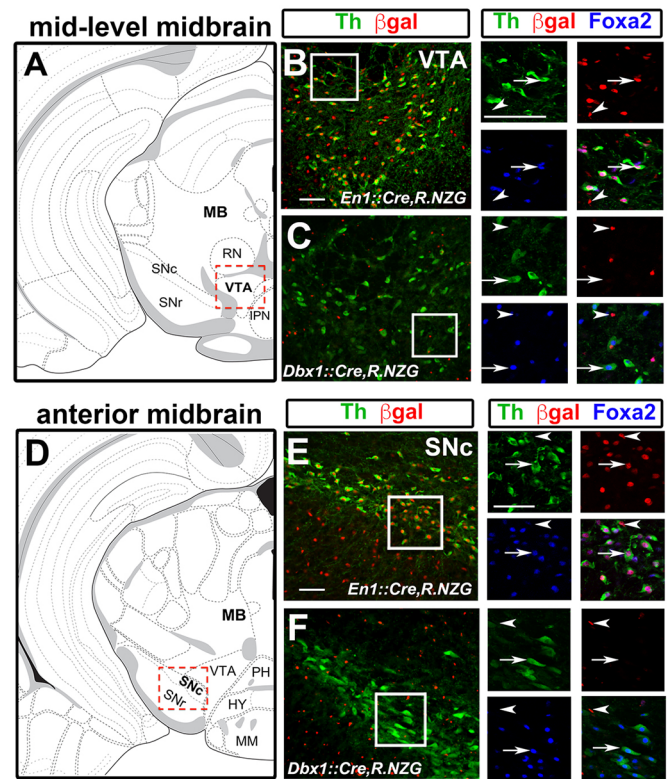


**Fig. 3. Intersectional and simultaneous dual recombinase-mediated labeling of mdFP reveal abutting but minimally overlapping microdomains.** (A) Schematic of RC::RLTG and RC::NZG reporters. (B, C) E9.5 and 11.5 embryos revealing adjacent microdomains ( $\beta$ gal and tdTomato) with little intersectional overlap (GFP<sup>+</sup> cells). Few GFP<sup>+</sup> cells are also observed in the midbrain basal plate (data not shown). (D-D'') Th<sup>+</sup> neurons are largely tdTomato<sup>+</sup>. (D') Thick arrow indicates a few Th<sup>+</sup>/tdTomato<sup>-</sup> neurons. Occasional GFP<sup>+</sup> cells are observed (arrowhead). Some tdTomato<sup>+</sup> cells intermingle in the adjacent domain (thin arrow). (D'') DAPI and GFP labeling is mutually exclusive in fiber tracts derived from other intersectionally labeled populations, and therefore irrelevant to mdFP boundaries (also see Fig. S2). Arrows indicate GFP<sup>+</sup>/DAPI<sup>-</sup> fibers.  $n=4$ . Scale bars: 50  $\mu$ m (B); 100  $\mu$ m (C); 50  $\mu$ m (D', D''), high magnification panels in C).

for the first time a *Dbx1*<sup>+</sup> mdFP-derived neuronal population that is positive for many key DA pathway markers, although some are detectable only at the transcript level.

### Ectopic En1 expression results in significant DA neuron production from the *Dbx1*<sup>+</sup> mdFP

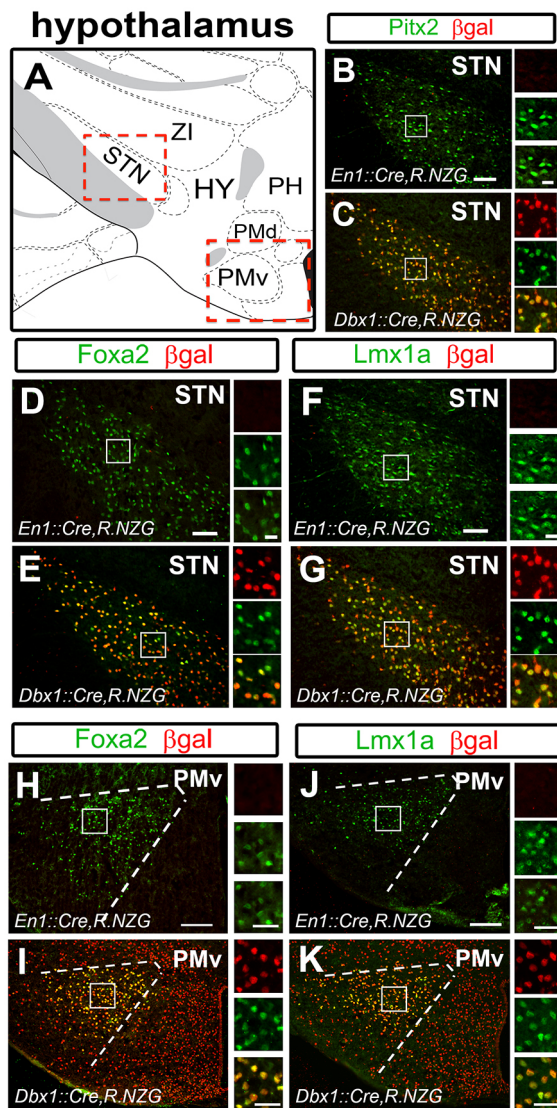
We next sought to examine if En1 expression was sufficient to generate DA neurons from the *Dbx1* microdomain. We therefore generated conditional En1 overexpressor (En1OE) mice, also designed to co-express nuclear eGFP (Fig. 7A). We inserted a



**Fig. 4. En1, but not Dbx1 fate maps label VTA and SNc DA neurons.** (A, D) Allen Brain Atlas schematics showing regions of interest. (B, E) *En1::Cre, RC::NZG* sections depicting Th<sup>+</sup>,  $\beta$ gal<sup>+</sup> and Foxa2<sup>+</sup> VTA and SNc neurons. High magnification images of the boxed areas reveal co-labeled cells (arrows). Arrowheads indicate  $\beta$ gal<sup>+</sup>, Th<sup>-</sup>, Foxa2<sup>-</sup> non-DA cells. (C, F) *Dbx1::Cre, RC::NZG* sections depicting Th<sup>+</sup>/Foxa2<sup>+</sup>/ $\beta$ gal<sup>-</sup> cells in the VTA and SNc (arrows). Some  $\beta$ gal<sup>+</sup> non-DA cells are observed (arrowheads).  $n=3$  each. HY, hypothalamus; IPN, interpeduncular nucleus; MB, midbrain; MM, medial mammillary nucleus; SNr, substantia nigra pars reticulata. Scale bars: 50  $\mu$ m (B-F).

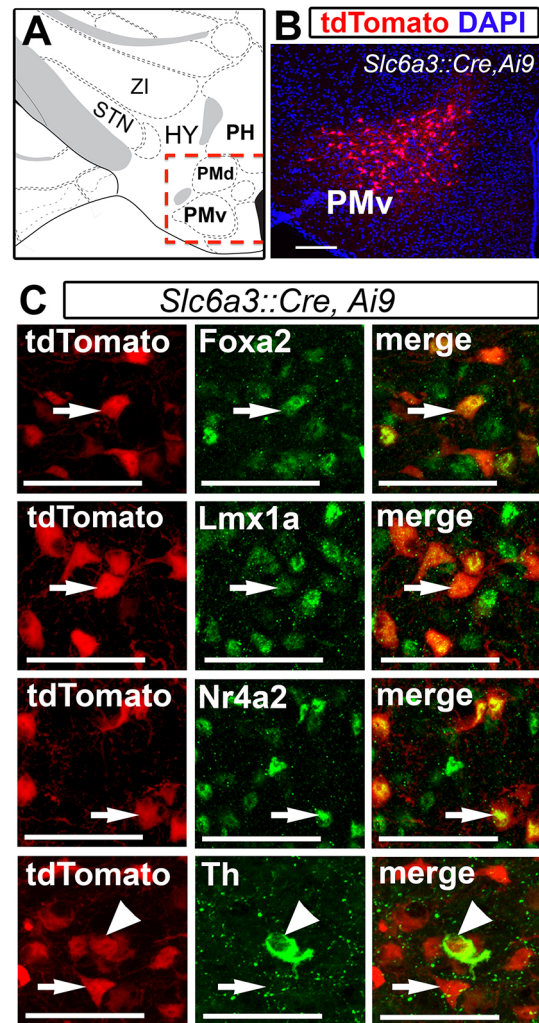
single copy of the transgene using  $\Phi$ C31 integrase and attP<sub>3</sub> docking sites at the *Rosa26* locus (Tasic et al., 2011). We first validated conditional expression of both En1 and GFP in *Dbx1::Cre, En1OE* mutants at E10.5 (Fig. 7B-E). By this embryonic stage in controls, En1 expression appears retracted and is mainly detectable in the caudal midbrain and rostral hindbrain (Fig. 7E, arrowhead). In mutants, ectopic En1<sup>+</sup> cells were observed in the *Dbx1*<sup>+</sup> mdFP, and they also expressed eGFP (Fig. 7C, arrow), whereas En1 expression in the midbrain and hindbrain appeared normal in mutants compared with control samples (Fig. 7C-E, arrowheads). In mutant embryos, ectopic En1 appeared of comparable or slightly lower intensity to En1 expression near the MHB (Fig. 7D, arrow versus arrowhead). In addition, other CNS regions expressing *Dbx1*, including the dorsal midbrain and hindbrain, also co-expressed eGFP and En1 (Fig. 7B). These results suggest that our overexpressor line expresses En1 and eGFP, and that ectopic En1 levels are within a physiological range.

Next, we compared Th expression in *Dbx1::Cre, En1OE* mutants with both *Dbx1::Cre, RC::NZG* and *En1::Cre, RC::NZG* controls at E12.5. Our initial findings reveal that in controls, a few Th<sup>+</sup> neurons are observed outside the En1 and within the *Dbx1* microdomain. In En1OE mutants, there appeared to be a significant rostral expansion of Th<sup>+</sup> neurons within the Foxa2 domain (Fig. 7F-I, brackets). We then analyzed Th expression at E14.5, the time point after normal DA neuron production is complete. We used coronal sections to visualize cell distributions and their boundaries better. A small



**Fig. 5. *Dbx1*, but not *En1* fate maps label STN and PM neurons.** (A) Allen Brain Atlas schematic showing regions of interest. (B–K) P28 *En1::Cre, RC::NZG* and *Dbx1::Cre, RC::NZG* sections labeled with Pitx2, Foxa2, Lmx1a and βgal antibodies. STN and PMv regions are depicted. High magnification images of the boxed areas are shown separately and merged. Note that images in B, F (*En1::Cre, RC::NZG*) and C, G (*Dbx1::Cre, RC::NZG*) were obtained from the same section triple immunolabeled for Pitx2, Lmx1a and βgal, and pseudocolored to depict colocalization.  $n=6$  for each antibody combination. HY, hypothalamus; PMd, dorsal premammillary nucleus; PMv, ventral premammillary nucleus; ZI, zona incerta. Scale bars: 50 μm (B–G); 100 μm (H–K).

number of low  $Th^+/Lmx1a^+$ -expressing neurons can be detected in the *Dbx1*<sup>+</sup> mdFP region of controls, but these do not contribute to the SNc and VTA (Fig. 3; Fig. 4; Fig. 7H,I; Fig. 8A,A'). Quantitative analysis revealed a large increase of  $Th^+/Lmx1a^+$  neurons in *Dbx1::Cre, En1OE* mutants in comparison with controls (Fig. 8A,A',C,C', G). Similar analysis for the highly specific DA marker Pitx3 revealed a highly significant increase of Pitx3<sup>+</sup> neurons (Fig. 8E,F,H) derived from the *Dbx1*<sup>+</sup> mdFP. We also observed ectopic Ddc and Slc18a2 immunopositive cells in this region, but very few of these expressed detectable Slc6a3 (Fig. 8B,D; Fig. S4). However, Slc6a3 is not a pan-DA marker, and it is possible the ectopic DA neurons have a molecular profile resembling a DA subset containing undetectable levels of Slc6a3 (Lammel et al., 2008).

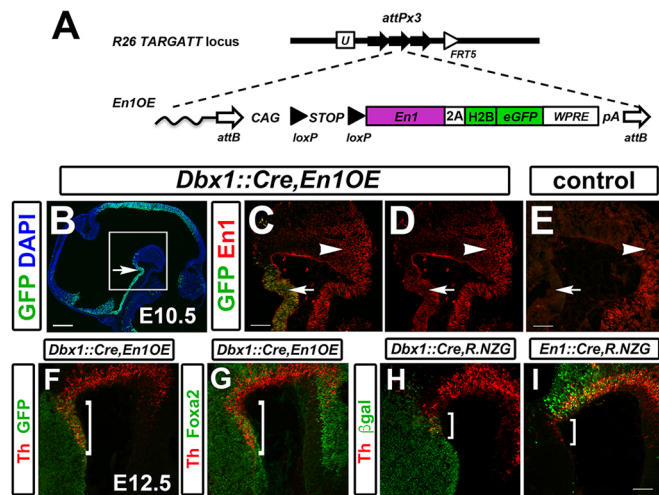


**Fig. 6. DA neuron markers are expressed in ventral premammillary neurons.** (A) Allen Brain Atlas schematic showing regions of interest. (B,C) P30 *Slc6a3::Cre, Ai9* sections immunolabeled with DA markers Foxa2, Lmx1a, Nr4a2 and Th (arrows indicate co-labeled cells). Only few PMv neurons (up to two per section) were  $Th^+$  (arrowheads). HY, hypothalamus; PMd, dorsal premammillary nucleus; PMv, ventral premammillary nucleus; ZI, zona incerta. Scale bars: 100 μm (B); 25 μm (C).

Owing to the non-viability of postnatal *Dbx1::Cre, En1OE* mutants, we could only continue our analysis until E18.5/P0 to determine where ectopic DA neurons settle. Our analysis in *Dbx1::Cre, RC::NZG* controls revealed few  $Th^+/βgal^+$  neurons, with no Pitx3 expression in the caudal hypothalamus (Fig. 9A–C, arrow). Some  $Th^+$  axons from more caudal DA neurons projecting through this region were observed (Fig. 9B,C). Comparable sections in *Dbx1::Cre, En1OE* mutants revealed a significant number of ectopic Pitx3<sup>+</sup>/ $Th^+$  neurons (Fig. 9E,F, arrows), and many Pitx3<sup>+</sup>/ $Th^-$  neurons (Fig. 9F, arrowhead). These neurons are not clustered, but rather are found scattered in the mammillary and caudal hypothalamic regions (Fig. 9D–F). Our experiments reveal that extending early *En1* expression into more rostral progenitors of the *Dbx1* microdomain results in significant numbers of ectopic DA neurons.

#### ***En1* misexpression in the *Dbx1* microdomain causes a significant reduction in the number of STN and PM neurons**

We next determined the effects of *En1* overexpression on caudal hypothalamic nuclei derived from the *Dbx1*<sup>+</sup> mdFP. In the STN/



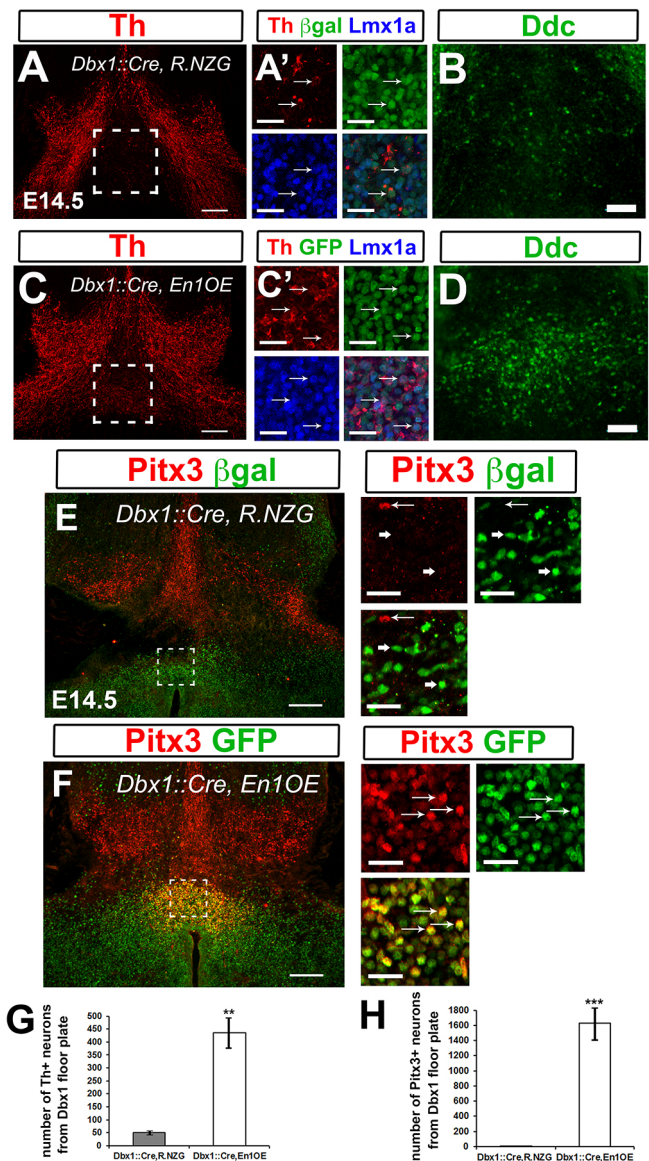
**Fig. 7. Generation and validation of an *En1OE* knock-in mouse strain.** (A) Schematic of *En1OE* construct and *Rosa26* TARGATT locus. U indicates unique sequence. (B-E) *E10.5* *Dbx1::Cre, En1OE* mutant embryos, but not controls, co-express *En1* and GFP in the *Dbx1*<sup>+</sup> mdFP (arrows). Ectopic *En1* levels appear to be comparable, or slightly lower than, native *En1* expression in the caudal midbrain (arrows versus arrowheads in D). Panels C-E are high magnification images of the boxed area in B. (F-I) *E12.5* *Dbx1::Cre, En1OE* mutants and controls co-labeled for Th with either a reporter ( $\beta$ gal or GFP) or *Foxa2*. Long bracket in F,G demarcates the expansion of Th<sup>+</sup> neurons derived from the *Dbx1*<sup>+</sup> microdomain.  $n=3$  each. Scale bars: 200  $\mu$ m (B); 100  $\mu$ m (C-E); 50  $\mu$ m (F-I).

PSTN of *Dbx1::Cre, En1OE* mutants, we observed a ~75% reduction in the number of Pitx2<sup>+</sup>/*Foxa2*<sup>+</sup> neurons (Fig. 10A-E) compared with controls. Likewise, in the PM region of *Dbx1::Cre, En1OE* mutants, we observed an ~85% loss of *Foxa2*<sup>+</sup> neurons in comparison with controls (Fig. 10F-J). Very few, if any, Pitx2<sup>+</sup> cells were observed in mutants. Thus, in *En1OE* mutants, ectopic DA neurons appear to be generated, in part, at the expense of STN and PM neurons.

## DISCUSSION

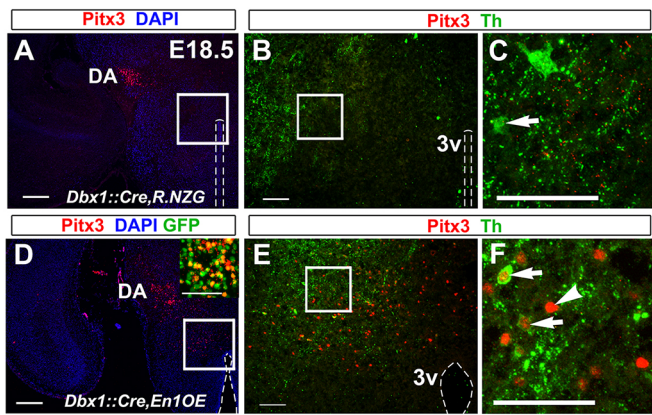
Previous studies have demonstrated that the mdFP is the source of many neuron types, unlike the caudal FP, which is largely non-neurogenic. How mdFP progenitors are apportioned along the A-P axis has not been clearly elucidated. Here, we reveal (1) two microdomains, defined by *En1* and *Dbx1*, which subdivide early FP progenitors along the A-P axis; (2) that these microdomains abut each other, with no developmental overlap and that boundary establishment is remarkably precise; (3) that an *En1*<sup>+</sup> microdomain gives rise to DA, RLi and RN neurons and that a *Dbx1*<sup>+</sup> microdomain gives rise to STN, PH, PM and SuM neurons; (4) that a subpopulation of mdFP-derived neurons express several DA-related markers within the PMv; (5) that forced expression of *En1* in the *Dbx1* microdomain results in ectopic DA neurons at the expense of significant numbers of STN and PM neurons (Fig. 11). These studies are a step forward in the long-standing quest to elucidate the progenitor domains of the embryonic CNS. Furthermore, these studies will also serve as a foundation for optimizing FP-based protocols to derive DA neurons from stem cells.

Morphogens and homeodomain (HD) transcription factors are essential for the patterning and segmentation of the developing CNS (Dasen and Jessell, 2009; Kiecker and Lumsden, 2005). It has been proposed that via induction of transcription factors, morphogens define the regionalization of the CNS into distinct populations of progenitor cells with partially overlapping or ‘fuzzy’ expression



**Fig. 8. Ectopic *En1* expression results in DA neuron production from the *Dbx1*<sup>+</sup> mdFP.** (A-D) Coronal sections of *Dbx1::Cre, RC::NZG* controls and *Dbx1::Cre, En1OE* mutants immunolabeled with Th, Lmx1a, reporter ( $\beta$ gal or GFP) and Ddc (see Fig. 1C inset for plane of section). (A-B) In controls, few co-labeled cells are observed (arrows in A'), with limited Ddc expression. A' and B are high magnification images of the boxed area in A. (C-D) In mutants, many neurons are co-labeled for Th, GFP and Lmx1a (arrows in C') with robust ectopic Ddc expression in this region. C' and D are high magnification images of the boxed area in C. (E) In controls, neurons in this region are Pitx3<sup>-</sup>/ $\beta$ gal<sup>+</sup> (thick arrows). An occasional nearby Pitx3<sup>+</sup>/ $\beta$ gal<sup>-</sup> neuron is observed (thin arrows). (F) In mutants, Pitx3<sup>+</sup>/GFP<sup>+</sup> neurons are detected (thin arrows). In E and F, high magnification images of the boxed regions are shown to the right. (G,H) Quantification of ectopic Th<sup>+</sup> and Pitx3<sup>+</sup> neurons in every third section. Th:  $n=3$ , \*\* $P<0.01$ ; Pitx3:  $n=3$ , \*\*\* $P<0.001$ . Data are mean $\pm$ s.e.m. Scale bars: 200  $\mu$ m (A,C,E,F); 50  $\mu$ m (B,D); 20  $\mu$ m (high magnification panels).

boundaries that are later sharpened through cross-repressive interactions (Briscoe and Small, 2015; Kiecker and Lumsden, 2005; Prochiantz and Di Nardo, 2015). In contrast to these models of cross-repressive transcription factor interactions, here we probed boundary formation with intersectional resolution to show that this boundary forms in a remarkably precise manner, with minimal developmental overlap between the two microdomains. How this boundary forms with this remarkable level of precision



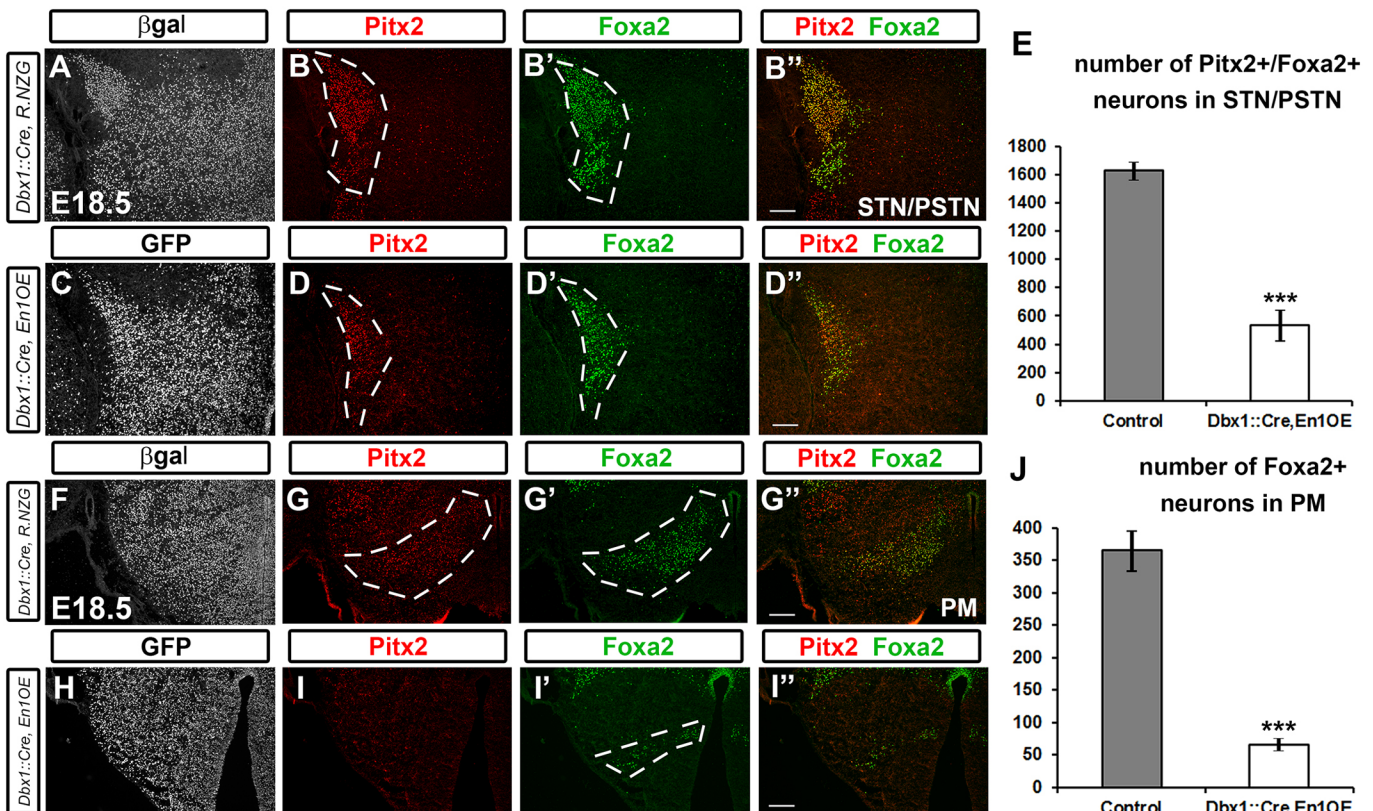
**Fig. 9. Ectopic DA neurons settle in the mammillary and caudal hypothalamic areas.** (A) In the control section depicted, only SNc DA neurons are Pitx3<sup>+</sup>. (B,C) Few hypothalamic areas are labeled for Th, and do not express Pitx3 (arrow in C). (D-F) In mutants, ectopic Pitx3<sup>+</sup>/Th<sup>+</sup> and Pitx3<sup>+</sup>/Th<sup>-</sup> neurons are observed in hypothalamic areas (arrowhead and arrows in F). Inset in D shows that Pitx3<sup>+</sup> neurons are GFP<sup>+</sup>. Boxes indicate the areas shown at higher magnification to the right. 3v, third ventricle. *n*=3 each. Scale bars: 200  $\mu$ m (A,B,D,E); 50  $\mu$ m (C,F and inset).

remains to be determined, but one possibility could be through morphogen-induced cross-inhibitory positive-feedback mechanisms (Srinivasan et al., 2014). Differential cell-adhesion has also been invoked as a mechanism for boundary formation, and could play a role (Kiecker and Lumsden, 2005). Finally, the presence of physical barriers (e.g. extracellular matrix) could also contribute to the precision of boundary formation (Kiecker and Lumsden, 2005).

Further experiments will be needed to elucidate the exact mechanism underpinning the precise formation of this boundary.

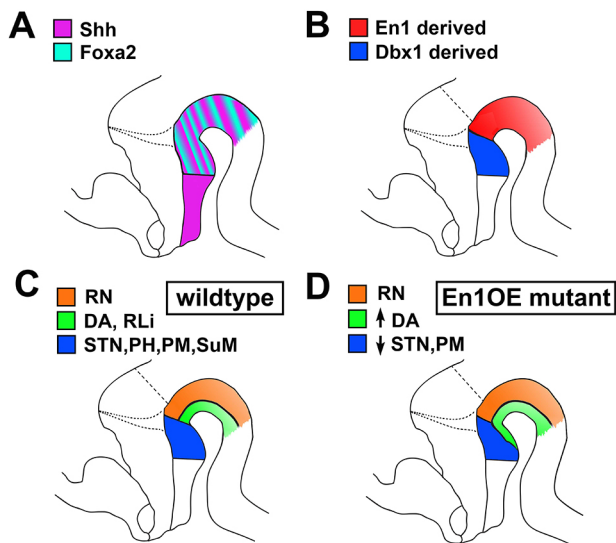
Our study reveals a subdivision of the floor plate along the A-P axis into two juxtaposed microdomains, giving rise to neighboring neuronal lineages. Based on our own analysis and results from other groups, it is clear that a multitude of early factors, which are required for DA progenitor specification, are expressed on either side of this boundary along the A-P axis. Thus, in a sense, we view these neighboring cell populations and their descendent lineages as developmental ‘cousins’. We were able to identify several neuronal populations originating from either side of this boundary. The En1 microdomain gave rise to the VTA, SNc and RLi and non-dopaminergic RN neurons. The *Dbx1* microdomain did not give rise to DA neurons in the SNc and VTA, and instead gave rise to tangentially migrating neurons that contribute to the STN, PH and PM. Our data thus provides an account of mdFP descendants and refines previous models (Björklund and Dunnett, 2007; Bodea and Blaess, 2015; Puelles and Rubenstein, 2015; Smits et al., 2006; Veenvliet and Smidt, 2014) in that we demonstrate that SNc and VTA DA neuron populations are not derived from the domain rostral to the ZLI (presumptive *Dbx1*<sup>+</sup>/*Foxa2*<sup>+</sup> in p3 domain).

Our study identified mdFP-derived neuronal populations in the PMv that express key DA transcription factors and DA synthesis markers. PMv neurons have significant enrichment of ribosome-associated mRNAs for *Slc6a3*, *Th* and *Ddc* (Soden et al., 2016). However, levels of *Th* were 10% of those in VTA neurons. Previous studies have reported these neurons to be catecholamine immunoreactive in some circumstances (Zoli et al., 1993). Our study shows that this population of neurons is derived from the mdFP, expresses key transcription factors including *Foxa2*, *Lmx1a*



**Fig. 10. Significant reduction of STN and PM neurons is observed in En1OE brains.** (A-J) In mutants, compared with controls, *Foxa2*<sup>+</sup>/*Pitx2*<sup>+</sup> neurons are substantially reduced in the STN/PSTN (outlined; A-E) (\*\*\*) *P*<0.001; *n*=3 each) and in the PM (outlined; F-J) (\*\*\*) *P*<0.001; *n*=3 each). Data are mean $\pm$ s.e.m. Scale bars: 200  $\mu$ m.





**Fig. 11. Model of progenitor microdomains and their derivatives.**

(A-D) Mid-sagittal schematic of embryo. The ZLI (dotted outline) is located in more lateral sections. (A) Overlap of Shh and Foxa2 expression. (B) En1- and Dbx1-derived microdomains form a boundary within the mdFP. Dashed straight line represents the En1 domain in more dorsolateral regions. (C) In controls, the En1 microdomain gives rise to RN, DA and RLi neurons. The Dbx1 microdomain gives rise to STN, PM, PH and SuM neurons. (D) In *En1OE* mutants, ectopic DA neurons are produced from the Dbx1 microdomain, at the expense of STN and PM neurons.

and Nr4a2 and yet are still distinct from DA neurons in that PMv neurons are derived from the *Dbx1* microdomain, do not express *En1* or *Pitx3*, and only few of these cells express *Th* (Figs 5, 6). The lack of *En1* or *Pitx3* could in part account for the low levels of *Th* observed in this population, despite the presence of Nr4a2 and Foxa2, known drivers of *Th* expression (Kadkhodaei et al., 2009; Kim et al., 2003; Pristerà et al., 2015; Stott et al., 2013; Yi et al., 2014). In accordance with this, *En1* and *Pitx3* have been shown to be upstream of *Th*, and *Pitx3* has been shown to potentiate the action of Nr4a2 on the *Th* promoter by releasing SMRT/HDAC-mediated repression (Jacobs et al., 2009; Veenliet et al., 2013). Although this population expresses low levels of *Th*, there still remains the possibility that PMv neurons might have some DA-synthesis capacity under specific environmental or physiological conditions.

Embryonic loss of *En1* leads to an early reduction of rostral DA neurons. Interestingly, gene expression profiling of these mutants reveals the upregulation of the marker *Pitx2* (Veenliet et al., 2013). Utilizing a conditional overexpressor mouse model, our data reveals that *En1* is sufficient to expand DA production into the *Dbx1*<sup>+</sup> mdFP, partly at the expense of STN and PM neurons. In this regard, to our knowledge this is the first study to produce an anterior expansion of *Pitx3*<sup>+</sup>/*Th*<sup>+</sup> DA neurons beyond their normal expression domain. Ectopic DA neurons do not settle in the STN or PH/PM probably because they do not have the appropriate molecular profile for migration into these regions. Although the expansion of DA neurons is robust, clearly there are still some STN (~25%) and PM (~15%) neurons produced. Thus, a key question arises – why is the entire *Dbx1*<sup>+</sup> mdFP not reprogrammed to generate *Th*<sup>+</sup>/*Pitx3*<sup>+</sup> DA neurons in our *En1OE* model? Multiple possible explanations exist, such as the lower concentration, or absence, of *Fgf8* in more rostral mdFP regions, due to its distance from the MHB source. Another possibility is the lack of a complete repertoire of transcription factors in subregions of the *Dbx1* domain, or the presence of repressive factors in this region that preclude DA

specification, and cannot be overridden by *En1* alone. Finally, it is possible that our transgenic overexpression of *En1* is at modest levels during early specification and is insufficient for complete reprogramming. Many studies on boundaries use electroporation, whereby higher levels can be achieved to overexpress transcription factors (Dasen et al., 2003; Matsunaga et al., 2000). When single-copy *Rosa26*-targeted transgenic approaches have been used, the results are sometimes more moderate. In the case of the MHB, when a transgenic approach was used to overexpress the key transcription factor *Otx2* in r1, the MHB boundary shift was only moderate and transient (Omodei et al., 2008). Thus, it is possible that in our *En1OE* model, modest expression levels achieved in the early embryo were not sufficient for complete reprogramming of the *Dbx1*<sup>+</sup> mdFP to DA neurons.

Recent advances in the generation of DA neurons from stem cells have shown promising results towards potential cell replacement therapies and modeling studies for Parkinson's disease (Cooper et al., 2012; Grealish et al., 2014; Kirkeby et al., 2012; Kriks et al., 2011; Mazzulli et al., 2016; Xi et al., 2012). Key protocols designed for the conversion of induced pluripotent stem cells (iPSCs) into DA neurons were based on previous developmental studies showing DA neurons arise from FP progenitors, and use FOXA2 and LMX1A to optimize DA neuron derivation protocols (Kirkeby et al., 2012; Kriks et al., 2011; Xi et al., 2012). However, immunolabeling studies on cultured and grafted DA neurons show that many neurons in the graft are FOXA2<sup>+</sup> but not TH<sup>+</sup>, probably representing a non-DA fate. Gene expression analysis of these cultures showed robust upregulation of *DBX1* and *PITX2*, in addition to DA markers (Kriks et al., 2011; Xia et al., 2016), indicative of imperfect programming. As these protocols were designed to maximize FOXA2<sup>+</sup>/LMX1A<sup>+</sup> progenitor cells, our work would predict that both DBX1 and EN1 progenitors were generated, consequently resulting in the differentiation of DA and non-DA neurons. Specifically detailing the exact microdomains within the mdFP *in vivo* presents an exciting advance towards designing protocols for more homogeneous and efficient production of DA neurons from iPSCs. Achieving large fractions of authentic DA progenitors will open up the possibility of generating and characterizing molecularly distinct DA subtypes (Anderegg et al., 2015; Poulin et al., 2014), an important goal for understanding selective DA neuron vulnerability.

## MATERIALS AND METHODS

### Mouse strains

Mice were maintained and sacrificed according to protocols approved by the Northwestern University Animal Care and Use Committee (IACUC). Strains include *En1::Cre* (Kimmel et al., 2000), *En1::Dre* (Plummer et al., 2016), *Dbx1::Cre* (Bielle et al., 2005), *Shh::Cre* (Harfe et al., 2004), *Slc6a3::Cre* (Bäckman et al., 2006), *RC::NZG* (Yamamoto et al., 2009), *RC::RLTG* (Plummer et al., 2015), *RC::YFP* (Srinivas et al., 2001) and *Ai9* (Madisen et al., 2010). For simultaneous dual and intersectional fate mapping, *En1::Dre*, *RC::NZG* males were bred to *Dbx1::Cre*, *RC::RLTG* females. Male mice could not be used carrying both *Dbx1::Cre* and reporter alleles, due to the early activation of *Dbx1::Cre*. In the case of *En1::Dre*, only occasional embryos displayed germline recombination, and these were not further analyzed.

### Generation of *En1OE* mouse line

To generate the *En1OE* transgene, plasmid *Ai9* (Addgene, 22799) was subcloned into *pBT378* (Addgene, 52554). A plasmid *pCS2-TAG* (Addgene, 26772) was amplified for the *2A-H2B-eGFP* sequence and mouse *En1ORF* plasmid (GE-Dharmacon, OMM5895-202525739) was amplified without a stop codon. Utilizing an In-Fusion HD Cloning Kit (Clontech, 638916) linearized *pBT378/Ai9*, *En1ORF* and *2A-H2B-eGFP* were fused to obtain the final construct (5'-attB-CAG-loxP-STOP-loxP-

*En1ORF-2A-H2B-eGFP-WPRE-bGHpA-attB-3'* with a *pBT378* backbone). The open reading frame was validated by sequencing.

Transgenic TARGATT *Rosa26(attPx3)* FVB males (Tasic et al., 2011), were crossed to wild-type C57BL/6 females. Full circular *En1OE* plasmid containing attB/attB sites and  $\Phi$ C31 integrase mRNA was co-injected into the FVB/B6 fertilized eggs. One male founder from a total of 27 animals screened was identified for integration of the *En1OE* transgene into one *Rosa26(attPx3)* locus using primers Rosa10, 11, 425, 436 and 522 (Tasic et al., 2011), in addition to primers for WPRE and eGFP.

### RNA *in situ* hybridization and immunolabeling

mRNA *in situ* hybridization was performed as previously described (Caronia-Brown et al., 2016). For immunolabeling, tissue sections were processed as previously described (Nouri et al., 2015). Antibodies used were guinea-pig anti-Lmx1a (Yong Chao-Ma, Northwestern University, Evanston, IL, USA; 1:20,000), rabbit anti-Lmx1a (Millipore, AB10533; 1:1000), goat anti-Foxa2 (Santa Cruz, SC6554; 1:50), mouse anti-Pou4f1 (Santa Cruz, sc-31984; 1:50), rabbit and sheep anti-Th (Pel-Freeze, P40101-0/P60101-150; 1:500), mouse anti-Th (Sigma, T2928; 1:1000), mouse anti-En1 (DSHB, 4G11; 1:50), chicken anti-GFP (Abcam, ab13970; 1:1500 or 1:20,000), goat anti- $\beta$ gal (Biogenesis, 4600-1409; 1:1500), rabbit anti- $\beta$ gal (Cappel, 55976; 1:1500), rabbit anti-Nr4a2 (Santa Cruz, SC-991; 1:50), rabbit anti-Pitx2 (Capra Science, PA 1020-100; 1:1000), rabbit anti-Pitx3 (Invitrogen/Zymed, 38-2850; 1:200), rat anti-Slc6a3 (Santa Cruz, sc-32258; 1:50), rabbit anti-Ddc (Abcam, ab3905; 1:500) and rabbit anti-Slc18a2 (EMD Millipore, AB1598P; 1:500).

### Statistical analysis

Quantification is shown as mean $\pm$ s.e.m., using Student's two-sample *t*-test, significant differences were taken at  $P \leq 0.05$ . All cells were counted on every third 20  $\mu$ m coronal section at E14.5 and E18.5/P0 or counted on every sixth 20  $\mu$ m coronal section (Th<sup>+</sup> and  $\beta$ gal<sup>+</sup>/Th<sup>+</sup> total) at 4 weeks postnatally in *En1::Cre, RC::NZG* fate maps ( $n=3$ ).

### Image acquisition

All images were acquired using Leica DM5000B epifluorescence, Leica TCS SP5 confocal or Nikon A1R(A) Spectral confocal microscopes. Adobe Photoshop CC 2015 was utilized for the overlay of pseudocolored single-channel images in the same sections for some figures, and to adjust brightness and contrast for all figures shown.

### Note added in proof

While this paper was in revision, an interesting manuscript relevant to this topic was published (Kee et al., 2017).

### Acknowledgements

We thank Dr Patricia Jensen for providing *En1::Dre* and *RC::RLTG* mice, Dr Yong Chao-Ma for Lmx1a antibodies, Nigel P. Anderson for technical help, Jean-Francois Poulin for sharing findings, and critiques on the manuscript. *En1OE* mice were generated by the TTML core. Imaging was performed at the Center for Advanced Microscopy (NCI-CCSG-P30-CA060553).

### Competing interests

The authors declare no competing or financial interests.

### Author contributions

N.N. designed and conducted experiments, prepared figures and wrote the manuscript. R.A. supervised the study and revised the manuscript.

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### Supplementary information

Supplementary information available online at <http://dev.biologists.org/lookup/doi/10.1242/dev.144949.supplemental>

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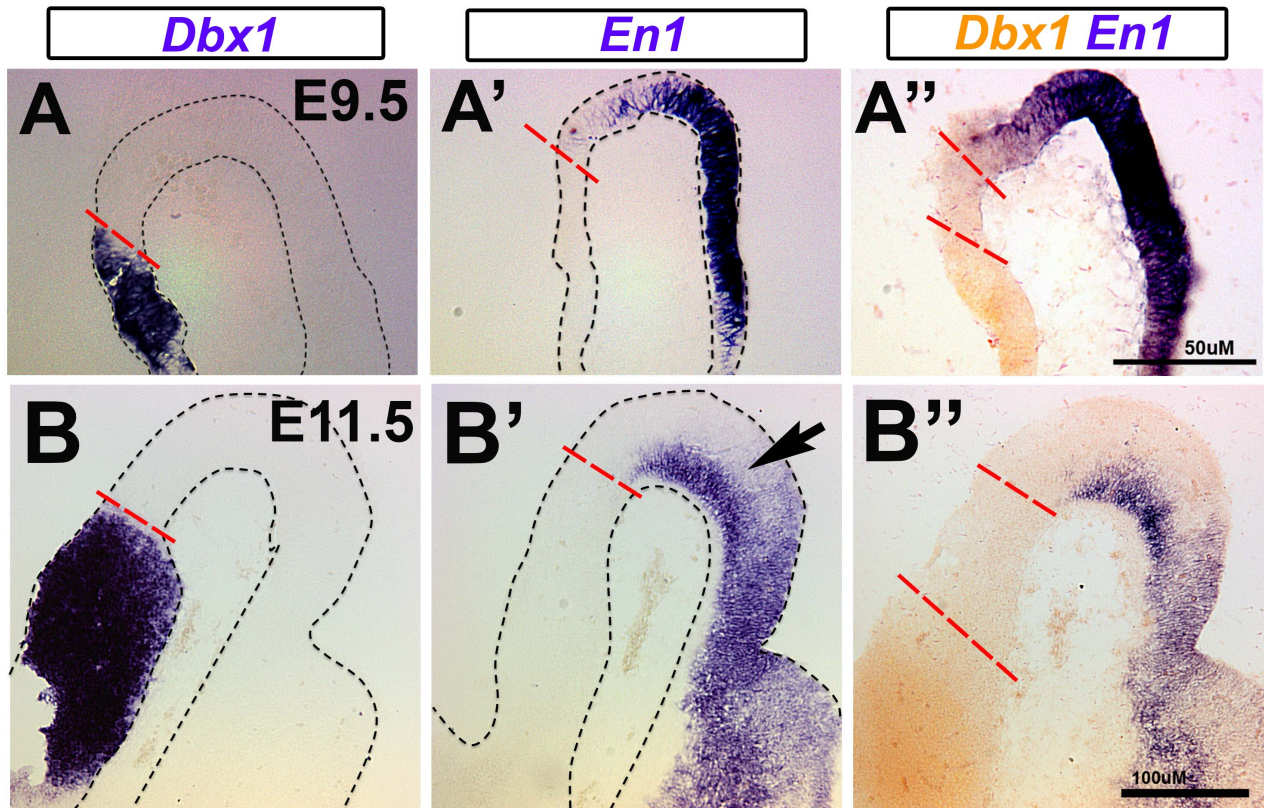


Figure S1 – Developmental expression of *En1* and *Dbx1* in the mdFP.

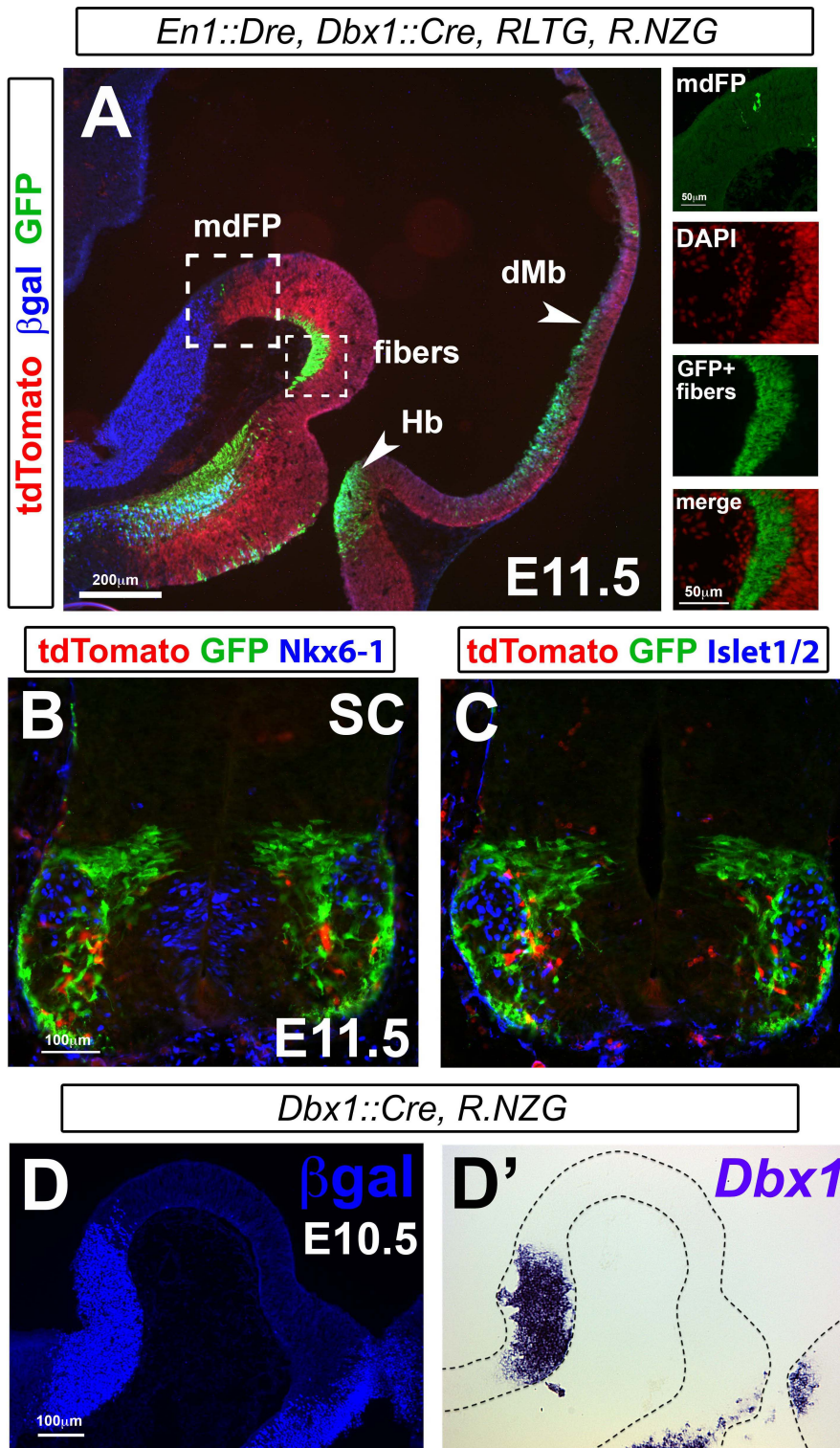


Figure S2 – Dual and Intersectional fate maps for *En1* and *Dbx1* reveal overlap in the dorsal midbrain, hindbrain, and spinal cord, but minimally in the mdFP.

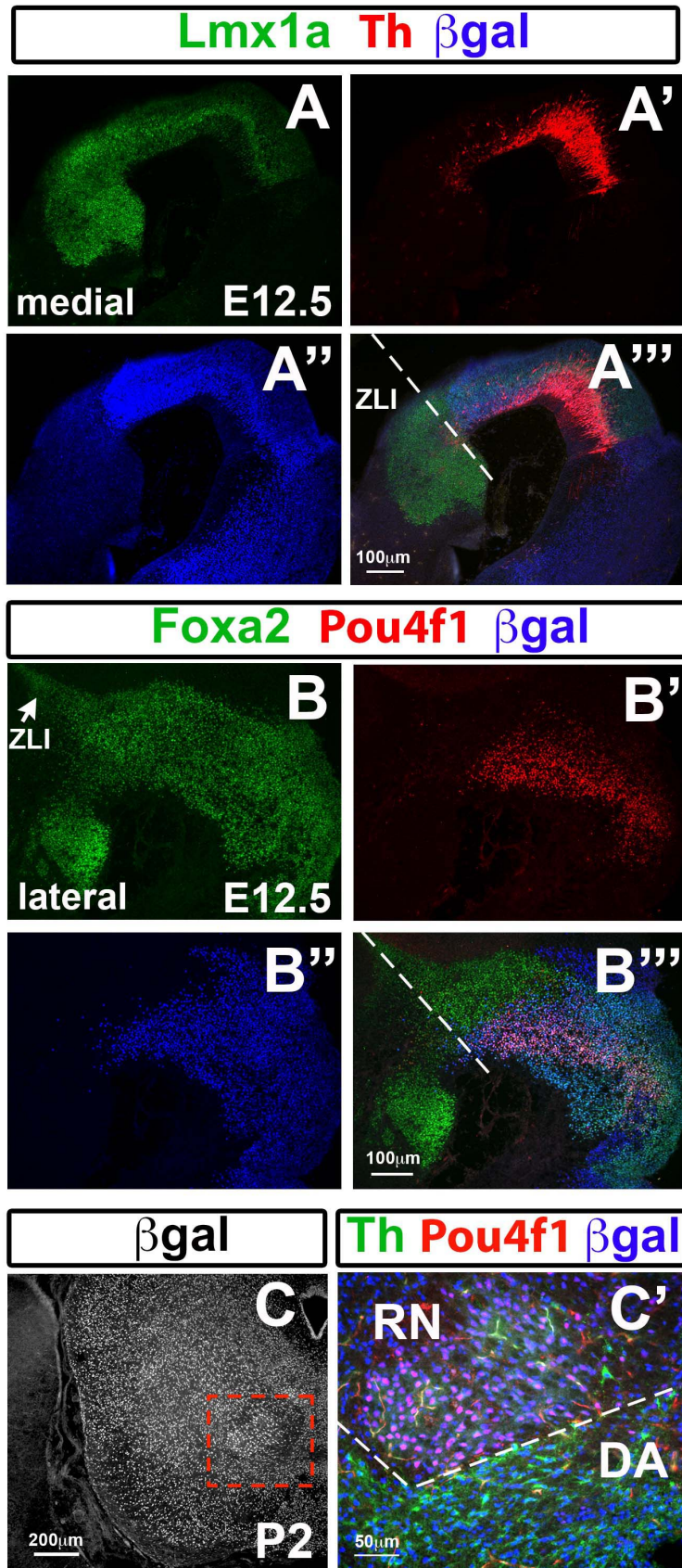


Figure S3 – *En1::Cre* labeled progenitors, extending to the ZLI, are the source of *Th*+ DA neurons, and *Pou4f1*+ RN neurons.

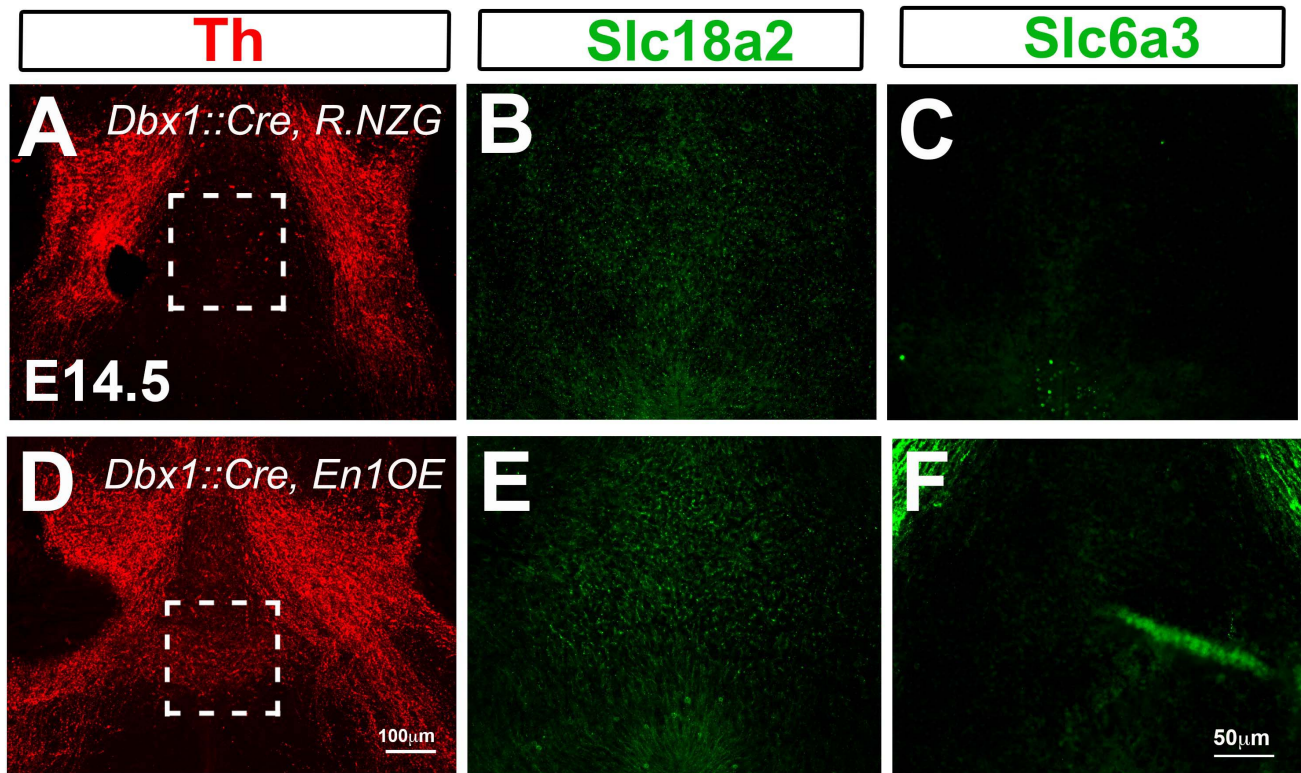


Figure S4 – Ectopic DA neurons in En1OE embryos express Slc18a2, but not Slc6a3.



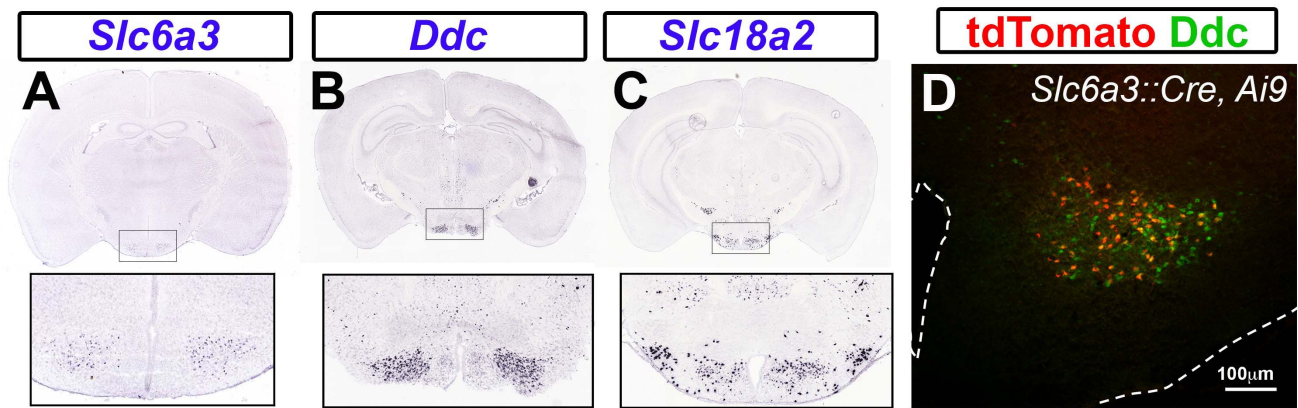


Figure S5 – Expression of *Slc6a3*, *Ddc*, *Slc18a2* (Allen Brain Atlas), and *Ddc* protein in the PMv.