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## RESEARCH ARTICLE

# Insights from venous oxygen profiles: oxygen utilization and management in diving California sea lions

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#### **SUMMARY**

The management and depletion of  $O_2$  stores underlie the aerobic dive capacities of marine mammals. The California sea lion (*Zalophus californianus*) presumably optimizes  $O_2$  store management during all dives, but approaches its physiological limits during deep dives to greater than 300 m depth. Blood  $O_2$  comprises the largest component of total body  $O_2$  stores in adult sea lions. Therefore, we investigated venous blood  $O_2$  depletion during dives of California sea lions during maternal foraging trips to sea by: (1) recording venous partial pressure of  $O_2$  ( $P_{O_2}$ ) profiles during dives, (2) characterizing the  $O_2$ -hemoglobin (Hb) dissociation curve of sea lion Hb and (3) converting the  $P_{O_2}$  profiles into percent Hb saturation ( $S_{O_2}$ ) profiles using the dissociation curve. The  $O_2$ -Hb dissociation curve was typical of other pinnipeds ( $P_{S_0}$ =28±2 mmHg at pH7.4). In 43% of dives, initial venous  $S_{O_2}$  values were greater than 78% (estimated resting venous  $S_{O_2}$ ), indicative of arterialization of venous blood. Blood  $O_2$  was far from depleted during routine shallow dives, with minimum venous  $S_{O_2}$  values routinely greater than 50%. However, in deep dives greater than 4min in duration, venous  $S_{O_2}$  reached minimum values below 5% prior to the end of the dive, but then increased during the last 30–60 s of ascent. These deep dive profiles were consistent with transient venous blood  $O_2$  depletion followed by partial restoration of venous  $O_2$  through pulmonary gas exchange and peripheral blood flow during ascent. These differences in venous  $O_2$  profiles between shallow and deep dives of sea lions reflect distinct strategies of  $O_2$  store management and suggest that underlying cardiovascular responses will also differ.

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### INTRODUCTION

The pattern and magnitude of depletion of elevated body O<sub>2</sub> stores underlie the dive capacity, feeding behavior and foraging ecology of marine mammals. The California sea lion [*Zalophus californianus* (Lesson 1828)] is an excellent model species for investigation of O<sub>2</sub> store depletion in an otariid (sea lions and fur seals) because both its O<sub>2</sub> stores and dive behavior have been extensively studied (Feldkamp et al., 1989; Kuhn, 2006; Weise and Costa, 2007). Forty-three percent of the 52 ml O<sub>2</sub> kg<sup>-1</sup> total body O<sub>2</sub> store in adult females is found in the blood (Weise and Costa, 2007). Consequently, investigation of blood O<sub>2</sub> depletion is especially crucial if we are to understand the physiological limits of their routine 3–5 min dives and the physiological strategies used in longer dives up to 14 min in duration (Feldkamp et al., 1989; Kuhn, 2006).

Venous blood O<sub>2</sub> depletion has been examined in two freely diving species, the emperor penguin (*Aptenodytes forsteri*) and the northern elephant seal (*Mirounga angustirostris*), both considered to be extreme breath-hold divers (Ponganis et al., 2007; Meir et al., 2009; Meir and Ponganis, 2009). The ability of the penguin and elephant seal to perform such long dives was attributed to extreme hypoxemic tolerance and near-complete depletion of the blood O<sub>2</sub> stores (Ponganis et al., 2007; Meir et al., 2009; Meir and Ponganis, 2009). During dives that exceeded 6 min in emperor penguins and 15 min in elephant seals, venous partial pressure of O<sub>2</sub> (*P*<sub>O2</sub>) often reached values less than 10 mmHg [1.3 kPa, near 0% hemoglobin

(Hb) saturation  $(S_{O2})$ ] in both species (Meir et al., 2009; Meir and Ponganis, 2009). Although both emperor penguins and elephant seals deplete venous blood O2 to low values, the depletion patterns exhibited by emperor penguins were more variable (Ponganis et al., 2007; Meir and Ponganis, 2009). For example, at the 5.6 min aerobic dive limit (ADL; the dive duration associated with the onset of postdive blood lactate accumulation) of emperor penguins (Ponganis et al., 1997b), end-of-dive  $S_{O2}$  ranged from ~5 to 85% saturation (Meir and Ponganis, 2009). In contrast, for dive durations of 15 min (estimated ADL for juvenile elephant seals based on the ADL from the similar sized juvenile Weddell seal) (Kooyman et al., 1983), end-of-dive S<sub>O2</sub> ranged from 0 to 30% (Meir et al., 2009). Such differences are possibly attributed to differences in: (1) the relative size of the respiratory O<sub>2</sub> store (4% in the elephant seal versus 33% in the emperor penguin) (Kooyman and Ponganis, 1998; Sato et al., 2011), (2) heart rate regulation (degree and pattern of diving bradycardia), (3) pulmonary blood flow/gas exchange early in the dive and (4) peripheral organ perfusion, severity of peripheral vasoconstriction and degree of arterio-venous (a-v) shunting.

This study took advantage of the recurrent  $1-10 \,\mathrm{day}$  maternal foraging trips of California sea lions to measure venous blood  $\mathrm{O}_2$  depletion by utilizing a backpack  $P_{\mathrm{O}_2}$  recorder to document venous  $P_{\mathrm{O}_2}$  profiles during the routine dives of these animals at sea. The goals of this research were to: (1) obtain venous  $P_{\mathrm{O}_2}$  profiles from freely diving animals, (2) characterize the sea lion  $\mathrm{O}_2$ -Hb

dissociation curve and apply it to convert  $P_{O2}$  to  $S_{O2}$  and (3) calculate the rate and magnitude of venous blood  $O_2$  store depletion during both shallow and deep dives at sea.

We hypothesized that: (1) the  $O_2$ -Hb dissociation curve, the  $P_{50}$  and the Bohr shift would be similar to those of other marine mammals; (2) venous blood  $O_2$  depletion would be incomplete even in long-duration dives of sea lions because of the contribution of respiratory  $O_2$  to blood and the probable isolation of muscle from the circulation due to slower heart rates during such dives (Ponganis et al., 1997b); (3) minimum venous  $P_{O_2}$ ,  $S_{O_2}$  and the magnitude of  $O_2$  depletion would be negatively related to dive duration; and (4) depletion patterns would be highly variable in short dives (<3 min) as seen in emperor penguins due to the contribution of lung  $O_2$  stores [16% of total body  $O_2$  stores (Weise and Costa, 2007)], especially at shallow depths.

## MATERIALS AND METHODS O<sub>2</sub>—Hb dissociation curve determination

O<sub>2</sub>-Hb dissociation curves were determined on fresh whole blood using the mixing technique at 37°C (Scheid and Meyer, 1978). Blood (30-60 ml) was collected in heparinized blood tubes from stranded adult sea lions rehabilitated at Sea World San Diego (N=7) and from healthy neutered male adult sea lions maintained by the US Navy Marine Mammal Program in San Diego, CA (N=4), during routine health procedures. Samples were placed on ice during transport. Analyses began immediately upon arrival to the laboratory (~30-60 min post collection) and were completed within 8h of collection to prevent depletion of labile organic phosphates. Blood was tonometered (tonometer 237; Instrumentation Laboratory, Bedford, MA, USA) to create 0% saturated and 100% saturated blood at the desired pH (7.2, 7.3, 7.4 and 7.5) using an appropriate mix of N2, O2 and CO2. Desaturated and 100% saturated blood was mixed to obtain  $S_{O_2}$  at various points along the curve (10, 20, 50, 70, 90 and 95%  $S_{O_2}$ ) and the  $P_{O_2}$  of the mix was then determined using an i-STAT blood gas analyzer (Abbott Point of Care, Princeton, NJ, USA). The use of the i-STAT also allowed verification of pH. The  $log[S_{O2}/(100-S_{O2})]$  versus  $log(P_{O2})$ relationship was plotted, and linear regression analysis was performed to determine the equation of the O2-Hb dissociation curve at pH 7.2, 7.3, 7.4 and 7.5. Because of the limited quantities of blood, curves were not determined at each pH for all sea lions, but when possible additional  $P_{50}$  values were obtained at each pH. The CO<sub>2</sub> Bohr coefficient was determined from the linear regression of  $log(P_{50})$  on pH (averaged from all  $P_{50}$  values at pH 7.2, 7.3 and 7.4) (Meir et al., 2009). The equipment and procedure used in this study were validated by determining the  $P_{50}$  in species with previously published O<sub>2</sub>–Hb binding data (rat, sheep and California sea lion).

## Animal handling and instrumentation

The field study was conducted at San Nicolas Island, CA (22°14′12.3″N, 119°32′54.3″W), during August 2010 and 2011. Adult female California sea lions were captured using customized hoop nets and only lactating females (determined by manual expression of milk from the teat) were selected for instrumentation to increase the likelihood of recovering instruments. Females were anesthetized with isoflurane gas with O<sub>2</sub> using a portable field vaporizer anesthesia circuit (Gales and Mattlin, 1998). After mask induction and intubation on 5% isoflurane-O<sub>2</sub>, anesthesia was maintained with 1–2% isoflurane.

Eleven females were instrumented with custom-built  $P_{\rm O_2}$  data loggers, time depth recorders (TDRs) and radio transmitters. With ultrasound guidance over the caudal back, a  $P_{\rm O_2}$  electrode (model

Licox C1.1 Revoxode; Integra Life Sciences, Plainsboro, NJ, USA) and thermistor (model 554; Yellow Springs Instruments, Yellow Springs, OH, USA) were placed percutaneously through a Peel-Away catheter (5 Fr; Cook Medical, Bloomington, IN, USA) into the vena cava via the caudal gluteal vein. Catheterization,  $P_{O_2}$  electrode and thermistor procedures have been described previously (Ponganis et al., 1991; Ponganis et al., 1997a; Stockard et al., 2005; Ponganis et al., 2007; Meir et al., 2009). The  $P_{O_2}$  electrode and thermistor were connected to a custom-built microprocessor (3991 BioLog or UUB BioLog; UFI, Morro Bay, CA, USA) in a waterproof housing (5×17 cm, 570 g or 3.2×11.4 cm, 200 g; Meer Instruments, Palomar Mountain, CA, USA) that was mounted midline above the hips with 5 min epoxy (Loctite; Henkel Corp., Westlake, OH, USA). The logger recorded  $P_{\rm O2}$  and temperature every 1s (two deployments), 5s (eight deployments) or 15 s (one deployment) depending on the specific data logger used. An Mk9 TDR (Wildlife Computers, Redmond, WA, USA;  $6.7 \times 1.7 \times 1.7$  cm, 30 g, 1 s sampling interval,  $\pm 0.5$  m resolution) and a radio transmitter (mm160B, 2.0×5.6 cm, 25 g; ATS, Isanti, MN, USA) were attached in front of the  $P_{O_2}$  logger. The time on the TDR and  $P_{O2}$  logger were synchronized to the same internet-synced computer clock.

After instrumentation, females were weighed (±0.2 kg, MSI-7200 Dyna-link; Measurement Systems International, Seattle, WA, USA) and placed in a kennel to recover from anesthesia (25–60 min). Once recovered, females were released. After one to four trips to sea, females were recaptured for instrument recovery. Instruments were removed while the sea lion was manually restrained (entire capture ~10 min). All procedures were approved under a University of California, San Diego Animals Subjects Committee permit (no. S11303) and a National Marine Fisheries Service marine mammal permit (no. 14676).

## $S_{\text{O}_2}$ and blood $O_2$ store depletion calculations

We obtained  $S_{O2}$  values by applying the linear regression equation determined by the dissociation curve analysis to the  $P_{O2}$  data collected by the data loggers and solving for  $S_{O2}$ . Initial, maximum, minimum and end-of-dive  $S_{O2}$  were estimated using the equation at pH 7.4 for most dives, but for dives greater than 3 min in duration, the minimum and end-of-dive  $S_{O2}$  were estimated using the equation at pH 7.3. Although pH can shift the dissociation curve, previous work suggests that there is minimal change in pH even in forced dives of 30 min in Weddell seals (7.4 to 7.28) (Elsner et al., 1970). Therefore, we assumed little change in pH in the routine dives (<3 min) of sea lions. To be conservative, in dives longer than the calculated ADL (cADL; estimated ADL calculated from total body  $O_2$  stores and metabolic rate) (Weise and Costa, 2007), the  $O_2$  content at the end of dives >3 min was determined assuming a pH of 7.3.

Initial, maximum, minimum and end-of-dive  $O_2$  content (ml  $O_2$  dl<sup>-1</sup> blood) were calculated from the corresponding  $P_{O_2}$  and  $S_{O_2}$  values from the equation:

O<sub>2</sub> content = O<sub>2</sub> binding capacity of Hb×Hb concentration  
×
$$(S_{O_2} + (0.003 \times P_{O_2}))$$
, (1)

using the  $O_2$  binding capacity of Hb=1.34ml $O_2$ g<sup>-1</sup>Hb and Hb concentration=18gdl<sup>-1</sup> (Weise and Costa, 2007). The rate of  $O_2$  depletion (ml $O_2$ dl<sup>-1</sup>min<sup>-1</sup>) was calculated using the equation:

$$O_2$$
 depletion rate = 
$$\frac{\text{Maximum } O_2 \text{ content} - \frac{\text{Minimum } O_2 \text{ content}}{\text{Time}},$$
 (2)

where Time is the duration between the maximum and minimum  $O_2$  content measurements (min). Percent of the total venous  $O_2$  content depleted during a dive was calculated from the equation:

% 
$$O_2$$
 content depleted = 
$$\frac{\text{Maximum } O_2 \text{ content} - \frac{\text{Minimum } O_2 \text{ content}}{\text{Maximum } O_2 \text{ content}}}{\text{Maximum } O_2 \text{ content}}.$$
 (3)

## Data processing and statistics

Prior to deployment,  $P_{O2}$  electrodes and thermistors were calibrated in the laboratory as previously described (Stockard et al., 2005; Ponganis et al., 2007). Thermistor connections frequently broke during the deployment so we were unable to correct electrode output for  $P_{O2}$  data using in vivo temperatures (Stockard et al., 2005). However, continuous venous temperature profiles were successfully collected from three sea lions while at sea for a cumulative 165 h, including 2803 dives, surface intervals and subsurface swimming. Average temperature was 36.8±0.6°C while at sea (range 33.4–39.0°C, 93% of values were within 1°C of 37°C); therefore, we assumed that body temperature was 37°C when calculating  $P_{O2}$ and  $S_{O2}$ . Although not ideal, this assumption would result in very small effects on  $P_{O_2}$ . For example, at a  $P_{O_2}$  of 60 mmHg (8.0 kPa), a ±1°C in vivo temperature difference from 37°C would result in only a 2 mmHg (0.3 kPa) difference (1%  $S_{O2}$ ). At low  $P_{O2}$  values, the effect would be even smaller; e.g. the difference would only be  $0.2 \,\mathrm{mmHg} \, (0.1\% \, S_{\mathrm{O2}})$  at a  $P_{\mathrm{O2}}$  of  $5 \,\mathrm{mmHg} \, (0.7 \,\mathrm{kPa})$ .

TDR data were analyzed in MATLAB (The MathWorks, Natick, MA, USA) using a custom-written dive analysis program (IKNOS; Y. Tremblay), which calculates a zero offset correction at the surface and identifies dives on the basis of a minimum depth and duration. The minimum depth for defining a dive was set at 5 m and the minimum duration was 20 s.

 $P_{\rm O2}$  and TDR logger clock drifts were documented predeployment and, when possible, post-deployment, to allow data synchronization. A custom-written MATLAB code was used to obtain initial ( $P_{\rm O2}$  value before dive starts), maximum, minimum and end-of-dive  $P_{\rm O2}$  (and  $S_{\rm O2}$ ) and time to minimum  $P_{\rm O2}$  for every dive greater than 1 min in duration. Data were visually inspected to confirm processed results.

The relationship between dive duration and initial  $P_{\rm O2}$ , maximum  $P_{\rm O2}$ , minimum  $P_{\rm O2}$  and percent  $\rm O_2$  depleted during the dive were investigated using linear mixed effects models (Cran R 2.12.2, package nlme). Dives less than 2 min in duration were excluded from analysis due to the high variability of values in those dives. Dive duration was the fixed effect in all models, and to account for the lack of independence caused by repeatedly sampling the same individual over time, individual (sea lion ID) was included as a random effect.  $P_{\rm O2}$  data were log transformed and the proportion of  $\rm O_2$  depleted during a dive was arcsine transformed before analysis to meet model assumptions. Covariance and random effect structures of the full models were evaluated using Akaike's information criterion (AIC) and examination of residual plots (Zuur et al., 2009). AICs from all tested models are presented with the best model highlighted in bold.

## RESULTS $O_2$ -Hb dissociation curve

Complete O<sub>2</sub>–Hb dissociation curves were determined at pH7.2 (N=3 sea lions), 7.3 (N=6 sea lions), 7.4 (N=7 sea lions) and 7.5 (N=1 sea lion), with additional  $P_{50}$  values determined at each pH (Fig. 1). The  $P_{50}$  at pH7.4 was  $28\pm2$  mmHg ( $3.7\pm0.3$  kPa; N=11 sea lions) and the Bohr effect was -0.57 (y=-0.57x+5.68,  $r^2=1.0$ ,

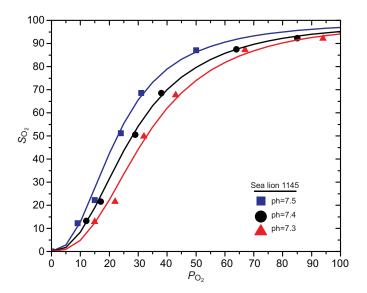


Fig. 1. O<sub>2</sub>-Hb dissociation curves from one sea lion at pH 7.3, 7.4 and 7.5.

P=0.038). The regression equations from the  $log[S_{O2}/(100-S_{O2})]$  versus  $log(P_{O2})$  plots including all saturation points for all sea lions combined were:

pH7.2: 
$$log[S_{O2}/(100-S_{O2})] = 2.287 \times log(P_{O2}) - 3.515$$
 (*N*=26,  $r^2$ =0.98,  $P$ <0.001);

pH 7.3: 
$$log[S_{O2}/(100-S_{O2})] = 2.363 \times log(P_{O2}) - 3.576$$
 (N=48,  $r^2$ =0.96,  $P$ <0.001);

pH7.4: 
$$log[S_{O2}/(100-S_{O2})] = 2.473 \times log(P_{O2}) - 3.632$$
 (N=44,  $r^2$ =0.97,  $P$ <0.001);

pH7.5: 
$$log[S_{O2}/(100-S_{O2})] = 2.339 \times log(P_{O2}) - 3.174$$
 (N=6,  $r^2$ =0.99,  $P$ <0.001).

## Po2 and So2 profiles and blood O2 depletion

 $P_{\rm O2}$  data were successfully obtained from eight females with trip durations ranging from 0.8 to 8.9 days (data loggers from one sea lion were not recovered and two deployments failed due to electrode failure before diving commenced). All but the two females with the shortest trip durations exhibited diving behavior typical of foraging trips (one of which did not perform any dives greater than 2 min in duration or 20 m in depth) (Feldkamp et al., 1989; Kuhn, 2006); however, an abnormal short trip following capture is not unusual in sea lions. The range in the number of profiles analyzed for each sea lion was secondary to trip duration, diving behavior and time until electrode failure (Table 1). We obtained 2596 venous  $P_{\rm O2}$  diving profiles from dives greater than 1 min in duration. Median dive duration was 1.7 min (range 1.0-8.1 min) and median depth was 29 m (range 5-377 m). Dive duration and depth data for each female exhibiting dives greater than 2 min in duration are given in Table 1 (seven females, 926 dives).

Blood  $O_2$  depletion patterns differed between shallow dives and deep dives. In short-duration, shallow dives ( $<3 \,\mathrm{min}$ , N=2252),  $P_{O_2}$  (and  $S_{O_2}$ ) depletion patterns were highly variable, and venous blood  $O_2$  was not usually depleted [minimum  $P_{O_2} \ge 30 \,\mathrm{mmHg}$  ( $4 \,\mathrm{kPa}$ ;  $S_{O_2} \ge 50\%$ ) in 60.7% of dives; Fig. 2, Fig. 3A,C].  $P_{O_2}$  (and  $S_{O_2}$ ) typically increased during the initial part of the dive before decreasing until the end of the dive. In dives greater than 3 min in duration, there were two typical  $P_{O_2}$  profile shapes. The first profile type was similar in shape to the short, shallow dive profiles, with an initial increase before decreasing throughout most of the dive, but with lower minimum values than observed in short, shallow dives. However,

Table 1. Individual dive,  $P_{O_2}$  and  $S_{O_2}$  data for all dives greater than 2 min in duration (926 dives)

			Sampling	Dive								Depletion rate	<u></u>
Sea lion	No. dives	Mass (kg)	interval (s)	duration (min)	Dive depth (m)	Initial Po <sub>2</sub> (mmHg)	Initial S <sub>O2</sub> (%)	Max. $P_{O_2}$ (mmHg)	Max. $S_{O_2}$ (%)	Min. $P_{O_2}$ (mmHg)	Min. $S_{O_2}$ (%)	$(ml O_2 dl^{-1} min^{-1})$	% O <sub>2</sub> contended
Artemis	124	87.2	1	3.5±1.4	111±110	34±6	57.3±11.1	38±6	63.5±10.1	22±7	30.5±15.1	3.42±1.42	50.9±23.9
				3.0	51	34	59.1	39	66.5	23	33.4	3.07	45.3
				(2.0-8.1)	(16-377)	(15-49)	(15.0-77.5)	(19-56)	(24.8-82.9)	(4-33)	(0.9-56.4)	(0.94-8.94)	(18.5-98.6)
Athena	223	87.6	5	3.9±1.5	149±106	75±9	90.8±2.0	108±20	95.7±1.7	27±22	35.7±36.5	5.17±2.56	62.4±38.5
				4.2	189	74	90.9	106	96.0	13	10.1	5.85	89.7
				(2.0-7.4)	(10-332)	(51-136)	(79.5-97.8)	(74-173)	(90.7-98.8)	(5-72)	(1.4-90.2)	(0.80-15.17)	(2.6-98.5)
Persephone	301	75.4	5	2.9±0.7	88±47	52±8	78.8±6.9	66±13	86.7±6.0	29±13	42.6±23.4	5.05±2.23	51.2±25.5
				2.8	92	51	79.9	65	89.8	24	35.9	5.22	56.6
				(2.0-6.0)	(19-273)	(25-82)	(40.3-92.7)	(29-127)	(49.1-97.4)	(8-63)	(3.9-86.6)	(0.59-16.24)	(2.7-95.4)
Aura	59	65.0	1	2.9±1.0	54±59	33±8	55.8±15.1	37±9	61.8±15.3	23±7	33.6±15.3	3.57±1.84	46.5±19.4
				2.6	29	34	59.4	40	67.7	23	33.1	3.07	41.8
				(2.0-6.3)	(16-309)	(16-53)	(19.2-81.3)	(18-55)	(23.9-82.3)	(7-33)	(2.6-57.4)	(1.62-13.70)	(21.8-94.1)
Atalanta	162	91.0	5	2.6±0.5	49±36	42±5	70.2±7.0	47±6	75.7±5.7	34±6	57.3±10.7	2.52±1.27	24.3±12.9
				2.5	33	42	71.3	47	76.5	35	59.7	2.30	20.6
				(2.0-5.0)	(16-178)	(28-57)	(46.1-83.9)	(30-59)	(52.2-84.9)	(21-46)	(29.6–75.1)	(0.68-8.79)	(5.3-61.5)
Aphrodite	49	72.0	5	2.2±0.2	33±21	47±7	74.7±7.1	55±7	81.4±5.4	28±7	45.9±14.3	5.40±1.84	43.8±16.4
				2.1	26	47	76.1	56	83.1	28	47.0	4.87	40.9
				(2.0-2.7)	(8-108)	(31–67)	(53.2-85.4)	(36-71)	(62.2-89.8)	(13-39)	(11.7–66.8)	(2.46-10.79)	(18.0-85.0)
Amphitrite	8	83.0	15	2.4±0.3	30±30	32±5	55.2±8.0	35±3	59.4±75.4	22±1	31.6±3.0	4.03±0.70	46.6±5.4
				2.3	19	32	54.2	34	58.9	22	32.8	3.86	45.0
				(2.1-3.1)	(17-104)	(28-43)	(47.0-71.9)	(31-42)	(53.2-70.7)	(19-23)	(25.3–35.2)	(3.18-5.40)	(40.1–56.8)
Grand mean ± s.d.				3.1±1.2	93±83	52±17	75.4±14.0	67±29	81.7±13.6	28±14	41.4±25.4	4.34±2.29	48.4±29.4
Grand median				2.7	63	49	77.6	58	84.3	26	39.3	3.99	45.1
(range)				(2.0-8.1)	(8-377)	(15-136)	(15.0-97.8)	(18-173)	(23.9-98.8)	(4-72)	(0.9-90.2)	(0.59-16.24)	(2.6-98.6)

The mean  $\pm$  s.d. (first row), median (second row) and range (third row) are given for each sea lion. Minimum (Min.)  $S_{02}$  was determined at pH 7.4 for dives <3 min and at pH 7.3 for dives  $\ge$ 3 min. Data are only presented for the seven sea lions that performed dives greater than 2 min in duration.

in most of the deepest and longest dives,  $P_{O_2}$  also increased initially, but then rapidly declined to less than 10 mmHg (1.3 kPa;  $S_{O2} < 5\%$ ) halfway through the dive, remained low for a few minutes, and finally increased during the last minute of the dive as the sea lion was ascending (Fig. 3B,D). In most of the profiles from dives greater than 3 min in duration, there was an increase in  $P_{O_2}$  (and  $S_{O_2}$ ) prior to the end of the dive (88.1% of dives; Fig. 2, Fig. 4A). In general,  $P_{\rm O2}$  (and  $S_{\rm O2}$ ) continued, or quickly started, to increase after surfacing (Fig. 3); yet, because many post dive intervals were short and/or sea lions were sub-surface swimming,  $P_{O_2}$  did not always recover to resting values (~78% S<sub>O2</sub> assuming a 5 ml O<sub>2</sub> dl<sup>-1</sup> a-v difference at rest). In dives greater than 5 min, which typically were followed by a longer post-dive interval (median=4.3 min, range=1.8-126.7 min) with little evidence for sub-surface swimming during the post dive interval, median time to near-resting values  $(45 \text{ mmHg}, 6 \text{ kPa}, 75\% S_{O2}) \text{ was } 28 \text{ s (range=0-67 s)}.$ 

Initial, maximum and minimum  $P_{\rm O2}$  and  $S_{\rm O2}$ , percentage blood  $O_2$  depletion and  $O_2$  depletion rate are given for each sea lion exhibiting dives greater than 2 min in duration in Table 1.  $P_{\rm O2}$  (and  $S_{\rm O2}$ ) at the start of the dive was variable (Table 1). In 43.5% of all dives, initial venous  $P_{\rm O2}$  was greater than 49 mmHg (6.5 kPa,  $S_{\rm O2}$  >78%). This was seen in seven of the eight females and most commonly in sea lions that performed the deepest dives. There was a positive, but weak, relationship between initial and maximum  $P_{\rm O2}$  and dive duration, with high intra-class correlation indicating that each sea lion had a different relationship (Table 2, supplementary material Fig. S1).

In dives greater than 2 min in duration, there was a negative relationship between dive duration and minimum venous  $P_{\rm O2}$  (Table 2, Fig. 2A). In dives greater than 4 min in duration, minimum venous  $P_{\rm O2}$  routinely reached values below 15 mmHg (2.0 kPa, 15%  $S_{\rm O2}$ ; 79.3% of dives; Fig. 2A,C) and was occasionally as low as

5 mmHg (0.7kPa,  $1\% S_{O2}$ ; Fig. 2A,C, Fig. 3A,C). This resulted in near-complete blood  $O_2$  depletion (Fig. 5A,B). Percentage venous  $O_2$  depletion during a dive was positively related to dive duration in dives greater than 2 min (Table 2), with over 90% of venous blood  $O_2$  being depleted in 69.6% of dives greater than 4 min in duration. Blood  $O_2$  depletion rates were much more variable (Fig. 5C), with individual sea lions exhibiting different relationships between dive duration and depletion rate patterns. In general, depletion rates were highly variable in dives less than 3 min in duration, but much more consistent in longer duration dives (Fig. 5C).

## DISCUSSION O<sub>2</sub>-Hb dissociation curve

As expected, the  $O_2$ —Hb dissociation curve for the California sea lion (Fig. 1) was similar to that of other pinnipeds and terrestrial mammals (Horvath et al., 1968; Lenfant, 1969; Meir et al., 2009). The mean  $P_{50}$  of 28 mmHg (3.7 kPa) at pH7.4 was slightly lower than the previously reported  $P_{50}$  of 28.5 and 29.5 from two sea lions (Horvath et al., 1968; Lenfant, 1969). The sea lion  $P_{50}$  falls within the range of most diving mammals (Lenfant, 1969; Meir et al., 2009). The relatively large Bohr effect was also similar to that of other pinnipeds and terrestrial mammals of similar size, and may facilitate offloading of  $O_2$  at the tissues (Riggs, 1960; Lenfant, 1969; Lenfant et al., 1970; Snyder, 1983; Willford et al., 1990; Meir et al., 2009).

## $P_{\rm O_2}$ and $S_{\rm O_2}$ profiles

In the typical short shallow dives of California sea lions (<3 min), there was great variation in minimum and final venous  $P_{\rm O2}$  and  $S_{\rm O2}$  (10–90%  $S_{\rm O2}$  range; Fig. 2), and the rate and magnitude of  $O_2$  depletion (Fig. 3A,C, Fig. 5). This variability may be secondary to differences in: (1) surface duration and the magnitude of venous

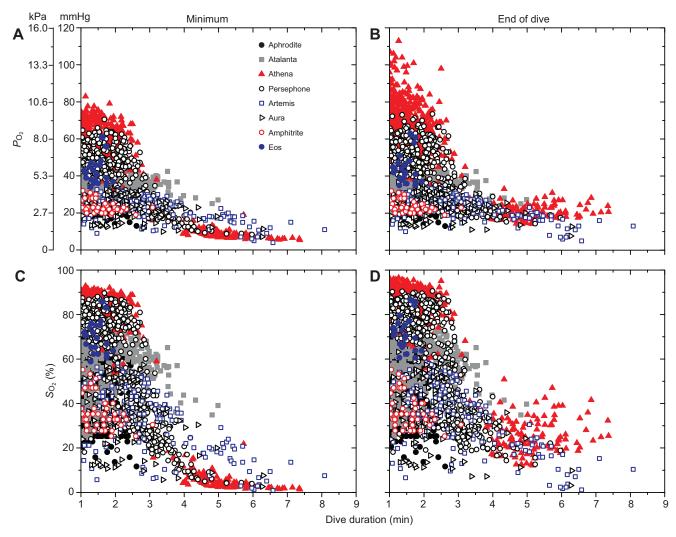


Fig. 2. (A) Minimum venous partial pressure of  $O_2$  ( $P_{O_2}$ ), (B) end-of-dive (final) venous  $P_{O_2}$ , (C) minimum venous Hb saturation ( $S_{O_2}$ ) and (D) end-of-dive (final) venous  $S_{O_2}$  versus dive duration.

blood  $O_2$  repletion prior to a dive, (2) dive duration and (3) metabolic rate during an individual dive. For example, observed start-of-dive venous  $S_{O_2}$  values were as low as 15%, consistent with prior suggestions that the blood  $O_2$  store is not necessarily restored prior to each dive (Fahlman et al., 2008b). And, in regard to metabolic rate, there has also been as much as fivefold variation in diving metabolic rate during short dives of another otariid, the Steller sea lion (Fahlman et al., 2008b).

In addition to highly variable venous blood  $O_2$  depletion patterns in short dives, venous  $O_2$  was not necessarily depleted at the end of dives at the cADL (3 min), with end-of-dive  $S_{O_2}$  values ranging from 10 to 80%. This is similar to the high variability in  $S_{O_2}$  at the end of dives at the ADL (5.6 min) in emperor penguins (range 5–85%) (Meir and Ponganis, 2009). Given that the venous  $O_2$  store is not depleted at most estimates of the ADL (3 min) in sea lions, we hypothesize that, like the ADL of emperor penguins, the ADL of sea lions is determined by the depletion of the muscle  $O_2$  store (Williams et al., 2011). Lack of depletion of the venous  $O_2$  store at the ADL is not consistent with previous theoretical models of  $O_2$  store management in which both the blood and muscle  $O_2$  store are simultaneously depleted in order to maximize aerobic dive duration (Davis and Kanatous, 1999).

In all dives, there was a decrease between maximum and minimum venous blood  $O_2$  during a dive, but in 15% of the dives (all <3 min in duration) end-of-dive venous blood  $O_2$  was equal to or greater than the start-of-dive value. This indicates that pulmonary gas exchange can continue during these shallow dives, and that, as in emperor penguins, venous blood  $O_2$  may even increase during the breath hold, perhaps due to a-v shunting during the dive (Ponganis et al., 2007). The lung  $O_2$  store of the sea lion constitutes 16% of the total body  $O_2$  store (Weise and Costa, 2007), making it a significant potential  $O_2$  source during diving, especially in shallow dives when lungs do not collapse (Kooyman and Sinnett, 1982; McDonald and Ponganis, 2012).

In the longer-duration dives of California sea lions (>3 min), there was much less variability in minimum and final venous blood  $O_2$ , and in the rate and magnitude of  $O_2$  depletion (Figs 2, 5). In contrast to shallow dives, there was near-complete  $O_2$  depletion in most dives greater than 4 min in duration. We had hypothesized that venous blood  $O_2$  depletion would be incomplete even in long dives because of the contribution of respiratory  $O_2$  to blood and the likely isolation of muscle from the circulation during such dives. Yet, sea lions regularly depleted venous  $O_2$  to levels equivalent to those recorded for both elephant seals and emperor penguins (Ponganis et al., 2007;

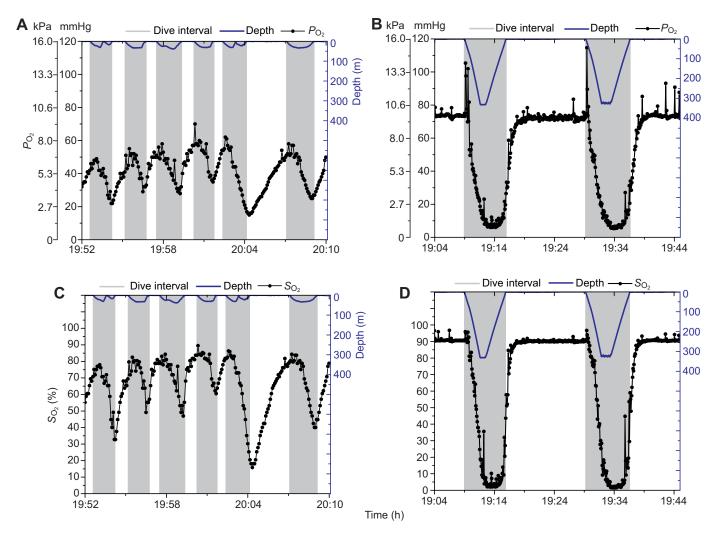


Fig. 3. Venous  $P_{O2}$  during short, shallow dives (Aphrodite; A) and deep dives (Athena; B) and the corresponding venous  $S_{O2}$  profiles during short, shallow dives (C) and deep dives (D). In both shallow and deep dives, there was an initial increase in  $P_{O2}$  and  $S_{O2}$ . The transient increase in venous  $P_{O2}$  and saturation at the start of dives is not consistent with muscle  $S_{O2}$  extraction, suggesting that there is no blood flow to muscle. The  $S_{O2}$  displayed was determined at pH7.4 to be consistent throughout the dive.

Meir et al., 2009; Meir and Ponganis, 2009), and often much earlier in the dive (Fig. 3). In long-duration dives, elephant seals exhibited an increase in venous  $P_{O2}$  at the beginning of a dive, as seen in sea lions, but then usually exhibited a steady decline throughout the remainder of the dive. In contrast, after the initial increase, sea lions showed a rapid decline in  $S_{O2}$  during the first half of the dive, after which it remained low for a few minutes before increasing during the last 30-60s of the dive during the ascent. The rapid decrease in venous  $S_{O2}$  during the first half of the sea lion's dive may reflect increased blood O2 extraction by perfused, working muscle. However, much of the decline is during descent, when sea lions are likely minimizing effort with a stroke and glide locomotory pattern (Williams, 2001; Fahlman et al., 2008a). Alternatively, this rapid decline in venous  $P_{O_2}$  observed in most long dives may be secondary to a severe bradycardia, low tissue perfusion and prolonged tissue transit time, resulting in near-complete extraction of blood O<sub>2</sub>.

## Implications for blood flow and gas exchange Pre-dive arterio-venous shunting

Initial venous  $S_{\rm O2}$  values greater than 78% (and sometimes as high as 95%) in over 40% of dives indicate that sea lions are able to

enhance their blood  $O_2$  stores by arterialization of venous blood (Fig. 3D). These elevated, pre-dive venous  $S_{O_2}$  values suggest the use of a-v shunts, as has been hypothesized for emperor penguins (Ponganis et al., 2007; Meir and Ponganis, 2009). This shunting could occur in the well-described a-v anastomoses in the skin of California sea lions (Bryden and Molyneux, 1978) or potentially in undescribed a-v shunts in other locations.

Alternatively, high initial venous  $S_{O2}$  values could also be secondary to a lack of  $O_2$  extraction in tissue (i.e. muscle) hyperperfused with well-oxygenated blood during the hyperventilation and tachycardia of the surface intervals. Artificial hyperperfusion through muscle can arterialize venous blood (Grassi et al., 1998); however, post-exercise hyperemia does not result in venous hyperoxia in healthy subjects (Bangsbo and Hellsten, 1998), and once the muscle is recovered, muscle blood flow decreases to resting rates (Walløe and Wesche, 1988; Bangsbo and Hellsten, 1998). Furthermore, hyperventilation at rest, at least in humans, is not associated with increased venous saturations (Huckabee, 1958). Therefore, the use of a-v shunts is the most plausible explanation for elevated  $S_{O2}$  values observed during surface intervals, as it is unlikely that the arterialization of pre-dive venous  $S_{O2}$  is due to

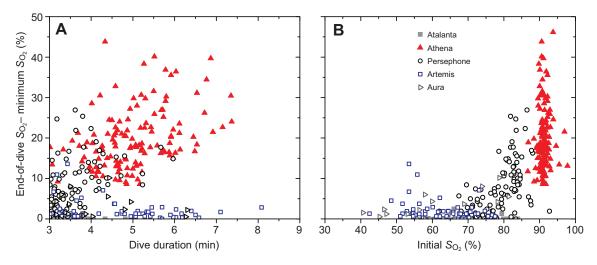


Fig. 4. The relationship between the increase in  $S_{O_2}$  at the end of a dive (end-of-dive  $S_{O_2}$  – minimum  $S_{O_2}$ ) and (A) dive duration and (B) initial  $S_{O_2}$  for dives greater than 3 min in duration (N=5 sea lions). For two sea lions, the increase in  $S_{O_2}$  at the end of the dive was greater in longer dives (A). However, the relationship between initial  $S_{\rm O2}$  and increase in  $S_{\rm O2}$  at the end of the dive suggests that the sea lions that arterialize their venous blood before dives also exhibit the greatest increase in  $S_{\text{O}_2}$  at the end of a dive.

hyperperfusion of resting muscle with already fully saturated myoglobin.

The magnitude of the a-v shunt before the dive can be estimated using the shunt equation: venous  $O_2$  content = [arterial  $O_2$  content  $\times$  % a-v shunt] + [(arterial O<sub>2</sub> content – 5 ml O<sub>2</sub> dl<sup>-1</sup>)  $\times$  (1 – % a-v shunt)], assuming a  $5 \, \text{ml} \, O_2 \, dl^{-1}$  a-v  $O_2 \, difference$  at rest, using an initial arterial  $O_2 \, \text{content}$  of  $24.07 \, \text{ml} \, O_2 \, dl^{-1}$  (McDonald and Ponganis, 2012), and assuming that the vena caval O<sub>2</sub> content is close to the mixed venous value. For example, before a 7.4 min dive, one of the sea lions had an initial venous  $S_{O_2}$  of 90.7%  $(22.1 \,\mathrm{ml}\,\mathrm{O}_2\,\mathrm{dl}^{-1})$ . In order to obtain the elevated venous  $S_{\mathrm{O}_2}$  observed,

an a-v shunt of 60.4% is required. This magnitude of shunt is similar to what was calculated in an emperor penguin before a 23 min dive (Meir and Ponganis, 2009).

Sea lions appear to maximize venous blood O<sub>2</sub> utilization by arterializing venous blood before dives and then depleting venous O2 to less than 1% saturation during deep dives. An initial venous  $S_{\rm O2}$  of 90%, in contrast to the 78% that would be used in a classic O2 store calculation, allows sea lions to dive with an additional 3 ml O<sub>2</sub> dl<sup>-1</sup> in venous blood. This additional O<sub>2</sub> results in an approximate 16% increase in venous blood O2. Thus, in addition to a role in thermoregulation (Bryden and Molyneux,

Table 2. Mixed effect model results examining the relationship between dive duration and initial, maximum, minimum venous blood O<sub>2</sub> and blood O2 depletion

		Model variables	AIC		Random effect				
Model	Fixed effect	Random effect		Coefficient	Error	d.f.	t	Р	ICC (%)
Duration vs Initial P <sub>O2</sub>	Duration		-1376.5						
	Duration	Sea lion ID (intercept)	-2384.1						
	Duration	Sea lion ID (intercept + slope)	-2405.1	0.00032	0.00011	918	3.01	0.003	89.8
		Sea lion ID (intercept)	-2383.2						
Duration <i>vs</i> Max. <i>P</i> <sub>O2</sub>	Duration		-916.1						
	Duration	Sea lion ID (intercept)	-2134.4						
	Duration	Sea lion ID (intercept + slope)	-2138.0	0.00030	0.00012	918	2.48	0.013	96.3
		Sea lion ID (intercept)	-2091.5						
Duration <i>vs</i> Min. <i>P</i> <sub>O2</sub>	Duration		-936.2						
	Duration	Sea lion ID (intercept)	-1034.1	-0.00322	0.00008	918	-41.93	< 0.001	60.7
	Duration	Sea lion ID (intercept + slope)		Did not converge					
		Sea lion ID (intercept)	-501.8		-				
Duration vs % O <sub>2</sub> depletion	Duration		-320.8						
	Duration	Sea lion ID (intercept)	-549.1						
	Duration	Sea lion ID (intercept + slope) Sea lion ID (intercept)	<b>-687.0</b> 234.3	0.00354	0.00055	918	6.42	<0.001	98.4

Akaike's information criterion (AIC) is reported for all models. The fixed effects and intraclass correlation coefficient (ICC) are only presented for the top model (indicated in bold). Dive duration is always the fixed effect and individual sea lion is always the random effect (with random intercept or random intercept and slope). Po, data were log transformed and percent O<sub>2</sub> depletion data were arcsin transformed before analysis. N=7 sea lions; dives <2 min in duration were excluded from the model.

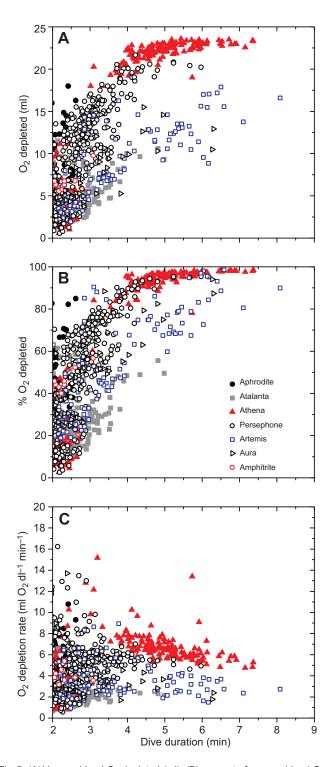


Fig. 5. (A) Venous blood  $O_2$  depleted (ml), (B) percent of venous blood  $O_2$  depleted and (C) venous blood  $O_2$  depletion rate in relation to dive duration. In short dives blood  $O_2$  depletion is variable, but in dives greater than 5 min venous blood  $O_2$  is often almost 100% depleted. Depletion rate is also variable in short dives and there is no consistent relationship between dive duration and depletion rate, although depletion rate is consistently lower in the longest dives.

1978; Molyneux and Bryden, 1981), a-v anastomoses in the sea lion may contribute to enhanced blood  $O_2$  storage and extension of the duration of aerobic metabolism in long-duration dives.

## Muscle blood flow during dives

The increase in venous  $O_2$  during the initial descent of a dive (Fig. 3) is inconsistent with  $O_2$  extraction by working tissues, suggesting that diving sea lions are able to restrict blood flow to locomotory muscles. If sea lions were perfusing muscle during the initial descent, we would expect to see a decline in  $S_{O_2}$  because sea lions have a high stroke rate during the initial descent, presumably to overcome buoyancy (Fahlman et al., 2008a; Hindle et al., 2010). However, venous  $S_{O_2}$  often increased to values greater than 85% during early descent (Table 1, Fig. 3), implying that muscle is ischemic during the initial phase of the dive. This is also similar to venous  $S_{O_2}$  profiles obtained for both emperor penguins and elephant seals (Meir et al., 2009; Meir and Ponganis, 2009).

Although the increase in  $S_{\rm O2}$  suggests sea lions are not perfusing muscle early in the dive, the high variability in profile shape later in the dive, especially in shorter dives, may partially be explained by different patterns of muscle blood flow during diving as suggested in emperor penguins by different myoglobin saturation profiles (Williams et al., 2011). In addition, in deep dives of sea lions, resumption of some muscle blood flow may account for the rapid decline in venous  $S_{\rm O2}$  during the latter part of descent. If heart rate is low and muscle blood flow only partially resumes during the latter descent, the rapid decline in venous  $S_{\rm O2}$  may be due, at least partly, to enhanced muscle  $O_2$  extraction to replace muscle  $O_2$  consumed during the beginning of the dive. Increased  $O_2$  demand in poorly perfused muscle could thus account for the rapid decline in venous  $S_{\rm O2}$  to extremely low levels during late descent.

### Gas exchange

As already mentioned, increases in venous  $S_{O_2}$  during early descent and net increases in venous SO2 during some shallow dives are consistent with maintenance of gas exchange at shallow depths. The increases in venous S<sub>O2</sub> during ascent from deep dives (Fig. 3D, Fig. 4A) are also consistent with arterial  $P_{O_2}$  profiles of deep dives, which indicate resumption of gas exchange in re-expanded lungs during the 'ascent tachycardia' (Ponganis et al., 1997a; McDonald and Ponganis, 2012). The deep dives of California sea lions are associated with lung compression, and there appears to be complete or near-complete cessation of pulmonary gas exchange (~100% pulmonary shunt or near-complete 'lung collapse') at ~200 m depth (Kooyman and Sinnett, 1982; McDonald and Ponganis, 2012). We have proposed that in addition to minimization of nitrogen absorption, lung collapse preserves a pulmonary O<sub>2</sub> reservoir that supplements blood O2 during ascent in the sea lion (McDonald and Ponganis, 2012). Our finding that end-of-dive venous  $S_{O_2}$  is usually greater than the minimum S<sub>O2</sub> during deep dives reinforces this hypothesis. Increased pulmonary blood flow, secondary to the ascent tachycardia routinely observed in diving sea lions (Ponganis et al., 1997a; Hindle et al., 2010), could facilitate O<sub>2</sub> uptake by the blood and maintain or even improve arterial  $S_{O2}$ . Similarly, increased blood flow secondary to the ascent tachycardia may result in blood passing through a-v shunts, thereby minimizing peripheral extraction of blood O<sub>2</sub> and supplementing venous blood O<sub>2</sub>, thus accounting for the observed increases in end-of-dive venous  $S_{O2}$ . The possible use of shunts during ascent is supported by the positive relationship between initial  $S_{O2}$  and the magnitude of increase in  $S_{O2}$  at the end of the dive (Fig. 4B), indicating that when sea lions appear to be using a-v shunts during the surface interval, they may also use shunts during ascent. Alternatively, the increase in venous  $S_{O2}$  near the end of a dive may be secondary to increased tissue blood flow with a constant or decreased a-v O2 difference during the ascent tachycardia (i.e. a relative increase in muscle blood flow despite unchanged or decreased blood  $O_2$  extraction by muscle). However, as already discussed in relation to pre-dive arterialization of venous blood, there is no evidence for this available in the literature.

### Contribution of venous O2 to metabolic rate

The contribution of venous blood  $O_2$  to diving metabolic rate was highly variable, especially in short dives. We were able to calculate the contribution of venous blood  $O_2$  to metabolic rate while diving because the Hb concentration and the mass-specific blood volume of adult female California sea lions are known ( $18\,\mathrm{g\,dl^{-1}}$ ,  $110\,\mathrm{ml\,kg^{-1}}$ ) (Weise and Costa, 2007). We used the following equation: venous blood  $O_2$  contribution to metabolic rate ( $\mathrm{ml\,O_2\,kg^{-1}\,min^{-1}}$ ) = [(maximum  $O_2$  content – minimum  $O_2$  content) / dive duration] × (mass-specific blood volume) × (% venous blood), assuming two-thirds of the blood volume is venous.

Using Athena, the sea lion that demonstrated maximum O<sub>2</sub> loading and unloading, as an example, the average contribution of venous blood O2 to diving metabolic rate for all dives was 1.9±1.0 ml O<sub>2</sub> kg<sup>-1</sup> min<sup>-1</sup>. However, the majority of these dives (87% <3 min in duration) were 1–2 min in duration with minimal changes in venous  $S_{O2}$ , presumably due to continued pulmonary gas exchange and transfer of lung O2 into the blood. We think that maintenance of such gas exchange masks the contribution of venous O2 to metabolic rate in sea lions just as was proposed in emperor penguins (Meir and Ponganis, 2009). In longer dives, the contribution of venous O2 to metabolic rate was higher. For example, the venous O<sub>2</sub> contribution to metabolic rate in Athena was 4.4 ml O<sub>2</sub> kg<sup>-1</sup> min<sup>-1</sup> for a 3 min dive,  $3.3\,\mathrm{ml}\,\mathrm{O}_2\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1}$  for a 5 min dive, and  $2.4\,\mathrm{ml}\,\mathrm{O}_2\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1}$  for a 7 min dive; ~43, 32 and 24%, respectively, of the metabolic rate of a sea lion resting at the surface (Hurley and Costa, 2001), and 133, 100 and 73%, respectively, of the allometrically predicted basal metabolic rate for a sea lion of this size (Kleiber, 1975). These contributions to diving metabolic rate do not include O2 from arterial blood or from the respiratory and muscle O<sub>2</sub> stores. This venous O<sub>2</sub> contribution to metabolic rate in the sea lion for dives greater than 3 min is in the general range of the venous contribution of 3.4 ml O<sub>2</sub> kg<sup>-1</sup> min<sup>-1</sup> for an elephant seal (Meir et al., 2009), and much higher than the contribution of venous blood to metabolic rate calculated in emperor penguin  $(0.6 \,\mathrm{ml}\,\mathrm{O}_2\,\mathrm{kg}^{-1}\,\mathrm{min}^{-1})$  (Meir and Ponganis, 2009).

### Arterio-venous O2 content difference in deep dives

Application of the  $O_2$ –Hb dissociation curve to arterial profiles from deep dives of sea lions (McDonald and Ponganis, 2012) allows comparison of the arterial  $S_{O_2}$  profile to the venous  $S_{O_2}$  profiles of this study (Fig. 6). As, we have previously emphasized, arterial  $S_{O_2}$  is well maintained during these long, deep dives (McDonald and Ponganis, 2012). It is notable that during the middle of these dives, arterial  $O_2$  content is still near maximal values at  $23 \, \mathrm{ml} \, O_2 \, \mathrm{dl}^{-1}$ , while venous  $O_2$  content is almost  $0 \, \mathrm{ml} \, O_2 \, \mathrm{dl}^{-1}$ , yielding an a-v  $O_2$  content difference ( $\Delta a$ -v  $O_2$ ) of  $23 \, \mathrm{ml} \, O_2 \, \mathrm{dl}^{-1}$ , more than four times the typical value assumed in most animals at rest. As previously emphasized for a low venous  $S_{O_2}$ , this large  $\Delta a$ -v  $O_2$  is consistent with extreme hypoperfusion of tissue and complete blood  $O_2$  extraction. Thus, these  $S_{O_2}$  profiles and the large  $\Delta a$ -v  $O_2$  suggest that sea lions are extremely bradycardic during these segments of the dive.

During extreme bradycardia and tissue hypoperfusion, venous return is low and venous mixing is slow. Under such conditions, we raise the caveat that a  $P_{\rm O2}$  electrode in the distal posterior vena cava may not provide data representative of the entire venous blood  $O_2$  store. Under such conditions, the position of the electrode in this study may only allow assessment of  $P_{\rm O2}$  in blood slowly draining

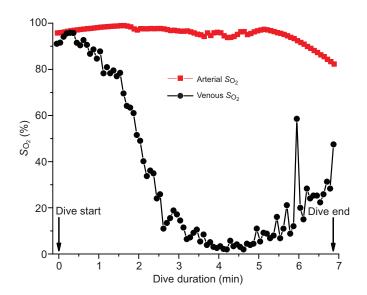


Fig. 6. Arterial and venous  $S_{\rm O2}$  in two 7 min dives to ~310 m. Arterial  $S_{\rm O2}$  is well-maintained during long, deep dives, while venous  $O_2$  content is nearly depleted, resulting in an a-v  $O_2$  content difference of  $23\,{\rm ml}\,O_2\,{\rm dl}^{-1}$ , more than four times the typical value assumed in most animals at rest. This large a-v  $O_2$  content difference is consistent with extreme hypoperfusion of tissue and complete blood  $O_2$  extraction. Data are from two sea lions performing dives with similar depth profiles [arterial data are from McDonald and Ponganis (McDonald and Ponganis, 2012)]. The  $S_{\rm O2}$  displayed was determined at pH 7.4 throughout the entire dive to maintain consistency and to provide a conservative estimate of continuous  $S_{\rm O2}$ .

peripheral tissues, but not in mixed venous blood (in the pulmonary artery). Therefore, there are limitations to our interpretations, and to our calculations of the contribution of venous  $O_2$  to diving metabolic rate. Mixed venous blood  $P_{O_2}$  and  $S_{O_2}$  would be ideal, but were not feasible in this study because of the length of the  $P_{O_2}$  electrode and size of the animal.

The arterial and venous  $S_{\rm O2}$  profiles of deep dives also have other implications. If our venous  $S_{\rm O2}$  profiles truly reflect mixed venous  $S_{\rm O2}$ , and if there is complete cessation of gas exchange as we have previously suggested due to lung collapse near 200 m, then it is unclear how arterial  $P_{\rm O2}$  and  $S_{\rm O2}$  can be maintained at such high levels during this middle portion of the deep dive. One would expect that arterial  $S_{\rm O2}$  should rapidly approach mixed venous  $S_{\rm O2}$  under conditions of complete lung collapse. Therefore, we conclude that either (1) mixed venous  $S_{\rm O2}$  is greater than the distal vena cava  $S_{\rm O2}$  during this segment of the dive, or (2) some degree of gas exchange still persists even at maximal depths, thus allowing for maintenance of arterial  $S_{\rm O2}$ . It is again notable that, under either condition, a severe bradycardia is optimal. We hope to address these questions in future studies.

Lastly, the transient rises in venous  $S_{O_2}$  shown in Fig. 6, especially those in late ascent, are again consistent with intermittent large pulses of well-oxygenated arterial blood into the venous system. These transient increases in venous  $S_{O_2}$ , as well as the near equivalence of arterial and venous  $S_{O_2}$  early in the dive (Fig. 6), again reinforce our hypothesis that the a-v shunts are utilized in the diving sea lion. Such 'spikes' in venous  $S_{O_2}$  were not uncommon (Fig. 3).

#### Conclusions

These are the first blood  $O_2$  depletion data from a diving animal during natural foraging trips. We were able to take advantage of the foraging behavior of lactating sea lions to obtain data on how

they manage O2 during natural dives. Our results suggest that sea lions are optimizing the size of the venous blood O<sub>2</sub> store and the magnitude of its depletion during long dives by arterializing venous blood before a dive and then depleting it to extremely low levels, resulting in a net O<sub>2</sub> content depletion of up to 99%. In addition, the increase in venous O<sub>2</sub> at the end of deep dives supports the hypothesis that lung collapse preserves an O2 reservoir that the sea lions can use as they ascend. The high variability in O2 depletion patterns, both between and within individuals, suggests that the O2 management strategy is variable and can be adapted to the current O<sub>2</sub> demands of the dive. Oxygen store depletion during shallow dives certainly differs from that of deep dives. Future work investigating diving heart rate and stroke rate during diving will further elucidate the mechanisms underlying O2 store management in freely diving California sea lions.

## LIST OF SYMBOLS AND ABBREVIATIONS

a-v arterio-venous ADL aerobic dive limit

cADL calculated aerobic dive limit

Hb hemoglobin

partial pressure of oxygen  $P_{O_2}$  $S_{O_2}$ percent Hb saturation TDR time-depth recorder

 $\Delta a$ -v  $O_2$ arterio-venous oxygen difference

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## **AUTHOR CONTRIBUTIONS**

B.I.M. and P.J.P. conceived and performed the study, B.I.M. conducted data analysis, and B.I.M. and P.J.P. wrote the manuscript

### **COMPETING INTERESTS**

No competing interests declared.

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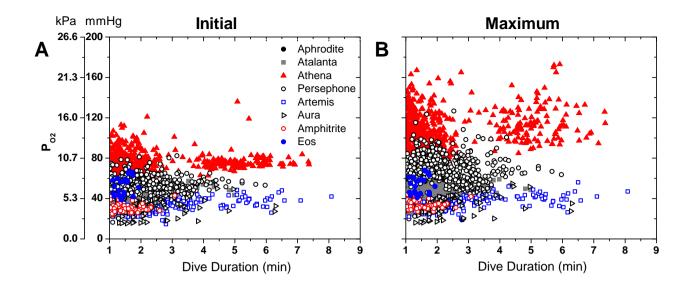


Figure A1. Initial venous  $P_{_{{\rm O}_{2}}}$  (A) and maximum venous  $P_{_{{\rm O}_{2}}}$  (B) vs. dive duration.